

# Thin Liquid Films

# Theoretical and Mathematical Physics

---

The series founded in 1975 and formerly (until 2005) entitled *Texts and Monographs in Physics* (TMP) publishes high-level monographs in theoretical and mathematical physics. The change of title to *Theoretical and Mathematical Physics* (TMP) signals that the series is a suitable publication platform for both the mathematical and the theoretical physicist. The wider scope of the series is reflected by the composition of the editorial board, comprising both physicists and mathematicians.

The books, written in a didactic style and containing a certain amount of elementary background material, bridge the gap between advanced textbooks and research monographs. They can thus serve as basis for advanced studies, not only for lectures and seminars at graduate level, but also for scientists entering a field of research.

## Editorial Board

W. Beiglböck, Institute of Applied Mathematics, University of Heidelberg, Heidelberg, Germany

P. Chrusciel, Gravitational Physics, University of Vienna, Vienna, Austria

J.-P. Eckmann, Département de Physique Théorique, Université de Genève, Geneva, Switzerland

H. Grosse, Institute of Theoretical Physics, University of Vienna, Vienna, Austria

A. Kupiainen, Department of Mathematics, University of Helsinki, Helsinki, Finland

H. Löwen, Institute of Theoretical Physics, Heinrich-Heine-University of Düsseldorf, Düsseldorf, Germany

M. Loss, School of Mathematics, Georgia Institute of Technology, Atlanta, USA

N.A. Nekrasov, IHÉS, Bures-sur-Yvette, France

M. Ohya, Tokyo University of Science, Noda, Japan

M. Salmhofer, Institute of Theoretical Physics, University of Heidelberg, Heidelberg, Germany

S. Smirnov, Mathematics Section, University of Geneva, Geneva, Switzerland

L. Takhtajan, Department of Mathematics, Stony Brook University, Stony Brook, USA

J. Yngvason, Institute of Theoretical Physics, University of Vienna, Vienna, Austria

For further volumes:

[www.springer.com/series/720](http://www.springer.com/series/720)

Ralf Blossey

# Thin Liquid Films

Dewetting and Polymer Flow

 Springer

Ralf Blossey  
CNRS USR 3078  
Institut de Recherche Interdisciplinaire  
Villeneuve d'Ascq Cedex  
France

ISSN 1864-5879

Theoretical and Mathematical Physics

ISBN 978-94-007-4454-7

DOI 10.1007/978-94-007-4455-4

Springer Dordrecht Heidelberg New York London

ISSN 1864-5887 (electronic)

ISBN 978-94-007-4455-4 (eBook)

Library of Congress Control Number: 2012938859

© Springer Science+Business Media Dordrecht 2012

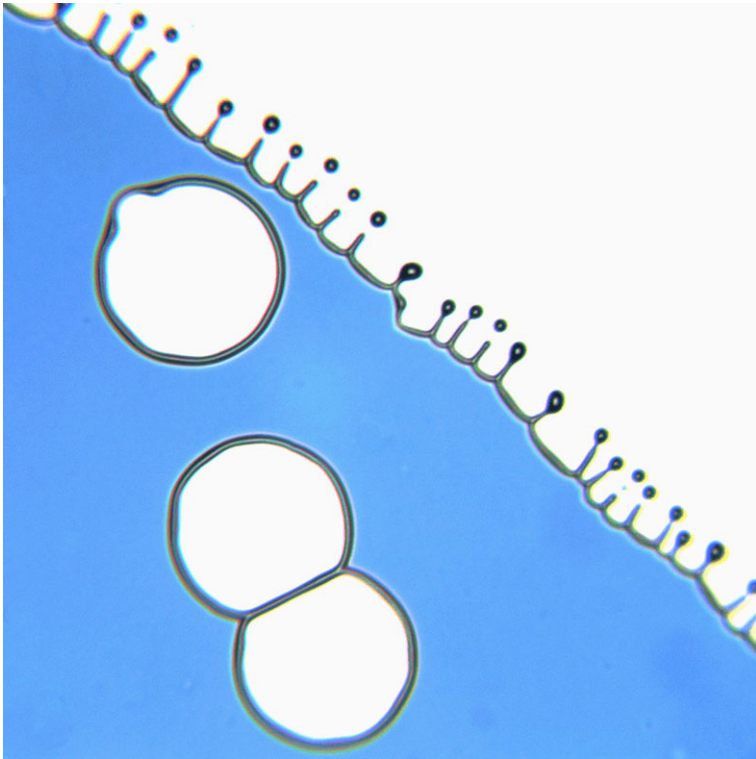
This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed. Exempted from this legal reservation are brief excerpts in connection with reviews or scholarly analysis or material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work. Duplication of this publication or parts thereof is permitted only under the provisions of the Copyright Law of the Publisher's location, in its current version, and permission for use must always be obtained from Springer. Permissions for use may be obtained through RightsLink at the Copyright Clearance Center. Violations are liable to prosecution under the respective Copyright Law.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

