

# 1

## The Definitions, Uses, and Implications of Biosecurity

*Brian Rappert*

### Hope and fear

Biosecurity is a term with a rising currency. New streams of funding, national and international conferences, and policy initiatives are being launched to enhance the state of it. For instance, when the outline for this volume was initially formulated in early 2008, the editors benefited from attending three relevant major international conferences – meetings that indicated the intensifying but simultaneously disputed importance of this notion.

In February, the National Centre for Biosecurity at the Australian National University in partnership with the University of Sydney hosted a symposium titled ‘Biosecurity Challenges facing Australia and its Region’.<sup>1</sup> Billed as the first meeting of its kind in Australia, it brought together under a common banner life scientists, government officials, social researchers, and others concerned about topics as diverse as the physical security of research laboratories, public and media reactions to outbreaks of disease, the potential for the deliberate spread of disease through biological weapons, the transmission of outbreaks within live-stock rearing and slaughter, and techniques for the diagnosis of pathogens. The title for the event expressed the international composition of its delegates, as participants derived from more than a dozen nations in the Asia-Pacific region. Convening a symposium incorporating many hitherto individuals provided a basis for building a national network of those working under a shared label. For some the symposium was also a way of trying to influence outside audiences. Certain speakers used the opportunity provided to make the case for additional government funding and heightened recognition of particular areas (for instance, the convergence of nanotechnology and biosecurity).

And yet, while the symposium proved an occasion for fostering networks and advancing priorities, major differences in the basic framing of the issues at stake were also evident. These did not just pertain to the multiple notions of what should be included under the umbrella term of 'biosecurity'. Instead, they extended to whether it represented an unease or a goal. So, a keynote address 'Biosecurity: Upgrading the Web of Prevention' by Malcolm Dando employed a language of *risks and threats* to characterise the potential for advanced life science research to facilitate the development of bioweapons. In contrast, others spoke about the development of new diagnostics, sensors and surveillance procedures as means of *achieving* a state of security.<sup>2</sup> Such contrasting framings were not just abstract orientations, but unavoidably tied to determinations about what required attention and why.

On 11–12 March 2008, a second event held in Kampala, Uganda likewise exhibited diversity under a common heading. 'Promoting Biosafety and Biosecurity within the Life Sciences: An International Workshop in East Africa' was convened by the Uganda National Academy of Sciences. While not the first meeting held in the region primarily concerned with biosecurity, the principle audience for this one was practicing scientists rather than high-level policymakers. As stated in workshop background material, such an engagement was necessary since this group would 'ultimately be responsible for implementing and disseminating oversight procedures' (UNAS 2008: 6). As with the symposium in Australia, this meeting encompassed a wide range of topics. That included, for instance, the proper handling of common hazardous chemicals such as fertilisers. Yet, in the main, for the purpose of this workshop, biosecurity pertained to the implications of work conducted by scientists in laboratories. As contended during the workshop, this was a relatively new framing of a word that until then had been familiar to many participants in relation to controls over genetically modified food crops.

A recurring theme of many of the contributions from African participants was the novelty for practicing bioscientists to consider the security dimensions of their research. While at least the *policy framework* for lab safety was in place in a number of the countries in East Africa, the same did not hold for lab security. However, just what should follow from that existing low status was not a matter of agreement. Ben Steyn (Chemical and Biological Defence Advisor to the South African Surgeon General) for instance, argued that the risk to Africa from the deliberate spread of disease was dwarfed by the endemic diseases already prevalent throughout the continent. As such, the limited resources available should be spent to counter natural diseases rather than (largely hypothetical)

threats associated with biological weapons (Steyn 2008). The further training of scientists to ensure they work safely would provide protection against the illegal diversion of pathogens from the laboratory in a matter proportionate to the human and financial resources at hand. Yet despite such sceptical interventions, much of the tone in the conference supported the suggestion that countries in East Africa and elsewhere should do more. This was particularly so for scientists from low biosafety level laboratories that work with viruses, including the hemorrhagic fevers, that reportedly kept no records of what the labs were working with or who worked with them.

The programmatic themes voiced during 'Promoting Biosafety and Biodiversity within the Life Sciences' were in line with the programmatic organisation behind the workshop. It was arranged through a joint collaboration between the Uganda National Academy of Sciences and the US National Academy of Sciences. Since the 2005 *Statement on Biosecurity* by the Inter-Academy Panel – the umbrella organisation for prestigious national academies of science around the world – a number of individual academies have initiated activities in relation to this subject, notably the one in the United States.

As part of efforts by national academies to bring more attention to biosecurity, a third major conference took place in early 2008. The 'Second International Forum on Biosecurity' was held in Budapest, Hungary between 30 March to 2 April.<sup>3</sup> A joint event between the US National Academies, the Hungarian Academy of Sciences, Inter Academy panel (IAP) and other scientific and medical organisations, this forum brought together high ranking professional representatives, practicing scientists, security analysts, and others. Following on the back of the Uganda workshop and with the inclusion of overlapping participants from Africa, the forum provided an opportunity to consolidate emerging attention to biosecurity in some parts of the world.

The 'Second International Forum on Biosecurity' was part of a wider programme of activities. The initial idea for it and the previous one held in Como, Italy in 2005 stemmed from a 2004 report by the US National Academies titled *Biotechnology Research in an Age of Terrorism* (NRC 2004). That report called for international meetings to ensure oversight measures developed in the US would be harmonised elsewhere.

