

**Review****Male contraception: a clinically-oriented review**George A. Kanakis,<sup>1,2</sup> Dimitrios D. Goulis<sup>2</sup><sup>1</sup>Department of Endocrinology, Athens Naval & VA Hospital, Athens; <sup>2</sup>Unit of Reproductive Endocrinology, First Department of Obstetrics and Gynaecology, Medical School, Aristotle University of Thessaloniki, Thessaloniki; Greece**ABSTRACT**

Despite the variety of available female contraceptive methods, many pregnancies (~50%) are still undesired. Many men (>60%) want to participate equally with their partner in family planning; however, male contraceptive methods (MCMs) account for only 14% of those used worldwide and no pharmaceutical MCM is available so far. The only two MCMs currently available are condoms, which despite protecting against sexually transmitted diseases have high failure rates (~19%), and vasectomy, which though very efficient (99%) is poorly reversible (<50%). Among MCMs under investigation, male hormonal contraceptives (MHCs) are those that have come closest to commercialization. The action of MHCs relies on the disruption of spermatogenesis that exogenous androgen administration evokes by suppressing the hypophyseal-gonadal axis. Various regimens of androgens as monotherapy or in combination with progestins have been tested in clinical trials achieving a Pearl Index <1.0 (equal to that of the female oral contraceptive pill); however, concerns regarding the variable response rates observed (non-responders: 5-20%), the impracticality of parenteral administration and long-term prostate-associated or cardiovascular morbidity have deflected the interest of the pharmaceutical industry from further research. Non-hormonal contraception methods may be, at least theoretically, more specific by selectively disrupting spermatogenesis and sperm transport or fertilizing ability. Nevertheless, only a few have been tested in clinical trials (Reversible Inhibition of Sperm Under Guidance, RISUG, and Intra Vas Plugs); most of them are still in pre-clinical development or have been abandoned due to toxicity (gossypol). Consequently, until a reliable, safe and practical MCM is developed, women will continue to bear most of the contraception burden.

**Key words:** Male contraception, RISUG, Testosterone, Vasectomy

**INTRODUCTION**

It has been shown historically that uncontrolled population growth results in inadequate distribution

of wealth and a failure to improve living standards in societies.<sup>1</sup> However, even in our “post-Oral Contraceptive Pill” era, a large percentage of births remain undesirable. According to US statistics, of 6 million pregnancies recorded annually, only 50% are desirable; of the latter, about 50% result in abortion.<sup>2</sup> Modern women can choose among a spectrum of 11 different contraceptive methods, whereas for men essentially only two methods have been de-

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Received: 07-07-2015, Accepted: 07-09-2015

veloped: the male condom and the dissection of the vas deferens (vasectomy).<sup>3</sup> Despite the paucity of available options, male contraceptive methods account for about 14% of those used worldwide, while this percentage increases to 30% in developed societies (Figure 1).<sup>4</sup>

Although female contraceptive methods are very effective, they are contraindicated in certain groups of women or have adverse effects that often lead to discontinuation. Notably, a recent study has shown that among minority couples in the US, adolescent mothers do not have an accurate perception of their partners' pregnancy intentions and use contraceptive methods that are not within their control.<sup>5</sup> On the other hand, many men want to participate equally with their partner in family planning. According to a recent study, more than 60% of men in Germany, Spain, Brazil and Mexico were willing to use a male contraceptive method. This option was more popular among men with higher income and higher education<sup>6</sup> and was supported by 98% of women in long-term relationships.<sup>7</sup>

Unfortunately, and despite the favorable social conditions, the pharmaceutical industry has deflected its interest from research in male contraception methods (MCMs). The one and only phase II study funded by pharmaceutical companies was abandoned 7 years ago, despite its promising results.<sup>8</sup> Currently, the funding of research programs for MCMs comes only from public programs, international organizations (WHO) and charities (Population Council, New York).

## EXISTING METHODS OF CONTRACEPTION

The male contraceptive methods are classified into three main categories, based on their target of action:

1. Methods that hinder the transport of sperm in the female reproductive system
2. Methods that suppress spermatogenesis
3. Methods that disrupt the maturation or fertilizing ability of spermatozoa.

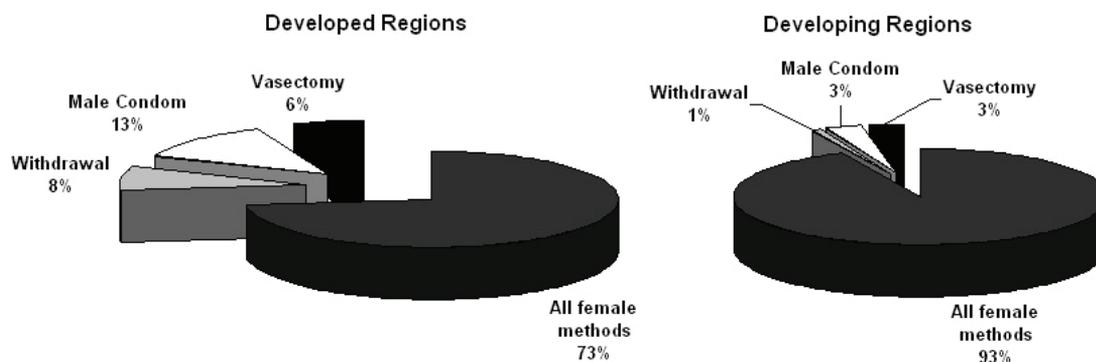
Both of the two existing male contraceptive methods (condom and vasectomy) belong to the first category; however, they fulfil only a minority of the characteristics that a male contraceptive method should ideally possess (Tables 1 & 2).

