# **RESEARCH ARTICLE**

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# classification using microarray datasets



Russul Alanni , Jingyu Hou, Hasseeb Azzawi and Yong Xiang

### **Abstract**

**Background:** Microarray datasets are an important medical diagnostic tool as they represent the states of a cell at the molecular level. Available microarray datasets for classifying cancer types generally have a fairly small sample size compared to the large number of genes involved. This fact is known as a curse of dimensionality, which is a challenging problem. Gene selection is a promising approach that addresses this problem and plays an important role in the development of efficient cancer classification due to the fact that only a small number of genes are related to the classification problem. Gene selection addresses many problems in microarray datasets such as reducing the number of irrelevant and noisy genes, and selecting the most related genes to improve the classification results.

Methods: An innovative Gene Selection Programming (GSP) method is proposed to select relevant genes for effective and efficient cancer classification. GSP is based on Gene Expression Programming (GEP) method with a new defined population initialization algorithm, a new fitness function definition, and improved mutation and recombination operators. . Support Vector Machine (SVM) with a linear kernel serves as a classifier of the

Results: Experimental results on ten microarray cancer datasets demonstrate that Gene Selection Programming (GSP) is effective and efficient in eliminating irrelevant and redundant genes/features from microarray datasets. The comprehensive evaluations and comparisons with other methods show that GSP gives a better compromise in terms of all three evaluation criteria, i.e., classification accuracy, number of selected genes, and computational cost. The gene set selected by GSP has shown its superior performances in cancer classification compared to those selected by the up-to-date representative gene selection methods.

Conclusion: Gene subset selected by GSP can achieve a higher classification accuracy with less processing time.

**Keywords:** Gene selection, Gene expression programming, Support vector machine, Microarray cancer dataset

# **Background**

The rapid development of microarray technology in the past few years has enabled researchers to analyse thousands of genes simultaneously and obtain biological information for various purposes, especially for cancer classification. However, gene expression data obtained by microarray technology could bring difficulties to classification methods due to the fact that usually the number of genes in a microarray dataset is very big, while the number of samples is small. This fact is known as the curse of dimensionality in data mining [1-4]. Gene selection, which extracts informative and relevant genes, is one of the effective options to overcome the curse of dimensionality in microarray data based cancer classification.

Gene selection is actually a process of identifying a subset of informative genes from the original gene set. This gene subset enables researchers to obtain substantial insight into the genetic nature of the disease and the mechanisms responsible for it. This technique can also decrease the computational costs and improve the cancer classification performance [5, 6].

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Typically, the approaches for gene selection can be classified into three main categories: filter, wrapper and embedded techniques [6, 7]. The filter technique exploits the general characteristics of the gene expressions in the dataset to evaluate each gene individually without considering classification algorithms. The wrapper technique is to add or remove genes to produce several gene subsets and then evaluates these subsets by using the classification algorithms to obtain the best gene subset for solving the classification problem. The embedded technique is between the filter and wrapper techniques in order to take advantage of the merits of both techniques. However, most of the embedded techniques deal with genes one by one [8], which is time consuming especially when the data dimension is large such as the microarray data.

Naturally inspired evolutionary algorithms are more applicable and accurate than wrapper gene selection methods [9, 10] due to their ability in searching for the optimal or near-optimal solutions on large and complex spaces of possible solutions. Evolutionary algorithms also consider multiple attributes (genes) during their search for a solution, instead of considering one attribute at a time

Various evolutionary algorithms [11–19] have been proposed to extract informative and relevant cancer genes and meanwhile reduce the number of noise and irrelevant genes. However, in order to obtain high accuracy results, most of these methods have to select a large number of genes. Chuang et al. [20] proposed the improved binary particle swarm optimization (IBPSO) method which achieved a good accuracy for some datasets but, again, selected a large number of genes. An enhancement of BPSO algorithm was proposed by Mohamad et al. [21] by minimizing the number of selected genes. They obtained good classification accuracies for some datasets, but the number of selected genes is not small enough compared with other studies.

Recently, Moosa et al. [22] proposed a modified Artificial Bee Colony algorithm (mABC). Another study [15] proposed a hybrid method by using Information Gain algorithm to reduce the number of irrelevant genes and using an improved simplified swarm optimization (ISSO) to select the optimal gene subset. These two studies were able to get a good accuracy with small number of selected genes. However, the number of selected genes is still high compared with our method.

