## TOBACCO (AH WEINBERGER, SECTION EDITOR)

# **Update of Adolescent Smoking Cessation Interventions:** 2009–2014

Patricia Simon · Grace Kong · Dana A. Cavallo · Suchitra Krishnan-Sarin

Published online: 27 January 2015

© Springer International Publishing AG 2015

Abstract The treatment of adolescent tobacco dependence is an imperative public health goal. Adolescent-focused smoking cessation interventions have shown modest results at most, indicating the need for the development of innovative and effective interventions for this vulnerable population. This review aims to provide an update of smoking cessation interventions for youth by reviewing the literature published between 2009 and November 2014 evaluating psychosocial and pharmacological interventions. Based on this examination, future directions for research in advancing the development of adolescent-focused tobacco treatments are provided.

**Keywords** Adolescent smoking cessation · Behavioral treatment · Nicotine replacement therapy · Bupropion · Varenicline

This article is part of the Topical Collection on Tobacco

P. Simon (\subseteq)

Division of Prevention and Community Research and The Consultation Center, Department of Psychiatry, Yale School of Medicine, 389 Whitney Avenue, New Haven, CT 06511, USA e-mail: p.simon@yale.edu

G. Kong · D. A. Cavallo · S. Krishnan-Sarin Division of Addictions, Department of Psychiatry, Yale School of Medicine, CMHC, 34 Park Street, New Haven, CT 06519, USA

G. Kong e-mail: grace.kong@yale.edu

D. A. Cavallo e-mail: dana.cavallo@yale.edu

S. Krishnan-Sarin e-mail: suchitra.krishnan-sarin@yale.edu

## Introduction

Adolescent cigarette smoking remains a major public health concern. Globally, 7 % of adolescent girls and 12 % of adolescent boys report being current smokers [1]. Smoking initiation in adolescence is strongly correlated with chronic cigarette smoking in adulthood [2]. Given that achieving smoking abstinence at a younger age is associated with greater health benefits than quitting at an older age [3], it is important to develop efficacious treatment for adolescents.

There are two broad categories of adolescent smoking cessation interventions: psychosocial and pharmacological interventions. Among psychosocial interventions, interventions based on motivational theory, cognitive behavioral theory, and social cognitive theory demonstrate efficacy for promoting smoking cessation [4–8]. Results for pharmacological interventions (e.g., nicotine replacement therapy) for adolescent smokers are promising, but more research in larger samples is needed to determine its efficacy for adolescent smoking cessation [4].

The most recent Cochrane review of adolescent smoking cessation interventions, psychosocial and pharmacological, used stringent inclusion criteria, limiting their analysis to randomized controlled trials (RCTs) and follow-ups to at least 6 months [8]. They found moderate effects showing improved smoking cessation outcomes relative to control for interventions guided by the transtheoretical model of change (TTM) and motivation enhancement (ME). Additionally, they did not observe significant effects for nicotine replacement therapy (NRT) or bupropion relative to placebo. This review concluded that there is insufficient evidence to suggest that one theoretical approach is more effective than others in helping adolescents to quit smoking [8].

A review that uses less stringent criteria may provide a more comprehensive perspective on innovations in adolescent smoking cessation. In the current review, we aimed to provide



a narrative review of the studies published in the past 5 years to evaluate new trends in adolescent smoking cessation interventions. Table 1 lists 22 studies published in the past 5 years and categorizes each study according to the theoretical model and the method of delivery. Below, we review these studies based on their theoretical approaches. Most recent studies are multi-theoretical, and we classified them based on what appears to be the primary theoretical model component being evaluated in the study.

## **Psychosocial Interventions**

Recent psychosocial interventions have been guided by social and cognitive approaches (e.g., cognitive behavioral theory [CBT]), contingency management (CM), motivational approaches (e.g., TTM, ME), the psychosocial dependency model (PDM), and the addiction model (AM).

#### Social and Cognitive-Based Approaches

Social and cognitive-based approaches, such as CBT and social cognitive theory (SCT) are the most frequently examined approaches for adolescent smoking cessation. CBT posits that maladaptive thoughts and emotions maintain smoking behavior. CBT for smoking cessation focuses on teaching skills to manage withdrawal symptoms and prevent relapse using self-monitoring, coping skills (e.g., stress management), stimulus control, positive reinforcement for abstinence or reduction of smoking, and by increasing self-efficacy. SCT, a variant of CBT posits that smoking behavior may be changed by altering social interactions among various cognitive, environmental, and behavioral factors to promote abstinence [9].

Since 2009, seven CBT/SCT studies have been published. Two were clinical trials examining American Lung Associations (ALA)'s Not on Tobacco (NoT). NoT is one of the most thoroughly investigated psychosocial adolescent smoking cessation interventions [10] and is listed by the Substance Abuse and Mental Health Services Administration [SAMHSA, 11] as a model treatment for smoking cessation among adolescents. NoT is delivered in a gender-specific group format to help adolescents quit or reduce cigarette smoking, increase alternative healthy lifestyle behaviors, and learn stress management, decision-making, coping, and interpersonal skills.