### Condoms

The condom is the oldest method of contraception; however, it was not until 2004 that the WHO established the manufacturing specifications for condom production.<sup>9</sup> Currently, 5.7% of couples worldwide

**Table 1.** The characteristics an ideal male contraceptive method should fulfil

1. Be at least as effective as the corresponding female methods
2. Be acceptable by both partners
3. Have quick results
4. Not have significant adverse effects, especially in relation to virility, libido and erectile function
5. Not affect the offspring
6. Be reversible as concerns fertility
7. Be readily available and affordable



**Figure 1.** Use of existing male contraceptives in developed compared to developing regions. (Data from the United Nations Population Division World Contraceptive Use 2003).

**Table 2.** Summary of the existing vs experimental male contraception methods and comparison of contraceptive efficacy where available (expressed as Pregnancy Rate = unintended pregnancies per 100 men-years of exposure)

	Pregnancy Rates (%)
<b>Existing contraceptives</b>	
• Condoms	15
• Vasectomy	0.15
<b>Contraception methods under investigation</b>	
<b>Hormonal contraception</b>	
<b>Androgen monotherapy</b>	
• TE	0.8-1.4
• TU	0.18-0.6
<b>Combination of androgens with:</b>	
• GnRH-analogues	
• Progestins (DMPA + T pellets)	0
<b>Non-hormonal methods</b>	
<b>Inhibition of spermatogenesis</b>	
• Chemical - pharmaceutical methods	
○ Gossypol - Adjudin - Indenopyridines	
○ Inhibition of testicular retinoic acid	
• Mechanical methods	
○ Suspensories - External testicular heating	
○ Testicular ultrasound treatment	
<b>Disruption of sperm transport</b>	
• Pharmaceutical methods	
○ Alpha-adrenergic receptor blockers	
○ Peptidergic agonists	
• Mechanical methods	
○ RISUG <sup>a</sup>	1 pregn/ 250 men
○ Intra-vas plugs	0.21-0.65
<b>Incapacitation of stored spermatozoa</b>	
• Disruption of the epididymal fluid composition	
• Disruption of sperm metabolism	
• Disruption of sperm-ovum interaction	
• Active immunization against sperm	

<sup>a</sup>Reversible Inhibition of Sperm Under Guidance.

use condoms as a contraceptive method, with the percentage being higher in developed countries: 20% in the US, 25% in Germany and 80% in Japan.<sup>10</sup> A key advantage of the condom is the protection it offers against sexually transmitted diseases,<sup>11</sup> whereas

its main disadvantage is high failure rates, which in “real life” conditions concern up to 19% of couples during the first year of use.<sup>3</sup> In general, the older the condom, the higher the risk of rupture.<sup>12</sup>

### Vasectomy

Vasectomy became popular as a MCM in the 1960s, particularly in the US.<sup>13</sup> Nowadays, vasectomy is selected by 2.7% of couples seeking contraception (10% in the U.S.), totalling over 40 million men worldwide.<sup>14</sup> It is more popular among advanced societies and more highly educated men due mainly to cultural and religious restrictions.<sup>15</sup> The operation procedure includes the dissection of the vas deferens followed by ligation and electrocoagulation of the two ends, a minimally invasive technique of high efficiency with failure rates lower than 1% and low complication rates.<sup>16</sup> The successful outcome of the operation should be confirmed by obtaining a sperm analysis at least 3 months postoperatively. The main disadvantage is its poor reversibility, a fact that should be emphasized preoperatively to the patient and which renders this option suitable for men who do not intend to father children in the future.

Short-term complications include bleeding and hematomas, wound infection and epididymitis, at rates of 1-6%.<sup>14</sup> A major long-term complication is procedure failure due to recanalization of the vas deferens (0-3% of cases).<sup>17</sup> Moreover, the rise of intra-epididymal pressure as a result of the ligation of the distal end of the vas deferens may produce discomfort in the scrotum and can occasionally cause rupture of the epididymis and semen leakage, which in turn is associated with the formation of scrotal granulomas and the development of anti-sperm antibodies in the serum. Recently, concerns have been raised regarding an association of vasectomy with a modestly increased incidence of high-grade prostate cancer; however, a causal relation could not be established.<sup>18</sup>

The rate of operated men wishing to regain their fertility after vasectomy is at around 3.5% and is showing an increasing trend as a result of the changes taking place in modern societies (higher divorce rates, family planning variability).<sup>19</sup> Modern microsurgical techniques of anastomosis achieve patency restoration rates of around 90%;<sup>20</sup> however, pregnancy rates fluctuate significantly below these values at

approximately 50%.<sup>21</sup> This discrepancy may be attributed to the re-occlusion of the vas deferens (~3%) or the presence of anti-sperm antibodies (70%).<sup>22</sup> The determining factor for the recovery of fertility is the time elapsed after the vasectomy, as chances of success are reduced after two years and practically eliminated after 10 years.<sup>23</sup>

## HORMONAL CONTRACEPTION

### *The physiology of male hormonal contraception (MHC)*

According to current knowledge, the pulsatile release of gonadotropin-releasing hormone (GnRH) from the hypothalamus stimulates the release of luteinizing hormone (LH) and follicle stimulating hormone (FSH) from the pituitary, which, in turn, stimulate Leydig cells to produce testosterone (T) and Sertoli cells to promote spermatogenesis, respectively. Conversely, circulating T regulates gonadotropin production by exerting an inhibitory effect at the level of the hypothalamus and pituitary gland in the setting of a feedback circuit.<sup>9</sup> Consequently, the exogenous administration of T is expected to suppress the gonadal axis, thereby inhibiting endogenous T production and testicular spermatogenesis. These effects are completely reversible after the withdrawal of exogenous T.<sup>24</sup> Because the human spermatogenesis cycle lasts approximately 72 days, there is a delay of 2-3 months after the initiation of hormonal contraceptives until they achieve their full contraceptive effect.