In recent years, a new evolutionary algorithm known as Gene Expression Programming (GEP) was initially introduced by Ferreira [23] and widely used in many applications for classification and decision making [24–30]. GEP has three main advantages

- Flexibility, which makes it easy to design an optimal model. In other words, any part of GEP steps can be improved or changed without adding any complexity to the whole process.
- The power of achieving the target that is inspired from the ideas of genotype and phenotype
- Data visualization. It is easy to visualize each step of the GEP and that distinguishes it from many algorithms

These advantages make it easy to use GEP process to create our new gene selection program and simulate the dynamic process of achieving the optimal solution in decision making.

A few studies applied GEP as a feature selection method and obtained some promising results [31, 32] which encourage us to do further research.

GEP algorithm, based on its evolutionary structure, faces some computational problems, when it is applied to complex and high dimensional data such as microarray datasets. Inspired by the above circumstances, to enhance the robustness and stability of microarray data classifiers, we propose a novel gene selection method based on the improvement of GEP. This proposed algorithm is called Gene Selection Programming (GSP). The idea behind this approach is to control the GEP solution process by replacing the random adding, deleting and selection with the systematic gene-ranking based selection. In this paper four innovative operations are presented: attributes/genes selection (initializing the population), mutation operation, recombination operation and a new fitness function. More details of GSP are presented in the Methods section.

In this work, support vector machine (SVM) with a linear kernel serves to evaluate the performance of GSP. For a better reliability we used leave-one-out cross validation (LOOCV). The results were evaluated in terms of three metrics: classification accuracy, number of selected genes and CPU time.

The rest of this paper is organized as follows: The overview of GEP and the proposed gene selection algorithm GSP are presented in the Methods section (section 2). Results section (section 3) provides the experimental results on ten microarray datasets. Discussion section (section 4) presents the statistical analysis and discussion about the experimental results. Finally, Conclusion section (section 5) gives the conclusions and directions of future research.

### Methods

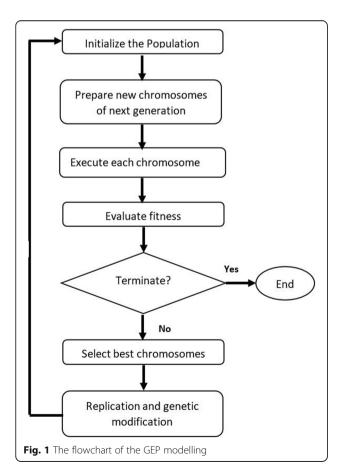
### Gene expression programming

Gene Expression Programming (GEP) algorithm is an evolutionary algorithm. GEP consists of two parts. The first part is characteristic linear chromosomes

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(genotype), which are composed of one or more genes. Each gene consists of a head and a tail. The head may contain functional elements like {O, +, -, x, /} or terminal elements, while the tail contains terminals only. The terminals represent the attributes in the datasets. In this study, sometimes we use the term attribute to represent the gene in microarray dataset to avoid the possible confusion between the gene in microarray datasets and the gene in GEP chromosome. The size of the tail (t) is computed as t = h (n-1) + 1, where h is the head size, and n is the maximum number of parameters required in the function set. The second part of GEP is a phenotype which is a tree structure also known as expression tree (ET). When the representation of each gene in the chromosome is given, the genotype is established. Then the genotype can be converted to the phenotype by using specific languages invented by the GEP author.

GEP process has four main steps: initialize the population by creating the chromosomes (individuals), identify a suitable fitness function to evaluate the best individual, conduct genetic operations to modify the individuals to achieve the optimal solution in the next



generation, and check the stop conditions. GEP flow-chart is shown in Fig. 1.

It is worth mentioning that the GEP algorithm faces some challenging problems, especially the computational efficiency, when it is applied on the complex and high-dimensional data such as a microarray dataset. This motivates us to solve these problems and further improve the performance of the GEP algorithm by improving the evolution process. The details of the proposed gene selection programming (GSP) algorithm, which is based on GEP, for cancer classification are given in the following sub-sections.

### Systematic selection approach to initial GSP population

Initializing population is the first step in our gene selection method for which candidates are constructed from two sets: terminal set (ts) and function set (fs). Terminal set should represent the attributes of the microarray dataset. The question is what attributes should be selected into the terminal set. Selecting all attributes (including the unrelated attributes) will affect the computational efficiency. The best way to reduce the noise from the microarray data is to minimize the number of unrelated genes. There are two commonly used ways to do that: either by identifying a threshold and the genes ranked above a threshold are selected, or by selecting the n-top ranked genes (e.g. top 50 ranked genes). Both ways have disadvantages: defining a threshold suitable for different datasets is very difficult and deciding how many genes should be selected is subjective. To avoid these disadvantages, we use a different technique named systematic selection approach.

The systematic selection approach consists of three steps: rank all the attributes, calculate the weight of each attribute, and select the attributes based on their weight using the Roulette wheel selection method. The details of these steps are shown in the following sub-sections.