While the advice and information in this book are believed to be true and accurate at the date of publication, neither the authors nor the editors nor the publisher can accept any legal responsibility for any errors or omissions that may be made. The publisher makes no warranty, express or implied, with respect to the material contained herein.

Printed on acid-free paper

Springer is part of Springer Science+Business Media ([www.springer.com](http://www.springer.com))



*Two in one.* This AFM picture displays two types of thin films instabilities at the same time: dewetting holes that open up in a thin polymer film and an instability of the film rim along its receding edge. This instability is similar to the classic Rayleigh instability of a liquid column. In the case of a thin film the polymer accumulates at the rim which upon becoming unstable produces a characteristic pattern which is reminiscent of a carpet fringe.

*Courtesy: Karin Jacobs*

*How does it come that papers on wetting phenomena and other soft matter topics are often so confusing, while in condensed matter physics everything is so clear?*

*Vincent Senez, some time in 2010*

# Preface

This book is a treatise on the thermodynamic and dynamic properties of thin liquid films at solid surfaces and, in particular, their rupture instabilities. For the quantitative study of these phenomena, polymer thin films have proven to be an invaluable experimental model system.

What is a *thin* liquid film? For the purpose of this book, thin films are (polymeric) liquids at surfaces whose properties are controlled by interfacial forces—capillary and intermolecular, like van der Waals forces. Gravity does not play a role for them. Some researchers prefer to call such films *ultrathin*.

What is it that makes thin film instabilities special and interesting, warranting a whole book? There are several answers to this. Firstly, thin polymeric films have an important range of applications, and with the increase in the number of technologies available to produce and to study them, this range is likely to expand. An understanding of their instabilities is therefore of practical relevance for the design of such films.

Secondly, thin liquid films are an interdisciplinary research topic. Interdisciplinary research is surely not an end to itself, but in this case it leads to a fairly heterogeneous community of theoretical and experimental physicists, engineers, physical chemists, mathematicians and others working on the topic. It justifies attempting to write a text which aims at a coherent, theoretical presentation of the field which researchers across their specialised communities might be interested in. It is in some sense a response to V. Senez' question: in solid state physics the community has much more converged to a common conceptual understanding, since people from a common scientific background work in it. But there is more: the wetting or soft matter field is dominated by an enormous diversity of phenomena and mostly experimental work (and seemingly simple theoretical explanations), apart from the theory of *wetting phase transitions*, which has a rigorous grounding in statistical physics. Thin liquid films are an interesting laboratory for a theorist to confront a well-established theory, hydrodynamics, with its limits. Liquids at surfaces take notice of the surface they are placed upon, and this is reflected in their dynamics. And the polymers, when confined to thin films, can imprint molecular properties on the film dynamics.

In the end, of course, we have only really learnt something about Nature when the theories have been confronted with reality. Here, again, lies a tremendous advantage in the case of thin polymeric films due to the modern experimental techniques with which they can be made and studied. This therefore is a field in which a highly fruitful exchange and collaboration is possible between experimentalists and theorists.

The material in the book is arranged in two Parts. Part I covers the basics of wetting and dewetting phenomena, and is of interest to researchers working in the field also outside of polymeric systems. It can be read as a brief introduction into the theory of wetting phase transitions. Part II delves exclusively into polymeric thin films, their mathematical description, and the confrontation with experiment. The exposition of this book is theoretical or mathematical in the sense that within each chapter and each section, calculations are presented at a great level of detail, but no proofs in any strict mathematical sense are given. For an experimental scientist, the book should serve as a reference and guide to what is the current consensus of the theoretical underpinnings of the field of thin film dynamics.