Joffe, McNeely, Colantuoni, et al. [12] conducted an RCT examining shorter session duration of NoT (n=92) and Kickin' Butts (KB; n=104) with a single informational session control group in separate high schools (NoT school control n=102; KB school control n=89) in Maryland (USA). NoT and KB are similar in that both interventions teach adolescents to assess their smoking patterns and practice self-control. NoT and KB differ in that NoT addresses risk factors

across multiple ecological levels (individual, family, community...etc.). Results using Bayesian analysis showed that students in the NoT program had higher cotinine-confirmed quit rates at the end of treatment (EOT; 23 vs. 19 %) and at 1month follow-up (18 vs. 11 %) compared to students in the control condition, but not at 6- or 12-month follow-ups. The quit rates of the students in the KB program did not differ from its respective control group at EOT and at follow-ups. A second study by Horn, Dino, Branstetter, et al. [13] evaluated NoT with and without adjunctive physical exercise and a 15min brief intervention (NoT n=13; NoT+FIT n=13; BI n=13) 14; M age=16.53 years) among adolescents from West Virginia (USA) who smoked at least once a month [13]. Adolescents in NoT+FIT received verbal encouragement to exercise, a physical activity log, and a pedometer. At 3-month postbaseline, CO-validated, 7-day quit rates showed that girls in NoT performed better than girls in BI (13.5 vs. 0 %), whereas boys performed better when they were in NoT+FIT compared to BI (23.7 vs. 9.7 %). At 6-month post-baseline, NoT+FIT participants were less likely to have smoked than BI participants (31.3 vs. 15.9 %).

One study examined CBT-based intervention in Native American adolescents in the USA. Patten, Fadahunsi, Hanza, et al. [14] conducted a group-based intervention for Alaskan Native adolescent smokers, which included talking circles, personal stories from elders, and recreational activities. A group randomized trial (program n=41 [M age=14.5 years]; wait-list control n=27 [M age=14.3 years]) of this intervention did not show an intervention effect relative to control on 7-day self-reported point prevalence (pp) tobacco abstinence at EOT and 6-month follow-up (10 vs. 0 % at both time points). However, at EOT, participants in the intervention reported reduced frequency tobacco use compared to baseline.

Two other studies examined the use of CBT-based interventions in Korean [15] and French [16] adolescents. Chun, Bae, and Min [15] evaluated CBT for smoking cessation among middle school smokers in South Korea; specifically, they compared a 6-session CBT intervention (n=35) with a 1-hour education session (n=45) using a pretest-posttest non-equivalence control group design. At EOT, intervention participants had significantly lower nicotine dependence relative to control condition. However, there was no significant difference in self-reported or bio-chemically confirmed abstinence.

Minary, Cambon, Martini, et al. [16] conducted a quasi-experimental study of  $TABagisme\ chez\ les\ ADOlescents$  (TABADO) among adolescent smokers (M age=16.9 years; TABADO n=386 [87.8 % daily smokers; M age=16.8 years]; control n=557 [90.4 % daily smokers; M age=16.9 years]) in vocational training centers in France. In the TABADO condition, all participants received an information session about tobacco use and 18.1 % of the TABADO participants chose to participate in the enhanced program (EP), which included four group CBT sessions and nicotine replacement therapy.



 Table 1
 Theoretical orientations and components presented in smoking cessation articles published from 2009 to July 2014

Author	Conditions									Deliver				
		Psychosocial					Pharmacological			Face-to-face		Technological		
		CBT/SCT	CM	ME/MI	TTM/HAPA	Other	Bup.	NRT	Var.	Group	Individual	Comp.	Tel.	Text
Audrain-McGovern et al., 2011	MI			x							х		х	
	Control (5A's)										X		X	
Bailey et al., 2013	CBT extended /NRT	x						X		X				
	CBT/NRT	X						X		X				
Bühler et al., 2012	CBT/MI (Losgelöst)	X		x						X	x		X	x
Burton et al., 2009	Addiction model					X				X				
	Psychosocial dependency model					X				X				
	Control (information pamphlet)					X								
Chun et al., 2012	CBT	X								X				
,	Control (information session)					X				X				
Colby et al., 2012	MI			X							x		X	
	Control (brief advice)										x			
Dalum et al. 2012	MI			X							x			
	Control (waitlist)													
Faessel et al., 2009	Var.								X		x			
	Control (placebo)										X			
Gray et al., 2011	CM/Bup.		X				x				X			
	Bup.						x				x			
	CM		x								x			
Gray et al., 2012	Bup.						X				x			
	Var.								x		x			
Guo et al., 2014	CBT		x							X	x		X	x
•	Control (information flyers)													
Horn et al., 2011	SCT (NoT+Fit)	x								X				
Jofee et al. 2009	Other (Kickin' Butts)					X				X				
Horn et al., 2011; Jofee et al., 2009	SCT (NoT)	x								x				
Horn et al., 2011; Jofee et al., 2009	Control (brief advice)									X				
Huang et al., 2013	TTM/HAPA (SMS-COACH)				X									x
	Control (assessment only)											X		x
Idrisov et al., 2013	CBT/ME (Project EX Russia)	X		X						X				
	Control (standard care)					X				X	x			
Krishnan-Sarin et al. 2013	CM		X								x			
	CBT	X									X			
	CM/CBT	X	X								x			
Minary et al., 2013	TABADO	X						X		X	X			
	Control (standard care)													
Patten et al., 2014	CBT	x								X				
	Control (waitlist)													
Pbert et al., 2011	CBT (Calling It Quits)	x									x			
	Control (information sessions)										x			
Peterson et al., 2009	CBT/MI	x		X								X	X	
	Control (no intervention)													
	NRT							X		X	X			



Table 1 (continued)