The ultimate goal of MHC is to reduce the number of sperm in the ejaculation so drastically that it is impossible to achieve fertilization. The ideal goal, therefore, is to achieve azoospermia. However, pregnancy rates as low as those attained with the female contraceptive pill may be achieved with a concentration of up to 1 million sperm/ml, which may be considered acceptable.<sup>25</sup>

### *Androgen monotherapy*

The use of exogenous testosterone as a MCM was introduced in the 1970s; by the 1990s, the WHO had funded a number of clinical trials.

### *Testosterone enanthate*

T enanthate (TE) was administered in two of

the studies supported by the WHO. In the former, a multicenter study including 271 men of Asian and Caucasian origin, 200 mg TE were administered by weekly injections for 6 months.<sup>26</sup> Seventy percent of the men achieved azoospermia. Only azoospermic subjects were selected to enter the efficacy phase of the study, which lasted for one year, and the result was a single pregnancy (1/157 men). The second study<sup>27</sup> involved 357 couples; 200 mg TE were administered by weekly injections. Apart from azoospermia, severe oligozoospermia (<3 million/ml) was also acceptable for entry into the efficacy phase. As expected, failure rates in the oligozoospermic subjects were higher than in the azoospermic men, but clearly lower compared to those using only condoms.

### *Testosterone undecanoate*

The first phase III study with T undecanoate (TU) involved 305 men of Chinese nationality who received 500 mg dissolved in tea seed oil by monthly intramuscular injections after a loading dose of 1000 mg.<sup>28</sup> In 296 men (97%), severe oligozoospermia (<3 million/ml) was achieved; these men were selected to enter the 12-month efficacy phase. Semen recurrence was observed in six men and one pregnancy occurred (96.7% effectiveness). The reappearance of sperm ("sperm rebound") might be attributed to the high peaks of circulating T levels after each injection.<sup>29</sup> This study was followed by a larger one, also in China, during which 500 mg TU were administered intramuscularly in 1000 men on a monthly basis.<sup>30</sup> In this study, 855 men entered the effectiveness phase, during which nine pregnancies were recorded. In Europe, TU formulation is dissolved in castor oil, which augments its bioavailability and allows delivery at 12-week intervals. However, administration of TU in Caucasian men every 6 weeks achieved azoospermia in only 60% of them.<sup>31</sup> The large intervals between administrations render the option of TU attractive, although the large amount of excipient needed (~4.0 ml) makes the administration more painful.

### *Alternative testosterone formulations*

T buciolate (TB) was developed in the 1970s via the collaboration of the WHO with the National Health Institute (NIH) in an effort to develop a form of T with a prolonged time of action. The half-life of TB is 29.5 days compared to 4.5 days of TE, while

the total duration of action is 3-4 months<sup>32</sup>. A single intramuscular injection of TB can cause suppression of spermatogenesis comparable to that of weekly injections of TE;<sup>33</sup> however, this substance has not attracted any commercial interest as yet.

The administration of T in the form of subcutaneous implants can provide stable levels of circulating T for many months, avoiding the spikes observed with injectable testosterone formulations, a possible cause of the "sperm rebound" phenomenon.<sup>29</sup> A single application of implants totalling a dose of 1200 mg has an efficacy equal to that of weekly administration of TE<sup>34</sup> and can be combined with a progestin for higher security. Their placement can be applied on an outpatient basis through a small incision of the skin. A disadvantage is the possibility of an automatic rejection, a complication, which occurs at a rate of 3-7%.<sup>35</sup>

The oral administration of T has not proven to be effective as a method of male contraception, since the circulating T levels are neither constant nor sufficient to completely suppress the secretion of gonadotropins. Oral administration of 240 mg TU once daily for 12 weeks resulted in adequate contraception in only one out of seven volunteers. More frequent administration (QID)<sup>36</sup> or the co-administration of T with 5 $\alpha$ -reductase inhibitors in an oily solution<sup>37</sup> achieved more stable concentrations of circulating T but did not improve the regimen's efficacy. Regarding the transdermal route of administration, the efficacy of patches as MHC was poor whether as monotherapy or in combination with progestins, while local irritation upon application was a common drawback.<sup>38</sup>

#### *Adverse effects*

The fact that supernormal T levels are necessary to achieve the desirable suppression of spermatogenesis has raised concern over the long-term effects of contraceptive treatment on men's health, especially as concerns the cardiovascular system and prostate-associated morbidity.

So far there are no data to directly correlate the administration of androgens with increased cardiovascular events. A recent study involving T administration in cardiovascular compromised patients has been strongly criticized for methodological problems.<sup>39</sup>

Exogenous administration of androgens in young healthy males correlates with weight gain, which mainly concerns fat free body mass,<sup>40</sup> and decreases high-density (HDL) cholesterol. However, a parallel decrease in the concentration of total and low-density (LDL) cholesterol, which is mainly considered as atherogenic, is observed, ameliorating at least theoretically the cardiovascular risk.<sup>41</sup>

Data that correlate high levels of T to the development of prostate malignancy are conflicting.<sup>42</sup> Moreover, existing clinical trials on male contraceptives generally concern young people and are too short in length to allow reliable conclusions. Nevertheless, given the large male population which will be affected and the long-term effects anticipated by the commercial use of a contraceptive method, the dose of administered androgens should be reduced to the minimum effective for achieving contraception.<sup>43</sup> A less severe side effect is the development of acne, whereas sexual function and overall satisfaction seem to slightly improve during androgen administration.<sup>44</sup>

Additional concerns have been raised regarding the reversibility of MHC. However, no single case of permanent infertility as a result of MHC has been reported in any clinical study. In a recent systematic review, which included 30 studies with a total of 1549 men, recovery of spermatogenesis was observed in all participants within a median time of 3-4 months.<sup>45</sup> The longer duration of androgen administration and the use of formulations with sustained action were associated with longer recovery time.

