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The Geometry of m-Hyperconvex Domains

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Abstract We study the geometry of m-regular domains within the Caffarelli–Nirenberg–Spruck model in terms of barrier functions, envelopes, exhaustion functions, and Jensen measures. We prove among other things that every m-hyperconvex domain admits an exhaustion function that is negative, smooth, strictly m-subharmonic, and has bounded m-Hessian measure.

1 Introduction

The geometry of the underlying space is usually essential when studying a given problem in analysis. The starting point of this paper is the model presented by Caf-

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farelli et al. [16] in 1985 that makes it possible to investigate the transition between potential and pluripotential theories. Their construction relies on Gårding's research on hyperbolic polynomials [27]. The authors of [16] also provided a very nice application to special Lagrangian geometry, which was in itself introduced as an example within calibrated geometry [30]. With the publications of [9], and [45], many analysts and geometers became interested in the Caffarelli–Nirenberg–Spruck model. To mention some references [23,37,47,49,51,64,71]. A usual assumption in these studies is that the underlying domain should admit a continuous exhaustion function that is *m*-subharmonic in the sense of Caffarelli et al. (see Sect. 2 for the definition of *m*-subharmonic functions). In this paper, we shall study the geometric properties of these domains. Let us now give a thorough background on the motivation behind this paper. It all starts with the following theorem:

Theorem A *Assume that Ω is a bounded domain in $\mathbb{R}^N$, $N \geq 2$. Then the following assertions are equivalent.*

(1) $\partial\Omega$ is *regular* at every boundary point $y_0 \in \partial\Omega$, in the sense that

$$\lim_{\substack{x \to y_0 \\ x \in \Omega}} \mathrm{PWB}_f(x) = f(y_0),$$

for each continuous function $f : \partial\Omega \to \mathbb{R}$. Here

$$\mathrm{PWB}_f(x) = \sup \left\{ v(x) : v \in \mathcal{SH}(\Omega), \ \overline{\lim_{\substack{\zeta \to \xi \\ \zeta \in \Omega}}} v(\zeta) \leq f(\xi), \ \forall \xi \in \partial\Omega \right\},$$

and $\mathcal{SH}(\Omega)$ is the space of subharmonic functions defined on Ω;

(2) $\partial\Omega$ has a *strong barrier* at every point $y_0 \in \partial\Omega$ that is subharmonic, i.e., there exists a subharmonic function $u : \Omega \to \mathbb{R}$ such that

$$\lim_{\substack{x \to y_0 \\ x \in \Omega}} u(x) = 0,$$

and

$$\limsup_{\substack{x \to y \\ x \in \Omega}} u(x) < 0 \qquad \text{for all } y \in \bar{\Omega} \setminus \{y_0\}.$$

(3) $\partial\Omega$ has a *weak barrier* at every point $y_0 \in \partial\Omega$ that is subharmonic, i.e., there exists a subharmonic function $u : \Omega \to \mathbb{R}$ such that $u < 0$ on Ω and

$$\lim_{\substack{x \to y_0 \\ x \in \Omega}} u(x) = 0.$$

(4) Ω admits an *exhaustion function* that is negative and subharmonic, i.e., there exists a non-constant function $\psi : \Omega \to \mathbb{R}$ such that for any $c \in \mathbb{R}$ the set

$\{x \in \Omega : \psi(x) < c\}$ is relatively compact in Ω. Furthermore, the exhaustion function should be negative and subharmonic.

(5) $\partial\Omega$ is equal to the *Jensen boundary* w.r.t. the Jensen measures generated by the cone of functions that are continuous on $\bar{\Omega}$, and subharmonic on Ω (see Sect. 2 for definitions).

The idea of a regular boundary point can be traced back to 1911 and 1912 with the works of Zaremba [70] and Lebesgue [42], respectively, when they constructed examples that exhibit the existence of irregular points. A decade after these examples, Perron introduced in 1923 the celebrated envelope construction PWB$_f$ (see Condition (1)). The work on PWB$_f$ was later continued by Wiener [66–68], and in our setting concluded by Brelot [11] in 1939. The notion of barrier goes further back in time; it can be found in the work of Poincaré [53] from 1890. The implication $(3) \Rightarrow (1)$ is due to Bouligand [10] who generalized a result of Lebesgue [43]. The equivalence with assertion (5) originates from the study of function algebras known as Choquet theory, which was developed in the 50's and 60's by Bauer, Bishop, Choquet, de Leeuw, and others (see e.g., [25,28,29] and the references therein). For a beautiful treatise on Choquet theory we highly recommend [48].

