

# A Proofs

## Proof of Proposition 5.6

We require the following result.

**Lemma A.1.** *Consider the RNN defined by (5.3) and (5.4) with  $s_i(1) = 0$ ,  $i \in [1 : 3]$ . Suppose that there exist  $\epsilon_1, \epsilon_2 \in [0, 1/2)$  such that the following conditions hold:*

1. *If  $s_1(t - 1) \geq 1 - \epsilon_1$ , then  $s_1(t) \geq 1 - \epsilon_1$ ,*
2. *If  $s_4(t - 1) = s_4(t - 2) = s_4(t - 3) = 1$ , then  $s_1(t) \geq 1 - \epsilon_1$ ,*
3. *If Conditions 1 and 2 do not hold, and  $s_1(t - 1) \leq \epsilon_2$ , then  $s_1(t) \leq \epsilon_2$ .*

*Then, the RNN correctly classifies any given binary string according to the  $L_4$  language.*

PROOF. Consider an arbitrary input string. Denote its length by  $l$ . We consider two cases.

Case 1: The string does *not* include '000' as a substring. In this case, the If-part in Condition 2 is never satisfied. Since  $s_1(1) = 0$ , Condition 3 implies that  $s_1(t) \leq \epsilon_2$ , for  $t = 1, 2, 3, \dots$ , hence,  $s_1(l + 1) \leq \epsilon_2$ . Recalling that the network output is  $f_{out} = s_1(l + 1)$ , yields  $f_{out} \leq \epsilon_2$ .

Case 2: The string contains a '000' substring, say,  $I(m - 2)I(m - 1)I(m) = '000'$ , for some  $m \leq l$ . Then, according to Condition 2,  $s_1(m + 1) \geq 1 - \epsilon_1$ . Condition 1 implies that  $s_1(t) \geq 1 - \epsilon_1$  for  $t = m + 1, m + 2, \dots$ , so  $f_{out} \geq 1 - \epsilon_1$ .

Summarizing, if the input string includes a '000' substring, then  $f_{out} \geq 1 - \epsilon_1 > 1/2$ , otherwise,  $f_{out} \leq \epsilon_2 < 1/2$ , so the RNN accepts (rejects) all the strings that do (not) belong to the language.  $\square$

We now prove Proposition 5.6 by showing that the RNN defined by (5.3), (5.4), and (5.5) indeed satisfies the three conditions in Lemma A.1. Note that using (5.5) yields

$$s_1(t) = \sigma(15.2s_1(t - 1) + 8.4s_2(t - 1) + 0.2s_3(t - 1) + 3s_4(t - 1) - 7.6), \tag{A.1}$$

whereas substituting (5.4) in (5.6) and (5.7) yields

$$s_4(t) \in \{-1, 1\}, \quad s_3(t) \in \{0.015, 0.98\}, \quad \text{and} \quad s_2(t) \in [0, 0.8]. \quad (\text{A.2})$$

Suppose that

$$s_1(t-1) \geq 1 - \epsilon_1. \quad (\text{A.3})$$

Since  $\sigma(\cdot)$  is a monotonically increasing function, we can lower bound  $s_1(t)$  by substituting the minimal value for the expression inside the brackets in (A.1). In this case, (A.1), (A.2), and (A.3) yield

$$\begin{aligned} s_1(t) &\geq \sigma(15.2(1 - \epsilon_1) + 8.4 \cdot 0 + 0.2 \cdot 0.015 - 3 - 7.6) \\ &= \sigma(-15.2\epsilon_1 + 4.6). \end{aligned}$$

Thus, Condition 1 in Lemma A.1 holds if  $\sigma(-15.2\epsilon_1 + 4.6) \geq 1 - \epsilon_1$ . It is easy to verify that this indeed holds for any  $\epsilon_1 \in (0.01, 0.219)$ .

To analyze the second condition in Lemma A.1, suppose that  $s_4(t-1) = s_4(t-2) = s_4(t-3) = 1$ . It follows from (5.3) and (5.5) that  $s_3(t-1) = \sigma(3.8) = 0.98$ , and

$$\begin{aligned} s_2(t-1) &\geq \sigma(-0.2 \cdot 0.8 + 4.5\sigma(3.8) + 1.5 - 4.7) \\ &= 0.73. \end{aligned}$$

Substituting these values in (A.1) yields

$$\begin{aligned} s_1(t) &= \sigma(15.2s_1(t-1) + 8.4s_1(t-1) + 0.2 \cdot 0.98 + 3 - 7.6) \\ &\geq \sigma(15.2s_1(t-1) + 8.4 \cdot 0.73 + 0.2 \cdot 0.98 + 3 - 7.6) \\ &\geq \sigma(1.72), \end{aligned}$$

where the last inequality follows from the fact that  $s_1(t-1)$ , being the output of a Logistic function, is non-negative. Thus, Condition 2 in Lemma A.1 will hold if  $\sigma(1.72) \geq 1 - \epsilon_1$ , or  $\epsilon_1 \geq 0.152$ .

