

Signals and Communication Technology

More information about this series at <http://www.springer.com/series/4748>

Nan Chi

LED-Based Visible Light Communications



Nan Chi
Fudan University
Shanghai
China

ISSN 1860-4862 ISSN 1860-4870 (electronic)
Signals and Communication Technology
ISBN 978-3-662-56658-9 ISBN 978-3-662-56660-2 (eBook)
<https://doi.org/10.1007/978-3-662-56660-2>

Jointly published with Tsinghua University Press, Beijing, China

The print edition is not for sale in China Mainland. Customers from China Mainland please order the print book from: Tsinghua University Press.
ISBN of the China Mainland edition: 978-7-302-33780-5

Library of Congress Control Number: 2018936636

© Tsinghua University Press, Beijing and Springer-Verlag GmbH Germany 2018

This work is subject to copyright. All rights are reserved by the Publishers, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publishers, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publishers nor the authors or the editors give a warranty, express or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publishers remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Printed on acid-free paper

This Springer imprint is published by the registered company Springer-Verlag GmbH, DE part of Springer Nature

The registered company address is: Heidelberger Platz 3, 14197 Berlin, Germany

Preface

The emergence of “smart home” and the rapid spread of intelligent devices have made revolutionary changes to the category of the mobile digital terminal, which brings about a big test to the traditional access of network technology. The dilemmas of the “last mile” from optical fibers to home relate to the limited spectrum of resources regarding the wireless access network, the immaturity of ROF technologies, and electromagnetic radiation, which all restrict the bottleneck breakthrough. The world today is experiencing a profound revolution of access technology called “Anywhere, Anytime,” and society is calling for a new access method, which can broaden the current spectrum resources, be “greener,” as well as removable. As a result, visible light communication (VLC) has emerged, as modern times require.

Visible light communication uses white LEDs as a light source and utilizes high-speed flashing signals carried by the LED lights to transmit information. Visible light communication is the result of the combination of both lighting and communication characteristics. Because of its great advantages, such as high efficiency, low cost, and a long lifetime, it is certain that LEDs will become our main sources of lighting, instead of incandescent bulbs and fluorescent lamps. In 2011, our country launched incandescent phase-out programs and, thus, planned to completely ban the sale of common lighting incandescent lamps by 2016. There is no doubt that LEDs will become the next generation of lighting technology, which has been a recent trend in *The Times Magazine*. The popularity of solid-state lighting makes the light source of VLC available everywhere. Standing on the shoulders of giants, visible light communication is developing rapidly with the boom of the LED industry. Due to the LED’s features, such as energy saving and cost saving, visible light communication will serve as a new means of “green” communication and will make a great contribution to the country’s energy conservation plan.

Visible light communication has the following positive characteristics. To start, white light is safe to human eyes, and the power of indoor white LED lamps can reach up to ten watts or more, which means visible light communication has a very high signal-to-noise ratio, with greater bandwidth potential. Second, visible light

communication technology has no electromagnetic pollution, so it can be applied to aircrafts, hospitals, industrial controls, and other RF-sensitive areas. In addition, visible light communication combines illumination, communication, positioning, as well as other functions, with low energy consumption, less equipment, and other advantages, which meets national energy conservation strategies. The fourth advantage is that, since visible light communication uses an unlicensed spectrum, its applications are flexible, and it can be used alone or as a valid backup for RF wireless devices. Furthermore, visible light communication is suitable for information security applications. As long as there are obstacles that visible light cannot penetrate, information within the illumination network will not be leaked, so visible light communication has a high degree of confidentiality.

Since the concept of visible light communication was proposed in 2000, it has quickly gained attention and support from all over the world. In just ten years, it has developed rapidly. The transmission rates have improved from tens of Mbit/s to 500 Mbit/s and even to 800 Mbit/s. In addition, VLC technology has developed rapidly from off-line to real time, from low-end modulation to high-order modulation, from point-to-point to multiple-input multiple-output (MIMO). VLC technology has impacted the global market, and *Times Magazine* rated it as one of the “Top 50 Worldwide Scientific and Technological Inventions” in 2011. Thus, today’s VLC technology research is experiencing increasingly active development, where new concepts and new technologies are emerging endlessly. Whether from the national strategic level, or as an urgent need for energy conservation, or just by considering the huge market potential, VLC is making a huge impact within China. As a combination of both new methods of illumination and optical communication, VLC is promoting the development of the next generation of lighting, as well as an access network, and represents great technological progress, which has lead to it becoming one of the focuses and key points of international competition.

