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# Synthesis of magnetic core-branched Au shell nanostructures and their application in cancer-related miRNA detection *via* SERS

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ABSTRACT Magnetic core gold shell nanostructures which integrate both SERS activity and superparamagnetism are widely utilized in SERS-based detection as SERS substrates, sample separation and preconcentration operators, as well as external magnetic field controlled directional carrier. However, most of the reported gold shells coated on the magnetic cores had smooth surfaces rather than branched nanostructures with enhanced SERS activity. Here, a novel type of Fe<sub>3</sub>O<sub>4</sub>-Au core-shell nanoparticles with branched gold shell was prepared by a seed-mediated method together with the shape induction agent AgNO3, and their growth process and mechanism, properties, as well as morphologically controlled synthesis were also investigated. The branched gold coated magnetic nanoparticles (B-GMNPs) with improved SERS performance were further utilized to build superparamagnetic and SERS-active capturers by assembling tetrahedral DNA onto their surfaces for sandwich-structured detection of cancer-related biomarker miRNA-21. The experimental results indicate that highly sensitive and specific detections can be obtained by the proposed SERS sensing system including B-GMNPs and tetrahedral DNA, and the limit of detection (LOD) of miRNA-21 in serum is 623 amol L-1. These B-GMNPs can be used as good SERS substrates with the functions of external magnetic field controlled sample separation and directional enrichment for effective SERS-based biochemical sensing and detections.

**Keywords:** magnetic nanoparticles, branched Au shell, DNA tetrahedron, SERS, miRNA

# **INTRODUCTION**

Iron oxides as the most commonly used magnetic nanoparticles (MNPs) have attracted great interest of nanomate-

rial scientists from a wide range of research fields, including micro/nanoelectronics, catalysis, chemical sensing, information storage, and medical diagnostics [1-4]. Generally, surface modifications of MNPs are very important to improve their physical and chemical stability, as well as making them possess more amazing functions especially for biological application, including magnetic resonance imaging, controlled drug delivery, photothermal therapy, bio-separation and biological assays [5–7]. One of the most promising modifications is coating a gold shell since gold has good stability, biocompatibility, and so called "surface plasmon resonance" property. The unique combination of the nanoscale magnetic core and the plasmonic Au shell has opened new avenues in bio-sensing, where two different physical properties of same nanoparticles can be harnessed for detection. Among them one of the most representatives is surface-enhanced Raman scattering (SERS) based assay [8,9], which is known as a powerful tool for ultrasensitive trace detection [10-15].

Up to now, there have been a number of examples reporting on the synthesis of gold coated MNPs (MNPs@Au). Generally, gold shell can be formed directly or indirectly on the magnetic core [16–18]. In the indirect methods, an intermediate adhesive layer, such as poly-L-histidine [19], cross-linked polymer poly(cyclotriphosphazene-co-4,4'-sulfonyldiphenol) [20], polyethyleneimine [21], and silica [22], is sandwiched between the core and Au shell. Recently, most published studies have made great efforts to solve the two big challenges, i.e., gold coating is to ensure that the nanoparticles are fully coated and

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do not expose iron or iron oxide, and to precisely control the shell thickness and obtain a smooth gold surface [23]. However, few studies have been conducted specifically on the preparation of highly SERS-active gold shell on the magnetic nanoparticles [24-28], and mainly partially gold coated rough shell or fully coated smooth shell have been prepared for SERS-based detections, which limits the application of MNPs@Au in the field of SERS. According to the SERS mechanism rough surface with nanoscale gaps or tips can generate stronger localized surface plasmon resonance (LSPR) compared with the smooth ones [29-31]. Though many gold nanoparticles with branched tips, such as tadpole- [32], star- [33], and urchin-shaped [34], were synthesized with enhanced SERS activities, few researches have been carried on the growth of branched gold shell on the magnetic cores with improved SERS performance.

In the present work, a novel type of branched gold coated magnetic nanoparticles (B-GMNPs) has been prepared by a seed-mediated synthesis method. The magnetic nanoparticle (Fe<sub>3</sub>O<sub>4</sub>) was coated with a silica shell (Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>) first, and then gold seeds were adsorbed onto the SiO2 shell (Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@Au seeds), finally branched Au shell was synthesized in the growth solution by adding a shape induction agent AgNO<sub>3</sub> for directional growth of branches. The growth process and mechanism, properties, as well as morphologically controlled synthesis of the magnetic core-branched gold shell nanostructures were investigated. The proposed B-GMNPs with improved SERS activities were further utilized as SERS-active magnetic capturer to assay cancer-related biomarker miRNA-21 in serum by a sandwich-structured strategy. In view of the remarkable advantages of DNA tetrahedrons, including good mechanical rigidity and structural stability, well controlled density and orientation of DNA capturers, enhanced accessibility of targets, as well as minimized nonspecific adsorption on the surface [35-41], tetrahedral DNAs containing the complementary sequence of target were structured first and then assembled onto the B-GMNPs to build SERS-active capturers with magnetic response. By using the tetrahedral DNA modified B-GMNPs as SERS-active capturers, sample separation and preconcentration operators, highly sensitive and specific detections of cancer-related biomarker miRNA-21 were conducted.

# **EXPERIMENTAL SECTION**

#### Materials

Gold(III) chloride trihydrate (HAuCl<sub>4</sub>·3H<sub>2</sub>O, 49.87% Au), iron(III) chloride hexahydrate (97%), and