To analyze Condition 3 of the lemma, suppose that  $s_1(t-1) \leq \epsilon_2$ . Then (A.1) yields

$$s_1(t) \leq \sigma(15.2\epsilon_2 + 8.4s_2(t-1) + 0.2s_3(t-1) + 3s_4(t-1) - 7.6).$$

We can upper bound this by substituting the maximal values for the expression inside the brackets. Note, however, that Condition 3 does not apply when  $s_4(t-1) = s_4(t-2) = s_4(t-3) = 1$  (as this case is covered by Condition 2). Under this constraint, applying (A.2) yields

$$\begin{aligned} s_1(t) &\leq \sigma(15.2\epsilon_2 + 8.4 \cdot 0.8 + 0.2 \cdot 0.98 - 3 - 7.6) \\ &= \sigma(15.2\epsilon_2 - 3.684). \end{aligned}$$

Thus, Condition 3 of Lemma A.1 will hold if  $\sigma(15.2\epsilon_2 - 3.684) \leq \epsilon_2$ , and it is easy to verify that this indeed holds for any  $\epsilon_2 \in (0.06, 0.09)$ .

Summarizing, for  $\epsilon_1 \in [0.152, 0.219)$  and  $\epsilon_2 \in (0.06, 0.09)$ , the trained RNN satisfies all the conditions of Lemma A.1. This completes the proof of Proposition 5.6.  $\square$

## Proof of Proposition 6.1

The proof is similar to the proof of Proposition 5.6, namely, we show that the RNN defined by (5.4), (6.4), and (6.5) indeed satisfies the three conditions in Lemma A.1. Note that using (6.5) yields

$$s_1(t) = \sigma(5.04\alpha s_1(t-1) + 2\alpha s_2(t-1) + 2\alpha s_3(t-1) + 0.76\alpha s_4(t-1) - 3.52\alpha), \quad (\text{A.4})$$

whereas substituting (5.4) in (6.1) and (6.2) yields

$$s_4(t) \in \{-1, 1\}, \quad \text{and} \quad s_2(t), s_3(t) \in \{0.12, 0.88\}. \quad (\text{A.5})$$

Suppose that

$$s_1(t-1) \geq 1 - \epsilon_1. \quad (\text{A.6})$$

Since  $\sigma(\cdot)$  is a monotonically increasing function, we can lower bound  $s_1(t)$  by substituting the minimal value for the expression inside the brackets in (A.4). In this case, (A.4), (A.5), and (A.6) yield

$$\begin{aligned} s_1(t) &\geq \sigma(5.04\alpha(1 - \epsilon_1) + 0.24\alpha + 0.24\alpha - 0.76\alpha - 3.52\alpha) \\ &= \sigma(-5.04\alpha\epsilon_1 + 1.24\alpha). \end{aligned}$$

Thus, Condition 1 in Lemma A.1 holds if  $\sigma(-5.04\alpha\epsilon_1 + 1.24\alpha) \geq 1 - \epsilon_1$ . It is easy to verify that this indeed holds for any  $\alpha \geq 5.7$ , with  $\epsilon_1 = 0.036$ .

To analyze the second condition in Lemma A.1, suppose that  $s_4(t-1) = s_4(t-2) = s_4(t-3) = 1$ . It follows from (6.4) and (6.5) that  $s_3(t-1) = \sigma(2) = 0.88$ , and  $s_2(t-1) = \sigma(5.26\sigma(2) - 2.62) = 0.88$ . Substituting these values in (A.4) yields

$$\begin{aligned} s_1(t) &= \sigma(5.04\alpha s_1(t-1) + 1.76\alpha + 1.76\alpha + 0.76\alpha - 3.52\alpha) \\ &\geq \sigma(0.76\alpha), \end{aligned}$$

where the inequality follows from the fact that  $s_1(t-1)$  is non-negative. Thus, Condition 2 in Lemma A.1 will hold if  $\sigma(0.76\alpha) \geq 1 - \epsilon_1$ , and it is easy to verify that this indeed holds for any  $\alpha \geq 5.7$ , with  $\epsilon_1 = 0.036$ .