The author Nan Chi is a Professor and Doctoral Mentor of the Communications Department of the School of Information at Fudan University. She is a Senior Member of the Optical Society of America (OSA) and Member of Institute of Electrical and Electronics Engineers (IEEE), Technical Committee on Integrated Optoelectronics of Chinese Optical Society, as well as the Optical Communications Committee of China Institute of Communications. She has won the New Century Excellent Talents of the Ministry of Education, Shanghai Shuguang scholars, Japan Okawa intelligence funds, Shanghai Pujiang Talents, and Shanghai’s top ten cutting-edge IT. Additionally, she has undertaken a number of national projects, including 973 project topics, 863 projects and Natural Science Foundation projects. Furthermore, she has published more than 300 papers, including more than 200 SCI papers, which have been cited more than 2000 times. Her research interests are in the areas of coherent optical transmission, visible light communication, and optical packet/burst switching.

Currently, there are no domestic books that systematically introduce white LED-based visible light communication. This book fills the blanks and provides a more detailed description on the visible light communication system, which can be used as a teaching book for university students or a fundamental reference for

engineers and other technical staff. In this book, Chap. 1 introduces the basic concepts of visible light, which also provides a background and some primary knowledge. Chapters 2–6 discuss the foundation of visible light technology, which introduces the transmitting parts, channel models, receiving parts, as well as modulation and equalization techniques. In order to help readers have a deeper understanding of visible light technology, Chap. 7 provides a few visible light communication system experiments. Chapter 8 focuses on the upper layer protocols of the visible light communication system, and finally, Chap. 9 has information about our future predictions regarding the development trends of the visible light communication system.

The composition of this book has obtained great help from teachers and students of the Shanghai Science and Technology project. Among them, teachers Muqing Liu and Xiali Zhou wrote part of Chap. 2; teachers Xinyue Guo and Minglun Zhang wrote the channel model portion of Chap. 3; teachers Yonggang Zhang and Shaowei Wang wrote the detector portion of Chap. 4; and teacher, Rui Zhang, wrote part of Chap. 8. The author also thanks the support and help from students like Rongling Li, Yuanquan Wang, Yiguang Wang, Xingxing Huang, Jiehui Li, and Chao Yang and also Allison Lasley for assisting with English translations. The composition of this book was written relatively in a hurry, so inadequates are inevitable. We sincerely hope to receive valuable suggestions from readers for future improvements and enhancements.

Shanghai, China

Nan Chi

Contents

1	Outline	1
1.1	Introduction	1
1.2	LED Market Trends	2
1.3	The History of Visible Light Communication	4
1.4	The Composition of the Visible Light Communication System	7
1.5	Advantages of Visible Light Communication Technology	8
1.6	Research Trends	9
1.7	Brief Summary	10
	References	10
2	The Transmitter of the Visible Light Communication System	13
2.1	Summary of the LED	13
2.1.1	The Development of the LED Light Source	13
2.1.2	The LED's Luminescence Mechanism	15
2.1.3	Characteristics of a LED	17
2.1.4	The Types of White LEDs	22
2.2	The PC-LED (Phosphor-Converted LED)	24
2.2.1	The PC-LED's Material and Spectral Characteristics	24
2.2.2	The PC-LED's Structure	25
2.2.3	The PC-LED's Illumination Effect	26
2.3	The RGB-LED	27
2.4	The RGB + UV-LED	29
2.5	The LED's Illumination Light Field and Visual Design	31
2.5.1	Features of the LED Illumination Light Field	31
2.5.2	The Main LED Optical Design Forms	32
2.6	Summary	35

2.7	LED Driving	35
2.7.1	The Physical Device of LED Driving	35
2.7.2	The LED's Driving Mode	36
2.7.3	The LED's Drive Circuit Design	36
	References	38
3	Models of the Visible Light Channel	39
3.1	The LED Frequency Response Model	39
3.1.1	The White LED Frequency Response Model	39
3.1.2	The LED Frequency Response Model After Blue-Light Filtering	40
3.2	The Modulation Bandwidth of Various LEDs	41
3.2.1	The LED's Modulation Bandwidth	42
3.2.2	The Modulation Bandwidth of Various LEDs	44
3.3	Multipath Reflection Modeling	45
3.3.1	The Indoor Optical Communication Link Way	45
3.3.2	VLC Channel Modeling	47
3.3.3	A Basic Analysis of the VLC's System Performance	50
3.4	The Photon Model	51
3.4.1	The Model Design	51
3.4.2	The Simulation Process and Data Analysis	53
3.5	Nonlinearity of VLC Communication System	56
3.6	Summary	57
	References	57
4	Visible Light Communication Receiving Technology	59
4.1	The Silicon-Based PIN Photodetector	59
4.1.1	The PIN Structure and Its Working Principle	60
4.1.2	Parameters	63
4.1.3	The Device Preparation Technology	68
4.2	The Narrowband Blue Light Detector	69
4.3	Blu-Ray Filters	76
4.3.1	An Overview	76
4.3.2	The Basic Principles and Calculation Methods	78
4.3.3	Blu-Ray Filter Design	80
4.3.4	Design Examples	85
4.3.5	Preparation	86
4.4	The Detector Circuit Design	86
4.4.1	Adaptive Receiver Technology	87
4.4.2	The Clock Extraction and Recovery Circuit	88
4.4.3	Receiver Equalization Technology	89
4.5	Summary	89
	References	90