iron(II) chloride tetrahydrate (98%) were purchased 4-Mercaptobenzoic acid (4-MBA), from Alfa Aesar. 5,5'-dithiobis-(2-nitrobenzoic acid) (DTNB, tetrakis(hydroxymethyl)phosphonium chloride (THPC, 80% aqueous solution) were obtained from Sigma-Aldrich. Silver nitrate (AgNO<sub>3</sub>, 99.8%), sodium hydroxide (NaOH,  $\geq$ 96%), potassium carbonate ( $K_2CO_3$ ,  $\geq$ 99%), and ethanol (≥99.7%) were bought from Sinopharm Chemical Reagent Co., Ltd. 3-Aminopropyltriethoxysilane (APTES, 98%), tetraethyl orthosilicate (TEOS), ammonia solution (≥25%), and formaldehyde solution (37%-40%) were purchased from Aladdin. Gold nanoparticles (15 nm) were obtained from British Biocell International (Cardiff, UK). The DNA and miRNA oligonucleotides listed in Table S1 (Supplementary information) were synthesized and HPLC-purified by Takara Biotechnology (Dalian, China), in which thiol-modified single stranded DNAs (ssDNAs) sequences A, B, C, and a special designed oligonucleotide D containing a complementary sequence to the target were used to structure DNA tetrahedrons, the probe DNA with complementary sequence to the target was utilized to fabricate SERS tags, miRNA-21 was the target, single-base mismatch (SM) denoted the oligonucleotide with a single-base mismatch with miRNA-21, and miRNA-486/375 were selected as noncomplementary miRNAs. Unless otherwise specified, all the materials were used without additional purification, and sterilized ultrapure Millipore water (18.2 M $\Omega$  cm) was used as the solvent throughout. Different buffers, TBE buffer  $(89 \, mmol \, L^{-1} \, Tris, \, 90 \, mmol \, L^{-1} \, boric \, acid,$ 2 mmol L-1 ethylenediaminetetraacetic acid (EDTA), pH 8.0), TM buffer (20 mmol L-1 Tris, 50 mmol L-1 MgCl<sub>2</sub>, TBE-Mg<sup>2+</sup> рН 8.0), buffer  $(89 \, \text{mmol L}^{-1})$ Tris,  $90\,mmol\,L^{-1}$ boric  $2 \, \text{mmol} \, L^{-1}$ EDTA, acid,  $12.5 \,\mathrm{mmol}\,\mathrm{L}^{-1} \,\mathrm{MgCl_2}$ ,  $\mathrm{PBS1}(10 \,\mathrm{mmol}\,\mathrm{L}^{-1} \,\mathrm{NaH_2PO_4/10})$ mmol L-1 Na<sub>2</sub>HPO<sub>4</sub>, 50 mmol L-1 NaCl, pH 7.4), and PBS2  $(10 \, \text{mmol} \, \text{L}^{-1} \, \text{NaH}_2 \text{PO}_4 / 10 \, \text{mmol} \, \text{L}^{-1} \, \text{Na}_2 \text{HPO}_4$ 100 mmol L<sup>-1</sup> NaCl, pH 7.4), were prepared and used for the treatment of nucleic acids.

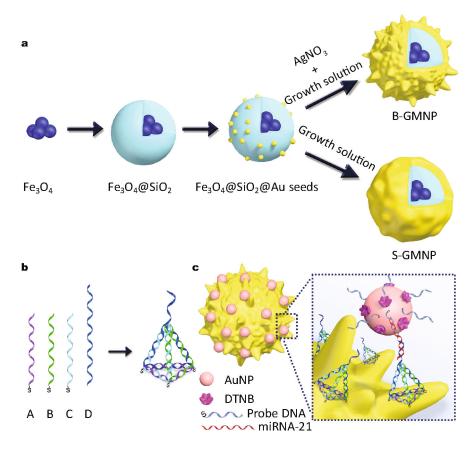
# Synthesis of branched gold coated magnetic nanoparticles (B-GMNPs)

The magnetic core-branched Au shell nanostructures were synthesized by four steps, i.e., synthesizing magnetic nanoparticles (Fe<sub>3</sub>O<sub>4</sub>), coating Fe<sub>3</sub>O<sub>4</sub> nanoparticles with silica shell (Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>), adsorbing gold seeds onto the SiO<sub>2</sub> shells (Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@Au seeds), and finally growing branched Au shells to obtain branched Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@Au nanoparticles (B-GMNPs). The first three steps were

carried out following our previous reports [22,42], and the morphology and absorption characterizations of Fe<sub>3</sub>O<sub>4</sub>, Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>, and Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@Au seeds, as well as the hysteresis loop of Fe<sub>3</sub>O<sub>4</sub> are shown in Fig. S1. In the last step, the branched Au shells were synthesized by the seed-mediated synthesis. Firstly, 720 µL of  $0.1\,mol\,L^{-1}\,K_2CO_3$  and 760  $\mu L$  of  $20\,mmol\,L^{-1}\,HAuCl_4$  were added together into 20 mL water under vigorous stirring for 20 min to obtain the Au shell growth solution. Secondly, 20  $\mu L$  of 10 mmol  $L^{-1}$  AgNO<sub>3</sub> was added into 100  $\mu L$ of Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@Au seeds under sonication for 10 min, and then the mixture was poured into the growth solution. After vigorous stirring for 2 min, 100 µL formaldehyde solution was dropped into the solution under continuous stirring for 2 min. Thirdly, the mixture was maintained undisturbedly for 30 min at 28°C. Finally, the as-synthesized colloid was centrifuged at 2500 rpm for 15 min to remove the excess reactants. The precipitates were washed three times and finally re-dispersed to 3 mL with water. As control, the Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@Au nanoparticles with smooth Au shells (denoted as S-GMNPs) were also synthesized by the same strategy but without adding AgNO<sub>3</sub>. The sketch maps of the B-GMNPs and S-GMNPs preparations are shown in Scheme 1a.

#### Preparation of SERS tags

The SERS tags were prepared by labeling probe DNA and Raman reporter DTNB onto the AuNPs in sequence, which can be seen from the magnified image shown in Scheme 1c. Specifically, 500 µL of 15 nm colloidal AuNPs (2.3 nmol L<sup>-1</sup>) was mixed with 50  $\mu$ L of  $10\mu$ mol L<sup>-1</sup> probe DNA in  $0.5\times$ TBE and incubated at 25°C for more than 4h. Then 12.5 μL of 2 mol L<sup>-1</sup> NaCl solution was added slowly into the mixture for four times in 2h with the final concentration of NaCl reaching 200 mmol L-1. The mixture aged at 25°C overnight. The probe DNA functionalized AuNPs were washed by 0.5× TBE buffer by centrifugation (8500 rpm, 20 min) for three times and finally re-dispersed to 500 μL. Next, 5 μL of 100 μmol L<sup>-1</sup> DTNB (in ethanol) was added into the probe DNA functionalized AuNPs to label DTNB molecules onto the AuNPs. After stirring for 3h, three times centrifugal purifications were repeated and the final



Scheme 1 (a) Sketch maps of the preparation of B-GMNPs and S-GMNPs; (b) construction of tetrahedral DNA; (c) sandwich-structured strategy by using tetrahedral DNA modified B-GMNPs and SERS tags to assay cancer-related biomarker miRNA-21.

probe DNA and DTNB labeled AuNPs, i.e., SERS tags, were obtained by re-dispersing the precipitates with 50  $\mu$ L PBS2.

## Preparation of DNA tetrahedrons

Scheme 1b illustrates the construction of DNA tetrahedrons. Equimolar quantities of four single stranded DNA sequences (A, B, C and D listed in Table S1) were mixed in TM buffer at 95°C for 5 min and then cooled to 4°C to structure DNA tetrahedrons with the final concentration of 1 µmol L<sup>-1</sup>. The four ssDNAs can assemble into tetrahedral DNA by the hybridization of complementary partial sequences marked in the same colors in Table S1, and leave the red marked single stranded sequence free to specifically capture target. In order to verify the assembly of DNA tetrahedron, native polyacrylamide gel electrophoresis (native-PAGE) was performed. DNA tetrahedrons were run on 10% native-PAGE gel in 1× TBE-Mg<sup>2+</sup> buffer with a stable voltage of 80 V in ice bath for 130 min.