### Attribute ranking

We use the Information Gain (IG) algorithm [33] to rank the microarray attributes. IG is a filter method mainly used to rank and find the most relevant genes [15, 34, 35]. The attributes with a higher rank value have more impact on the classification process, while the attributes with a zero rank value are considered irrelevant. The rank values of all attributes are calculated once and saved in the buffer for later use in the program.

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### Weight calculation

The weight (w) of each attribute (i) is calculated based on Eq (1)

$$w_i = \frac{r_i}{sum} \in [0, 1] \tag{1}$$

where  $sum = \sum_{i} r_i \ \forall i \in ts$  and r is the rank value, and  $\sum_{i} w_i = 1$ .

The attributes with a higher weight contain more information about the classification.

### Attribute selection

In our systematic selection approach, we use the Roulette wheel selection method, which is also known as proportionate selection [36], to select the strong attributes (i.e., the attributes with a high weight). With this approach all the attributes are placed on the roulette wheel according to their weight. An attribute with a higher weight has a higher probability to be selected as a terminal element. This approach could reduce the number of irrelevant attributes in the final terminal set. The population is then initialized from this final terminal set (ts) and the function set (fs).

Each chromosome (c) in GSP is encoded with the length of N\*(gene\_length), where N represents the number of genes in each chromosome (c) and the length of a gene (g) is the length of its head (h) plus the length of its tail (t). In order to set the effective chromosome length in GSP, we need to determine the head size as well as the number of genes in each chromosome (details are in the Results section). The process of creating GSP chromosomes is illustrated in Algorithm 1

Algorithm.1: Creating chromosomes

Calculate the weight (w) of each attribute (i) as shown in equation (1).

- 1- Select the terminal set (ts) using the systematic selection approach.
- 2- Define the function set fs=  $\{Q, +, -, /\}$ , where Q is the square root function.
- 3- Randomly select elements from the candidate solution set tf= {ts, fs} to create the head of a gene.
- 4- Randomly select elements from ts to create the tail of a gene.
- 5- Repeat step 3 and 4 until all the required genotypes are created
- 6- Create the phenotypes of all the genotypes.

### Fitness function design

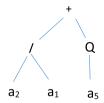
The objective of the gene selection method is to find the smallest subset of genes that can achieve the highest accuracy. To this end, we need to define a suitable fitness function for GEP that has the ability to find the best individuals/chromosomes.

We define the fitness value of an individual chromosome i as follow:

$$f_i = 2r * AC(i) + r * \frac{t - s_i}{t}$$
(2)

This fitness function consists of two parts. The first part is based on the accuracy result AC(i). This accuracy is measured based on the support vector machine (SVM) classifier using LOOCV.

For example, if chromosome i is  $+/Qa_2a_1a_5a_6a_3$ , its expression tree (ET) is



Then, the input values for the SVM classifier are the attributes  $a_2$ ,  $a_1$  and  $a_5$ .

The second part of the fitness function is based on the number of the selected attributes  $s_i$  in the individual chromosome and the total number t of attributes in the dataset. Parameter r is a random value within the range (0.1, 1) giving an importance to the accuracy with respect to the number of attributes. Since the accuracy value is more important than the number of selected attributes in measuring the fitness of a chromosome, we multiply the accuracy by 2r.

### Improved genetic operations

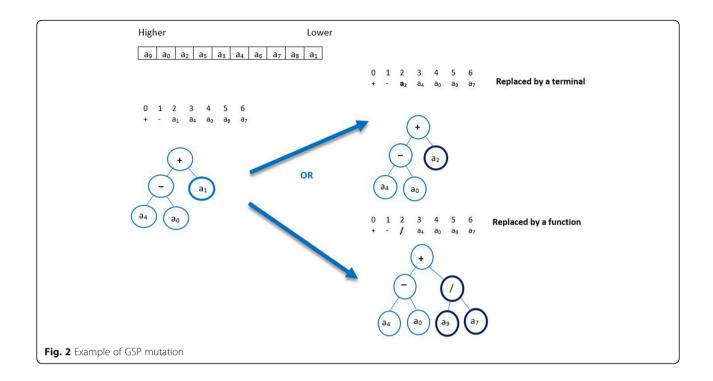
The purpose of the genetic operations is to improve the individual chromosomes towards the optimal solution. In this work, we improve two genetic operations as shown below.

### Mutation

Mutation is the most important genetic operator. It makes a small change to the genomes by replacing an element with another. The accumulation of several changes can create a significant variation. The random mutation may result in the loss of the important attributes, which may reduce the accuracy and increase the processing time.