#### ***Combination of androgens with GnRH-analogues***

The administration of GnRH-agonists, after an initial phase of stimulation (flare-up), eventually suppresses gonadotropin secretion by reducing GnRH-receptors on the pituitary gland (down-regulation). On the other hand, in studies in which GnRH-agonists were co-administered with T as a means of MHC, adequate suppression of spermatogenesis could not be achieved, probably because of the lack of full FSH suppression.<sup>46</sup>

Unlike agonists, GnRH-antagonists can cause complete suppression of both LH and FSH, which is achieved within a few hours, avoiding the phenomenon

of flare-up.<sup>46</sup> Thus, co-administration of the GnRH-antagonist Nal-Glu with TE for 12 weeks caused azoospermia in 88% of the men and this favorable effect was maintained for an additional 20 weeks of monotherapy with TE.<sup>47</sup> Accordingly, high efficiency was observed by the addition of daily injections of cetrorelix or abarelix to androgen contraceptive regimens,<sup>48</sup> while the antagonist acylin can suppress gonadotropin secretion for 2 weeks after a single subcutaneous administration.<sup>49</sup> Nevertheless, the requirement for frequent parenteral administration and the high cost of such agents make them impractical as a MCM. The development of non-peptidic antagonists suitable for oral administration<sup>50</sup> or slow-release implants (histrelin) may reignite interest in testing these substances.<sup>51</sup>

### **Androgen - progestin combinations**

Progestins, comprising an integral component of female contraception, have been studied extensively in women. A similar suppression of the reproductive axis by progestins has been observed in men and in combination with androgens they can achieve comparable suppression with androgen monotherapy, using lower doses of androgen. Consequently, numerous studies combining androgens with progestins as means of MHC have been conducted. Progestins other than progesterone are of synthetic origin and are classified into 19-norsteroids, derived from T and 21-progestins or pregnanes derived from progesterone.

*Norethisterone* is a 19-norsteroid that may be administered in the form of enanthate (NETE) as a long-acting injectable formulation and, in conjunction with TU, achieves rates of azoospermia up to 90%.<sup>52,53</sup> A multicentre phase II study testing the contraceptive efficacy of 200 mg NETE/1000 mg TU every 8 weeks was funded by the Agency for Contraception Research and Development (CONRAD) in collaboration with the WHO and initially included 321 couples. However, the trial was prematurely interrupted due to the emergence of serious mood-related adverse events. Analysis of the interim results has not been published yet (<http://conrad.org/contraception-trials.html>).

*Levonorgestrel* (LNG) is a derivative of norethisterone, which when administered in the form of long-acting implants in conjunction with TU may result in azoospermia in approximately 90% of men.<sup>54</sup>

*Desogestrel* is a derivative of LNG, which when administered orally is converted to its active metabolite, *etonogestrel* (ENG). The combination of ENG implants with TU intramuscularly every 10 to 12 weeks versus placebo was studied in a randomized controlled trial (RCT) funded by the pharmaceutical industry and including 354 volunteers.<sup>8</sup> In 90% of men, levels of oligozoospermia  $\leq 1$  million/ml were achieved; however, the study did not proceed to the contraceptive efficacy phase due to withdrawal of commercial interest.

*Medroxyprogesterone acetate* (MPA), a progesterone derivative, has been tested on MHC since the early 1970s.<sup>55</sup> The injectable depot form (DMPA) has been tested in combination with T implants or TU and significant oligozoospermia ( $<1$  million/ml) was achieved in  $>96\%$  of men.<sup>56,57</sup> The main disadvantage was the slow onset of the suppression of spermatogenesis. *Cyproterone acetate* (CPA) is also a progesterone derivative with potent anti-androgenic activity and co-administration of 100 mg CPA with 100 mg TE per week resulted in azoospermia in all 15 volunteers,<sup>58</sup> while small doses of CPA (2 mg/day) were able to sustain the severe oligozoospermia caused by a loading dose of CPA 20 mg/day with 1000 mg TU every 6 weeks.<sup>59</sup> The time required for these results is significantly less than that of TU monotherapy, probably as a result of a direct activity of CPA at the testicular level; however, the co-administration of androgen is mandatory to avoid androgenopenia.<sup>58</sup>

Recently, a transdermal gel-based MHC containing T and *Nestorone*<sup>®</sup> was tested in a RCT resulting in suppression of spermatogenesis to  $<1$  million/ml in 88.5% of the subjects without serious adverse effects.<sup>60</sup> Interestingly, more than 50% of men receiving such therapy were satisfied or extremely satisfied and would use this regimen if it were commercially available.<sup>61</sup> Despite these promising results, a Cochrane systematic review published in 2012 evaluating the effectiveness of the various MHC combination regimens underscored their limitations. Thirty-three RCTs were analyzed and the endpoint was the achievement of azoospermia. The conclusion was that although the addition of progestin generally improved the contraceptive effectiveness of T, none of the studied combinations was considered suitable for clinical use as the included studies lacked the required power to

detect significant differences and had serious methodological problems.<sup>62</sup> The principal trials of T and progestin combinations are summarized in Table 3.