The field of wetting and dewetting owes a great debt to Pierre-Gilles de Gennes and his collaborators and students who were so influential for the field of Soft Matter Physics. Their work has produced deep insights which are, at the same time, presented in a mathematically ‘light’ and elegant fashion, often making use of scaling arguments. For the untrained, this approach is not always easy to follow. There is no point in trying to replace it with tedious technicalities, and this is *not* what is intended here. The present book attempts to bridge between the ‘light’ and the ‘rigorous’, always with the ambition to enhance insight and understanding—and to not let go the elegance of the theory.

This book owes a great deal to my collaborators and discussion partners over the years. I hope they all will find that it also reflects what I learnt from them.

Lille  
May 2012

Ralf Blossey



# Contents

## Part I Dewetting

<b>1</b>	<b>Introduction</b>	3
1.1	The Landau-Levich-Derjaguin Problem	3
1.2	Dewetting of a Liquid Film of a Binary Mixture	6
<b>2</b>	<b>Statistical Mechanics of Thin Films</b>	9
2.1	Capillarity and Surface Tension	9
2.2	Forces Acting at Interfaces	15
2.3	Wetting and Dewetting: The Wetting Phase Diagram	19
2.4	The Hamiltonian and the Line Tension	22
2.4.1	The Effective Interface Hamiltonian	22
2.4.2	Line Tension I: The Modified Young Equation	22
2.4.3	Line Tension II: The Elasticity of the Contact Line	26
2.5	Characterizing Metastable Thin Films	30
2.5.1	Wetting by Nucleation: The Critical Droplet	32
2.5.2	Dewetting by Nucleation: The Critical Hole	35
2.5.3	Mapping to a Dynamical System	38
2.5.4	Scaling Behaviour of the Critical Hole	41
2.5.5	Undercooling a Thick Film: A Physical Interpretation, and Some Theory	43
2.6	Dewetting for an Unstable Film: Spinodal Decomposition	45
2.7	Density Functional Theory	46
<b>3</b>	<b>From Classical Liquids to Polymers</b>	49
3.1	Classical Liquids & Soap Films	49
3.2	Liquid Helium	50
3.3	Wetting in Superconductors	52
3.4	Dewetting of Polymer Thin Films	53

**Part II Polymer Flow**

<b>4</b>	<b>Hydrodynamics of Thin Viscous Films</b>	59
4.1	The Thin-Film Problem	59
4.2	Lubrication Approximation I	62
4.2.1	Lubrication Scaling	63
4.2.2	Choice of Scale Ratios	64
4.3	Mathematical Properties of the Thin-Film Equation	65
4.4	Thin Film Rupture in Viscous Thin Films	67
4.5	Thermal Fluctuations in the Film	71
4.6	Lubrication Approximation II	74
4.7	Dewetting Holes	76
4.7.1	Hole Growth	77
4.7.2	The Dewetting Rim	79
4.7.3	Dewetting Rim: Asymptotic Analysis in the No-Slip Case	80
4.7.4	Dewetting Rims in Slipping Films	83
4.7.5	Rim Instabilities	85
<b>5</b>	<b>Viscoelastic Thin Films</b>	89
5.1	Viscoelastic Flow	90
5.1.1	Rate of Strain Tensor	90
5.1.2	The Jeffreys Model	91
5.2	Lubrication Approximation III	93
5.2.1	Weak Slip	93
5.2.2	Strong Slip	97
5.2.3	Dispersion Relations	99
5.2.4	Dewetting Rims	100
5.3	Beyond the Jeffreys Model	102
5.3.1	The Corotational Jeffreys Model	102
5.3.2	Confrontation with Experiment: A Phenomenological Modification of the Jeffreys Model	106
5.4	Microscopies of Polymer Films	109
5.4.1	Microscopies of the Slip Boundary Condition	109
5.4.2	Polymers are Glasses: $T_g$ of Thin Films	113
<b>6</b>	<b>Conclusions and Outlook</b>	117
6.1	Finite and Structured Geometries	118
6.2	Evaporation	120
6.3	Metallic Films	122
6.4	Polymers Under External Fields	123
6.5	Active Polar Gels	125
6.6	Further Reading	126
<b>Appendix A</b>	<b>Polymeric Thin Films</b>	127
A.1	The Substrates and the Surface Coatings	128
A.2	Preparation and Measurement of Thin Films	128
<b>Appendix B</b>	<b>Minkowski Measures</b>	129