The critical question of mutation is which attributes are to be added or deleted. Ideally, each deleted terminal/function in the mutation operation should be covered by some other selected terminals/functions. This requirement can be fulfilled by using our method. To clarify the GSP mutation operation, we provide a simple example in Fig. 2.

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In the example, the chromosome c has one gene. The head size is 3, so the tail length is h (n-1) + 1=4 and the chromosome length is (3+4) = 7. The weight table shows that the attribute with the highest weight in the chromosome is  $a_9$  and the attribute with the lowest weight is  $a_1$ . With the mutation GSP method selects the weakest terminal lt (the terminal with lowest weight) which is  $a_1$  in our example. There are two options to replace  $a_1$ : the program could select either a function such as (/) or a terminal to replace it. In the latter option, the terminal should have a weight higher than that of  $a_1$ , and the fitness value of the new chromosome c must be higher than the original one. This new mutation operation is outlined in Algorithm 2.

Algorithm.2: Mutation operation for GSP

- 1- Randomly select an individual chromosome c
- 2- Find the lowest terminal (lt) in the chromosome c
- 3- Replaced lt with an element (e) from the candidate solution set to generate a new chromosome c', i.e.,
  - a. If e is a terminal AND  $w_e > w_{lt}$ , then replaced lt with e. Here  $w_e$  and  $w_{lt}$  are the weights of terminal e and lt respectively.
  - b. If e is a function, then replaced lt with e.
- 4- If the fitness value of the new chromosome c' is higher than that of the chromosome c, then replace c with c' and repeat step 2 and 3; otherwise return the chromosome c.

### Recombination

The second operation that we use in our gene selection method is the recombination operation. In recombination, two parent chromosomes are randomly chosen to exchange some material (short sequence) between them. The short sequence can be one or more elements in a gene (see Fig. 3). The two parent chromosomes could also exchange an entire gene in one chromosome with another gene in another chromosome.

In this work, we improve the gene recombination by controlling the exchanging process. Suppose c<sub>1</sub> and c<sub>2</sub> are two chromosomes (see Fig. 4). The fitness value of  $c_1 = 80\%$  and the fitness value of  $c_2 = 70\%$  based on our fitness function (2). We select the "strong" gene (the one with the highest weight summation) from the chromosome that has the lowest fitness value (lc) and exchange it with the "weak" gene (the one with the lowest weight summation) from another chromosome that has the highest fitness value (hc). In general, this process increases the fitness of hc. We repeat the exchange process until we get a new chromosome (hc') with a higher fitness value than that of both parent chromosomes. The hc' has a higher probability of being a transcription in the next generation. This idea comes from the gene structure [37].

Based on the above innovative improvements for the GSP method in this section, we present the steps of GSP in Algorithm 3 with pseudocode. Alanni et al. BMC Medical Genomics (2019) 12:10 Page 6 of 12

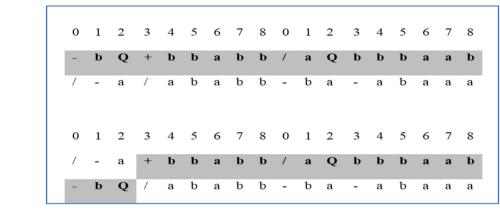


Fig. 3 Recombination of 3 elements in gene 1 (from position 0 to 2)

### **Algorithm.3: Gene Selection Programming Process**

Input: Microarray data set, the initial number of genes in GSP chromosome (g), the head size (h), and population size

#### Begin:

- 1- Calculate the weights for all attributes by using equation (1).
- 2- Use the systematic selection to select the terminal elements.
- 3- While (the accuracy<100% or the number of generations <2000) do {
- 4- Create all the genotypes (GEP liner chromosomes)
- 5- Create the phenotypes (GEP trees)
- 6- Create the generation using Algorithm 1
- 7- Find the fitness for each chromosome (c) in the generation
- 8- Select the best chromosome  $c_b$  (the one that has the highest fitness value), and keep it for the next generation.
- 9- Apply the GSP genetic operations on the current population to get c
  - a. Apply GSP Mutation.
  - b. Apply GSP Recombination.

10-If fitness of  $c \le$  fitness of c'; replace c with c': else return 9

11-Select the best 50% individuals (chromosomes) to generate the next generation

12- } End While

13-Return: Accuracy and the number of genes (attributes) from the best individual (For example, if +-Qa<sub>3a5a1</sub> is the best individual then the number of selected genes is 3).

### Results

In this section, we evaluate the performance of GSP method using ten microarray cancer datasets, which were downloaded from <a href="http://www.gems-system.org">http://www.gems-system.org</a>. Table 1 presents the details of the experimental datasets in terms of diverse samples, attributes and classes.