And yet, while the 2008 forum brought together those that might well be regarded as leading biosecurity experts, many distanced themselves from the term. In one of the three breakout streams that dealt with the promotion of a research 'culture of responsibility', for instance, a

proposal was mooted to establish a high level international working group that could clarify the meaning of the term. Participants acknowledged the confusion resulting from the contrasting definitions given to it throughout the world and even within the very deliberations of the second forum. The proposal for a clarifying committee was roundly rejected by those present though in favour of abandoning the term. In its place, attendees agreed on language that spoke to minimising the national, accidental, and deliberate spread of disease. Thus, a group of experts assembled under the heading of biosecurity concluded that it had enough drawbacks as to best be avoided. In a further twist, despite the many reservations expressed about the use of the term, the final report of the second forum frequently employed the term biosecurity (NRC 2008).

Other major international deliberations were later organised in 2008. This included a regional seminar in Indonesia (Indonesia and Norway 2008), a workshop about education and biosecurity in Italy,<sup>4</sup> a conference on biothreats in Jordan,<sup>5</sup> and (not least) the meeting of states parties to the Biological Weapons Convention. To this list of more policy-orientated conferences could be added many, many more dealing with the funding of research, the development of therapeutics and diagnostics, as well as first line responses to attacks. With each, questions can be asked about how biosecurity was defined and positioned.

### **Three premises underlining biosecurity: Its origins, transformations, and practice**

However multiply conceived and fraught, 'biosecurity' is a topic of increasing prevalence in public policy in many quarters. In trying to understand its place, three premises underline this volume:

#### *1. The meaning of biosecurity derives from its uses, not just the way it gets defined*

As the previous section suggested and the next one elaborates, biosecurity is varyingly defined. As often noted, even at the basic level of wording, it is a source of some confusion. In Spanish and French, for example, the same word is used for both biosecurity and biosafety. This situation frustrates effective communication. As a result, various calls have been made to clarify the meaning of the term by establishing a precise and agreed definition (see Chapters 5 and 6).

And yet, while such points of language are valuable reminders for caution, to reduce the meaning of biosecurity to this or that specific

definition is to discount the ways in which the term is made meaningful. In this regard it is worth remarking that, to date, much of its utility seems to have derived from its plasticity rather than its definiteness.

Moreover, the manner in which biosecurity is raised as a topic should be understood as a form of situated action. The evoking of 'biosecurity' can be part of bringing together previously disparate activities, assembling shared agendas for the future, empowering certain individuals and groups as vital experts, and advancing multiple organisational goals. Even the discussion of definitions can have this social action dimension, rather than simply being about clarity and precision. Take the previously mentioned proposal made during the 'Second International Forum on Biosecurity' to set up a high level definition working group. In a later discussion within this forum, it was proposed to the author that this suggestion was motivated as much by the desire to ensure those new to discussions (particularly those outside the West) had a forum for having their concerns heard as much as it was by the expected prospect for avoiding confusion by agreeing to word usage. Thus, in considering place of biosecurity today it is worth bearing in mind a classical sociological distinction between substantive (what something is) and functional (what something does) definitions of concepts.

## *2. Biosecurity is contestable because security is contestable*

What should count as 'security' can be a matter of considerable disagreement. Security for who, security from what, and security defined by whom, are only some of the many points of contention. Is security a sense of well-being, an avoidance of risks and threats, a way of life, or the assurance that precautions have been taken to reduce the risk of harm? For whatever notion of security is used, how should it be prioritised against other goods? Is it something to be traded off against other political goals (such as liberty) or a fundamental prerequisite for achieving those goals?

As with other aspects of security then, the meaning of biosecurity should be approached as a matter of potential disagreement. Just what should be done in response, say, to high consequence but low probability events – such as mass deaths from the deliberate spread of a contagious agent – is a matter where contrasting appraisals are likely. If social fear of such attacks is considered disproportionate to likely threats, then should this be dismissed as irrational or should the prevalence of fear be treated as serious because it undermines a sense of well-being? Likewise, how much and in what way a country in East

Africa with limited resources for even basic healthcare and various endemic disease should concern itself with threats from bioweapons is the very stuff of politics. So too is the manner in which officials are enrolled into agendas through becoming made to feel uneasy with the *status quo*. Thus, within this volume, the negotiated emergence of 'biosecurity' offers the opportunity to chart the early formation and contestation of an identified challenge.

### *3. Current discussions would benefit from understanding rather than seeking to resolve differences*

The '-security' portion of biosecurity is not the only contested element. Across the globe the place of the 'bio-' has been a matter of keen discussion. The conduct of research, the value of genetic manipulation, and the proper priorities for healthcare are just some of the many topics in such conversations. So as of 2008, while the language of biosecurity is now widespread, just what that interest does and should mean for practice is hardly straightforward. The elasticity of the term makes it useful in bringing together varied agendas, but it also can result in confusion.

This collection takes the varying definitions both within and between countries as its starting point for analysis. This is done, for instance, in contrast to working towards a single notion of what should properly be called biosecurity. No notionally unifying definition will be offered in this introduction for sifting the wheat from the chaff. As an intervention into current deliberations, this book seeks to sensitise, map, and index how the concerns associated with biosecurity are varyingly defined, their historical origins, and the implications for particular policy discussions today. The intent is to place future discussions on a more solid footing by flagging a range of issues at stake in what gets said.