**Appendix C Numerics of the Thin-Film Equation . . . . .** 131

**Appendix D Towards ‘Better’ Theories of Viscoelastic Thin Films . . .** 135

    D.1 A Nonlinear Fluid Dynamics of Non-Newtonian Fluids . . . . . 135

    D.2 The Rolie-Poly Equation . . . . . 137

**Bibliography . . . . .** 141

**Index . . . . .** 149

# List of Symbols<sup>1</sup>

$Ca$	capillary number
$\eta$	viscosity
$\sigma, \sigma_{ij}$	surface tension
$\ell_c$	capillary length
$\varrho$	(liquid) density
$g$	gravitational acceleration
$\theta, \theta_r$	contact angle
$S$	spreading coefficient
$h(x)$	interface height/film thickness
$\mathcal{H}[h]$	(effective) interface Hamiltonian
$\Delta\mu$	chemical potential difference
$\tau$	line tension
$\kappa$	(interfacial) mean curvature
$\Pi_{vdW}$	disjoining pressure
$V(h), \Phi(h)$	effective interface potential
$\hbar$	Planck's constant
$c$	speed of light
$\varepsilon_i(\omega)$	dielectric function
$n_i$	refractive index
$A$	Hamaker constant
$\xi$	decay length
$\Delta_r$	radial Laplacian
$E_c$	excess free energy of a critical nucleus

---

<sup>1</sup>There is a particular difficulty in writing a book which covers, at the same time, the topics of thin liquid films *and* of hydrodynamics. The difficulty lies in notation. In thin films or wetting problems,  $\sigma$  or  $\gamma$  are used to denote surface tensions, while  $\tau$  is the standard symbol of the line tension. But the very same symbols denote different kind of tensors in hydrodynamics or elasticity. This problem therefore cannot have a good solution. I hope that the one I chose for this book is, while certainly not perfect, at least a practical one. The main symbols used throughout the book are collected in this list for reference.

$H_c(R_c)$	height (radius) of a critical nucleus
$\kappa_D^{-1}$	Debye screening length
$p$	pressure
$\widehat{\sigma}$	stress tensor
$\widehat{\tau}$	extra-stress tensor
$b$	slip length
$\eta$	shear viscosity
$Re^*, Re$	(reduced) Reynolds number
$M(h)$	interface mobility
$M_v(A)$	Minkowski functionals
$\omega$	vorticity
$Z$	enstrophy
$\widehat{F}$	deformation gradient tensor
$\widehat{\gamma}$	strain tensor
$\widehat{\dot{\gamma}}$	rate-of-strain tensor
$\zeta$	friction coefficient
$T_K$	Kauzmann temperature