Our experimental results contain three parts. Part 1 (Ev.1) evaluated the best setting for GSP based on the number of genes (g) in each chromosome and the head size (h). Part 2 (Ev.2) evaluated the GSP performance in terms of three metrics: classification accuracy, number

of selected genes and CPU Time. To guarantee the impartial classification results and avoid generating bias results, this study adopted cross validation method LOOCV to reduce the bias in evaluating their performance over each dataset. Our gene selection results were compared with three gene selection methods using the same classification model for the sake of fair competition. Part 3 (Ev.3) evaluated the overall GSP performance by comparing it with other up-to-date models.

### Ev.1 the best setting for gene and head

To set the best values for the number of genes (g) of each chromosome and the size of the gene head (h) in the GSP method, we evaluated nine different settings to show their effect on the GSP performance results. For g we selected three values 1, 2 and 3, and for each g value we selected three h values: 10, 15 and 20. We increased the values of h by 5 to make it clear to observe the effect of h values on the GSP performance, especially when the effect of increasing h is very slight. For more reliability, we used three different datasets (11\_Tumors, Leukaemia 1, Prostate Tumor). The parameters used in GSP are listed in Table 2.

The average results across the three experimental datasets are presented in Table 3.  $AC_{avg}$ ,  $N_{avg}$  and  $T_{avg}$  represent the average accuracy, number of selected attributes and CPU time respectively for ten runs, while  $AC_{std}$ , ,  $N_{std}$  and  $T_{std}$  represent the standard deviation for the classification accuracy, number of selected attributes and CPU time respectively.

Figure 5 shows the evaluation values in terms of  $AC_{avg}$ ,  $T_{avg}$  and  $N_{avg}$  for three different numbers of genes in each chromosome.

It is observed from the results in Table 3 that:

 Comparing g with h: g has a stronger effect on the results than h. Alanni et al. BMC Medical Genomics (2019) 12:10 Page 7 of 12

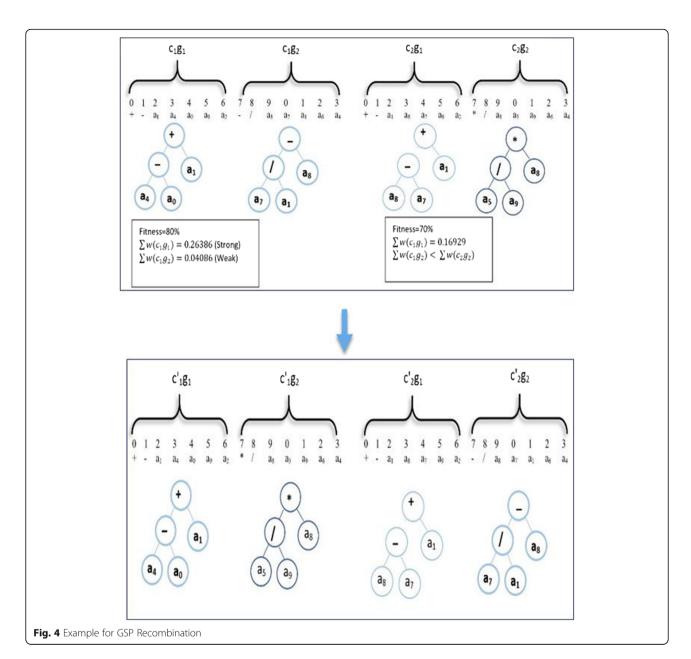


 Table 1 Description of the experimental datasets

No.	Dataset	Samples	Attributes	Classes	Reference
1	11_Tumors	174	12533	11	[38]
2	9_Tumors	60	5726	9	[39]
3	Brain_Tumor1	90	5920	5	[40]
4	Brain_Tumor2	50	10367	4	[41]
5	Leukemia 1	72	5327	3	[42]
6	Leukemia 2	72	11225	3	[43]
7	Lung_Cancer	203	12600	5	[44]
8	SRBCT	82	2308	4	[45]
9	Prostate_Tumor	102	10509	2	[46]
10	DLBCL	77	5469	2	[47]

**Table 2** Parameters used in GSP

Parameter	Setting
Function set	+, -, ÷,Q
Terminal set	Selected informative genes from the microarray dataset using systematic selection.
Number of chromosomes	200
Maximum Number of generations	2000
Genetic operators	
Mutation	0.044
Recombination	0.3

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**Table 3** The results of different setting for g and h. Bold font indicates the best results