#### Preparation of B-GMNPs@DNA tetrahedrons

DNA tetrahedrons were diluted by PBS1 to a final concentration of  $0.1\,\mu mol\,L^{-1}$  for preparing superparamagnetic and SERS-active detection substrates (B-GMNPs@DNA tetrahedrons) by assembling DNA tetrahedrons onto the B-GMNPs via S-metal bonds. Briefly, 500  $\mu L$  colloidal B-GMNPs were incubated with 20  $\mu L$  of  $0.1\,\mu mol\,L^{-1}$  DNA tetrahedron in PBS1 overnight. The products were purified by centrifuging three times at 2500 rpm for 15 min. The precipitates were collected and re-dispersed into 500  $\mu L$  PBS1.

# Sensing protocol

Scheme 1c shows the sandwich-structured sensing protocol. For detecting target miRNA-21, 50  $\mu L$  SERS tags and 50  $\mu L$  B-GMNPs@DNA tetrahedrons were added together into a sterile tube followed by fully shaking. Subsequently, 10  $\mu L$  target miRNA-21 with a certain concentration (diluted in PBS2) was added into the mixture and incubated at 37°C for 3h under shaking. After that, the sandwich-structured composites were collected from the solution by magnet separation and washed three times by PBS2. Finally, the purified composites were dispersed in 30  $\mu L$  PBS2 for further SERS measurements.

#### **SERS** measurements

The as-synthesized different GMNPs were concentrated and quantified to the same gold content of 168.75 mg  $L^{-1}$  by inductively coupled plasma mass spectrometry (ICP-MS). Then 200  $\mu L$  of the as-synthesized GMNPs was mixed with 30  $\mu L$  of 100  $\mu mol~L^{-1}$  Raman reporter 4-MBA followed

by ultrasonically dispersing and incubating for 12h. For each SERS characterization, three aliquots of 20 µL final colloidal samples were dropped into three micropores (diameter 3 mm) on a silicon wafer. The samples were kept wet during SERS measurements. SERS measurements were performed using 177.2 mW laser power, 10s acquisition time. For miRNA-21 detections, three aliquots of 10 µL final composites after hybridizations and further magnetically purifications were dropped onto three silicon wafers  $(0.5\,\mathrm{cm}\times0.5\,\mathrm{cm})$  respectively. Under each droplet there was placed a cylindrical magnet (diameter ~1.5 mm, length ~10 mm) to magnetically concentrate the composites into a small spot with diameter less than 2mm. SERS scans (9mW laser power, 2s acquisition time) were performed in the spot. For each sample, ten times SERS scans were repeated at different positions to obtain an averaged SERS spectrum and the baselines were removed by the software Wire 4.0.

#### **Instruments**

Absorption spectra were collected using a UV-vis-NIR spectrophotometer (UV-3600, Shimadzu, Japan). Scanning electron microscopy (SEM) images were recorded by a field emission scanning electron microscopy (S-4800, Hitachi, Japan) operating at 5kV. Transmission electron microscopy (TEM) (HT7700, Hitachi, Japan) was utilized to take TEM images, while high-resolution transmission electron micrographs (HRTEM) were taken by an FEI Tecnai G2 TEM. TEM images of the as-prepared nanoparticles were used for size distribution measurements. For each sample the dimensions of particles were quantified using Nano measurer software. X-ray diffraction (XRD) analysis was carried out using an X-ray diffractometer (D8 Advance, Bruker, Germany). Vibrating sample magnetometer (VSM) (VSM 7400, Lake Shore, USA) was used to measure room temperature magnetic properties and obtain hysteresis loops. Zeta potential measurements were conducted by Zeta potential analyzer (ZetaPALS, Brookhaven, America). The SERS spectra were recorded by a confocal Raman microscope (InVia, Renishaw, England) using 785 nm excitation laser beam and 20× objective lens.

# **RESULTS AND DISCUSSION**

# **Characterizations of B-GMNPs**

The synthesis of branched shell was tried according to our previous work for synthesizing S-GMNPs [22,42], i.e., the Fe $_3O_4$ @SiO $_2$ @Au nanoparticles with smooth Au shells were synthesized by using the growth solution containing

380  $\mu$ L HAuCl<sub>4</sub> solution (20 mmol L<sup>-1</sup>) and 360  $\mu$ L K<sub>2</sub>CO<sub>3</sub> solution (0.1 mol L<sup>-1</sup>) (designated as one fold (×1)) in the absence of AgNO<sub>3</sub>. As overall views shown in Fig. 1a (SEM) and d (TEM), the S-GMNPs have smooth gold shells exhibiting spherical or ellipsoidal contours and their average diameter is 170±12 nm, which grows bigger relative to the Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@Au seeds (126±15 nm) shown in Fig. S1c. The LSPR peak of the S-GMNPs is centered at 648 nm (black curve, Fig. 1g). When two folds (×2) of the growth solution was added, the smooth Au shells grow thicker and the averaged overall size of the nanoparticles grows bigger to 180±13 nm (Fig. 1b, e), as a result the LSPR peak red-shifts to 676 nm (red curve in Fig. 1g). Significant changes of surface morphology of GMNPs are obtained by introducing 20  $\mu$ L of 10 mmol L<sup>-1</sup> AgNO<sub>3</sub> into the reaction

system. As shown in Fig. 1c, f, rough Au shells can be observed distinctly on the GMNPs and their surfaces are occupied by many dense and branch-like protrusions, which can be designated as branched gold-coated magnetic nanoparticles (B-GMNPs). The B-GMNPs possess spherical contours with an average diameter of 210±15 nm, and some branches have an appropriate length of 20±2 nm. The morphology changes lead to a large red-shift of LSPR peak to 770 nm (green curve in Fig. 1g). The experimental results indicate that the branched Au shell can be obtained by using the shape induction agent AgNO<sub>3</sub>, while smooth gold shells are prepared without AgNO<sub>3</sub> despite feeding more gold precursor HAuCl<sub>4</sub>. Fig. 1h shows the averaged SERS spectra of 4-MBA obtained on the three types of nanostructures showing in Fig. 1a–c. The two charac-

