

Cognitive Systems Monographs

Volume 20

Series Editors

R. Dillmann, Karlsruhe, Germany
Y. Nakamura, Tokyo, Japan
S. Schaal, Los Angeles, USA
D. Vernon, Genoa, Italy

Advisory Board

H. H. Bülthoff, Tübingen, Germany
M. Inaba, Tokyo, Japan
J. A. Scott Kelso, Boca Raton, USA
O. Khatib, CA, USA
Y. Kuniyoshi, Tokyo, Japan
H. G. Okuno, Kyoto, Japan
H. Ritter, Bielefeld, Germany
G. Sandini, Genoa, Italy
B. Siciliano, Naples, Italy
M. Steedman, Edinburgh, Scotland
A. Takanishi, Tokyo, Japan

For further volumes:

<http://www.springer.com/series/8354>



Davide Rivolta

Prosopagnosia

When All Faces Look the Same

 Springer

Davide Rivolta
Department of Neurophysiology
Max-Planck Institute for Brain Research
Frankfurt am Main
Germany

ISSN 1867-4925 ISSN 1867-4933 (electronic)
ISBN 978-3-642-40783-3 ISBN 978-3-642-40784-0 (eBook)
DOI 10.1007/978-3-642-40784-0
Springer Heidelberg New York Dordrecht London

Library of Congress Control Number: 2013947778

Translation from the Italian language edition: *Prosopagnosia: Un mondo di facce uguali* by Davide Rivolta, © Edizioni FerrariSinibaldi, an imprint of Sipiss di Ferrari Giuseppe & C. s.n.c Milano (Italy) 2012. All rights reserved
© Springer-Verlag Berlin Heidelberg 2014

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed. Exempted from this legal reservation are brief excerpts in connection with reviews or scholarly analysis or material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work. Duplication of this publication or parts thereof is permitted only under the provisions of the Copyright Law of the Publisher's location, in its current version, and permission for use must always be obtained from Springer. Permissions for use may be obtained through RightsLink at the Copyright Clearance Center. Violations are liable to prosecution under the respective Copyright Law.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

While the advice and information in this book are believed to be true and accurate at the date of publication, neither the authors nor the editors nor the publisher can accept any legal responsibility for any errors or omissions that may be made. The publisher makes no warranty, express or implied, with respect to the material contained herein.

Printed on acid-free paper

Springer is part of Springer Science+Business Media (www.springer.com)

*The Reverend Hugh Stretton, Anglican Minister of Hennacombe, Devon, England
“could meet you four times and still not recognize your face. It was this, a serious disability in a person, which accounted for the uncertain smile he would bestow on total strangers, ready to broaden if responded to, snatch back if not”.*

Carey, Peter (1988). Oscar and Lucinda. University of Queensland Press, St. Lucia, Queensland, Australia, 511 pp, p.47.

Preface

In 2006, after completing my degree in Psychology in Pavia (Italy) I decided to fly (literally) to the other side of the world, to Australia, and join some leading scientists in the very hard task of understanding more about the way our mind works. In April 2007, I started my Ph.D. at Macquarie University, in Sydney. Here I decided to focus my attention toward a specific field, which focuses on understanding the correlates of face recognition both at the behavioral and at the neural level. Prof. Max Coltheart, Associate Professor Mark Williams, Dr. Romina Palermo, and Dr. Laura Schmalzl led me toward this challenge that lasted until December 2010, when I completed and submitted my Ph.D. thesis.

After this time spent on face recognition research I was so excited that I wished everyone knew more about this interesting topic. This represents the reason why I decided to write a book that aims to provide a simplified although comprehensive glimpse into the intriguing world of the mechanisms of face recognition. So, I ended up publishing a book in Italian, entitled “Prosopagnosia: Un mondo di facce uguali”. This English version does not only represent a translation from the Italian, but, since research on the topic never stops, it also includes very recent results (especially in [Chaps. 2](#) and [3](#)).

What can we read in a face? I bet you have never asked yourself this question. The answer is: “A lot!” In fact, from the face we can retrieve information such as identity, gender, age, attractiveness, race, mood, and approachability of a person. The impressive part is that we can do all of this in a fraction of a second, without even thinking about it (this is probably why you have never asked yourself this question in the first place). Although research has put a lot of effort into trying to understand all those aspects, in this book I will mainly focus my attention on face identification and I try to answer questions like: “Why are humans so fast at recognizing faces?”, “Why are we so efficient at recognizing faces?”, “Do faces represent a particular category for our visual system?”, “Can face recognition fail?”.

Of course I am not going to give you the answers now. However, what I can tell you is that in this book I try to summarize and stimulate your curiosity on the line of research that focuses on explaining why humans are generally so good at face processing and why sometimes they are not. I will do this by first providing an introduction to the history, methodology, and techniques commonly adopted for these challenges ([Chap. 1](#)). Here, I will not only describe the techniques (there are,

in fact, very well done manuals that do it already), but I wish to engage the reader in a wider trip that gives a general idea of what cognitive science is and what it does. After describing a technique, I will provide the reader with some brief descriptions of research that has successfully adopted those techniques. On purpose, I will not provide face-related research since I believe that the reader in this first chapter should have a more general idea of cognitive science and the very different research questions that can be formulated. The expert reader is invited to skip this chapter and directly proceed to [Chap. 2](#); there is no information in [Chap. 1](#) that precludes the understanding of the rest of the book.