In order to do this, the contributors come from varied national contexts and institutional backgrounds. With regard to the former, the authors are located in eight countries. This volume includes those from universities, research institutes, government ministries, professional science associations, and intergovernmental agencies. The wide range of national contexts and institutional affiliations are meant to convey a range of different experiences.

## **Bounds, framings and linkages**

By way of prefacing the detailed analyses that appear in subsequent chapters, this section expands on the points previously raised regard-

ing the alternative characterisations of biosecurity prevalent today. The goal though is not simply to convey a sense of diversity. Instead, the alternative framings provide the basis for asking wider questions about the governance of science and technology. This includes issues such as the regulation of research, the politics of hope and fear, and the relation between science and society.

Before doing so, it is worth making a few points about the bounds of this volume. Although the contributions to *Biosecurity* seek to convey a sense of difference, not everything labelled biosecurity today is equally addressed. In the past, this term was probably most frequently referred to measures designed to keep livestock and crops free from disease; largely transmitted from other livestock or crops. This sort of thinking, for instance, informed one of the keynote addresses at the 'Biosecurity Challenges facing Australia and its Region' symposium. Under the title 'The Social and Spiritual Dimensions of Biosecurity: The Collective Survival of Mankind', Dr. Suwit Wibulpolprasert spoke to wide ranging negative economic and social repercussions of recent attempts to prevent the spread of avian flu within duck and bird populations in southeast Asia.

More recently though, biosecurity has taken on additional dimensions aligned with national security agendas. Those security dimensions associated with the deliberate spread of disease provide the shared concern for the chapters in this volume. While attention to the inadvertent and so-called natural spread of disease also informs the chapters, biosecurity is addressed principally through attention to its intentional spread. In this sense, the bulk of this volume is in line with an Organisation for Economic Cooperation and Development (OECD) definition of biosecurity as measures to 'protect against the malicious use of pathogens, parts of them, or their toxins in direct or indirect acts against humans, livestock or crops'.<sup>6</sup>

### **Biosecurity: In the lab**

Much of the concern about malicious use has related to the diversions of laboratory materials from legitimate facilities. The 2006 World Health Organisation (WHO) report *Laboratory Biosecurity Guidance* worked with this meaning. Biosecurity was said to pertain to 'reducing the risk of unauthorized access, loss, theft, misuse, diversion or intentional release of [valuable biological materials] to tolerable, acceptable levels' (WHO 2006: 11). The range of measures noted for enhancing biosecurity included: limiting access to certain materials, keeping records (for instance, about inventories), enacting approval procedures for those

working with materials, undertaking biorisk assessments, disposing of materials, reporting security breaches, and fostering a positive culture of responsibility. Salerno and Gaudioso's (2007) *Laboratory Biosecurity Handbook* offers a detailed risk assessment guide for lab workers and managers.

This interpretation of the term biosecurity is perhaps most easily made sense of by contrasting it with more long-standing preoccupations about biosafety. If, in simple terms, biosecurity is about keeping biological agents safe from dangerous people, then biosafety is about keeping people safe from dangerous biological agents (see Chapter 6). WHO has defined laboratory biosafety as 'reducing the risk of unintentional exposure to pathogens and toxins or their accidental release' (WHO 2006: 11). In its *Laboratory Biosafety Manual*, it set out a four category tier classification for necessary equipment and procedures in working with particular agents. Incidents such as the laboratory acquired SARS infections of 2003–2004 in Singapore, Taipei and Beijing due to inadequate training and poor laboratory practices illustrate the types of concerns associated with biosafety. Organisations such as American Biological Safety Association and the European Biological Safety Association seek to promote international standards for practice.

Biosafety though is a term with its own history. Within the context of the agricultural applications of current biotechnology (as in the Cartagena Protocol on Biosafety), it has referred to ensuring biological diversity.

Even referring to laboratory-specific considerations, in practice the terms biosafety and biosecurity have been used interchangeably. For instance, the official inquiry into the outbreak of foot-and-mouth disease in August and September 2007 in the UK concluded that it was 'highly likely' to have originated from the Pirbright research site. This site includes the public Institute of Animal Health and the private company Merial Animal Health. Although there was no suggestion of intentional spread of the foot-and-mouth disease by those in or outside the research site, the *Final Report on Potential Breaches of Biosecurity at the Pirbright Site 2007* by the British Health and Safety Executive used a language of 'biosecurity' (instead of 'biosafety') to describe what happened (see Rhodes 2007).

The instances of the accidental release at Pirbright and the laboratory acquired SARS infections raise questions about the adequacy of procedures in place for biosafety and biosecurity (as in Gaudioso and BioInformatics 2006). While providing a detailed evaluation of these matters is beyond the scope of this introduction, grounds for concern



about the adequacy of standards have been offered. To name but a few, countries such as Denmark, Israel, Japan, and Canada have introduced new national legislation and regulations in recent years to enhance the physical security of pathogens and other bioagents. Internationally, bodies such as the European Committee for Standardisation have sought to formulate standards for laboratories. Improving the security of laboratories has become part of government's assistance and development programmes. Again to name but a few, Australia, France, Norway, and Canada are among those countries that have initiated significant assistance programmes in recent years. By far the largest country funder of such activity is the US. The US Department of Defense's Biological Threat Reduction Program and the Department of State's Biosecurity Engagement Program are just two of the panoply on initiatives (US 2008). Yet, even in relation to relatively rich resource countries such as the US, the adequacy of biosafety measures and the variability of biosecurity measures have been topics of concern.<sup>7</sup>

### **Biosecurity: Beyond the lab**

In recent years, attention to biosecurity has not just pertained to laboratory agents. Rather it has stretched to how the knowledge and techniques generated through advanced life science research might enable new destructive capabilities. In other words, focus is not simply with the *process* of research but its *products*. The latter requires attending to what sort of research gets done and what information is made available in the scientific literature.