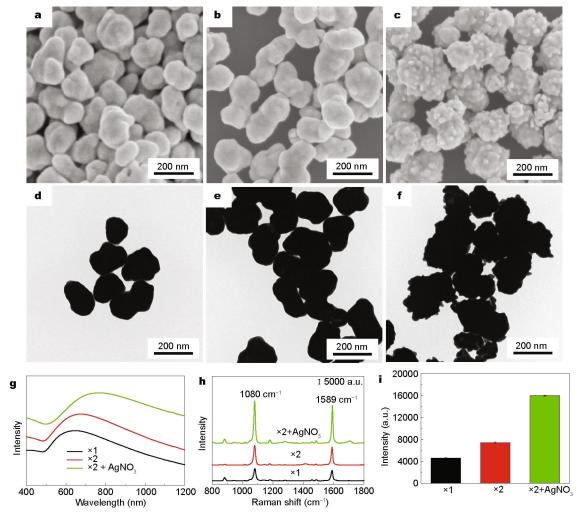


Figure 1 Characterizations of the S-GMNPs and B-GMNPs. (a–c) SEM images of the nanoparticles synthesized by  $\times 1$  Au shell growth solution,  $\times 2$  Au shell growth solution with  $10 \mu L$  AgNO<sub>3</sub>, respectively; (d–f) corresponding TEM images of the three nanoparticles showing in (a–c); (g) absorption spectra; (h) averaged SERS spectra of 4-MBA obtained from the three nanoparticles; (i) SERS intensities of 4-MBA at  $1080 \text{ cm}^{-1}$  corresponding to the three nanoparticles respectively (n=10).

teristic peaks of 4-MBA at 1080 and 1589 cm<sup>-1</sup> which are assigned to  $v_{8a}$  and  $v_{12}$  aromatic ring vibrations can be clearly observed from the three spectra [43]. By characterizing the SERS intensity of 4-MBA at  $1080\,\mathrm{cm}^{-1}$ , the SERS enhancement generating from the B-GMNPs is approximated to 2.89 and 1.80 times relative to the signal from the S-GM-NPs synthesized by ×1 and ×2 growth solution respectively (Fig. 1i). This stronger enhancement is mainly attributed to the branched Au shell possessing rough surface topographies with many nanoscale tips and enclosed nanogaps, i.e., hot spots, which are more conducive to generate an enhanced electromagnetic field relative to the other two products with smooth surface.

Fig. 2a shows a magnified SEM image of a representative B-GMNP, in which the particle's surface is densely planted with many finger-like branched gold nanostructures in different sizes, resulting in a very rough gold shell. Fig. 2b presents a typical TEM image of the B-GMNPs and a rough surface embedded many branched nanostructures can be obviously observed according to its edge profile. Generally, Fig. 2c is a selected area electron diffraction (SAED) pattern of the whole B-GMNP showing in Fig. 2b, and the diffraction rings illustrates that the B-GMNP is polycrystalline structure enclosed with many different facets. In contrast, by recording the SAED pattern of

the branched tip marked in Fig. 2b, it is clear that the branched gold structure is highly crystallized single crystal structure which can be determined by the clear electron diffraction spots shown in the inset of Fig. 2d. The HRTEM shown in Fig. 2d also confirms the single crystal structure of the branched gold and the lattice fringes with an interplanar spacing of 0.236 nm can be attributed to the gold (111) plane. Fig. 2e shows the XRD patterns of Fe<sub>3</sub>O<sub>4</sub>, Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>, Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@Au seeds, and branched Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@Au, respectively. These wide angle XRD patterns show the characteristic broad diffraction peaks corresponding to the spinel Fe<sub>3</sub>O<sub>4</sub>, SiO<sub>2</sub> and cubic phase Au. Fe<sub>3</sub>O<sub>4</sub> is characterized by the six specific XRD peaks positioned at the  $2\theta$  values of 30.0°, 35.3°, 42.9°, 53.5°, 57.0° and 62.4° marked by solid circles in the black curve, which correspond to the (220), (311), (400), (422), (511), and (440) lattice planes of the cubic phase of Fe<sub>3</sub>O<sub>4</sub> (JCPDS card No. 01-075-0449) [44], respectively. The XRD pattern of the Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub> (the red curve) denoted by rhombus shows a reflection characteristic of amorphous SiO2 in addition to the Fe<sub>3</sub>O<sub>4</sub> reflections [45]. After the adsorption of Au seeds, besides the peaks of Fe<sub>3</sub>O<sub>4</sub> and SiO<sub>2</sub>, four peaks of gold can be observed from the blue curve corresponding to the diffractions from the (111), (200), (220), and (311) planes of the face-centered-cubic (fcc) phase (JCPDS card

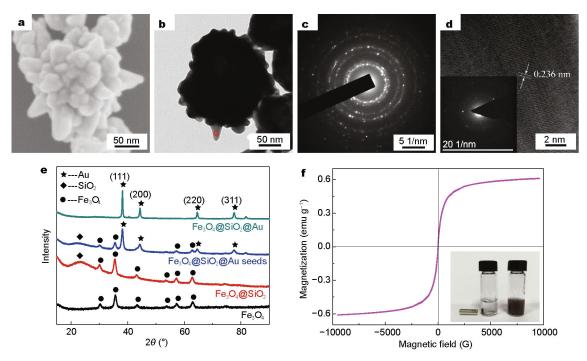


Figure 2 Detailed characterizations of the B-GMNPs. (a) SEM image of a single B-GMNP; (b) TEM image of a single B-GMNP; (c) SAED pattern of a whole B-GMNP; (d) HRTEM image obtained from the circle area marked in (b) and the corresponding SAED pattern (inset). (e) XRD patterns of Fe<sub>3</sub>O<sub>4</sub>. Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>. Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>. Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>. Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>. And Fe<sub>3</sub>O<sub>4</sub>. (f) Hysteresis loop of the B-GMNPs and a photograph of the magnetic separation (inset).

00-004-0784). After growth of the Au seeds to branched Au shell, since the nanoparticles were coated by a continuous layer of Au, only diffraction patterns of gold can be observed. It is noteworthy that the intensity of the (111) diffraction peak is much higher than the other peaks, indicating that these branched magnetic nanoparticles possess plenty of (111) facets, which is consistent with the SAED analysis and HRTEM result. The superparamagnetism of B-GMNPs was characterized by VSM and the result is shown in Fig. 2f, in which the curve intersects with the origin point and the saturation magnetization (MS) of the B-GMNPs is found to be 0.612 emu g<sup>-1</sup>. In the practical separation test (inset), the B-GMNPs can be completely separated from the solution when an external magnetic field is applied.