										Delivery method				
		Psychosocial					Pharmacological			Face-to-face		Technological		
Author	Conditions	CBT/SCT	CM	ME/MI	TTM/HAPA	Other	Bup.	NRT	Var.	Group	Individual	Comp.	Tel.	Text
Scherphof et al. a, 2014; Scherphof et al. b, 2014														
	Control (placebo)										X			
Shi et al., 2013	TTM Control (information pamphlets)				X							X		x

CBT cognitive behavioral therapy, SCT social cognitive theory, CM contingency management, ME/MI motivation enhancement/motivational interviewing, TTM transtheoretical model, HAPA health action process model, NRT nicotine replacement therapy, Bup. bupropion, Var. varenicline, Tel. telephone, Text text messaging, Comp. computer-based/web-based

Thirty-day point prevalence abstinence at 12-month follow-up showed that TABADO was more effective than the standard care control condition (10.6 vs. 7.4 %). The addition of EP did not seem to enhance treatment effects (EP: 5.7 %; non-EP TABADO: 11.7 %).

Bailey, Hagen, Jeffery, et al. [17] compared an extended duration CBT intervention (n=71) with standard school-based CBT group counseling (n=70) among adolescent smokers from California (USA) who smoked at least 10 cigarettes per day (M age=16.9 years). In both conditions, adolescents received 10 weeks of CBT group counseling and 9 weeks of nicotine replacement. The extended intervention involved 9 additional sessions over the course of 14 weeks. The extended intervention took a scaffolding approach, decreasing supports as youth developed skills for smoking cessation. Results of bio-confirmed 7-day pp abstinence at 6-month follow-up showed that extended CBT participants had higher abstinence rates compared to standard CBT participants (21 vs. 7 %). Pbert, Druker, DiFranza, et al. [18]'s Calling It Quits combined CBT with a different modality (i.e., 5A's: ask, advise, assess, assist, arrange). The 5A's approach is a set of smoking cessation guidelines recommended for all health professionals and endorsed by the USDHHS [19]. An RCT among adolescent smokers (Mage=16.8; 61.8 % daily smokers) from Massachusetts (USA) compared 4 sessions of Calling It Quits provided by a nurse (n=486) to a 4-session, informationattention control (n=582). The findings showed that Calling It Quits yielded significantly greater saliva cotinine-validated cessation rates than the control at 3-month follow-up among boys (10.4 vs. 2.3 %) but not among girls (4.3 vs. 5.5 %). However, these effects were not sustained at 12-month follow-up.

#### Contingency Management

Contingency management (CM) or behavioral reinforcement programs are based on the principles of operant conditioning.

Smoking, an operant behavior, is maintained by both the positive effects of nicotine consumption and the negative effects of nicotine withdrawal. CM interventions use positive reinforcement to promote abstinence [20]. Existing CM interventions for adolescents are characterized by short intervention periods and a variable amount of incentives (\$17.49–94.50; [21•]) for achieving and maintaining tobacco abstinence. A narrative review of CM interventions showed that CM with adolescents is feasible and that participation in CM-based interventions was associated with reductions in smoking [21•]. Since that review, Krishnan-Sarin, Cavallo, Cooney, et al. [22•] conducted an RCT examining the independent and combined effects of CM and CBT among Connecticut (USA) adolescent smokers (M age=16.1 years; M cigarettes smoked/ day=14). Participants in the CBT condition participated in weekly, 30-min CBT sessions, and those in the CM condition were reinforced for abstinence on an escalating magnitude schedule. At EOT, 7-day pp abstinence rates for CM (n=25)and CM+CBT (n=31) did not significantly differ from each other but were superior to abstinence rates observed for CBT (n=26; 36.3, 36.7, and 0 %, respectively). There was some advantage for CM+CBT for time to first cigarette during treatment (CBT: day 3, CM: day 9, CM+CBT: day 20). However, there was no difference observed at 1- and 3-month follow-ups.

## Motivation-Focused Interventions

Motivation-focused interventions may be guided by TTM [23] or MI [24]. TTM posits that abstinence can be achieved through increasing motivation to quit through movement in the following stages: precontemplation, contemplation, preparation, action, maintenance, and relapse [25]. Two trials have examined the use of TTM approaches among adolescent smokers since 2009.

Specifically, Shi, Jiang, Yu, and Zhang [26] conducted a cluster RCT examining the effectiveness of a 12-week long



intervention relative to an information pamphlet-only control (n=87) among high school, weekly smokers in Shanghai, China. The intervention provided TTM stage-matched text messages based on 5 topic areas: (a) health risks of smoking, (b) reasonable attitudes toward smoking, (c) how to initiate a quit attempt, (d) quitting-related skills, and (e) refusal skills and relapse prevention (n=92). In addition to providing stage-tailored feedback via text messages, participants were encouraged to use online chatting to support cessation. While there was not a significant intervention effect for 7-day or 30-day pp abstinence at EOT, the intervention condition, relative to the control condition yielded higher rates of smoking reduction (66 vs. 35 %) and advancement through quitting stages (52 vs. 18 %).