In [Chap. 2](#), I will report what I believe is the most relevant research on the cognitive and neural aspects of face processing. In [Chap. 3](#), I will introduce prosopagnosia and in [Chap. 4](#), I describe the intriguing finding of face recognition without awareness. In the last chapter ([Chap. 5](#)), I will describe some real cases of people with face recognition difficulties.

Who should read this book? I believe that everyone can read the book. The psychology student can learn something about face processing, a topic that is (to the best of my knowledge) not addressed in great detail in many undergraduate courses. The person interested in science can understand what researchers in the field of cognitive science do, which techniques they use, and what they found. The person who believes to have face recognition problems can use the book to learn something new about their difficulties. Some people say that research often lives in a world parallel to the real one; that is, they never meet. This book represents a modest attempt to make a field of research—the one that focuses on face recognition—available to the general public.

Acknowledgments

Let me use a few words here to thank some people without whom, not only this book, but even all the research I have done so far, would have been not possible. I owe my deepest gratitude to: Prof. Max Coltheart, for the opportunity he gave me to initiate a Ph.D. project very far from my home country, for the support he gave me over my candidature, and for providing insightful feedback on earlier versions of the book; Dr. Romina Palermo, for the constant academic and psychological support, patience (being almost an “Italian mum”), and constructive criticism; A/Prof. Mark Williams for introducing me to the intriguing world of human neuroimaging; Dr. Laura Schmalzl for the precious and broad support provided during the 4 years. Thanks also to Dr. Charlie Stone, Dr. Ellie Wilson, and Isabella Premoli who provided a crucial contribution in the translation of the book and provided insightful feedback on earlier versions of it. Ellie also prepared great part of [Chap. 5](#).

Frankfurt, May 2013

Contents

1 Cognitive Science: History, Techniques and Methodology	1
1.1 The Shaping of Cognitive Science	1
1.2 Something About the Brain	3
1.3 Behavioural Techniques	5
1.4 Neuroimaging Techniques	6
1.4.1 Structural Neuroimaging	7
1.4.2 Functional Neuroimaging	8
1.5 Single-Case Studies Versus Group Studies	14
1.6 Conclusions	15
References	15
2 Cognitive and Neural Aspects of Face Processing	19
2.1 Do Faces Represent a “Special” Category of Stimuli for Our Visual System?	20
2.1.1 Domain-Specific Hypothesis	20
2.1.2 The Expertise Hypothesis	24
2.2 How Does Face Recognition Take Place?	26
2.3 What is the Neural Underpinning of Face Processing?	28
2.4 What is the Speed of Face Processing?	31
2.4.1 Neurophysiological Investigations	31
2.4.2 Behavioural Investigations	32
2.5 Are We Born with “Face-Specific” Cognitive and Neural Mechanisms?	33
2.5.1 Behavioural Studies in Infants	34
2.5.2 Neuroimaging Studies in Infants	35
2.6 Are Face Processing Skills Heritable?	36
2.7 Conclusions	37
References	37
3 Prosopagnosia: The Inability to Recognize Faces	41
3.1 Acquired Prosopagnosia	41
3.1.1 History of the Condition	41
3.1.2 Causes (Aetiologies) of Acquired Prosopagnosia	43

- 3.1.3 Clinical and Neural Features of Acquired Prosopagnosia . . . 43
- 3.1.4 Is Acquired Prosopagnosia Affecting Only
the Processing of Faces? 45
- 3.2 Congenital Prosopagnosia 47
 - 3.2.1 What is it and Why do We Study it? 47
 - 3.2.2 Behavioural Features of Congenital Prosopagnosia:
Towards the Diagnosis 48
 - 3.2.3 Performance on Behavioral Tasks 49
 - 3.2.4 Other Behavioural Characteristics of Congenital
Prosopagnosics 52
 - 3.2.5 Non-face Processing Assessment 53
 - 3.2.6 Prevalence of Congenital Prosopagnosia 54
 - 3.2.7 The Genetic Basis of CP 56
 - 3.2.8 Neural Features of Congenital Prosopagnosia 58
 - 3.2.9 Neurophysiological Correlates 60
 - 3.2.10 Can We “Cure” Prosopagnosia? 61
- 3.3 Conclusions 64
- References 64

- 4 Can I Recognize Faces Without Knowing it? Evidence
of Covert Face Recognition in Prosopagnosia 69**
 - 4.1 Covert Recognition: A General Description. 69
 - 4.2 Covert Face Recognition in Congenital Prosopagnosia 70
 - 4.2.1 Behavioural Techniques 70
 - 4.2.2 Autonomic Response 72
 - 4.2.3 Electrophysiological Techniques 72
 - 4.3 The Relation Between Different Forms of Covert Face
Recognition 73
 - 4.4 A Neuroanatomical Model of Congenital Prosopagnosia 74
 - References 76

- 5 Stories from People who Share their Lives with Congenital
Prosopagnosia 79**
 - 5.1 Introduction 79
 - 5.2 Cases of Adult Congenital Prosopagnosia 79
 - 5.3 Cases of Children with Face Recognition Difficulties 86
 - 5.3.1 Summary of Cases 91
 - 5.3.2 Face Recognition Impairments and Social Problems 91
 - 5.3.3 Face Recognition Impairments and General Visual
Processing Difficulties 92
 - 5.3.4 Isolated Face Recognition Problems 93
 - 5.4 Conclusions 93
 - 5.5 What to do in the Event You Believe to have Congenital
Prosopagnosia? 94
 - References 94