#### Effects of the reactant agents on the growth of B-GMNPs

## Influence of growth solution

In order to study the influence of reactant agents especially HAuCl<sub>4</sub> and AgNO<sub>3</sub> on the morphological, optical and SERS properties of the proposed B-GMNPs, some experiments were designed and carried out by tuning the dosage of HAuCl<sub>4</sub> in the growth solution and the dosage of AgNO<sub>3</sub>, respectively. Fig. 3 shows the characterizations of the as-prepared GMNPs synthesized by three different dosages of growth solutions with all other parameters fixed (20  $\mu$ L of 10 mmol L<sup>-1</sup> AgNO<sub>3</sub>). As the TEM and SEM images shown in Fig. 3a–f, with the increasing dosages of growth solution the morphologies of products transform from incomplete Au shells (×1, Fig. 3a, d) to branched

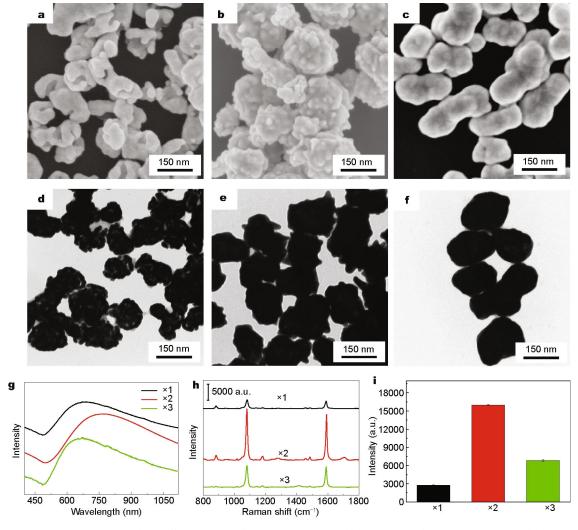


Figure 3 The GMNPs synthesized by three different dosages of growth solutions, one fold ( $\times$ 1) (a), two folds ( $\times$ 2) (b), and three folds ( $\times$ 3) (c). SEM images (a–c), TEM images (d–f), absorption spectra (g), SERS spectra (h), and SERS intensity of 4-MBA (i) at 1080 cm<sup>-1</sup> corresponding to the three kinds of GMNPs, respectively (n=10).

nanostructures (×2, Fig. 3b, e), and then to continuously smooth shells ( $\times$ 3, Fig. 3c, f). The absorption spectra show that the LSPR peak of the incomplete Au shells is centered at 680 nm (black curve in Fig. 3g). Along with the increase of growth solution, the morphology changes result in a red-shift of LSPR peak to 765 nm (branched nanostructure, red curve in Fig. 3g) and finally blue-shift to 651 nm of the continuously smooth Au shells (green curve in Fig. 3g). Thus, the surface morphology of the Au shell can be tuned by the dosage of the growth solution, and the optimum dosage of growth solution to synthesize branched Au shell is two folds dosage of growth solution. The growth solutions with less dosages of HAuCl<sub>4</sub> and K<sub>2</sub>CO<sub>3</sub> may generate GMNPs with incomplete Au shell, while once more dosages of HAuCl<sub>4</sub> and K<sub>2</sub>CO<sub>3</sub> are used, the nanoparticles tend to be coated with a layer of smooth and compact Au shells. The morphology evolutions also result in changes of SERS activities. The SERS intensity at 1080 cm<sup>-1</sup> (Fig. 3i) detected from the branched nanostructures ( $\times$ 2) is 5.79 times as much as the GMNPs with incomplete Au shells ( $\times$ 1), and 2.47 times of the GMNPs with continuously smooth shells  $(\times 3)$ .

# Influence of AgNO3

It is well known that AgNO<sub>3</sub> can be utilized as an important morphology controlling reagent for the growth of anisotropic gold nanoparticles. Thus the dosage of silver nitrate is expected to play a key role in the synthesis of the proposed branched Au shell. Herein, 10 mmol L<sup>-1</sup> AgNO<sub>3</sub> solution was divided into four parts, 10, 20, 30 and 50  $\mu$ L, and utilized respectively to synthesize the nanostructures with the other parameters unchanged. As the results mentioned in Fig. 1, the Au shell appears a smooth surface in the absence of AgNO<sub>3</sub> with the LSPR peak at 676 nm (red curve in Fig. 1g). Once 10 µL of 10 mmol L<sup>-1</sup> AgNO<sub>3</sub> is used for directional growth of Au shell, several small protrusions form on the surface (Fig. 4a, b) with the overall size of 190±13 nm and the nanostructures generate a strong LSPR peak centered at 713 nm (black curve in Fig. 4i). The SERS characterization of the 10 μL AgNO<sub>3</sub> synthesized GMNPs displays distinct characteristic peaks of 4-MBA at 1080 and 1589 cm<sup>-1</sup> (black curve in Fig. 4j), respectively. Fig. 4c shows the morphologies of nanoparticles synthesized by 20 μL of 10 mmol L<sup>-1</sup> AgNO<sub>3</sub>. The mean diameter of nanoparticles slightly increases to 200±16nm, while their surfaces possess a large number of densely distributed branch-like protrusions with some branches reaching 40±3 nm in length. The special size contributes to the red-shift of LSPR peak to 769 nm (red curve in Fig. 4i). Due to the distinct nanoscale branched protrusions forming abundant "hot spots" on the rough surface of GMNPs, the SERS activity of the 20 µL AgNO<sub>3</sub> products is 2.42 times as much as the ones prepared by 10 μL AgNO<sub>3</sub> (red curve in Fig. 4j). Further increase of AgNO<sub>3</sub> to 30 μL, both the overall sizes of nanoparticles and the length of protrusions decrease (Fig. 4e). The nanoparticles are coated with discontinuous Au shells that consist of many conjugated gold islands which grew from the previous Au seeds, and the size of nanoparticles decreases to 160±10 nm (Fig. 4f), resulting in a blue-shift of the LSPR peak to 688 nm (green curve in Fig. 4i) and an obvious decrease (43.8%) of the corresponding SERS intensity at 1080 cm<sup>-1</sup> compared to the signal collected from the 20 μL AgNO<sub>3</sub> products (green curve in Fig. 4j). When 50 μL AgNO<sub>3</sub> was added, the product displays a slight change in size and the appearance of particles remains the contour of gold islands (Fig. 4e-h) of the 30 µL AgNO<sub>3</sub> products. Corresponding to the imperceptible morphological changes, the LSPR peak blue-shifts slightly to 681 nm (blue curve in Fig. 4i), while the invisible changes are magnified by the SERS which shows 35.3% increases relative to the 30 μL AgNO<sub>3</sub> products but is 0.76 folds of the 20 μL AgNO<sub>3</sub> products (blue curve in Fig. 4j). Thus the optimum dosage of AgNO<sub>3</sub>, 20 µL, can control the directional growth of branched gold shells which possess good SERS activities.