Additionally, Haug, Schaub, Venzin, et al. [27] conducted a cluster RCT in Switzerland to test the efficacy of a 3-month text messaging intervention (SMS-COACH; n=372) relative to an assessment-only control group (n=383) among a sample of 76.4 % daily smokers and M age=18.2 years. SMS-COACH was based on the health access processes approach (HAPA). HAPA is a motivation-focused approach to smoking cessation and builds upon TTM by identifying the social cognitive processes (expectancies, risk perception, perceived selfefficacy, planning processes, and self-regulation) that contribute to progression from non-active stages of change (precontemplation, contemplation, and preparation) to active stages of change (action and maintenance). SMS-COACH activities included an online smoking assessment, weekly text message-based smoking assessment, two weekly tailored text messages, and a quit day/relapse prevention text message. At 6-month post-baseline, the intervention and control groups showed no difference in 7-day pp abstinence (12.5 vs. 9.6 %) or 4-week pp abstinence (6.3 vs. 5.5 %). At followup, SMS-COACH participants did, however, reduce their cigarette consumption relative to control.

MI is an approach that is closely related to TTM. MI acknowledges that individuals are ambivalent toward change and is thought to influence smoking cessation through resolution of this ambivalence toward change [24]. Motivation enhancement therapy (MET) is an adaptation of MI. In MET, counseling strategies are personalized and objective feedback is incorporated and delivered using the MI framework. Evidence suggests that motivation-focused interventions are efficacious as stand-alone smoking cessation interventions; however, the effects tend to be small [28•]. Thus, motivation-focused interventions are more often utilized as an adjunct to existing interventions [28•]. Since 2009, seven smoking cessation interventions for adolescent smokers have evaluated MI alone or in combination with other psychosocial interventions.

Audrain-McGovern, Stevens, Murray, et al. [29], conducted a 12-week RCT comparing 5 sessions of MI (n=177) and 5 sessions of structured brief advice based on the 5A's (n=178)

among adolescent monthly smokers (age 14–18) from Pennsylvania (USA). Results showed that there was no significant difference between MI and 5A's at EOT (6 vs. 7 %) and 6-month follow-up: (6 vs. 6 %) on cotinine-validated 7-day pp abstinence. Interestingly, participants in the MI condition showed greater reductions in cigarettes smoked per day relative to participants in the 5A's condition (reduction in cigarette use per day=MI: 5.3, 5A's: 3.3).

Dalum, Paludan-Muller, Engholm, and Kok [30] compared a one-session, 3–5 min MI session and self-help materials (n= 642) with a waitlist control (n=505) among adolescent daily smokers (M age=17.7 years) in Denmark. Intervention participants were more likely than those in the waitlist control condition to self-report being abstinent at 1 month (4.8 vs. 1.5 %) but not at the 12-month follow-up (7.5 vs. 7.1 %).

Peterson, Kealey, Mann, et al. [31•] examined the use of a telephone-delivered MI intervention. Fifty high schools in Washington State (USA) were randomly assigned to either the phone intervention (n=25 schools; n=1058) or nointervention control condition (n=25 schools; n=1093). Adolescent monthly smokers in the phone intervention initially received 5-min of telephone counseling to quit smoking. At this time, adolescents who were not motivated to guit received up to 3 consecutive phone sessions. If adolescents were initially motivated to quit or became motivated to quit after the 3 sessions, they received up to 6 consecutive sessions. The intervention also included school-based print and electronic media and access to a change stage-tailored website. Intervention participants, when compared with the control group, had significantly higher self-reported 7-day (47.5 vs. 40.0 %), 1month (35.5 vs. 28.7 %), and 6-month (21.8 vs. 17.7 %) prolonged abstinence rates.

Four other studies examined the use of MI combined with other psychosocial interventions. Colby, Nargiso, Tevyaw, et al. [32] added a 1-week telephone booster session (15–20 min) and a brief parenting intervention (15–20 min) to an inperson, individual MI session (15–20 min) using an RCT design among adolescents who smoked at least once a week (M age=16.2 years). They compared this enhanced MI condition (n=79) to a brief advice session (BA; n=83). While they did not observe significant differences between MI and BA for CO and saliva cotinine-confirmed smoking abstinence at 1-month (4.5 vs. 1.4 %) and 3-month (3.3 vs. 6.8 %) followups, participants in the MI condition significantly reduced the number of cigarettes they smoked at 1-month follow-up.

Bühler, Wegmann, Schmidt, et al. [33] examined the *Losgelöst* intervention, which combines CBT and MI in 5 group sessions, one individual session, and a 4-week aftercare phase. During the aftercare phase, the participants receive one phone call and three MI-based short message services (SMS) via mobile telephone. A one-group-pretest-posttest design of this intervention among 139 adolescents (*M* age=14.9 years; daily smokers: 86 %) in Germany showed that 30.2 %



smokers had reported quitting and 37.7 % had reported reducing their cigarette consumption by half. Following after care, 24.4 % were self-reported to be abstinent.

Guo, Liao, Chang, et al. [34] compared a 12-week intervention that incorporated ME, skill building sessions, and alternative medicine to an educational flyers-only control among Taiwanese adolescents in vocational high schools (intervention n=78; control n=65). The intervention consisted of 6 45-min classroom sessions, self-study materials, coupon-based incentives, and acupuncture training. Additional components included 6 proactive phone counseling sessions and 10 text messages with smoking cessation cues and support. Students could opt to receive urine test after each session and received monetary reinforcement for abstinence. Intervention group urine cotinine-confirmed abstinence rates were significantly higher than control group abstinence rates at EOT (22.7 vs. 1.6 %) and 4-month (20.8 vs. 3.2 %) follow-up.