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g	h	$AC_{avg}$	$AC_{std}$	N <sub>avg</sub>	N <sub>std</sub>	T <sub>avg</sub>	$T_{std}$		
1	10	87.587	3.423	5.567	2.16	151.1202	0.00594		
	15	94.787	2.757	10.067	1.977	154.1243	0.00334		
	20	96.317	2.147	11	1.6	157.7917	0.00277		
	average	92.897	2.776	8.878	1.912	154.3454	0.004016		
2	10	97.453	1.033	11.633	1.637	266.7896	0.00162		
	15	99.543	0.183	13.267	0.973	275.1234	0.00146		
	20	99.543	0.183	13.633	0.987	280.1246	0.00149		
	average	98.847	0.467	12.844	1.199	274.0125	0.001522		
3	10	98.397	0.853	13.133	0.9737	381.0373	0.00445		
	15	99.21	0.19	13.3	0.973	382.3714	0.00143		
	20	99.21	0.177	13.3	0.973	388.7084	0.00133		
	average	98.939	0.407	13.244	0.973	384.039	0.002404		

- 2- Regarding g results: when g was increased,  $AC_{avg}$   $T_{avg}$  and  $N_{avg}$  were increased as well (positive relationships). The results of  $AC_{std}$ ,  $T_{std}$  and  $N_{std}$  were decreased when g was increased (negative relationships). The results became stable when the g value was greater than 2.
- 3- Regarding h results: h has positive relationships with  $AC_{avg}$   $T_{avg}$  and  $N_{avg}$  and negative relationships

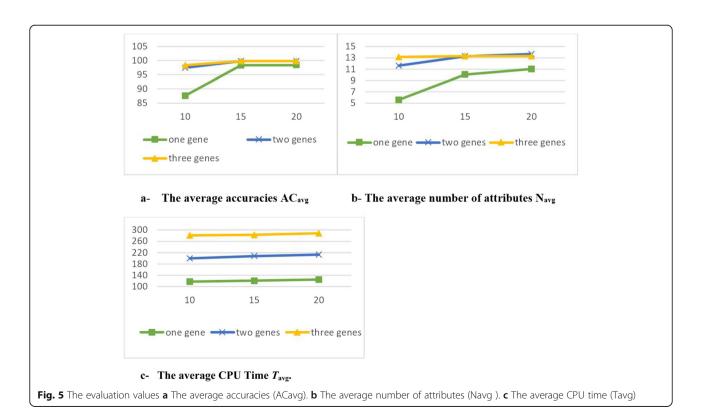
- with  $AC_{std}$ ,  $T_{std}$  and  $N_{std}$ . The results became stable when the h value was over 15.
- 4- Increasing h values would increase the complexity of the model while the *AC* and *N* results would not show a notable enhancement.
- 5- The best setting for g and h was 2 and 15 respectively.

# Ev.2: Comparison of the GSP performance with representative gene selection algorithms

In order to evaluate the performance of our GSP algorithm objectively, we first evaluated its performance in terms of three evaluation criteria: classification accuracy (*AC*), number of selected attributes (*N*) and CPU Time (*T*). Then we compared the results with three popular gene selection algorithms named Particle Swarm Optimization (PSO) [48], GEP and GA [49] using the same model for the sake of a fair comparison. The parameters of the comparison methods are listed in Table 4.

The Information Gain algorithm was used in order to filter irrelevant and noisy genes and reduce the computational load for the gene selection and classification methods.

The support vector machine (SVM) with a linear kernel served as a classifier of these gene selection methods. In order to avoid selection bias, the LOOCV was used. Weka



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**Table 4** Parameter setting of the competitors

GA		GEP		PSO		
Parameters Values		Parameters	Values	Parameters	Values	
#chromosomes	200	#chromosomes	200	# particles	200	
# generations	2000	# generations	2000	# iterations	2000	
Crossover rate	0.8	Crossover rate	8.0	Weight (w)	0.8	
mutation rate	0.1	mutation rate	0.1	Accelerations c1 and c2	2	

software was used to implement the PSO and GA models with default settings, while the GEP model was implemented by using java package GEP4J [50]. Table 5 shows the comparison results of GSP with three gene selection algorithms across ten selected datasets.

The experimental results showed that the GSP algorithm achieved the highest average accuracy result (99.92%) across the ten experimental datasets, while the average accuracies of other models were 97.643%, 97.886% and 94.904% for GEP, PSO and GA respectively.

The standard deviation results showed that GSP had the smallest value (0.342671), while the average standard deviations were3.425399, 3.3534102 and 5.24038421 for GEP, PSO and GA respectively. This means the GSP algorithm made the classification performance more accurate and stable.

The GSP algorithm achieved the smallest number of predictive/relevant genes (8.16), while the average number of predictive genes was 13.8, 16.14 and 473.5 for GEP, PSO and GA respectively.