Inspired by the beauty of the equivalences in Theorem A, analysts started to investigate these notions within the model introduced by Lelong [44] and Oka [50] in 1942, where subharmonic functions are changed to plurisubharmonic functions. The unit polydisc in $\mathbb{C}^n$, $n \geq 2$, shows that the notions of weak and strong barrier for plurisubharmonic functions are not equivalent. Instead, we have Theorems B and C below, where we assume that $n \geq 2$. If $n = 1$, then the two theorems become Theorem A since subharmonic functions are then the same as plurisubharmonic functions.

Theorem B *Assume that Ω is a bounded domain in $\mathbb{C}^n$, $n \geq 2$. Then the following assertions are equivalent.*

(1) $\partial\Omega$ is *B-regular* at every boundary point $z_0 \in \partial\Omega$, in the sense that

$$\lim_{\substack{z \to z_0 \\ z \in \Omega}} \mathrm{PB}_f(z) = f(z_0),$$

for each continuous function $f : \partial\Omega \to \mathbb{R}$. Here

$$\mathrm{PB}_f(z) = \sup\left\{ v(z) : v \in \mathcal{PSH}(\Omega), \ \overline{\lim_{\substack{\zeta \to \xi \\ \zeta \in \Omega}}} v(\zeta) \leq f(\xi), \ \forall \xi \in \partial\Omega \right\}.$$

Here $\mathcal{PSH}(\Omega)$ is the space of plurisubharmonic functions defined on Ω;

(2) $\partial\Omega$ has a strong barrier at every point that is plurisubharmonic;

(3) Ω admits an exhaustion function φ that is negative, smooth, plurisubharmonic, and such that $\left(\varphi(z) - |z|^2\right)$ is plurisubharmonic.

(4) $\partial\Omega$ is equal to the Jensen boundary w.r.t. the Jensen measures generated by the cone of functions that are continuous on $\bar{\Omega}$, and plurisubharmonic on Ω.

In 1959, Bremermann [13] adopted the idea from assertion (1) in Theorem A to pluripotential theory (see (1) in Theorem B). He named his construction the Perron–Carathéodory function after the articles [15,54]. The name did not survive the passage of time, and now it is known as the Perron–Bremermann envelope. Drawing inspiration from Choquet theory, and its representing measures [28,29,56], Sibony proved Theorem B in the article [58], which was published in 1987. There he also put these conditions in connection with Catlin's property (*P*), and the $\bar{\partial}$-Neumann problem. The last condition in assertion (3) means that we have that

$$\sum_{j,k=1}^{n} \frac{\partial^2 \varphi}{\partial z_j \partial \bar{z}_k} \alpha_j \bar{\alpha}_k \geq |\alpha|^2, \quad \text{for all } \alpha \in \mathbb{C}^n.$$

Hence, one can interpret φ as being *uniformly* strictly plurisubharmonic.

Theorem C *Assume that Ω is a bounded domain in $\mathbb{C}^n$, $n \geq 2$. Then the following assertions are equivalent.*

(1) Ω is *hyperconvex* in the sense that it admits an exhaustion function that is negative and plurisubharmonic;
(2) $\partial\Omega$ has a weak barrier at every point that is plurisubharmonic;
(3) Ω admits an exhaustion function that is negative, smooth and strictly plurisubharmonic;
(4) For every $z \in \partial\Omega$, and every Jensen measure μ, which is generated by the cone of functions that are continuous on $\bar{\Omega}$, and plurisubharmonic on Ω, we have that μ is carried by $\partial\Omega$.

Historically, the notion of hyperconvexity was introduced by Stehlé in 1974 in connection with the Serre conjecture, and later in 1981 Kerzman and Rosay [39] proved the equivalence of the three first assertions (see also [6]). Kerzman and Rosay also studied which pseudoconvex domains are hyperconvex. We shall not address this question here (see e.g., the introduction of [5] for an up-to-date account of this question). Carlehed et al. [17] showed in 1999 the equivalence between (1) and (4). In connection with Theorems B and C, we would like to mention the inspiring article [8] written by Błocki, the first part of which is a self-contained survey on plurisubharmonic barriers and exhaustion functions in complex domains.

As we mentioned at the beginning of this exposé, the purpose of this paper is to study the geometry of the corresponding notions *B*-regular and hyperconvex domains within the Caffarelli–Nirenberg–Spruck model. More precisely, in Theorem 4.3, we prove what degenerates into Theorem B when $m = n$, and in Theorem 4.1, we prove what is Theorem C in the case $m = n$, except for the corresponding implication (1) $\Rightarrow$ (3). This we prove in Sect. 5 due to the different techniques used, and the length of that proof. In the case when $m = 1$, our Theorems 4.3 and 4.1 (together with Theorem 5.4) merge into Theorem A above with $N = 2n$.