Idrisov, Sun, Akhmadeeva, et al. [35] compared Project Ex [36, 37] with standard care control among adolescent monthly smokers attending a summer camp in Russia (M age=16.7 years). Project Ex is listed by SAMHSA as a model treatment for smoking cessation among adolescents [SAMHSA, 11]. The program uses both MI and CBT and is delivered in a group format using enjoyable and motivating games and activities. At 6-month follow-up, intervention participants (n=76) reported higher rates of past 30-day abstinence than participants in the control condition (n=88; 7.5 vs. 0.1 %). Additionally, nicotine dependence was reduced among those who have not quit in the intervention condition at 6-month follow-up.

## Addiction Model vs. Psychosocial Dependency Model

The addiction model stresses the physiological aspects of nicotine dependence and how it relates to withdrawal symptoms [38]. In contrast, the psychosocial dependency model emphasizes the role that psychosocial and environmental factors play in continuation of smoking behaviors and their interplay with stress management [38]. In the past 5 years, one study evaluated the intervention guided by these models. Burton, Chakravorty, Weeks, et al. [39], randomized regular users of smokeless tobacco or cigarettes (n=244) from 16 high schools in California and Illinois (USA) into 5 sessions of PDM intervention, AM intervention, or the control intervention (a quitting tip sheet). Participants in the PDM group were more likely to report abstinence than those in the AM group (50.0 vs. 13.2 %). This difference was not significant in subgroup analyses for smokeless tobacco users only (24.0 vs. 35.3 %). At 4month follow-up, cotinine-validated cessation rates significantly differed for smokeless tobacco users who participated in any treatment (AM or PDM) vs. control group participants (14.3 vs. 0 %).



Pharmacologic interventions, such as nicotine replacement therapy (NRT), bupropion and varenicline have also been evaluated for adolescent smoking cessation. Meta-analyses have previously shown that there was not a significant effect of these pharmacotherapies for adolescent smokers [40•]. Below, we review recent advancements in pharmacology with adolescent smokers.

#### Nicotine Replacement Therapy

NRT is the most widely used pharmacotherapy for smoking cessation among adult smokers (Fiore et al., 2000). NRT replaces nicotine obtained from cigarettes to reduce withdrawal symptoms associated with smoking cessation, thus helping resist the urge to smoke cigarettes. Since 2009, one study examined the use of NRT (transdermal nicotine patch) for adolescent smoking cessation. Scherphof, van den Eijnden, Engels, and Vollebergh [41] used a randomized, doubleblind placebo-controlled trial among 257 adolescents in the Netherlands (Mage=16.7 years; treatment n=136; control n=129). Adolescent smokers received an information session followed by 6 or 9 weeks of NRT or placebo. Within the NRT group, participants smoking more than 20 cigarettes per day received a higher transdermal nicotine patch dose (3 weeks 21 mg/day, 3 weeks 14 mg/day, and 3 weeks 7 mg/day) to use daily for 9 weeks and those who smoked less than 20 cigarettes per day received a lower transdermal nicotine patch dose (3 weeks 14 mg/day and 3 weeks 7 mg/day) to use daily for 6 weeks.

NRT promoted self-reported abstinence at 2 weeks into treatment (31.9 vs. 21.3 %) but not at EOT (14.8 vs. 13.1 %). More compliant participants had significantly higher prolonged abstinence rates at EOT than less compliant participants (22.4 vs. 7.4 %). No differences were observed at 6- and 12-month follow-ups [42].

## Varenicline and Bupropion

Varenicline (Chantix) and bupropion (Zyban) are two approved smoking cessation medications for adult smokers. Varenicline is a partial agonist at the nicotinic receptors which binds to these receptors and may reduce withdrawal effects and cravings. Bupropion is a nicotinic acetylcholine-receptor antagonist that is a dopamine and norepinephrine reuptake inhibitor that has been shown to reduce craving for smoking, irritability, and depression symptoms [43]. At present, varenicline and bupropion are not approved for use among adolescents, as no well-powered efficacy trials have been published [8].

Faessel, Ravva, and Williams [44] conducted the only published study of varenicline among adolescents. They reported



on the pharmacokinetics, safety, and tolerability of varenicline in adolescent smokers (ages 12–16 years, ≥3 cigarettes per day) through a multicenter, randomized, double placebo-controlled, parallel-group study. Adolescent smokers were classified into high body weight (>55 kg; *n*=35) and low body weight (≤55 kg; *n*=37) groups. Within these groups, participants were randomized to receive a dose comparable to the standard adult dose (>55 kg: 1.0 mg twice daily; ≤55 kg: 0.5 mg twice daily), a lower dose (0.5 mg once daily), or placebo for 14 days. Among participants with high body weight, higher varenicline dosage was associated with greater reductions in smoking at 16-day follow-up. Among participants with low body weight, reductions in smoking were similar across standard dose, low dose, and placebo conditions.

Gray, Carpenter, Baker, et al. [45] evaluated the combination of bupropion sustained release (BSR) and CM (BSR+ CM) relative to various combinations of placebo medication and payment for attendance (non-CM) among adolescents and young adults. The treatment conditions were BSR+CM (M age=18.4 years; M cigarettes smoked per day=11.3),BSR+non-CM (M age=18.4 years; M cigarettes smoked per day=11.5), placebo+CM (M age=18.1 years; M cigarettes smoked per day=11.2), and placebo+non-CM (M age= 19.0 years; M cigarettes smoked per day=9.1). Participants were recruited from local secondary schools, colleges, and community. For 6 weeks, participants weighing at least 90 lbs received BSR 150 mg (or placebo) every morning for 3 days, which was then titrated to 150 mg twice daily. Participants weighing less than 90 lbs received BSR 150 mg once daily. In the CM conditions, participants were assessed twice weekly for urine cotinine-confirmed 7-day pp abstinence for 11 total testing opportunities. At EOT, BSR+CM (27.0 %) yielded higher abstinence rates than placebo+non-CM (9.4 %) and BSR+non-CM (8.3 %). At 12-week follow-up, the abstinence rates in BSR+CM were at 10.8 % relative to 0 % in the placebo+CM condition.