### *Adverse effects*

The co-administration of progestin together with androgens appears to have no adverse effects on body weight and results in HDL-cholesterol concentrations similar to those of androgen monotherapy. The route of progestin administration seems to play an important role, as oral administration is associated with pro-atherogenic action, whereas transdermal administration (implants) is not, probably due to the bypassing of hepatic metabolism.<sup>63</sup> In women, the administration of progestins appears to increase cardiovascular disease endpoints and inflammatory markers (IL-6, C-reactive protein); in men, however, the available evidence is inadequate to draw firm conclusions due to the much lower experience and the small size of clinical studies.<sup>43</sup> The addition of progestin to the regimen does not seem to affect the time required for the recovery of spermatogenesis.<sup>45</sup>

### *Alternative hormonal regimens*

An approach that at least theoretically may retain the contraceptive efficacy of T while neutralizing its effects on the prostate gland is the combination of T with 5 $\alpha$ -reductase inhibitors. However, no improvement in the efficiency of spermatogenesis suppression was observed in clinical studies.<sup>64</sup>

Similarly, Selective Androgen Receptor Modulators (SARMs) are compounds that may reserve the desired androgenic effects without affecting metabolism and the prostate gland. The SARM 7 $\alpha$ -methyl-19-nor-testosterone (MENT) is minimally converted to dihydrotestosterone (DHT) and thus has negligible adverse effects on the prostate.<sup>65</sup> The administration of MENT resulted in azoospermia in 73% of men;<sup>66</sup> unfortunately, these results were of short duration, probably due to the weak binding of MENT with sex-hormone binding globulin (SHBG) and its resultant short half-life in the circulation. Accordingly, the development of non-steroidal SARMs suitable for oral administration is under investigation.<sup>67</sup>

### *Unanswered questions*

#### *Why is MHC not effective in all men?*

The fact that some men do not respond to MHC

is an important obstacle to further commercialization of the various hormonal regimens. The percentage of non-responders in various clinical trials ranges from 5 to 20%. Up to now, there is no safe way to predict which men will not respond,<sup>68</sup> as non-responders present the same pre-therapeutic levels of gonadotropins and T as well as sperm concentrations compared to responders.<sup>69</sup> Furthermore, the higher levels of 5 $\alpha$ -reductase observed in the non-responding males does not appear to be related to this resistance to treatment, since the administration of 5 $\alpha$ -reductase inhibitors did not improve their response.

Recent studies indicate that non-responders presented higher levels of Insulin-Like Growth Factor-3 (IGF-3, a marker of Leydig cell function) during MHC, but a causal relationship could not be established.<sup>70</sup> Additionally, it was shown that obese men with higher fat mass were more likely to be non-responders,<sup>71</sup> a drawback that could possibly be overcome by adjusting the dose of androgens according to weight and body composition. Finally, genetic polymorphisms in the first exon of the androgen receptor, in particular the increased number of iterations of the trinucleotide CAG, are associated with reduced sensitivity to androgens and, therefore, reduced efficacy as MHC.<sup>72</sup>

#### *Why do differences in the effectiveness of the contraceptive treatment exist among different races?*

Notably, in all studies on MHC men of Asian origin show consistently higher response rates than Caucasians.<sup>68</sup> Thus, monotherapy with T may cause azoospermia in more than 90% of Chinese volunteers, whereas the corresponding figures concern only two thirds of Caucasian men.<sup>9</sup> So far, no genetic differences have been found that explain this variation. One possible explanation may be the lower body fat mass characterizing Asians, allowing the same doses of androgens to be more effective.

## **NON-HORMONAL METHODS OF CONTRACEPTION**

The non-hormonal contraception methods comprise the most promising field of research on MCMs, given that they target more specific biological processes than the corresponding MHC and could possibly have fewer adverse effects. Nevertheless, with the exception of

Table 3. Major clinical trials of Testosterone-progestin combinations as MHC

Reference	Ref. no.	Ethnic origin	Androgen dose	Progestin dose	Number of subjects	Severe oligozoospermia <10 <sup>6</sup> /ml (n,%)	Comments
Meriggiola et al	52	Caucasian	TU 1000 mg im/8 weeks	NETE 200 mg im/6 weeks	10	9 (90%)	Co-administration with injectable T
Kamischke et al	53	Caucasian	TU 1000 mg im/6 weeks	NETE 200 mg im/6 weeks	14	13 (93%)	
Gui et al	54	Chinese	TU 500 or 1000 mg im/8 weeks	LNG 4 implants	41	36 (90%)	Decrease of HDL-cholesterol
Turner et al	56	Unknown	T-Pellets 800 mg/16 weeks	DMPA 300 mg im/12 weeks	55	53 (96%)	Efficacy trial
Gu et al	57	Chinese	TU 1000 mg im/8 weeks	DMPA 150 or 300 mg im/8 weeks	30	29 (97%)	Prolonged duration of action
Meriggiola et al	58	Caucasian	TE 100 mg im/week	CPA 50 mg p.o./day	5	5 (100%)	Antiandrogenic activity of CPA
Meriggiola et al	58	Caucasian	TE 100 mg im/week	CPA 100 mg p.o./day	5	5 (100%)	
Meriggiola et al	59	Caucasian	TU 1000 mg im/6 weeks	CPA 20 and 2 mg p.o./day	24	24 (100%)	
Ilani et al	60	Mixed	T gel 10g OID	NES gel 8g or 12g OID	56	50 (88,5%)	Great acceptability
Anderson et al	63	Caucasian	T-Pellets 400 mg/12 weeks	ENG 1 rod (Implanon)	14	11 (79%)	Low metabolic effects
Anderson et al	63	Caucasian	T-Pellets 400 mg/12 weeks	ENG 2 rods (Implanon)	14	13 (93%)	

TU: Testosterone Undecanoate; TE: Testosterone Enanthate; NETE: Norethisterone Enanthate; LNG: Levonorgestrel; DMPA: Medroxyprogesterone depot; CPA: Cyproterone Acetate; NES: Nestorone; ENG: Etonogestrel.

gossypol, all other methods are still in experimental stages, since both toxicity and reversibility data are discouraging. The non-hormonal methods are categorized into those aiming to a) inhibit spermatogenesis and b) disrupt the movement and maturation of sperm in the epididymis.