The highly prominent 2004 US National Research Council (NRC) report *Biotechnology Research in an Age of Terrorism* argued that the problem that needed addressing was 'the intentional use of biotechnology for destructive purposes' (NRC 2004: 14–15). The chair of the committee responsible for the report – Professor Gerald Fink of the Whitehead Institute for Biomedical Research – summarised the issues at stake in this way:

(...)[A]lmost all biotechnology in the service of human health can be subverted for misuse by hostile individuals or nations. The major vehicles of bioterrorism, at least in the near term, are likely to be based on materials and techniques that are available throughout the world and are easily acquired. Most importantly, a critical element of our defense against bioterrorism is the accelerated development of biotechnology to advance our ability to detect and cure disease. Since the development of biotechnology is facilitated by the sharing of ideas and materials, open communication offers the best security

against bioterrorism. The tension between the spread of technologies that protect us and the spread of technologies that threaten us is the crux of the dilemma (NRC 2004: vii).

That dilemma of threat coinciding with hope raised by Professor Fink has since become referred to as the 'dual-use' potential of knowledge and techniques. On the back of the recommendations of *Biotechnology Research in an Age of Terrorism*, in 2005 the US federal government launched a National Science Advisory Board for Biosecurity (NSABB) to advise on needed policy responses. The NSABB set up a number of Working Groups to deliberate options and provide recommendations; including on the development of 'guidelines for the oversight of dual-use research, including guidelines for the risk/benefit analysis of dual-use biological research and research results'.<sup>8</sup> Related to this, since 2003 a number of scientific journals and funding agencies have enacted processes for weighing the risks and benefits of research manuscripts and applications (Rappert 2008).

As mentioned at the start of this chapter, one of the recommendations of *Biotechnology Research in an Age of Terrorism* was that the oversight measures undertaken in the US be paralleled elsewhere. The first and second international forums on biosecurity were efforts at realising this aim. In part following the US lead (and wording), the Israel Academy of Sciences and Humanities and the Israel National Security Council issued a report in 2007 titled *Biotechnology Research in an Age of Terrorism* (Friedman *et al.* 2008). Although addressing concerns about the 'dual use' potential of knowledge and techniques, it also made recommendations regarding the need for new regulatory measures regarding the physical control of pathogens, the security of laboratories, and the export of equipment.

The 2006 Institute of Medicine and NRC's report titled *Globalization, Biosecurity, and the Future of the Life Sciences* and the 2006 British Royal Society's report titled *Scientific and Technological Developments Relevant to the Biological & Toxin Weapons Convention* also attended to dual-use issues and thus an expanded notion of biosecurity. Reflecting a sense of biosecurity beyond the doors of laboratories, as part of the *Globalization, Biosecurity, and the Future of the Life Sciences* it was defined as:

security against the inadvertent, inappropriate or intentional malicious or malevolent use of potentially dangerous biological agents or biotechnology, including the development, production, stockpiling or use

of biological weapons as well as natural outbreaks of newly emergent and epidemic disease. Although it is not used as it is often in other settings, to refer to a situation where adequate food and basic health is assured, there may be significant overlap in measures that guarantee 'biosecurity' in either sense (IoM and NRC 2006: 25).

The report made use of rather stark terms to characterise forthcoming dangers. The report section titled 'Advancing Technologies Will Alter the Future Threat Spectrum' started with the statement:

Although this Report is concerned with the evolution of science and technology capabilities over the next 5–10 years with implications for next-generation threats, it is clear that today's capabilities in the life sciences and related technologies may have already changed the nature of the biothreat 'space.' (ibid: 39)

Such conclusions were substantiated by examples such as the following:

...advances in technology have led to the possibility that, even if a new lethal influenza A virus does not emerge in nature within the near future, one could be artificially generated through reverse genetic engineering (...). Although not possible until recently with negative-strand RNA viruses, in October 2004, researchers from the University of Wisconsin used reverse genetic engineering techniques to partially reconstruct the highly virulent strain of influenza responsible for the 1918–19 pandemic and, the following year the complete sequence and characterization of the 1918–1919 influenza A virus was reconstructed. Although the knowledge, facilities, and ingenuity to carry out this sort of experiment are beyond the abilities of most non-experts at this time, this situation is likely to change over the next 5 to 10 years (ibid: 40).

One noteworthy aspect of the *Globalization, Biosecurity, and the Future of the Life Sciences* was that it made a case for the destructive potential of the life sciences beyond traditional areas of concern such as virology (for instance, through the use of bioregulator compounds). Synthetic biology was one of the areas that received considerable attention in the report in terms of how it might enable the widespread proliferation of capabilities for spreading disease (see Garfinkel *et al.* 2007). The 2007 Bio-preparedness Green Paper of the European Commission likewise

expresses wide ranging and high-level policy concern with the developments in science and technology.