The second study by Gray et al. [46] was a doubleblind randomized trial of varenicline (n=15; M age= 19.1 years) and bupropion extended release (BXL; n=14; M age=18.7 years) among adolescents who smoked at least 5 cigarettes per day. Following 1 week titration, dose for varenicline was 1.0 mg twice daily for >55 kg body weight and 0.5 mg twice daily for ≤55 kg body weight. BXL participants received 300 mg after 1 week titration. Results showed that receiving 7 weeks of either varenicline or BXL may contribute to reductions in the number of cigarettes smoked among adolescents. There was, however, no difference in 7-day pp abstinence between varenicline and BXL at EOT (7 vs. 14.3 %) or 3-month follow-up (0 vs. 7 %). These findings are encouraging but preliminary due to the lack of a placebo control.

#### **Conclusions**

In summary, there is evidence to support the short-term efficacy of psychosocial interventions for smoking cessation among adolescents, especially those that contain cognitive behavioral elements. CM and MI have each shown some efficacy as stand-alone interventions. Additionally, interventions that include elements of TTM are a promising avenue. We are beginning to see evidence to support the efficacy of NRT, but there is insufficient evidence to determine the efficacy of pharmacological treatments such as bupropion and varenicline. Innovative delivery methods such as telephone, text messaging, and computer/web-based methods have grown in popularity and are used most often with MI-focused interventions. We propose below some areas which could be targeted for improving current smoking cessation interventions for adolescents.

While there have been significant advances in psychosocial and pharmacological interventions, some significant challenges for adolescent smoking cessation remain. First, despite significant intervention effects relative to control conditions, across psychosocial and pharmacological interventions, more than 50 % of intervention participants continue to smoke at end of treatment. Over the past 5 years, the highest EOT biochemically confirmed 7-day point prevalence abstinence rates have been reported by a CM/CBT intervention (36.7 %; [22•]). The highest self-reported abstinence rates at EOT have been reported by a MI/CBT intervention that used proactive telephone counseling (47.5 %; [31•]). Second, intervention effects on abstinence rarely persist at 6 months. Only 3 studies reported significant intervention effects at 6-month follow-up [13, 17, 31•]. Only one study reported significant intervention effects at 12month follow-up [16]. This suggests that more work needs to be done to improve both smoking cessation and long-term abstinence rates among adolescents.

In this regard, it may be important to consider some methods of improving existing interventions. It is possible that smoking cessation interventions can be tailored or enhanced by addressing the unique smoking cessation needs of adolescent smokers. Interventions that are tailored to youth's specific stage of change have shown efficacy [26, 27]. Interventions that are gender-specific are also effective [13]. Culturally tailored interventions have shown mixed findings [14, 15]. More research on tailoring interventions is needed. Additionally, attempts to enhance intervention effects through proactive contact initiation with adolescents may have utility. Interventions using counselor-initiated contacts such as proactive recruitment and proactive counseling appear to have significant intervention effects at 4- to 6-month follow-ups [27, 31•, 34]. Thus, there is some evidence that counselor-initiated contacts may contribute to more durable intervention effects.



We would also like to point out some methodological concerns. Previous reviews have commented that heterogeneous measurements limit comparisons of smoking cessation outcomes, and we would like to reiterate this important issue. Over the past 5 years, more studies have reported outcomes according to the standards suggested by the Society for Research on Nicotine and Tobacco [47]. Nevertheless, the outcomes reported varied substantially from self-reported abstinence rates to bio-chemically validated assessments, measures of nicotine dependence, or reductions in cigarette use. We need consistency in the measures used to make it easier to compare across interventions. Thus, future research should strive to adhere to recommended assessment measures.

Additionally, the reporting of research methodology regarding interventions needs to be improved. Researchers have acknowledged difficulty with categorizing interventions for literature reviews because programs are guided by "small pieces" of theories or multiple theories [6, 48]. This limits our ability to make strong conclusions about interventions guided by specific theoretical approaches. Perhaps intervention developers should provide more detailed information about the extent to which program elements are guided by a theory and the aspects of a theory that are included or excluded in program development. There is a precedence for assessing how closely programs align with their stated theoretical approach. McDonald et al. [7] in their review of adolescent smoking cessation interventions included ratings of theoretical fidelity for interventions. Using this approach, we can better elucidate the extent to which various theoretical components need to be represented for interventions to be effective.

Finally, more recent interventions have included multiple delivery methods. As Table 1 shows, it is not uncommon for interventions to include some combination of group, individual, computer-based, text-based, and telephone-based intervention. Phones have been used to conduct counseling sessions [31•] and to send tailored text messages to adolescents [26]. Preliminary evidence suggests that telephone counseling effects persist at 6-month follow-up [31•]. Among adolescents, research has shown that text messaging interventions contribute to reductions in smoking at EOT [26] and at 6month follow-up [27]. Evidence of their effect on abstinence, however, is lacking. Interestingly, most innovative delivery methods were employed by motivation-focused interventions, perhaps because adapting interventions primarily guided by CBT (or other behavioral therapies) may be more challenging. There is evidence, however, that CBT may be adapted for computerized substance abuse treatment interventions among adults [49]. This technology has yet to be transferred to research with adolescents and is another area for future research. Similar to interventions guided by multiple theories, there is limited research examining how the addition of various delivery modes contributes to intervention effects. Getting a better understanding of such differences will pave the way for improving future interventional research.