### ***Inhibition of spermatogenesis***

#### *Chemical/pharmaceutical methods*

Although many chemicals can effectively suppress spermatogenesis, most of them are systematically toxic and often produce irreversible sterility. They act either on somatic or germ cells and, in order to affect the meta-meiotic stages of spermatogenesis, it is necessary that they can pass through the blood-testis barrier.<sup>73</sup>

#### Gossypol - Triptolide

Gossypol and Triptolide are herbal extracts used principally in China. Gossypol is a phenolic compound derived from cottonseeds that acts as an inhibitor for several dehydrogenase enzymes and has proapoptotic properties, affecting both spermatogenesis and sperm motility. Its use as a MCM was suggested by Chinese researchers, who noted that men consuming cottonseed oil in their diet showed unusually high infertility rates. In studies in China in the 1980s, oral administration of gossypol in large scale trials resulted in severe oligozoospermia (<1 million/ml) at 90% of participants.<sup>74</sup> However, in 20% of men this effect was irreversible, whereas in some cases severe hypokalemia occurred, effects that led the WHO to recommend the discontinuation of further investigations on gossypol. Triptolide is derived from the Chinese herb *Trypterigium wilfordii* and acts both by inhibiting spermatogenesis and impairing sperm motility; however, studies have shown that triptolide has immunosuppressive effects, while administration in rodents leads to irreversible infertility.<sup>75</sup> A systematic review of studies with gossypol and triptolide as MCMs concluded that neither of the two substances was either effective or safe.<sup>76</sup>

#### Adjudin - Indenopyridines

Adjudin is a derivative of lonidamine, a nephrotoxic substance that disrupts spermatogenesis without nephrotoxic effects. Adjudin interferes at the connec-

tions of germ cells with the Sertoli cells resulting in the release of immature spermatids into the semen, which lack fertilizing capacity. Oral administration of adjudin in rats resulted in reversible infertility; however, unexpected adverse effects were observed, such as hepatic malaise and muscle atrophy,<sup>77</sup> while low doses led to low bioavailability of adjudin in the testicular milieu. This could be overcome by binding adjudin on a modified FSH molecule that acts as a transporter towards Sertoli cells. Administration of this preparation in rats with a single injection caused infertility 4-6 weeks after the injection, while fertility returned within 5 months, resulting in normal offspring.<sup>77</sup> Indenopyridines are substances found in newer antihistaminics and their contraceptive properties were observed while testing the toxicity of these drugs. Their effect on spermatogenesis seems to involve both Sertoli and germ cells.<sup>78</sup> Experimental administration of indenopyridines causes reversible, severe oligozoospermia in both rats and monkeys,<sup>79</sup> without apparent toxicity. The exact mechanism of their action is unclear.

#### Inhibition of retinoic acid at the testicular level

It has been known since the 1920s that vitamin A is essential for normal sperm production in men.<sup>80</sup> The use of *bis-dichloro-acetyl-diamine* (WIN 18446) was one of the first attempts at pharmaceutical contraception in US men in the 1960s and resulted in severe oligozoospermia (<1 million/ml), which was fully reversible.<sup>81</sup> However, these trials did not result in a commercial product, since concomitant alcohol intake could cause severe spells of hot flashes, nausea and palpitations, a phenomenon known as "disulfiram reaction". The mechanism of action of WIN 18446 was attributed several years later to the inhibition of vitamin A to retinoic acid conversion.<sup>82</sup>

In the 1980s, BMS 189453, a non-selective retinoic acid receptor (RAR) antagonist, which acts on all three known types of RARs (alpha, beta and gamma), was tested on animals as a treatment of inflammatory skin diseases and appeared to cause irreversible infertility and significant hepato- and myelotoxicity.<sup>83</sup> However, when lower doses were used in specific fertility experiments, it was demonstrated that infertility was reversible without significant toxicity.<sup>84</sup> Better results could possibly be achieved with the deployment of

specific inhibitors of the RAR alpha, which is selectively encountered in the testis.

### *Mechanical methods*

#### Thermal method

Warm baths before the sexual act is a method of contraception that was used in China long before its scientific documentation. An increase of testicular temperature impairs spermatogenesis due to increased apoptosis of germ cells. Hence, the position of the testes in the scrotum can maintain a temperature of 3-4°C lower than that of the body core.<sup>85</sup> The daily use of special underwear (suspensories) holding the testis within the inguinal canal for 42 weeks resulted in a very low sperm count (<1.6 million/ml) in all participants, the only pregnancy that occurred being due to poor compliance. Recovery of spermatogenesis to pre-treatment levels was observed within 12 to 18 months, without any case of irreversible infertility.

Other research groups focused on the use of external heat to achieve contraception, particularly in the form of water baths. The background for these studies was set by the anecdotal observations of Dr M. Voegeli in India who, in the 1950s, implemented various combinations of water temperature, duration of immersion and number of iterations to determine the most efficient regimen.<sup>86</sup> A clinical study including immersion of the scrotum in water at 43°C for 30 min every day for six consecutive days resulted in reduction of the concentration and the motility of spermatozoa, though never below 3 million/ml.<sup>87</sup> There have been concerns regarding the outcomes on future offspring, as heat may impair DNA synthesis during spermatogenesis. Few trials in animals have shown no increased incidence of birth defects, while Voegeli stated: "all progeny born to patients after discontinuation of thermal treatment were normal".<sup>88</sup>

#### Ultrasound

Research on the use of ultrasound as a MCM dates back to the 1970s when the group of Fahim applied brief sessions of ultrasound waves on the testes of animals, as well as four volunteers, resulting in substantial reduction of the produced spermatozoa without affecting the levels of circulating T. The tech-

nique and the frequencies used were those applied in the treatment of musculoskeletal injuries,<sup>89</sup> while the effects of ultrasound on spermatogenesis were associated with a provisional increase in the temperature of the testicles. These results were confirmed in subsequent studies where recovery of sperm counts to pre-treatment levels was observed in all cases and the recovery time was proportional to the intensity and duration of ultrasound sessions.<sup>90,91</sup>

### ***Disruption of transport and maturation of spermatozoa in the epididymis***

#### *Pharmaceutical methods*

The contraceptive methods applied at the level of the epididymis target already formed sperm with the aim of either preventing further transfer to the ejaculate or of rendering spermatozoa infertile. Therefore, these methods have the theoretical advantage of rapid onset of action and the equally rapid recovery of fertility after withdrawal, a feature that renders them suitable for use even immediately before intercourse.

