

# Lecture Notes in Mathematics

An informal series of special lectures, seminars and reports on mathematical topics

Edited by A. Dold, Heidelberg and B. Eckmann, Zürich

20

---

**Robin Hartshorne**

Junior Fellow, Harvard University

## Residues and Duality

Lecture Notes of a seminar on the work of A. Grothendieck,  
given at Harvard 1963/64

1966

---



Springer-Verlag · Berlin · Heidelberg · New York

All rights, especially that of translation into foreign languages, reserved. It is also forbidden to reproduce this book, either whole or in part, by photomechanical means (photostat, microfilm and/or microcard) or by other procedure without written permission from Springer Verlag. © by Springer-Verlag Berlin · Heidelberg 1966.  
Library of Congress Catalog Card Number 66-27560. Printed in Germany. Title No. 7340.

## Preface

In the spring of 1963 I suggested to Grothendieck the possibility of my running a seminar at Harvard on his theory of duality for coherent sheaves — a theory which had been hinted at in his talk to the Séminaire Bourbaki in 1957 [8], and in his talk to the International Congress of Mathematicians in 1958 [9], but had never been developed systematically. He agreed, saying that he would provide an outline of the material, if I would fill in the details and write up lecture notes of the seminar. During the summer of 1963, he wrote a series of "prénotes" [10] which were to be the basis for the seminar.

I quote from the preface of the prénotes:

"Les présentes notes donnent une esquisse assez détaillée d'une théorie cohomologique de la dualité des Modules cohérents sur les préschémas. Les idées principales de la théorie m'étaient connues dès 1959, mais le manque de fondements adéquats d'Algèbre Homologique m'avait empêché d'aborder une rédaction d'ensemble. Cette lacune de fondements est sur le point d'être comblée par la thèse de VERDIER, ce qui rend en principe possible un exposé satisfaisant. Il est d'ailleurs

apparu depuis qu'il existe des théories cohomologiques de dualité formellement très analogues à celle développée ici dans toutes sortes d'autres contextes: faisceaux cohérents sur les espaces analytiques, faisceaux abéliens sur les espaces topologiques (VERDIER), modules galoisiens (VERDIER, TATE), faisceaux de torsion sur les schémas munis de leur topologie étale, corps de classe en tous genres ... Cela me semble une raison assez sérieuse pour se familiariser avec le yoga général de la dualité dans un cas type, comme la théorie cohomologique des résidus.

La théorie consiste pour l'essentiel dans des questions de variance: construction d'un foncteur  $f'$  et d'un homomorphisme-trace  $\underline{R}f'_* \longrightarrow \text{id}$ . La construction donnée ici est compliquée et indirecte et n'est pas valable sous des conditions aussi générales qu'on est en droit de s'y attendre. Il faudra sans doute une idée nouvelle pour apporter des simplifications substantielles."

The seminar took place in the fall and winter of 1963-64, with the assistance of David Mumford, John Tate, Stephen Lichtenbaum, John Fogarty, and others, and gave rise to a

series of six exposés which were circulated to a limited audience under the title "Séminaire Hartshorne". The present notes are a revised, expanded, and completed version of the previous notes.

I would like to take this opportunity to thank all those people who have helped in the course of this work, and in particular A. Grothendieck, who gave continual support and encouragement throughout the whole project.

R.H.

Cambridge, May 1966

## CONTENTS

	page
<u>Preface</u>	III
<u>Contents</u>	VI
<u>Introduction</u>	1
<u>Chapter I. The Derived Category</u>	19
§0. Introduction	19
§1. Triangulated categories	20
§2. $K(A)$ is triangulated	25
§3. Localization of categories	28
§4. $Qis$ and the derived category	35
§5. Derived functors	49
§6. Examples. $Ext$ and $R Hom^*$	62
§7. Way-out functors and isomorphisms	68
<u>Chapter II. Application to Preschemes</u>	85
§1. Categories of sheaves	85
§2. The derived functors of $f_*$ and $\Gamma$	87
§3. The derived functor of $\underline{Hom}^*$	90
§4. The derived functors of $\otimes$ and $f^*$	93
§5. Relations among the derived functors	100
§6. Compatibilities among the relations of §5	115
§7. Injective sheaves on a locally noetherian prescheme	120
<u>Chapter III. Duality for Projective Morphisms</u>	137
§1. Differentials	137
§2. $f^*$ for a smooth morphism $f^*$	145
§3. Recall of the explicit calculations	148
§4. The trace map for projective space	154
§5. The duality theorem for projective space	160
§6. Duality for a finite morphism	164
§7. The fundamental local isomorphism	176
§8. $f^*$ for embeddable morphisms	184
§9. The residue symbol	195
§10. Trace for projective morphisms	200
§11. Duality for projective morphisms	210

	page
<u>Chapter IV. Local Cohomology</u>	215
§1. Local cohomology groups, sheaves, and complexes	215
§2. Depth and the Cousin complex	229
§3. Generalization to complexes	240
<u>Chapter V. Dualizing Complexes and Local Duality</u>	252
§0. Introduction	252
§1. Example: duality for abelian groups	254
§2. Dualizing complexes	257
§3. Uniqueness of the dualizing complex	266
§4. Local cohomology on a prescheme	272
§5. Dualizing functors on a local noetherian ring	275
§6. Local duality	276
§7. Application to dualizing complexes	282
§8. Pointwise dualizing complexes and $f^\#$	286
§9. Gorenstein preschemes	293
§10. Existence of dualizing complexes	299
<u>Chapter VI. Residual Complexes</u>	302
§0. Introduction	302
§1. Residual complexes	304
§2. Functorial properties	311
§3. $f'$ for residual complexes	318
§4. Trace for residual complexes	335
§5. Behavior with respect to certain base changes	349
<u>Chapter VII. The Duality Theorem</u>	357
§1. Curves over an Artin ring	357
§2. The residue theorem	369
§3. The duality theorem for proper morphisms	374
§4. Smooth morphisms	388
<u>Index of Definitions</u>	394
<u>Index of Notations</u>	396
<u>Bibliography</u>	401
<u>Appendix. Cohomologie à Support Propre, et Construction du</u> <u>Foncteur <math>f^!</math>, par P. Deligne</u>	404
<u>Errata</u>	422