This article is organized as follows. In Sect. 2, we shall state the necessary definitions and some preliminaries needed for this paper, and then in Sect. 3, we shall prove some basic facts about *m*-hyperconvex domains (Theorem 3.5). From Sect. 3,

and Theorem 3.5 we would like to emphasize property (3). Up until now authors have defined m-hyperconvex domains to be bounded domains that admit an exhaustion function that is negative, continuous, and m-subharmonic. We prove that the assumption of continuity is superfluous. This result is also the starting point of the proof of Theorem 5.4. In Sect. 4, we prove Theorems 4.3 and 4.1, as mentioned above, which correspond to Theorems B and C, respectively. We end this paper by showing that every m-hyperconvex domain admits a smooth and strictly m-subharmonic exhaustion function (Theorem 5.4; see implication (1) $\Rightarrow$ (3) in Theorem C).

We end this introduction by highlighting an opportunity for future studies related to this paper. As convex analysis and pluripotential theory lives in symbiosis, Trudinger and Wang [60] draw their inspiration from the work of Caffarelli et al., and in 1999 they presented a model that makes it possible to study the transition between convex analysis and potential theory. For further information see e.g., [59–61,65]. As [63] indicates, further studies of the geometric properties of what could be named *k-convex domains* are of interest. We leave these questions to others.

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2 Preliminaries

In this section, we shall present the necessary definitions and fundamental facts needed for the rest of this paper. For further information related to potential theory see e.g., [4, 24,41], and for more information about pluripotential theory see e.g., [22,40]. We also want to mention the highly acclaimed book written by Hörmander called "*Notions of convexity*" [36]. Abdullaev and Sadullaev [3] have written an article that can be used as an introduction to the Caffarelli–Nirenberg–Spruck model. We recommend also Lu's doctoral thesis [46]. We would like to point out that m-subharmonic functions in the sense of Caffarelli et al. is not equivalent of being subharmonic on m-dimensional hyperplanes in $\mathbb{C}^n$ studies by others (see e.g., [1,2]). For other models in connection to plurisubharmonicity see e.g., [31–33].

Let $\Omega \subset \mathbb{C}^n$ be a bounded domain, $1 \leq m \leq n$, and define $\mathbb{C}_{(1,1)}$ to be the set of $(1, 1)$-forms with constant coefficients. With this notation we define

$$\Gamma_m = \left\{ \alpha \in \mathbb{C}_{(1,1)} : \alpha \wedge \beta^{n-1} \geq 0, \ldots, \alpha^m \wedge \beta^{n-m} \geq 0 \right\},$$

where $\beta = dd^c |z|^2$ is the canonical Kähler form in $\mathbb{C}^n$.

Definition 2.1 Assume that $\Omega \subset \mathbb{C}^n$ is a bounded domain, and let u be a subharmonic function defined in Ω. Then we say that u is *m-subharmonic*, $1 \leq m \leq n$, if the following inequality holds

$$dd^c u \wedge \alpha_1 \wedge \cdots \wedge \alpha_{m-1} \wedge \beta^{n-m} \geq 0,$$

in the sense of currents for all $\alpha_1, \ldots, \alpha_{m-1} \in \Gamma_m$. With $\mathcal{SH}_m(\Omega)$ we denote the set of all m-subharmonic functions defined on Ω. We say that a function u is *strictly m-subharmonic* if it is m-subharmonic on Ω, and for every $p \in \Omega$ there exists a constant $c_p > 0$ such that $u(z) - c_p|z|^2$ is m-subharmonic in a neighborhood of p.

Remark From Definition 2.1 it follows that

$$\mathcal{PSH} = \mathcal{SH}_n \subset \cdots \subset \mathcal{SH}_1 = \mathcal{SH}.$$

In Theorem 2.2, we give a list of well-known properties that m-subharmonic functions enjoy.

Theorem 2.2 *Assume that $\Omega \subset \mathbb{C}^n$ is a bounded domain, and $1 \leq m \leq n$. Then we have that*

(1) *If $u, v \in \mathcal{SH}_m(\Omega)$, then $su + tv \in \mathcal{SH}_m(\Omega)$, for constants $s, t \geq 0$;*
(2) *If $u, v \in \mathcal{SH}_m(\Omega)$, then $\max\{u, v\} \in \mathcal{SH}_m(\Omega)$;*
(3) *If $\{u_\alpha\}$ is a locally uniformly bounded family of functions from $\mathcal{SH}_m(\Omega)$, then the upper semicontinuous regularization*

$$\left(\sup_\alpha u_\alpha \right)^*$$

defines a m-subharmonic function;
(4) *If $\{u_j\}$ is a sequence of functions in $\mathcal{SH}_m(\Omega)$ such that $u_j \searrow u$ and there is a point $z \in \Omega$ such that $u(z) > -\infty$, then $u \in \mathcal{SH}_m(\Omega)$;*
(5) *If $u \in \mathcal{SH}_m(\Omega)$ and $\gamma : \mathbb{R} \to \mathbb{R}$ is a convex and nondecreasing function, then $\gamma \circ u \in \mathcal{SH}_m(\Omega)$;*
(6) *If $u \in \mathcal{SH}_m(\Omega)$, then the standard regularization given by the convolution $u \star \rho_\varepsilon$ is m-subharmonic in $\{z \in \Omega : \operatorname{dist}(z, \partial\Omega) > \varepsilon\}$. Here we have that*