#### Disruption of sperm transport in the epididymis

Various agents acting on the autonomous nervous system (e.g. sympathomimetics, sympatholytics, parasympatholytics) result in a rapid induction of azoospermia, which is irreversible at high doses.<sup>92,93</sup> These agents seem to induce a discoordination among the muscle layers of the vas deferens and a subsequent inefficiency of sperm promotion.<sup>94</sup> Use of phenoxybenzamine can cause a gradual decrease of semen volume up to complete aspermia; however, it is accompanied by unpleasant adverse effects, such as dizziness, tachycardia and nasal congestion.<sup>95</sup> Moreover, the long-term use of such agents raised concerns about abnormalities that may be induced in the stored spermatozoa and the production of anti-sperm antibodies, this pointing to the need for intermittent use of these agents only during the fertile days and their interruption during menstruation.<sup>96</sup>

Conversely, accelerated passage of sperm through the epididymis by substances that increase the contractility of the epididymal walls is associated with poor fertilizing capacity, regardless of their number in the ejaculate (functional sterilization), as a result of reduced interaction with the beneficial epididy-

mal micro-environment. Such agents as peptidergic agonists (e.g. vasopressin, oxytocin, endothelin, angiotensin) or P2a-purineric receptor antagonists ( $\alpha$ - $\beta$ -methylene-ATP) tested in animals result rapidly in reversible infertility.<sup>97</sup> Of concern is the fact that without a proportional reduction in the number of sperm in the ejaculate it is feasible that immature spermatozoa might approach and possibly fertilize the ovum with unknown consequences to the offspring.<sup>98</sup>

#### Disruption of the composition of the epididymal micro-environment

The lumen of the epididymis comprises a unique micro-environment characterized by a relatively high osmolarity and acidic pH compared to the serum due to its particular content in electrolytes and small molecule compounds. This micro-environment exerts a significant effect on sperm fertilizing capacity, as shown by the higher fertilization rates achieved in MESA-ICSI by spermatozoa retrieved by distal regions of the epididymis.<sup>99</sup>

Reduction of the concentration of small molecule compounds such as carnitine in the epididymal fluid (EF) or administration of substances that selectively interfere with the secretory function of the epididymal epithelium such as  $\alpha$ -chlorohydrin and 6-chloro-6-deoxyglucose<sup>100</sup> decreases the EF osmolarity, causing intraluminal swelling of the sperm. However, experimental lowering of the levels of carnitine in the blood and the epididymis of rodents by administering pivalic acid did not result in infertility.<sup>101</sup> Similarly, the acidic pH of the EF appears to be important for the preservation of spermatozoa at rest within the epididymis<sup>102</sup> and is maintained by the collaboration of an intracytoplasmic carbonic anhydrase and a (H<sup>+</sup>)-ATP-pump. However, administration of the carbonic anhydrase inhibitor acetazolamide to mammals does not seem to affect the intraluminal pH of the epididymis.<sup>103</sup>

#### Incapacitation of spermatozoa stored within the epididymis

One way to incapacitate sperm is the irreversible inhibition of enzymes involved in their metabolism, which directly impair the mobility of spermatozoa.<sup>104</sup> Such an inhibitor is  $\alpha$ -chlorohydrin; however, its actions are not limited to the sperm, resulting in

systemic toxicity. Alternatively, inhibition of sperm activation (capacitation) that occurs in the epididymis can also impair sperm mobility. Recent studies have focused on a new group of voltage-sensitive calcium channels, called cation-channels of sperm (CatSpers), which allow the entry of calcium into the immature sperm, thereby increasing the frequency and amplitude of tail vibration.<sup>105</sup> Blockage of CatSpers may prevent this hyperactivated motility, as shown by experiments in animals employing CatSper blockers, such as HC-056456<sup>106</sup> or anti CatSper1 immunoglobulin G.<sup>107</sup>

Finally, a promising approach for a MCM is by interfering in the interaction between the sperm and the ovum. Sperm contain the enzyme N-acetyl-beta-D hexosaminidase type B or HEX-B, which cleaves the ZP-glycoproteins of the zona pellucida of the ovum. *In vitro* employment of ZP-glycoprotein analogues to block HEX-B of rodent and bovine semen had 98% efficacy regarding fertilization failure,<sup>108</sup> while *in vivo*, the corresponding efficiency rates were approximately 90%,<sup>109</sup> without overt adverse effects and restoration of fertility one week after discontinuation of treatment.

#### Immunological approaches

The detection of positive anti-sperm antibodies, and particularly of the IgA type, is associated with reduced probability of recovery of fertility after vasectomy.<sup>110</sup> Similarly, the active immunization against sperm has already been widely used for the control of wildlife populations;<sup>111</sup> however, unpredictable individual responses have been observed, and the fact that the reversibility of immunization is theoretically difficult because of the existence of immunological memory has not favored the implementation in humans as yet.<sup>112</sup> Immunization experiments in mice against urokinase-type plasminogen activator, a protease expressed throughout the male reproductive system, and more recently in primates by administering recombinant human eppin (an epididymal protein) resulted in reversible contraception; however, in order to maintain a high antibody titer, booster doses were required every 3 weeks throughout the course of treatment.<sup>113,114</sup> Recently, vaccines targeting gonadotropins or GnRH have been tested in women (phase I studies) and could be applied in men.<sup>115</sup> Immunization of male monkeys against the FSH receptor reduced

their fertility, while the serum hormone levels and testicular histology were not disturbed.<sup>116</sup>