Such alarm about the potential for destructive application of research raises thorny questions about what should be done. Determinations of the wisdom of reviewing or even limiting research because of its security implications are inexorably tied to assessment of the severity and the probability of bioattacks – these by both state and sub-state groups, now and into the future.<sup>9</sup> Evaluations of the wisdom of encouraging widespread discussion of threats are tied to how security is conceived in the first place. If it is about enhancing the public's sense of protection or improving general state of well-being, then the extent to which biotreats are made matters of concern is as exactly important as it is problematic.<sup>10</sup>

There is no small irony in discussions about threats from science today. Over the last two decades, highly provocative metaphors (e.g., such as 'the Holy Grail', 'the book of life') and revolutionary promises have been attached to initiatives such as the Human Genome Project (see Nelkin and Lindee 1995). Indeed, it is not uncommon to hear that we are entering a new age. In this, genetics and related fields in the life sciences will revolutionise our understanding of the world this century as much, if not more, than physics did in the twentieth century. In short, many expectations for gene-based medical technologies as well as others have been fostered. Yet, the commercial therapeutic deliverables from genomics and biotechnology more generally have lagged far behind expectations and portrayals (see Nightingale and Martin 2004; Martin 2006). While there seems little room for doubt that the claims made on behalf of advanced life science research have been instrumental in securing significant funding in the past, with the contrast between 'hype' and deliverables comes the prospect for disillusionment.

The irony is that in relation to the themes of this book, the revolutionary therapeutic potential so often accorded to biotechnology has buttressed many of the fears about the scope for its destructive application. The logic of 'doom' and 'boom' share many of the same assumptions. With the concern about the link between life science research and bioweapons, any discrepancy between expectations and possibilities threatens to bring untoward oversight responses. In short, an important question today is whether advanced research will be the victim of its own, somewhat inflated claims.

### **Biosecurity and public health**

As what counts as 'biosecurity' expands, so too does the range of institutions that should take responsive action. So concerns with the dual-

use aspects of life science research noted in the previous subsection suggest the need for many life scientists to incorporate security concerns within their research plans. Public health is another area that has more and more been infused with the language of security, thus raising questions about the work of clinicians, health managers, and others.

Fidler and Gostin (2008) have advocated for the increasing inclusion of security considerations within public health as well as a re-conceiving of what is meant by security by integrating in public health considerations. Thus, not only do health systems need to prepare for the deliberate spread of disease, but security agencies need to attend to the natural spread of infectious disease. Herein a robust view of national security (or the acceptance of the notion of 'human security' as opposed to 'national security') requires attending to far more than traditional preoccupations with military defences. For Fidler and Gostin, achieving the sought after transformation requires rethinking the existing place of the rule of law nationally and internationally. It also requires conceiving of biosecurity in a broad sense, to include the natural, accidental and deliberate spread of disease. They argue that the relatively new understanding given for biosecurity is needed to signal the novel agendas and practices required to the broadening threat. Past mechanisms are no longer sufficient.

Along the lines of asking how security thinking can be improved by contributions from public health, Robin Coupland (2005, 2008) of the International Committee for the Red Cross has outlined a public health approach for preventing casualties in armed conflict applicable in cases of the deliberate spread of disease.

While suggestions for reframing how security and public health should be conceived is sometimes disputed, the suggestion that the research agendas and funding patterns of public health agencies ought to incorporate security concerns more readily generates suspicion. Certainly on the public health side, voices have been raised about the creeping 'securitisation' of priorities. While the ability of those in public health and elsewhere to situate themselves under a security banner has facilitated access to additional sources of funding post 9/11, the danger for many is that this leads to improper priorities. In the US, for instance, the wisdom and effects of the massive multiple billion dollar growth in biodefence funding since 2001 has been questioned (Schuler 2005). Points of contention have turned on whether this funding is distorting research and health care priorities, whether the knowledge and materials being used pose their own security threats, and whether the funds are actually serving their stated aims (see, for instance, Choffnes 2002; Science 2005; Knight 2002; Center for Arms Control and Non-Proliferation 2008).

For those international agencies expected to ensure the health standards of some of the poorest communities while attending to the demands of diverse Member States – such as the World Health Organisation – the extent to which they incorporate concerns about the deliberate spread of disease within their portfolio of activities can be a rather fraught matter not readily understood through a blunt language of politics.

Yet, it would be far too simplistic to reduce debates about the proper place of biosecurity to geopolitical struggles between pro-security-orientated developed countries and those in the developing world antagonistic to it. So at both the Australian and Ugandan conferences mentioned at the start of this chapter ('Biosecurity Challenges facing Australia and its Region' and the 'Promoting Biosafety and Biodiversity within the Life Sciences'), some participants appropriated the language of biosecurity. The practice by corporations and health agencies centred in Europe and North America of obtaining disease samples from affected countries but then not enacting measures to enable these countries to receive significant benefits from subsequent innovations was said to undermine the state of 'biosecurity'. The decision in 2006 by Indonesia to forbid the transfer of H5N1 samples until concessions were made provides a vivid case of these sorts of concerns. By placing these issues under a biosecurity label, certain participants were aligning themselves with a stated theme of the conferences, but moved the discussion in a particular direction as well.