#### Acknowledgment

#### Compliance with Ethics Guidelines

**Conflict of Interest** Patricia Simon, Grace Kong, Dana A. Cavallo, and Suchitra Krishnan-Sarin declare that they have no conflicts of interest.

This work was supported by the National Institute on Drug Abuse (NIDA) under Grants P50 DA009241 and T32 DA019426. This work was also supported by CTSA Grant UL1 TR000142 and KL2 TR000140 from the National Center for Advancing Translational Science (NCATS), components of the National Institutes of Health (NIH), and NIH Roadmap for Medical Research. The content of this research is solely the responsibility of the authors and does not necessarily represent the official views of the funding agencies.

**Human and Animal Rights and Informed Consent** Informed consent, approved by the Yale School of Medicine IRB, was obtained from each subject in the study performed by the authors [22•].

#### References

Papers of particular interest, published recently, have been highlighted as:

- · Of importance
- Warren CW, Asma S, Lee J, et al. Global tobacco surveillance system. The GTSS Atlas Atlanta: CDC Foundation 2009.
- U.S. Department of Health and Human Services. Preventing tobacco use among youth and young adults: A report of the surgeon general. In: Atlanta: U.S. Department of Health and Human Services, Centers for Disease Control and Prevention, Office on Smoking and Health; 2012.
- U.S. Department of Health Human Services: The health consequences of smoking—50 years of progress: A report of the surgeon general. Atlanta, GA: US Department of Health and Human Services, Centers for Disease Control and Prevention, National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health 2014, 17.
- Sussman S, Sun P. Youth tobacco use cessation: 2008 update. Tob Induc Dis. 2009;5:3.
- Sussman S, Sun P, Dent CW. A meta-analysis of teen cigarette smoking cessation. Health Psychol. 2006;25:549–57.
- Sussman S. Effects of sixty six adolescent tobacco use cessation trials and seventeen prospective studies of self-initiated quitting. Tob Induc Dis. 2002;1:35–81.
- McDonald P, Colwell B, Backinger CL, et al. Better practices for youth tobacco cessation: evidence of review panel. Am J Health Behav. 2003;27 Suppl 2:S144–158.
- Stanton A, Grimshaw G. Tobacco cessation interventions for young people. Cochrane Database Syst Rev 2013, 8.
- Bandura A. Health promotion by social cognitive means. Health Educ Behav. 2004;31:143–64.
- Horn K, Dino G, Kalsekar I, Mody R. The impact of not on tobacco on teen smoking cessation. J Adolesc Res. 2005;20:640–61.
- National registry of evidence-based programs and practices [www.nrepp.samhsa.gov/Index.aspx]



- Joffe A, McNeely C, Colantuoni E, et al. Evaluation of schoolbased smoking-cessation interventions for self-described adolescent smokers. Pediatrics. 2009;124:e187–194.
- Horn K, Dino G, Branstetter SA, et al. Effects of physical activity on teen smoking cessation. Pediatrics. 2011;128:e801–811.
- Patten CA, Fadahunsi O, Hanza MM, et al. Tobacco cessation treatment for Alaska native adolescents: group randomized pilot trial. Nicotine Tob Res. 2014;16:836–45.
- Chun J, Bae YJ, Min SK. Effectiveness of smoking cessation program for male adolescents in South Korea. Child Youth Serv Rev. 2012;34:304–10.
- Minary L, Cambon L, Martini H, et al. Efficacy of a smoking cessation program in a population of adolescent smokers in vocational schools: a public health evaluative controlled study. BMC Public Health. 2013;13:149.
- Bailey SR, Hagen SA, Jeffery CJ, et al. A randomized clinical trial
  of the efficacy of extended smoking cessation treatment for adolescent smokers. Nicotine Tob Res 2013.
- Pbert L, Druker S, DiFranza JR, et al. Effectiveness of a school nurse-delivered smoking-cessation intervention for adolescents. Pediatrics. 2011;128:926–36.
- Fiore MC, Bailey WC, Cohen SJ, et al. Treating tobacco use and dependence: Clinical practice guideline. In: Treating tobacco use and dependence: Clinical practice guideline. DHHS; 2000.
- Petry NM. A comprehensive guide to the application of contingency management procedures in clinical settings. Drug Alcohol Depend. 2000;58:9–25.
- 21. Sigmon SC, Patrick ME. The use of financial incentives in promoting smoking cessation. Prev Med. 2012;55:S24–32. Thorough review of incentives for smoking cessation.
- 22.• Krishnan-Sarin S, Cavallo DA, Cooney JL, et al. An exploratory randomized controlled trial of a novel high-school-based smoking cessation intervention for adolescent smokers using abstinence-contingent incentives and cognitive behavioral therapy. Drug Alcohol Depend. 2013;132:346–51. Examines unique and combined effects of CM, CBT and CM+CBT; Largest bio-confimed abstience rates reported in the past 5 years.
- Prochaska JO, DiClemente CC. Stages and processes of self-change of smoking: toward an integrative model of change. J Consult Clin Psychol. 1983;51:390–5.
- Rollnick S, Miller WR. What is motivational interviewing? Behav Cogn Psychother. 1995;23:325–34.
- Velicer WF, Norman GJ, Fava JL, Prochaska JO. Testing 40 predictions from the transtheoretical model. Addict Behav. 1999;24: 455–69.
- Shi HJ, Jiang XX, Yu CY, Zhang Y. Use of mobile phone text messaging to deliver an individualized smoking behaviour intervention in Chinese adolescents. J Telemed Telecare. 2013;19: 282-7.
- Haug S, Schaub MP, Venzin V, et al. Efficacy of a text messagebased smoking cessation intervention for young people: a cluster randomized controlled trial. J Med Internet Res. 2013;15:e171.
- 28. Barnett E, Sussman S, Smith C, et al. Motivational interviewing for adolescent substance use: a review of the literature. Addict Behav. 2012;37:1325–34. Thorough review of Motivational Interviewing for Adolescent Substance Use.
- Audrain-McGovern J, Stevens S, Murray PJ, et al. The efficacy of motivational interviewing versus brief advice for adolescent smoking behavior change. Pediatrics. 2011;128:e101–111.
- Dalum P, Paludan-Muller G, Engholm G, Kok G. A cluster randomised controlled trial of an adolescent smoking cessation intervention: short and long-term effects. Scand J Public Health. 2012;40:167–76.
- 31. Peterson Jr AV, Kealey KA, Mann SL, et al. Group-randomized trial of a proactive, personalized telephone counseling intervention for