- 5 The Modulation Technologies of Visible Light Communication** 91
 - 5.1 OOK Modulation Format 91
 - 5.1.1 The Principle of the OOK Modulation Format 91
 - 5.1.2 The BER Performance of OOK 93
 - 5.1.3 System Implementation and Waveform Testing 94
 - 5.2 The PPM and PMW Modulation Technologies 95
 - 5.3 DMT Modulation Technology 98
 - 5.3.1 The Principle of DMT Modulation and Demodulation 98
 - 5.3.2 The Application of DMT Modulation in VLC 100
 - 5.4 OFDM Modulation Technology 100
 - 5.5 CAP Modulation Technology 104
 - 5.6 PAM Modulation Technology 106
 - 5.6.1 The Introduction of PAM Modulation 106
 - 5.6.2 The System of PAM-VLC 107
 - 5.7 Summary 109
 - References 109
- 6 Visible Light Communication Pre-equalization Technology** 111
 - 6.1 Hardware Pre-equalization Circuit 111
 - 6.1.1 Hardware Pre-equalization Circuit Simulation 113
 - 6.1.2 Hardware Pre-equalization Circuit Experimental Verification 115
 - 6.2 Software Pre-equalization 118
 - 6.2.1 Pre-equalization Technology Based on FIR Filter 119
 - 6.2.2 Software Pre-equalization Technology Based on OFDM 126
 - 6.2.3 Quasi-linear Pre-equalization 128
 - 6.3 Summary 132
 - References 132
- 7 Visible Light Communication Post-equalization Technology** 133
 - 7.1 Time Domain Equalization Technique 133
 - 7.1.1 CMA Algorithm 133
 - 7.1.2 CMMA Algorithm 136
 - 7.1.3 M-CMMA Algorithm 138
 - 7.1.4 DD-LMS Algorithm 139
 - 7.1.5 S-MCMMA Algorithm 140
 - 7.1.6 RLS Algorithm 142
 - 7.2 Frequency Domain Equalization Algorithm 143
 - 7.2.1 Pilot-Aided Channel Estimation Algorithm 144
 - 7.2.2 SC-FED Algorithm 145
 - 7.3 Nonlinear Equalization Algorithm 146
 - 7.3.1 Volterra Series Algorithm 146
 - 7.3.2 Memoryless Power Series Algorithm 148

7.4	Summary	149
	References	149
8	High-Speed VLC Communication System Experiments	151
8.1	Advanced Modulation Technology in VLC System	151
8.1.1	Single-Carrier Modulation Based on Frequency Domain Equalization	152
8.1.2	CAP Modulation Technology	154
8.1.3	Orthogonal Frequency Division Multiplexing (OFDM)	163
8.1.4	Bit-Loading OFDM	174
8.2	Multi-user Access and Bidirectional VLC System	179
8.2.1	The Multiple-Input and Single-Output System	179
8.2.2	Bidirectional Transmission	184
8.3	VLC Multidimensional Multiplexing	190
8.3.1	Wavelength Division Multiplexing (WDM)	191
8.3.2	Subcarrier Multiplexing (SCM)	194
8.3.3	Polarization Division Multiplexing (PDM)	198
8.4	The VLC MIMO	204
8.4.1	The Imaging MIMO	205
8.4.2	The Nonimaging MIMO	213
8.4.3	The Equal Gain Combining STBC	219
8.5	The VLC Network	223
8.5.1	The Integrated Network of VLC and MMF	224
8.5.2	The Integrated Network of VLC and PON	227
8.5.3	The High-Speed Full-Duplex VLC Access Network	229
8.6	Summary	230
	References	231
9	Visible Light Communication Technology Development Trend	233
9.1	Surface Plasma LED	233
9.2	Visual Imaging Communication	234
9.3	Key Issues of VLC Networking	237
9.3.1	Visible Light Source Layout	237
9.3.2	Visible Network Switching Technology	238
9.3.3	Optical Network Access Control	239
9.4	Visible Optical Communication Integrated Chip	239
9.4.1	LED Emission Array	240
9.4.2	PIN-Receiving Array	240
9.4.3	Dedicated Visible Light Integrated Communication Chip	241
9.5	Future Expectations	242
9.6	Summary	243
	References	244