#### Growth kinetics of B-GMNPs

In order to investigate the growth kinetics of the B-GM-NPs, time-evolution morphological characterizations of SEM (Fig. S2), TEM and absorption spectra (Fig. 5) were performed on the nanoparticles synthesized at specific growing times, i.e., 10, 20, 30, 50 min and 14h. In this experiment, the products were washed by centrifugation so as to remove the unreacted agents and stop the growth once the synthesis reached the set growth time. After growth for 10min, the previous Au seeds adsorbed on the silica shell grow to big Au nanocrystals and some of them joined to form several irregular islands separated by some relatively wide "ditches" (Fig. 5a and Fig. S2a). Since the formation of islands, the size of the particles grows to 100±5 nm, showing a weak LSPR peak centered at 616 nm (black curve in Fig. 5f) which red-shifts 106 nm relative to the previous Au seeds coated nanoparticles (Fig. S1d). In the next 10 min, the islands grow bigger and most of the previous "ditches" are filled and the Au shells tend to become continuous films (Fig. 5b and Fig. S2b), which results in the nanoparticles growing bigger to 130±10 nm and making the LSPR peak red-shift to 687 nm (red curve in

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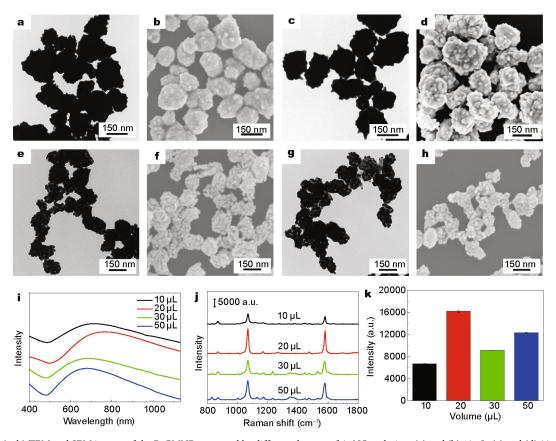


Figure 4 (a-h) TEM and SEM images of the B-GMNPs prepared by different dosages of AgNO<sub>3</sub> solution, (a) and (b) 10  $\mu$ L, (c) and (d) 20  $\mu$ L, (e) and (f) 30  $\mu$ L, (g) and (h) 50  $\mu$ L, respectively. (i) Corresponding absorption spectra. (j) Averaged SERS spectra of 4-MBA obtained from the four products, respectively. (k) SERS intensities of 4-MBA at 1080 cm<sup>-1</sup> corresponding to the four SERS spectra shown in (j) (n=10).

Fig. 5f). When growth for 30 min, apparent branched shells can be clearly observed and then the whole nanoparticle grows to 200±14nm (Fig. 5c and Fig. S2c). The special branched structure makes the LSPR peak red-shift to 767 nm (blue curve in Fig. 5f). Once growth for 50 min, it is unexpected that the above-mentioned branched structures disappear gradually and the averaged size of nanoparticles decreases to 185±8 nm (Fig. 5d and Fig. S2d). The morphological evolution results in a blue-shift of LSPR peak to 681 nm (cyan curve in Fig. 5f). After that, if the reaction continues, the surface of particles tends to coat a relatively smooth gold shell with unobvious change in size. Fig. 5e shows the nanoparticles growth for 14h, according to the SEM shown in Fig. S2, the particles possess smooth Au shells with an averaged diameter about 185±16 nm (Fig. 5e) and their collective LSPR peak blue-shifts to 650 nm (Fig. 5f) due to the disappearance of protrusions and the decrease of particle size.

#### **Growth mechanism**

According to the investigation on growth kinetics and char-

acterizations of the proposed B-GMNPs' properties, the growth mechanism can be preliminary figured out. Generally, the growth of branched Au shell is both thermodynamically and kinetically controlled process. As shown in Fig. 6, in the early stage of the reaction, the reduced Au atoms are deposited onto the Au seeds via catalytic reduction of gold ions on their surface (case 1 in Fig. 6) [46]. This seedmediated growth is significant different from the typical synthesis of Au nanorods, since the proposed seeds are distributed on silica surface rather than dispersed in solution, meanwhile there is absence of "face-blocking" surfactant CTAB relative to Au nanorods synthesis. Thus, due to the sustained seed-mediated catalytic reduction and thermodynamically-controlled reaction, many large Au nanocrystals like small islands with the seeds as anchors form preferentially (case 2 in Fig. 6, and Fig. 5a) rather than growing rod-like structures. During the further process, along with the formation of islands some as-formed islands grow bigger and then some adjacent islands connect and coalesce. As a result, many long and large islands with irregular shapes generate on the surface (case 3 in Fig. 6, and

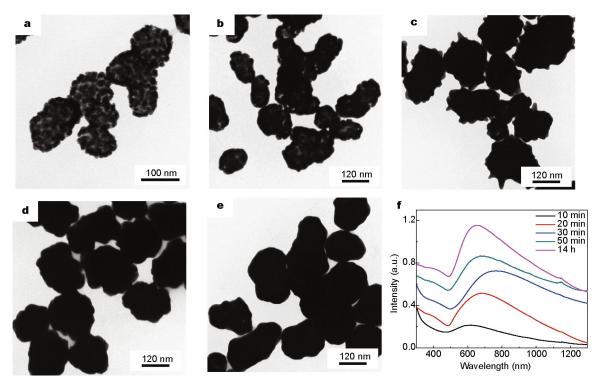


Figure 5 (a-e) Time-evolution TEM images, 10, 20, 30, 50 min and 14h, respectively. (f) Time-evolution absorption spectra.

Fig. 5b), which looks like mountain ranges separated by valleys. Since the continuous growth, the "valleys" are filled and a relatively continuous but rough film enclosed with different facets, such as (111), (100), (110), and (311), forms on each nanoparticle (case 4 in Fig. 6) to minimize the surface energy [47]. After that silver ion additives significantly deposit epitaxially onto the gold crystals due to the underpotential deposition (UPD), and prefer to adsorb onto sites with high coordination number relative to gold, such as protuberances and step edges [48]. Herein, since silver preferentially deposits onto (111) facet, gold depositions on (111) facets are inhibited. As a result, the growth rates of the passivated (111) facets are lower and the released Au atoms are directionally added to un-passivated facets. These Au atoms can be preferentially captured and then overgrow on the protuberances due to the higher tendency of the protuberant edges to capture gold atoms [49,50], which can lead to a significantly anisotropic growth of branched structures (case 5 in Fig. 6, and Fig. 5c) [51]. The following disappearance of branches shown in Fig. 5d, e (corresponding to cases 6 and 7 in Fig. 6) is mainly ascribed to the excessive consumption of Ag ions, which results in failure UPD and facet specific passivation. Thus, the atoms deposit randomly onto the surface mainly due to the seed-mediated catalytic reduction and thermal diffusion motion. It should be noted that the possibility of the disappearance of branches due to the instability of branched structure can be eliminated. Fig. S3 characterizes the stability of the proposed B-GMNPs, in which Fig. S3a, b show the SEM images of the purified fresh B-GMNPs and the nanoparticles stored for one month. The insets show the corresponding TEM images. According to the TEM and SEM images, no significant morphological change can be observed after one month. This result is also confirmed by absorption characterizations of the fresh (black curve) and one month aged (red curve) B-GMNPs as shown in Fig. S3c, in which almost no change of the peak position can be observed. These results confirm that the as-proposed branched Au shells as well as the B-GMNPs are stable for more than one month.