Yet, just as the pro and con splits are too easy, so too is the suggestion that the language of security is readily able to be marshalled towards different goals. The question needs to be asked of how couching concerns through the language of security and the accompanying rationales that follow construe the understanding of what is happening and what is possible.<sup>11</sup>

The last few paragraphs raise the questions of who defines priorities and how. These points are worth more detailed attention because they pertain to basic questions about the place of science in wider political processes. Much of the debate about what should be done in relation to biosecurity implicitly takes life science research as a given and asks what should be done to avoid future threats and opportunities. This way of conceiving of issues is particularly evident in relation to the dual use potential of advanced research. This framing has important implications for responses. These generally start with potential threats and then look to enact barriers to prevent them from being realised. That way of thinking has justified, for instance, putting in procedures

for vetting individual grants and publications or limiting access to pathogens.

Yet, a different way of thinking about the issues at stake is to turn around the relation between science and society. Rather than asking how society ought to respond to science, science can be seen as needed to respond to societal needs. Through initiatives such as the *Kampala Compact: The Global Bargain for Biosecurity and Bioscience* and the *DNA for Peace* report,<sup>12</sup> Singer and colleagues have placed the question how science can be made to serve development as central in security discussions. That has entailed identifying the funding priorities in biotechnology that can aid international development (Singer and Daar 2001). As argued, only when such an orientation is taken alongside biosecurity-inspired controls can human security in its wider sense be realised.

Such an attempt to subordinate concerns about violence under a more encompassing notion of human security has a long past. The language of security is not only within the province of intelligence and military agencies. The introduction of Social Security in the US as a result of the Great Depression, for instance, speaks to the way in which security has been presented as a way of framing progressive reform. For some, however, any suggestion of trying to redefine security as it relates to the matters of biology examined in this chapter is misplaced (Cooper 2008).

The questions then are many. Do we need a notion of biosecurity, many notions of biosecurities or none at all? Who is the 'we' that should be part of this? How ought the strengthening of security be achieved – through organisations and agendas dedicated to this aim or through incorporating security within existing institutions dedicated to development, justice and health? Whatever goal is notionally placed top, how do things work in practice? Is the language of security a convenient label or does it imply a guiding philosophy?

## The chapters

As is evident from the previous sections, the range of definitions given to and responses undertaken with regard to biosecurity are inseparable from basic geopolitical questions about the relative threats from the spread of disease, the priority of such threats against other health and security concerns, the acceptability of regulations on communications and movements, and the appropriateness of international standardisation.

All the contributors to this volume have contributed to debates surrounding the proper place of biosecurity – how, it might be said, security fears and hopes can be brought together. They have done so through various organisational capacities: as members of professional science associations, government agencies, research institutes, universities, and intergovernmental organisations. As such each has been engaged with the complex interweaving of expectations, uncertainties, fears, and promises. Those experiences provide the strengths and limitations of our contributions.

The chapters of this book address a number of questions:

- How is biosecurity varying defined?
- What are the premises about the nature of security embedded in such depictions? How might it be alternatively conceived?
- How are national and regional initiatives associated with preventing and mitigating the spread of disease aligned (and dis-aligned) with international discourse about biosecurity? What does the overall profile of alignments suggest for the uptake, development and impact of high-level policy discourse?
- To what extent have science and medical practitioners been engaged in biosecurity debates related to the conduct of their work?

In the main, Part I addresses these questions through the prism of activities undertaken by prominent international organisations. Before this though, Part I begins with Lentzos stepping back from current discussions to trace the shifting ways in which biothreats have been identified as problems requiring a response. As argued, the dominant way of discussing biosecurity today in North America and Europe is just one of many possible ways of thinking about the intersection of biology and security. She charts the rise and inter-relation over recent history of three ‘security rationalities’. A central aim of this chapter is to assess how these rationalities of ‘protection’, ‘preparedness’ and ‘resilience’ both facilitate and limit responses because of manner they define threats as problems in the first place.

While the chapter by Lentzos provides something of a ‘pre-history’ to the most recent turn to the security dimension of biology, Revill and Dando detail international developments since 2001. Their specific interest is in the changing language and priorities within activities under the United Nations, primarily the Biological and Toxin Weapons Convention. As they maintain, within such high-level discourse, a predominant way of presenting issues of concern is event: biosecurity is



thought of as laboratory security. Yet as they also argue, this narrow framing of the issues at stake is also contested by those forwarding a much wider notion of what counts as security. In reaction to the international framing of biosecurity outlined, Revill and Dando advocate bringing public health and security more closely in line with each other, but with a transformation in the functioning of international diplomacy.

Chapters 4–6 move on from these initial surveying chapters to consider how biosecurity has become defined within three organisations: the Royal Netherlands Academy of Arts and Sciences (by van der Bruggen), the Organisation for Economic Cooperation and Development (by Sawaya), and the US National Academy of Sciences (by Rusek). These chapters share many points:

- A broad sense of the types of concerns motivating current pre-occupations;
- A starting apprehension of how to address biothreats without over-inflating them;
- An assessment that while much of the impetus today derives from the US, the current attention to biosecurity should not be reduced to a strictly American agenda.

While this much is shared, owing in significant degree to the different remits of their respective organisations, how biosecurity has been used and the lessons drawn from those differ.