These evaluation results show that GSP is a promising approach for solving gene selection and cancer classification problems.

**Table 5** Comparison of GSP with three gene selection algorithms on ten selected datasets. Bold font indicates the best results

Statistics	PSO	GA	GEP	GSP
AC <sub>avg.</sub>	96.84	93.14	96.63	99.92
AC std.	3.74	4.78	3.42	0.06
SN <sub>avg</sub> .	96.49	92.28	96.74	99.93
${\sf SN}_{\sf std.}$	4.05	6.9	3.65	0.08
SP <sub>avg</sub> .	95.98	93	95.23	99.93
SP <sub>std.</sub>	5.31	6.03	5.71	0.09
AUC <sub>avg.</sub>	0.96	0.9	0.96	1
$AUC_{std.}$	0.05	0.08	0.05	0
T <sub>avg</sub>	121	119	235	126
T <sub>std</sub>	30	27	28	38
N <sub>max</sub> .	18.7	549.9	15.7	7.55
N <sub>min</sub> .	14.3	462.6	11.5	6.1
N <sub>avg</sub> .	16.14	473.5	13.8	8.16
N <sub>std</sub> .	10.32	619.44	5.16	4.86

CPU Time results showed that GSP took almost half of the time that GEP needed to achieve the best solution. However, the time is still long compared with the PSO and GA methods.

# Ev.3: Comparison of GSP with up-to-date classification models

For more evaluations, we compared our GSP model with up-to-date classification models IBPSO, SVM [14], IG-GA [35], IG-ISSO [15], EPSO [21] and mABC [22]. This comparison was based on the classification result and the number of genes regardless of the methods of data processing and classification. The comparison results on ten datasets are presented in Table 6.

It can be seen from Table 6 that GSP performed better than its competitors on seven datasets (11\_Tumors, 9\_Tumors, Lung\_ Cancer, Leukemia1, Leukemia2, SRBCT, and DLBCL), while mABC had better results on three data sets (Brain\_Tumor1, Brain\_Tumor2, and Prostate).

Interestingly, all runs of GSP achieved 100% LOOCV accuracy with less than 5 selected genes on the Lung\_Cancer, Leukemia1, Leukemia2, SRBCT, and DLBCL datasets. Moreover, over 98% classification accuracies were obtained on other datasets. These results indicate that GSP has a high potential to achieve the ideal solution with less number of genes, and the selected genes are the most relevant ones.

Regarding the standard deviations in Table 6, results that produced by GSP were almost consistent on all datasets. The differences of the accuracy results and the number of genes in each run were very small. For GSP, the highest  $AC_{\rm std}$  was 0.52 while the highest  $N_{\rm std}$  was 1.5. This means that GSP has a stable process to select and produce a near-optimal gene subset from a high dimensional dataset (gene expression data).

### **Discussion**

We applied GSP method on ten microarray datasets. The results of GSP performance evaluations show that GSP can generate a subset of genes with a very small number of related genes for cancer classification on each dataset. Across the ten experimental datasets, the maximum number of selected genes is 17 with the accuracy not less than 98.88%.

The performance results of GSP and other comparative models (see Table 6) on Prostate and Brain tumor datasets were not as good as the results on other datasets. This is probably due to the fact that these models concentrated on reducing the number of irrelevant genes, but ignored other issues such as the missing values and redundancy. More effort needs to be made

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Table 6 Comparison of the gene selection algorithms on ten selected datasets. Bold font indicates the best results