# List of Figures

Fig. 1.1	The Landau-Levich-Derjaguin dip-coating problem . . . . .	4
Fig. 1.2	‘Phase-diagram’ of the Landau-Levich-Derjaguin problem . . . . .	5
Fig. 1.3	Dewetting of a binary liquid mixture . . . . .	7
Fig. 2.1	Young’s equation . . . . .	10
Fig. 2.2	Overhang . . . . .	11
Fig. 2.3	AFM scan of a sessile droplet . . . . .	12
Fig. 2.4	Scaling of the droplet shape . . . . .	13
Fig. 2.5	Line tension of a PS droplet on SiO <sub>2</sub> . . . . .	13
Fig. 2.6	SFM topography of water droplet on striped silicon wafer. Interface profile from SFM . . . . .	14
Fig. 2.7	SFM topography of water droplet on striped silicon wafer. Interface profile from SFM . . . . .	14
Fig. 2.8	Film geometry for the calculation of the long-range forces . . . . .	15
Fig. 2.9	Effective interface potential $V(h)$ . . . . .	20
Fig. 2.10	Full interface potential $\Phi(h)$ . . . . .	21
Fig. 2.11	Generic wetting phase diagram: equilibrium states . . . . .	21
Fig. 2.12	The foot of the drop . . . . .	23
Fig. 2.13	The modified Young equation . . . . .	25
Fig. 2.14	Local translation of the interface . . . . .	27
Fig. 2.15	Properties of the interface profile at partial wetting: elasticity of the contact line . . . . .	28
Fig. 2.16	Wetting phase diagram: equilibrium states . . . . .	31
Fig. 2.17	Critical droplet profile . . . . .	34
Fig. 2.18	Construction of the droplet solution . . . . .	34
Fig. 2.19	Undercooling path in a dewetting experiment . . . . .	36
Fig. 2.20	Schematic sketch of the radial profile of a critical hole . . . . .	36
Fig. 2.21	Flow diagram for critical nuclei . . . . .	39
Fig. 2.22	Flow pattern for critical droplets . . . . .	41
Fig. 3.1	Disjoining pressure for a soap film . . . . .	50
Fig. 3.2	Prewetting phase diagram for <sup>4</sup> He on Cs . . . . .	51

Fig. 3.3	Wetting phase diagram for a type-I superconductor; effective interface potential for $\kappa = 0$ . . . . .	53
Fig. 3.4	Holes in a polystyrene (PS) film . . . . .	54
Fig. 3.5	Reconstructed effective interface potential for a polystyrene film . . . . .	55
Fig. 3.6	AFM images of dewetting of polystyrene films from silicon wafers . . . . .	56
Fig. 3.7	Stability diagram for polystyrene films as a function of film and oxide layer thickness . . . . .	56
Fig. 4.1	Thin film geometry and Navier slip boundary condition . . . . .	60
Fig. 4.2	A non-stationary solution to the thin-film equation . . . . .	66
Fig. 4.3	Dispersion relation of the thin-film equation . . . . .	68
Fig. 4.4	Dewetting morphologies of a thin film . . . . .	69
Fig. 4.5	Satellite holes . . . . .	69
Fig. 4.6	Analysis by Minkowski measures . . . . .	70
Fig. 4.7	Dewetting in a wedge geometry . . . . .	71
Fig. 4.8	Noise analysis of AFM experiments I . . . . .	73
Fig. 4.9	Noise analysis of AFM experiments II . . . . .	74
Fig. 4.10	Radial growth of a dewetting hole . . . . .	78
Fig. 4.11	AFM cross sections of dewetting holes . . . . .	80
Fig. 4.12	Dewetting profile: asymptotic analysis . . . . .	81
Fig. 4.13	Rim profiles on DTS and OTS . . . . .	84
Fig. 4.14	Numerically calculated rim profiles . . . . .	84
Fig. 4.15	Time evolution of an opening hole . . . . .	86
Fig. 4.16	Liquid ridge geometry . . . . .	86
Fig. 4.17	Dewetting of a linear ridge . . . . .	87
Fig. 5.1	Equivalent circuit diagrams for viscoelastic models . . . . .	92
Fig. 5.2	Rim evolution in films with residual stresses . . . . .	107
Fig. 5.3	Polymer mushrooms . . . . .	110
Fig. 5.4	Chain elongation and slip length as a function of velocity . . . . .	111
Fig. 5.5	Glass temperature $T_g$ of thin films . . . . .	113
Fig. 6.1	Droplets in the corrugations of a coffee cup . . . . .	118
Fig. 6.2	Droplets in wedges . . . . .	119
Fig. 6.3	Morphology diagrams of liquid blobs and cigars . . . . .	120
Fig. 6.4	Deposits from droplets . . . . .	121
Fig. 6.5	Morphology diagram for an evaporating film . . . . .	122
Fig. 6.6	Rupture of metal nanowires . . . . .	123
Fig. 6.7	Electrostatic instability of polymer films . . . . .	124
Fig. A.1	Chemical formula of PS . . . . .	128
Fig. A.2	PDMS and PMMA . . . . .	128