The chapters of Part II provide an elaboration of the main questions of this book through national comparisons of the policies and practices of biosecurity. In total, seven national contexts are examined; including countries from Africa, Asia, South America, North America, Europe and Australasia. Many of the authors collaborated under a grant from the Alfred P. Sloan Foundation project to enhance the awareness of those in the life sciences about the ‘dual-use’ applications of their research. The chapters share a focus on the relative importance of biosecurity (broadly defined) in their own countries and the way in which the state and scientific communities have (or have not) responded to the most prevalent international biosecurity discourse.

The chapters in this section highlight the extent to which the international discourse on biosecurity has been shaped by a single country’s (the United States) threat perception and the limited extent to which this is shared in other continents. In line with the negotiation noted above, each uses a starting definition of biosecurity to identify relevant

national activities, but each also seeks to be sensitive to the contrasting ways it is defined nationally. The authors also illustrate how the diversity mapped out by Revill and Dando becomes more pronounced as one moves away from high-level policy statements to local practices. While common features exist in the topics of debate and action, it would seem untenable to maintain a convergence of practices has taken place or could soon be realised.

The dominant theme emerging from the chapters in the second section is the view that naturally occurring disease presents a greater threat than deliberate disease (the exception of the United States). Indeed, the rejection of the bioterror threat perception as it exists in the United States is commonly expressed. What varies is the extent to which this rejection taints the national biosecurity discourse. Dunworth and Gould particularly refer to the close association the term has with the bioterror threat. In the case of New Zealand this appears to have resulted in the debate around dual-use issues being entirely ignored by the scientific community. Dunworth argues that New Zealand's need to preserve its natural biological resources is a far more pressing issue than the threat posed by dual-use research or biological weapons. Gould goes further to argue that the association between the term biosecurity and bioterror has resulted in a rejection of the term and a measure of resistance by the scientific community to dealing with the issues associated with the term. Both, however, demonstrate that rejection of the perception that biological terrorism presents a significant risk, has not impacted on state commitment to the BWC, or to fulfilment of the requirements of UNSC 1540. This is a theme that also emerges from the discussion of Argentina's approach to biosecurity issues, as presented by Lema, and Furukawa's discussion of Japan in relation to the biosecurity discourse.

Barr, whose chapter starts this section, concludes that China too shares the view that naturally occurring disease presents a greater threat than biological weapons use; however he discusses in some detail the factors that contribute to the need for China becoming engaged in the international biosecurity discourse.

Two additional issues emerging from the international comparison are the extent to which the level of attention that will be given by a state to the physical protection of pathogens and oversight of dual-use research is directly related to the perception of threat, and thus the priority given to the issue (Sawaya's reflection on the high cost of oversight and physical protection is instructive in this regard); and secondly that in most countries considered in this book, science communities often remain outside of the international biosecurity discourse. These are

themes that those who advocate for more robust internationally applicable oversight systems of dual-use research should take heed of.

Through charting this diversity, the collection of chapters in this book do not try to forward one notion of biosecurity. Neither though is the aim simply to give an airing to many notions of biosecurities. Rather in examining the many ways in which biosecurity is advanced and contested, we hope to aid readers in thinking about how to approach its meaning and place.

## Notes

- 1 Available from: <http://biosecurity.anu.edu.au/index.php>
- 2 This contrasting way of thinking is paralleled elsewhere. The later sections of this chapter detail how biosecurity has been identified with threats in the US. US Alliance for Biosecurity, for instance, is a collaboration among more than a dozen pharmaceutical and biotechnology companies who promote medical responses to deliberately initiated disease outbreaks. See [http://www.upmc-biosecurity.org/website/special\\_topics/alliance\\_for\\_biosecurity/](http://www.upmc-biosecurity.org/website/special_topics/alliance_for_biosecurity/)
- 3 Available at <http://www7.nationalacademies.org/biosecurity/2nd%20International%20Forum%20on%20Biosecurity%20Agenda.html>
- 4 Titled 'Fostering the Biosecurity Norm: An Educational Module for Life Sciences Students', 27 October 2008 (Como).
- 5 Titled 'Confronting Biological Threats: Biosecurity, Biological Weapons Nonproliferation, and Regional Cooperative Mechanisms', 27–29 October 2008 (Amman).
- 6 See <http://www.biosecuritycodes.org/gloss.htm#biosec>
- 7 See Sunshine Project (2004) and Gaudioso *et al.* (2006).
- 8 *Charter – National Science Advisory Board for Biosecurity*, 16 March 2006: 1. Available from: <http://www.biosecurityboard.gov/revised%20NSABB%20charter%20signed%20031606.pdf>
- 9 For contrasting appraisals of this see Kellman (2007) and Leitenberg (2005).
- 10 For a review of the risk communication and perception literature see Rodgers *et al.* (2007).
- 11 Related to this point, D'Arcangelis (2008) has examined how the language of threats infected US media coverage of the SARS outbreak. As she argued, such coverage was dominated by framings that reinforced long-time Western cultural caricatures of Chinese people as unhygienic, backward and inferior while, simultaneously, making Americans clean, modern and superior.
- 12 [http://www.utoronto.ca/jcb/home/documents/DNA\\_Peace.pdf](http://www.utoronto.ca/jcb/home/documents/DNA_Peace.pdf)

## References

- Center for Arms Control and Non-Proliferation 2008. *Federal Funding for Biological Weapons Prevention and Defense, Fiscal Years 2001 to 2008*. Washington, D.C. Center for Arms Control and Non-Proliferation.