#### Characterization of DNA tetrahedrons

The DNA tetrahedron was hierarchically assembled from three thiolated DNA fragments of 55 nucleotides (55-nt) (A, B, and C shown in Table S1 and Scheme 1b) and one capture strand containing DNA fragment (71-nt) which has a special designed oligonucleotide complementary to the target (D shown in Table S1 and Scheme 1b). The four DNA fragments were mixed in stoichiometric equivalents to assemble DNA tetrahedrons. Fig. 7a shows the electrophoresis of the formation of tetrahedral DNA. Notably, the tetrahedral DNA (lane 15) possesses a high yield of over 85%

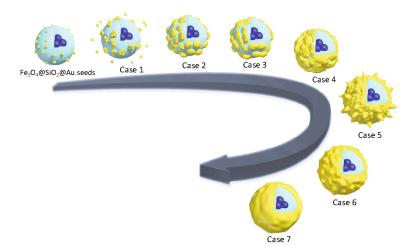


Figure 6 Scheme of the growth process of B-GMNPs.

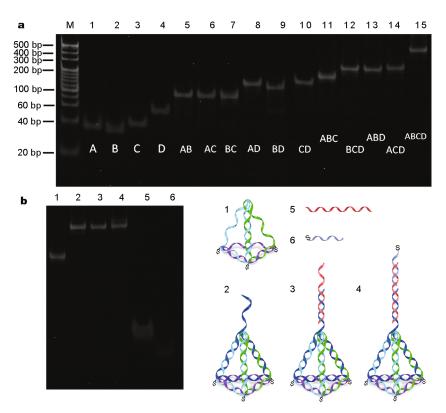


Figure 7 (a) Native PAGE (10%) analysis of the formation of DNA tetrahedron structure. 20 bp Ladder (lane M), four ssDNAs (lane 1–4), DNA hybridizations of two ssDNAs (lane 5–10), DNA hybridizations of three ssDNAs (lane 11–14), and DNA tetrahedron (lane 15); (b) native PAGE (8%) analysis of sandwich assay with DNA tetrahedron. Lane 1 represents ABC for a reference, lane 2 for DNA tetrahedron, lane 3 for DNA tetrahedron+target, lane 4 for DNA tetrahedron+target+probe DNA, lane 5 for target, lane 6 for probe DNA.

according to the one major band resolved during electrophoresis [37], which also suggests that the additional sequences and thiol groups did not significantly interfere with the assembly. Control studies show that the DNA tetrahedron moves more slowly than either the ssDNAs or other combinations which are lack of one or two strands.

These results confirm the successful assembly of the tetrahedron nanostructure. The native PAGE analysis shown in Fig. 7b verifies the effective hybridization of the target and probe DNA with DNA tetrahedron. The DNA tetrahedron, target and probe DNA were mixed in stoichiometric equivalents in TM buffer. Observing

lane 3 (tetrahedron+target), one can see that the DNA tetrahedrons-target band migrated slight slower than DNA tetrahedrons (lane 2), without any new band in the corresponding position of target (land 5), which suggests that the target was bound with the DNA tetrahedrons. Similarly, lane 4 (tetrahedron+target+probe DNA) also moved slower than lane 3 (tetrahedron+target) and did not see any new band of target or probe DNA (lane 6), even the complex of target and probe DNA, which suggests that the designed DNA tetrahedron can successfully capture the target and further hybridize with the probe DNA.

#### Characterizations of SERS tags

The formation of SERS tags was monitored by absorption spectra. Fig. S4a shows the absorption spectra of AuNPs after surface modification of probe DNA and further linking with DTNB, respectively. The absorption peak of the AuNPs is centered at 519 nm, and then red-shifts 4 to 523 nm after modification of SH-DNA via S-metal covalent bond. In the next step, Raman reporter DTNB occupied the rest regions on the surface of AuNPs, making the LSPR peak red-shift to 524.5 nm. The SERS response of the tags was characterized by dropping 20 µL SERS tags into micropores on a silicon wafer and performing SERS scans (177.2 mW laser power, 5s acquisition time) when the samples were dry. The SERS tags present strong DTNB Raman signal (Fig. S4b) with three apparent Raman peaks at 1060, 1331 and 1556 cm<sup>-1</sup>, which belong to the succinimidyl N-C-O stretch overlapping with aromatic ring modes, the symmetric nitro stretching vibration, and the aromatic ring vibration of DTNB [52,53], respectively. The SERS result indicates that the bright SERS tags have good performance on SERS signal output. The signal stability of the SERS tags was also investigated by storing the SERS tags in PBS at room temperature and recording their SERS spectra for over two weeks. Fig. S5a shows the time-dependent SERS spectra collected every other day, from fresh to 16 d. Fig. S5b plots the intensity at 1331 cm<sup>-1</sup> as a relationship of storage day, which shows a very small relative standard deviation (RSD) within 1.90%. The result reveals that the SERS tags possess a stable output of SERS signals even after two weeks' storage.

# Characterizations of the tetrahedral DNA modified B-GMNPs

Tetrahedral DNAs self-assembled onto Au shells by their thiol groups, and the surface modifications of B-GMNPs were monitored by zeta-potential characterizations and the data were shown in Table S2. The zeta-potential value

of B-GMNPs is -23.01±0.27 mV and then changes to -30.65±0.64 mV after surface modification with DNA tetrahedron due to a large number of negatively charged DNA molecules coating on the their surface, which indicates that the DNA tetrahedrons anchored on the Au shell successfully. In addition, given that the tetrahedral DNA contains three thiol groups on three vertices at the base, this 3D nanostructure is expected to be more readily and strongly anchored on Au surfaces of the B-GMNPs.