		IBPSO	IG-GA	IG-ISSO	EPSO	mABC	SVM	GSP
11_Tumors	AC <sub>avg.</sub>	95.06	92.53	95.92	95.4	99.5	89.08	99.88
	AC <sub>std.</sub>	0.3		1.31	0.61	0		0.01
	N <sub>avg.</sub>	240.9	479	19.8	237.7	47.27	12533	17.9
	N <sub>std.</sub>	9.55		2.57	9.66	7.79		1.2
9_Tumors	AC avg.	75.5	85	91.67	75	98.65	53.33	98.88
	AC <sub>std.</sub>	1.58		2.48	1.11	0.01		0.02
	N <sub>avg.</sub>	240	52	15.7	247.1	34.73	5726	13.8
	N <sub>std.</sub>	7.95		2.2136	9.65	5.54		1.02
Brain_Tumor1	AC <sub>avg.</sub>	92.56	93.33	98	92.11	100	90	99.8
	AC <sub>std.</sub>	0.54		0.88	0.82	0		0.31
	N <sub>avg.</sub>	11.2	244	10.1	7.5	16.87	5920	9.2
	N <sub>std.</sub>	7.15		1.73	2.51	2.85		1.5
Brain_Tumor2	AC <sub>avg.</sub>	91	88	99.8	92.4	100	80	99.9
	AC <sub>std.</sub>	0.05		0.63	1.27	0		0.1
	N <sub>avg.</sub>	6.4	489	10.4	6	10.52	10367	9.8
	N <sub>std</sub> .	1.9		1.08	1.83	1.72		0.4
Lung_ Cancer	AC <sub>avg.</sub>	95.86	95.57	99.41	95.67	100	95.07	100
	AC <sub>std.</sub>	0.53		0.45	8.3	0		0
	N avg.	14.9	2101	10.4	8.5	23.31	12600	8.3
	N <sub>std</sub> .	10.57		1.08	2.11	5.14		0.82
Leukemia1	AC <sub>avg.</sub>	100	100	100	100	100	100	100
	AC std.	0		0	0	0		0
	N <sub>avg.</sub>	3.5	82	4.6	3.2	5.67	7129	2.9
	N <sub>std</sub> .	0.71		0.52	0.63	0.73		0.73
Leukemia2	AC avg.	100	98.61	100	100	100	97.22	100
	AC <sub>std.</sub>	0		0	0	0		0
	N <sub>avg.</sub>	6.7	782	4.2	6.8	6.29	11225	4.1
	N <sub>std.</sub>	1.5		0.42	2.2	0.98		0.73
SRBCT	AC <sub>avg.</sub>	100	100	100	99.64	100	98.41	100
	AC std.	0		0	0.58	0		0
	N avg.	17.5	56	4.3	14.9	5.59	2308	4
	N <sub>std.</sub>	8.32		0.48	13.03	0.51		0.67
Prostate	AC <sub>avg.</sub>	97.94	96	98.82	97	100	93.4	99.87
	AC <sub>std.</sub>	0.31		0.41	0.62	0		0.52
	N avg.	13.6	343	8.4	6.6	10.73	10509	8.2
	N $_{\rm std.}$	7.68		1.78	2.17	3.15		0.79
DLBCL	AC avg.	100	100	100	100	100	97.42	100
	AC <sub>std.</sub>	0		0	0	0		0
	N avg.	6	107	3.9	4.7	4.05	5469	3.5
	N <sub>std.</sub>	1.25		0.32	0.82	0.78	Ftable	0.5

on microarray data processing before applying the GSP model to achieve better results.

The GSP method on datasets 11\_Tumors and 9\_Tumors achieved relatively lower accuracy results (99.88%

and 98.88% respectively) compared with the accuracy results on other datasets. The reason was due to the high number of classes (11 and 9 respectively) which could be a problem to any classification models.

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We noticed from GSP performance that when the accuracy increased the number of selected genes and the processing time decreased (negative relationship). This proves that GSP is effective and efficient for gene selection method.

### **Conclusions**

In this study, we have proposed an innovative gene selection algorithm (GSP). This algorithm can not only provide a smaller subset of relevant genes for cancer classification but also achieve higher classification accuracies in most cases with shorter processing time compared with GEP. The comparisons with the representative state-of-art models on ten microarray datasets show the outperformance of GSP in terms of classification accuracy and the number of selected genes. However, the processing time of GSP is still longer than that of PSO and GA models. Our future research direction is to reduce the processing time of GSP while still keeping the effectiveness of the method.

#### **Abbreviations**

AC: Accuracy; AC<sub>avg</sub>: The average value of accuracy; BPSO: Binary Particle Swarm Optimization; c: Chromosome; ET: Expression Tree; fs: Function set; GA: Genetic Algorithm; GEP: Gene Expression Programming; GSP: Gene Selection Programming; h: Head; IBPSO: Improved Binary Particle Swarm Optimization; ISSO: Improved Simplified Swarm Optimization; LOOCV: Leaveone-out cross validation; mABC: Modified Artificial Bee Colony algorithm; N: Number of genes in each chromosome; Navg: The average number of selected attributes; PSO: Particle Swarm Optimization; r: Rank value; SVM: Support Vector Machine; t: Tail; Tavg: The average value of CPU time; TS: Tabu Search; Ts: Terminal set; w: Weight

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### Availability of data and materials

All the datasets were downloaded from http://www.gems-system.org.

### Authors' contributions

RA designed the study, wrote the code and drafted the manuscript, JH designed the model and the experiments and revised the manuscript. HA and YX participated in the model design and coordination, and helped to draft the manuscript. All authors read and approved the final manuscript.

### Ethics approval and consent to participate

Not applicable

### Consent for publication

Not applicable

### Competing interests

The authors declare that they have no competing interests.

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