- Choffnes E 2002. Bioweapons: New labs, more terror? *Bulletin of the Atomic Scientists*, 58(5): 28–32.
- Cooper M 2008. *Life as Surplus*. London: University of Washington Press.
- Coupland R 2005. Modelling armed violence: A tool for humanitarian dialogue in disarmament and arms control. In *Alternative Approaches in Multilateral Decision Making*. Geneva: UNIDIR: 39–49.
- Coupland R 2008. The ‘web’ of prevention. Second International Forum on Biosecurity (Budapest) 31 March.
- D’Arcangelis G 2008. Chinese chickens, ducks, pigs and humans, and the technoscientific discourses of global US empire. In B da Costa and K Philip (eds), *Tactical Biopolitics*. London: MIT Press.
- Fidler D and Gostin L 2008. *Biosecurity in a Global Age*. Stanford: Stanford University Press.
- Friedman D, Rager-Zisman B, Bibi E and Keynan A 2008. The bioterrorism threat and dual-use biotechnological research: An Israeli perspective. *Science and Engineering Ethics*, June.
- Garfinkel M, Endy D, Epstein G and Friedman R 2007. *Synthetic Genomics: Options for Governance*. 17 October, Washington, DC: CSIS.
- Gaudioso J, Rivera S, Caskey S and Salerno R 2006. Laboratory biosecurity: A survey of the US bioscience community. *Applied Biosafety*, 11(3): 138–43.
- Gaudioso J and BioInformatics 2006. *A Survey of Asian Life Scientists: The State of Biosciences, Laboratory Biosecurity, and Biosafety in Asia*. SAND2006–0842 Albuquerque, NM.
- Kellman B 2007. *Bioviolence: Preventing Biological Terror and Crime*. Cambridge: Cambridge University Press.
- Knight J 2002. Biodefence boost leaves experts worried over laboratory safety. *Nature*, 14 February.
- Indonesia and Norway 2008. *Regional Seminar for South East Asia on Promoting and Implementing Biosafety and Biosecurity Working Paper to the Meeting of Experts of the BWC BWC/MSP/2008/MX/WP.20* 14 August.
- InterAcademy Panel 2005 *IAP Statement on Biosecurity*. 7 November Trieste: IAP Available from: [http://www.nationalacademies.org/morenews/includes/IAP\\_Biosecurity.pdf](http://www.nationalacademies.org/morenews/includes/IAP_Biosecurity.pdf)
- Leitenberg M 2005. *Assessing the Biological Weapons and Bioterrorism Threat*. Carlisle, PA: Strategic Studies Institute. Available from: <http://www.strategic-studiesinstitute.army.mil/pubs/display.cfm?PubID=639>
- Martin P 2006. *Realising the Potential of Genomic Medicine*. London: Pharmacy Practice Research Trust.
- NRC (National Research Council) 2004. *Biotechnology Research in an Age of Terrorism*. Washington, DC: National Academies Press.
- IOM (Institute of Medicine) and NRC 2006. *Globalization, Biosecurity, and the Future of the Life Sciences*. Washington, DC: National Academies Press.
- NRC 2008. *The 2nd International Forum on Biosecurity – Summary of an International Meeting Budapest, Hungary March 30 to April 2, 2008*. Washington, DC: National Academies Press.
- Nelkin D and Lindee M 1995. *The DNA Mystique: The Gene as a Cultural Icon*. 2nd ed. New York: WH Freeman.
- Nightingale P and Martin P 2004. The myth of the biotech revolution. *TRENDS in Biotechnology*, 22(11): 564–9.

- Rappert B 2008. The benefits, risks, and threats of biotechnology. *Science and Public Policy*, February 35(1): 37–44.
- Rhodes C 2007. *Genomics Monitor*, Issue No. 5 November Bradford: University of Bradford.
- Rogers M, Amlot R, Rubin G, Wessely S and Krieger, K 2007. Mediating the social and psychological impacts of terrorist attacks. *The International Review of Psychiatry*, 19(3).
- Salerno R and Gaudio J 2007. *Laboratory Biosecurity Handbook*. Atlanta: CDC Press.
- Schuler A 2005. Billions for biodefense. *Biosecurity and Bioterrorism*, 3(2): 94–101.
- Science 2005. Detente declared on NIH biodefense funding. *Science*, 13 May: 938.
- Singer P and Daar A 2001. Harnessing genomics and biotechnology to improve global health equity. *Science*, 294: 87–9.
- Steyn B 2008. Biotechnology and biorisk in Africa. At 'Promoting Biosafety and Biodiversity within the Life Sciences: An International Workshop in East Africa', 11 March Kampala.
- Sunshine Project 2004. *Institutional Biosafety Committee Transparency Survey*. Austin, TX: Sunshine Project. Available from: <http://www.sunshine-project.org/biodefense/initialreplydata.html>
- UNAS (Uganda National Academy of Sciences) 2008. *Promoting Biosafety and Biosecurity Within the Life Sciences: An International Workshop in East Africa*. 11–12 March. Kampala: UNAS.
- US (United States) 2008. *Pathogen Safety and Pathogen Security: Assistance Efforts of the United States*. Working Paper to the Meeting of Experts of the BWC BWC/MSP/2008/MX/WP.2, 30 July.
- WHO (World Health Organisation) 2006. *Biorisk Management: Laboratory Biosecurity Guidance*. September, Geneva: WHO.