- adolescent smoking cessation. J Natl Cancer Inst. 2009;101:1378–92. Examines effects of an MI- and CBT-based intervention that uses proactive telephone counseling; Highest self-reported abstinence rates reported in the past 5 years.
- Colby SM, Nargiso J, Tevyaw TO, et al. Enhanced motivational interviewing versus brief advice for adolescent smoking cessation: results from a randomized clinical trial. Addict Behav. 2012;37: 817–23.
- Bühler A, Wegmann L, Schmidt A, et al. Rekrutierung, implementation und aufhörrate eines rauchstopp-kurses für jugendliche.
   SUCHT-Zeitschrift für Wissenschaft und Praxis/J Addict Res Pract. 2012;58:23–32.
- Guo JL, Liao JY, Chang LC, et al. The effectiveness of an integrated multicomponent program for adolescent smoking cessation in Taiwan. Addict Behav. 2014;39:1491–9.
- Idrisov B, Sun P, Akhmadeeva L, et al. Immediate and six-month effects of Project EX Russia: a smoking cessation intervention pilot program. Addict Behav. 2013;38:2402–8.
- Sussman S, Dent CW, Lichtman KL. Project EX: outcomes of a teen smoking cessation program. Addict Behav. 2001;26: 425–38.
- Sussman S, McCuller WJ, Zheng H, et al. Project EX: a program of empirical research on adolescent tobacco use cessation. Tob Induc Dis. 2004;2:119–32.
- Burton D. Tobacco cessation among teenagers. In: Piha T, Richmond R, editors. Interventions for smokers: An international perspective. London: Wilkins & Wilkins; 1994: 95-106.
- Burton D, Chakravorty B, Weeks K, et al. Outcome of a tobacco use cessation randomized trial with high-school students. Subst Use Misuse. 2009;44:965–80.
- 40.• Kim Y, Myung SK, Jeon YJ, et al. Effectiveness of pharmacologic therapy for smoking cessation in adolescent smokers: meta-analysis of randomized controlled trials. Am J Health Syst Pharm. 2011;68: 219–26. Thorough review of pharmacologic interventions for smoking cessation among adolescents.
- Scherphof CS, van den Eijnden RJJM, Engels RCME, Vollebergh WAM. Short-term efficacy of nicotine replacement therapy for smoking cessation in adolescents: a randomized controlled trial. J Subst Abuse Treat. 2014;46:120–7.
- Scherphof CS, van den Eijnden RJJM, Engels RCME, Vollebergh WAM. Long-term efficacy of nicotine replacement therapy for smoking cessation in adolescents: a randomized controlled trial. Drug Alcohol Depend. 2014;140:217–20.
- Slemmer JE, Martin BR, Damaj MI. Bupropion is a nicotinic antagonist. J Pharmacol Exp Ther. 2000;295:321–7.
- Faessel H, Ravva P, Williams K. Pharmacokinetics, safety, and tolerability of varenicline in healthy adolescent smokers: a multicenter, randomized, double-blind, placebo-controlled, parallelgroup study. Clin Ther. 2009;31:177–89.
- Gray KM, Carpenter MJ, Baker NL, et al. Bupropion SR and contingency management for adolescent smoking cessation. J Subst Abuse Treat. 2011;40:77–86.
- Gray KM, Carpenter MJ, Lewis AL, et al. Varenicline versus bupropion XL for smoking cessation in older adolescents: a randomized, double-blind pilot trial. Nicotine Tob Res. 2012;14: 234–9.
- Hughes JR, Keely JP, Niaura RS, et al. Measures of abstinence in clinical trials: issues and recommendations. Nicotine Tob Res. 2003;5:13–25.
- Curry SJ, Mermelstein RJ, Sporer AK. Therapy for specific problems: youth tobacco cessation. Annu Rev Psychol. 2009;60: 229–55.
- Kiluk BD, Carroll KM. New developments in behavioral treatments for substance use disorders. Curr Psychiatry Rep. 2013;15:420.