To analyze Condition 3 in Lemma A.1, suppose that  $s_1(t-1) \leq \epsilon_2$ . Then (A.4) yields

$$s_1(t) \leq \sigma(5.04\alpha\epsilon_2 + 2\alpha s_2(t-1) + 2\alpha s_3(t-1) + 0.76\alpha s_4(t-1) - 3.52\alpha).$$

We can upper bound this by substituting the maximal values for the expression inside the brackets. Note, however, that Condition 3 does not apply when  $s_4(t-1) = s_4(t-2) = s_4(t-3) = 1$  (as this case is covered by Condition 2). Under this constraint, applying (A.5) yields

$$\begin{aligned} s_1(t) &\leq \sigma(5.04\alpha\epsilon_2 + 1.76\alpha + 1.76\alpha - 0.76\alpha - 3.52\alpha) \\ &= \sigma(5.04\alpha\epsilon_2 - 0.76\alpha). \end{aligned}$$

Thus, Condition 3 of Lemma A.1 will hold if  $\sigma(5.04\alpha\epsilon_2 - 0.76\alpha) \leq \epsilon_2$  and it is easy to verify that this indeed holds for any  $\alpha \geq 5.7$ , with  $\epsilon_2 = 0.036$ .

Summarizing, for  $\alpha \geq 5.7$  the designed RNN satisfies all the conditions of Lemma A.1 for the specific values  $\epsilon_1 = \epsilon_2 = 0.036$ . This completes the proof of Proposition 6.1.  $\square$

## B Details of the LED Recognition Network

The 24-6-10 ANN was trained using MATLAB's Neural Network Toolbox. Parameter values were initialized using the "init" command with "net.layers{i}.initFcn" set to "initnw" (implementing the Nguyen-Widrow algorithm [121]). Training was performed using the "trainlm" command (Levenberg-Marquardt backprop), with "net.performFcn" set to "msereg" (that is, using the regularization factor  $\sum w_{ij}^2$  [69]).

The parameters of the trained ANN are as follows.<sup>1</sup> The weights from the inputs to the hidden neurons are:

$$W = \begin{pmatrix} 0.23 & -0.04 & 0.45 & 0.30 & -0.17 & -0.52 & -0.14 & \dots \\ -1.31 & -0.25 & -0.06 & 0.77 & 0.70 & 0.73 & 1.07 & \dots \\ -1.09 & -2.05 & -1.86 & 1.58 & 0.60 & -0.15 & -0.63 & \dots \\ 2.99 & 0.59 & -0.17 & 0.40 & -0.79 & 1.08 & -2.50 & \dots \\ -0.57 & -2.02 & -0.25 & -0.65 & -0.09 & 2.08 & 2.90 & \dots \\ -0.49 & 0.89 & 0.02 & -0.44 & -0.62 & -1.65 & 0.55 & \dots \end{pmatrix}.$$

The values  $w_{ij}$ ,  $j \in [8 : 24]$ ,  $i \in [1 : 6]$ , are omitted since they satisfy  $|w_{ij}| \leq 8.3E - 5$ . The hidden neurons biases are

$$\mathbf{b} = (0.33, -0.59, 1.63, -2.20, -1.90, 1.59)^T.$$

The weights from the hidden to the output neurons are:

$$C = \begin{pmatrix} -0.43 & -0.32 & 0.62 & 0.95 & 0.02 & -0.38 & -0.89 & 0.1 & 0.07 & 0.46 \\ -0.22 & -0.69 & -0.07 & 0.32 & 0.05 & 0.05 & 0.43 & -0.59 & 0.59 & 0.13 \\ -0.43 & 0.24 & 0.25 & 0.13 & 0.12 & 0.21 & 0.21 & -0.04 & -0.42 & -0.26 \\ -0.38 & -0.43 & -0.31 & 0.22 & 0.01 & 0.57 & 0.07 & 0.12 & -0.23 & 0.35 \\ 0.34 & -0.14 & -0.22 & 0.85 & -0.49 & 0.28 & -0.24 & -0.49 & -0.17 & 0.28 \\ 0.28 & -0.18 & 0.27 & -0.28 & 0.2 & 0.47 & -0.26 & -0.66 & -0.27 & 0.44 \end{pmatrix},$$

and the output neurons biases are:

$$\Phi = -(0.74, 0.78, 1.13, 0.92, 0.89, 0.71, 0.45, 0.68, 0.74, 0.96)^T.$$

<sup>1</sup> All the numerical values were rounded to two decimal digits, without affecting the classification accuracy.

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