#### SERS assays of miRNA-21

Fig. 8a shows the SERS assay of the miRNA-21 with different concentrations in 80% human serum (CmiRNA-21, 1 fmol L<sup>-1</sup>, 10 fmol L<sup>-1</sup>, 100 fmol L<sup>-1</sup>, 1 pmol L<sup>-1</sup>, 10 pmol L<sup>-1</sup> and 100 pmol L<sup>-1</sup>), respectively, and a blank control was also detected by performing the assay without adding any miRNAs but human serum (black curve in Fig. 8a). The SERS spectra indicate that the miRNA-21 can be monitored by the 1331 cm<sup>-1</sup> SERS signal of SERS tags, and trace miRNA-21 as low as 1 fmol L<sup>-1</sup> could still be distinguished from the blank control. According to the concentration dependent SERS spectra, a good linear equation, *I*=413.1×log  $C_{\text{miRNA-21}}$ +6745.0 with a correlation coefficient ( $R^2$ ) of 0.998, is obtained between the peak intensity and the logarithm of miRNA-21 concentration from 1 fmol  $L^{-1}$  to 100 pmol L<sup>-1</sup> as shown in Fig. 8b. Defining the lowest concentration that produces a signal three times stronger than the standard deviation of the blank control [54,55], the limit of detection (LOD) is calculated to be 623 amol L<sup>-1</sup>. The specificity of the assay was further investigated by replacing miRNA-21 (1 nmol L<sup>-1</sup>) with equivalent amount of noncomplementary miRNAs (miRNA-486) and single-base mismatch miRNAs (listed in Table S1) respectively following the same sensing protocol. As shown in Fig. 8c, the characteristic peak at 1331 cm<sup>-1</sup> is difficult to be observed from the corresponding SERS spectra of noncomplementary (blue curve) and single-base mismatch (purple curve) detections with respect to the obvious SERS signal of complementary assay (red curve). The mixture containing three miRNAs miRNA-21/486/375 with the same concentration (1 nmol L-1) was also conducted, and the result indicates that miRNA-21 still could be readily distinguished from the SERS spectrum (orange curve). These experimental results demonstrate that the proposed method can sensitively and specifically recognize the target miRNAs in the complicated human serum with complex mixtures with negligible cross-impact, which indicates the proposed B-GMNPs integrating superparamagnetism with improved SERS activity can be used as good SERS

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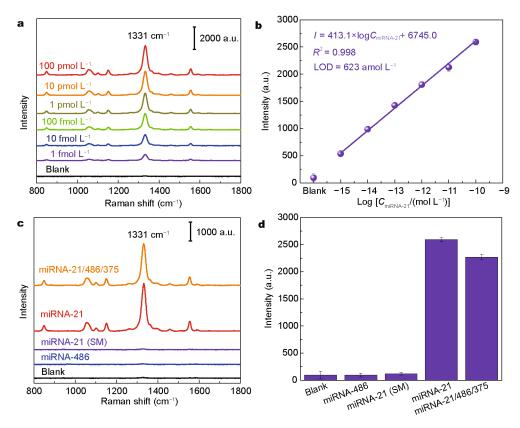


Figure 8 SERS assays for miRNA-21 in human serum. (a) Concentration-dependent SERS spectra; (b) linear fitting of the peak intensity at  $1331 \,\mathrm{cm}^{-1}$  as a function of concentration of miRNA-21; (c) specificity assessment: SERS spectra obtained from blank, noncomplementary miRNA-486, single-base mismatched miRNA, miRNA-21 and the mixture of three miRNA-21/375/486 (1 nmol L<sup>-1</sup>); (d) corresponding peak intensities at  $1331 \,\mathrm{cm}^{-1}$  (n=10).

substrates for biological detection with the functions of external magnetic field controlled sample separation and directional enrichment. What's more, the good sensitivity and specificity also take advantages of the DNA tetrahedrons, i.e., they are well-defined and rigid structures with consistently favorable orientation and well-defined spacing on the gold surface, inherently insensitive to nuclease degradation and resistive to protein adsorption in serum, compared to previously reported linear or stem-loop DNA structures.

As a control, the same sensing protocol for detecting miRNA-21 (1 pmol L<sup>-1</sup>) was performed by using S-GM-NPs. According to the two spectra shown in Fig. S6a and the corresponding peak intensities at 1331 cm<sup>-1</sup> shown in Fig. S6b, the SERS signal obtained from B-GMNPs sensing system is approximately 5.82 times as strong as that from S-GMNPs sensing system. The result reveals that an improved detection sensitivity can be achieved by utilizing the novel B-GMNPs, which is mainly attributed to three factors. Firstly, B-GMNPs have more "hot spots" with improved SERS activity. Secondly, in the sand-wich-structured composites forming after hybridization,

the branch-like protrusions on the B-GMNPs can couple with AuNPs SERS tags to generate enhanced SERS effect. Thirdly, B-GMNPs with rough surface topographies possess larger surface area for assembling more tetrahedral DNAs so as to enhance the ability to capture SERS tags.

#### CONCLUSIONS

In this work, a novel type of branched gold coated magnetic nanoparticles (B-GMNPs), Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@Au, has been prepared by a seed-mediated method together with the shape induction agent AgNO<sub>3</sub>. The growth process and mechanism, properties, as well as morphologically controlled synthesis of the magnetic core-branched gold shell nanostructures were also investigated by absorption spectra, TEM, HRTEM, SEM, SAED, XRD, VSM, and especially SERS. The B-GMNPs show enhanced SERS enhancement effect since there are many branched gold tips distributed on their surfaces. The B-GMNPs with improved SERS activity were further utilized to build SERS-active and magnetic detection capturers for sandwich-structured detection of cancer-related biomarker miRNA-21. In this step sulfhydryl groups decorated tetrahedral DNA cap-

turers were structured first by assembling four specially designed single stranded DNAs and then assembled onto the B-GMNPs via S-metal bonds. After incubation the SERS-active and magnetic capturers with serum samples and SERS tags, sandwich-structured composites of hybridization were magnetically purified and concentrated for SERS measurements. The SERS results illustrate that highly sensitive and specific detections of cancer-related biomarker can be obtained by a sandwich-structured sensing strategy by using tetrahedral DNA modified B-GMNPs as SERS-active capturers, magnetic separation and enrichment operators, and the LOD of the miRNA-21 in serum is 623 amol L<sup>-1</sup>. These experimental results indicates that the proposed B-GMNPs integrating superparamagnetism with improved SERS activity can be used as good SERS substrates with the functions of external magnetic field controlled sample separation and directional enrichment, which is highly advantageous to SERS-based biochemical sensing and detections.

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**Conflict of interest** The authors declare that they have no conflicts of interest.

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# 磁核枝杈状金壳纳米颗粒的制备及其在肿瘤核酸标志物SERS检测中的应用研究

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摘要 复合SERS活性和超顺磁性的磁核金壳纳米颗粒在SERS传感、样品分离与富集、定向输运等方面已有广泛应用. 已报道的基于磁核制备的金纳米壳大多为平滑的金壳,基于磁核生长枝杈状金壳可以提升颗粒的SERS增强性能,但相关报道甚少. 本文基于种子生长法并引入定向生长控制剂AgNO3,合成了一种新型的磁核枝杈状金壳纳米颗粒,系统地研究了金壳的生长过程与机制、表面形貌的控制生长以及对SERS性能的影响. 此外,设计并构建了具有良好的结构刚性、稳定性和空间定位能力的四面体DNA探针,并将其修饰到磁核枝杈状金壳纳米颗粒表面构建得到检测基底. 通过在金纳米颗粒表面修饰单链DNA构建SERS探针,利用碱基互补配对形成"检测基底-目标核酸-SERS探针"三明治结构复合物,借助外加磁场分离检测液中的复合物并富集后进行SERS检测,实现了对于血清中肿瘤核酸标志物miRNA-21的高灵敏、特异性检测,检测限达到623 amol L<sup>-1</sup>.