### Mechanical methods

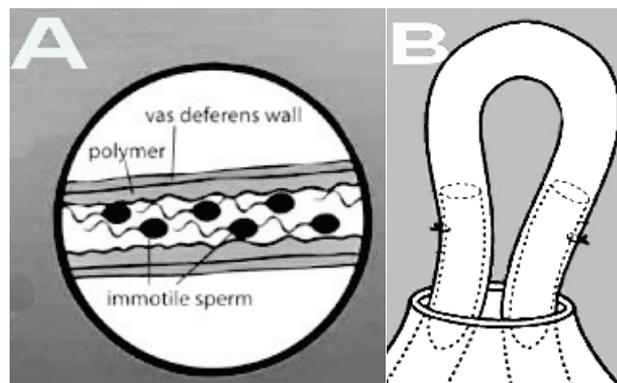
These are methods alternative to vasectomy, which are also aimed at preventing the passage of sperm to the ejaculate with higher and faster reversibility.

### Reversible Inhibition of Sperm Under Guidance (RISUG)

Perhaps this is the most promising of these techniques, as it has already been tested in phase I and II studies in the Indian male population and has been shown to provide effective contraception for up to 10 years after a single application.<sup>117,118</sup> During RISUG, a solution of 60 mg of styrene maleic anhydride (SMA), a crystal clear polymer dissolved in 120  $\mu$ l of dimethyl sulfoxide (DMSO) (1:2), is injected into the vas deferens and induces infertility within 10 days. SMA (Xiran<sup>®</sup>) partially occludes the vas deferens and at the same time develops morphological aberrations of the sperm that manage to pass through (Figure 2A).<sup>119</sup> A single application is readily effective, has few adverse effects and is easily reversible by injecting 200–500  $\mu$ l of DMSO or 5% NaHCO<sub>3</sub> into the vas deferens, causing the extrusion of RISUG from the urethra. Adverse effects include slight testicular swelling without associated pain, which is self-limited within 15 days.<sup>118</sup> In contrast to vasectomy, it does not cause granulomas or an autoimmune response. There are concerns with respect to the potential toxic effects and teratogenicity of the material, which is not included in the hazardous substances database (HSDB). Nevertheless, recent studies of genotoxicity in rodents have demonstrated that RISUG and its reversal are unlikely to produce any DNA damage.<sup>119</sup> An extended phase III clinical trial has been ongoing since 2002 and is expected to elucidate these challenges.<sup>120</sup>

### Intra-vas plugs

Two different types of injectable intraluminal plugs have been tested: medical polyurethane (MPU) and medical silicone resin (MSR) plugs (Figure 2B). The MPU plugs have been tested mainly in China since the early 1980s in large-scale clinical trials, with the largest group including 12,000 men. Almost 98% of men achieved azoospermia;<sup>121</sup> however, 18



**Figure 2.** A. How RISUG works: styrene maleic anhydride polymer is injected into the vas deferens and acts by both inducing the partial occlusion of the vas deferens as well as by developing morphological aberrations of the sperm that manage to pass through. B. Intra-vas plugs.

to 24 months were required to achieve this level of efficiency. The results for the MSR plugs were not particularly promising as azoospermia rates did not exceed 80%;<sup>122</sup> on the other hand, their placement is easier. The complication rate is low, since the placement of the plugs does not require a surgical incision, while plug removal is performed under local anesthesia on an outpatient basis and restores fertility in approximately 85% of men. The reversal of the contraceptive action is slow but steady and may be achieved in 2–4 years.<sup>123</sup> Moreover, it has the theoretical advantage of repeatability, since removing and replacing can be performed without substantial damage. Concerns exist about the potential toxicity of aromatic amines contained in MPU. So far, the experience of many years of use in China has been free of incidents of toxicity.<sup>123</sup>

### FUTURE APPROACHES

Glycosphingolipids are expressed in abundance in the sperm. Mice deficient in enzymes involved in the biosynthesis and metabolism of glycosphingolipids show significantly reduced fertility. However, administration of miglustat, a glycosphingolipid synthesis inhibitor, in healthy men had no effect on the fertilizing capacity of sperm.<sup>124</sup> Recent experiments include the study of the administration of small molecule JQ1, an inhibitor for the specific-testis protein bromo-domain BRDT. BRDT is a protein involved in

the conformation of histones and chromatin folding and occurs in meiotic spermatocytes and spermatids. Administration of JQ1 inhibitor in mice induces reversible infertility. Given the similarity of mouse BRDT to that of humans, this could be a promising MCM.<sup>125</sup> Ultimately, the development of the science of proteomics is setting the stage for the discovery of new targets on spermatozoa, for a more eclectic approach to contraception. The fact that sperm are rich in membrane proteins in comparison to other cells and that protein synthesis is arrested beyond the stage of spermatids facilitates the study of their protein profile.<sup>126</sup>

## CONCLUSIONS

Despite the social need and willingness of men to participate in family planning, no male pharmaceutical contraceptive is available for clinical use so far. Currently, only two male contraceptive methods are available: the male condom and vasectomy, which both present significant limitations. Among contraceptive methods under investigation, MHC are those that have come closest to commercialization. Unfortunately, concerns regarding the impracticality of their use, unpredictable response rates and long-term associated prostate and cardiovascular morbidity have deflected the interest of pharmaceutical industry from further research in this field. Hopefully, the favorable results and increased patient satisfaction reported recently regarding an all-gel testosterone-progestin combination may reignite interest in MHC. On the other hand, non-hormonal contraception methods comprise the most promising field of research into MCM, since at least theoretically, they may be very specific; however, most of them are still in experimental stages or have long been abandoned due to their toxicity. Consequently, until a reliable, safe and practical male contraceptive method is developed, women will still have to bear most of the contraception burden.

## FUNDING

The authors state that there is no conflict of interest.

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