$$\rho_\varepsilon = \varepsilon^{-2n} \rho\left(\frac{z}{\varepsilon}\right),$$

$\rho : \mathbb{R}_+ \to \mathbb{R}_+$ is a smooth function such that $\rho(z) = \rho(|z|)$ and

$$\rho(t) = \begin{cases} \frac{C}{(1-t)^2} \exp\left(\frac{1}{t-1}\right) & \text{when } t \in [0, 1] \\ 0 & \text{when } t \in (1, \infty), \end{cases}$$

where C is a constant such that $\int_{\mathbb{C}^n} \rho(|z|^2)\beta^n = 1$;
(7) *If $\omega \Subset \Omega$, $u \in \mathcal{SH}_m(\Omega)$, $v \in \mathcal{SH}_m(\omega)$, and $\overline{\lim}_{z \to w} v(z) \leq u(w)$ for all $w \in \partial\omega$, then the function defined by*

$$\varphi = \begin{cases} u, & \text{on } \Omega \setminus \omega, \\ \max\{u, v\}, & \text{on } \omega, \end{cases}$$

is m-subharmonic on Ω;

We shall need several different envelope constructions. We have gathered their definitions and notations in Definition 2.3.

Definition 2.3 Assume that $\Omega \subset \mathbb{C}^n$ is a bounded domain, and $1 \leq m \leq n$.

(a) For $f \in \mathcal{C}(\bar{\Omega})$ we define

$$\mathbf{S}_f(z) = \sup \{v(z) : v \in \mathcal{SH}_m(\Omega), v \leq f\},$$

and similarly

$$\mathbf{S}_f^c(z) = \sup \left\{v(z) : v \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega}), v \leq f\right\}.$$

(b) If instead $f \in \mathcal{C}(\partial\Omega)$, then we let

$$\mathbf{S}_f(z) = \sup \left\{v(z) : v \in \mathcal{SH}_m(\Omega), v^* \leq f \ \text{on} \ \partial\Omega\right\},$$

and

$$\mathbf{S}_f^c(z) = \sup \left\{v(z) : v \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega}), v \leq f \ \text{on} \ \partial\Omega\right\}.$$

Remark Assume that $m = 1$. If $\Omega \subset \mathbb{C}^n$ $(\cong \mathbb{R}^{2n})$ is a regular domain in the sense of Theorem A, and if $f \in \mathcal{C}(\partial\Omega)$, then PWB_f (defined also in Theorem A) is the unique harmonic function on Ω, continuous on $\bar{\Omega}$, such that $\mathrm{PWB}_f = f$ on $\partial\Omega$. Therefore, we have that $\mathbf{S}_f(z) = \mathbf{S}_{\mathrm{PWB}_f}(z)$, and $\mathbf{S}_f^c(z) = \mathbf{S}_{\mathrm{PWB}_f}^c(z)$.

In Definition 2.4, we state the definition of relative extremal functions in our setting.

Definition 2.4 Assume that $E \Subset \Omega$ is an open subset such that $\Omega \setminus \bar{E}$ is a regular domain in the sense of Theorem A. Then we make the following definitions

$$\mathbf{S}_E(z) = \sup \{v(z) : v \in \mathcal{SH}_m(\Omega), v \leq -1 \ \text{on} \ E, v \leq 0\},$$

and

$$\mathbf{S}_E^c(z) = \sup \left\{v(z) : v \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega}), v \leq -1 \ \text{on} \ E, v \leq 0\right\}.$$

Remark From well-known potential theory, we have that if h_E is the unique harmonic function defined on $\Omega \setminus \bar{E}$, continuous on $\bar{\Omega} \setminus E$, $h_E = 0$ on $\partial\Omega$, $h_E = -1$ on ∂E, and if we set

$$\mathbf{H}_E(z) = \begin{cases} h_E(z) & \text{if } z \in \bar{\Omega} \setminus E \\ -1 & \text{if } z \in E, \end{cases}$$

then we have that $\mathbf{S}_E(z) = \mathbf{S}_{\mathbf{H}_E}(z)$. To see it assume that $v \in \mathcal{SH}_m(\Omega)$, $v \leq -1$ on E, $v \leq 0$, then $v \leq h_E$ on $\bar{\Omega} \setminus E$ and therefore $v \leq \mathbf{H}_E$, which means that $\mathbf{S}_E \leq \mathbf{S}_{\mathbf{H}_E}$. On the other hand since $\mathbf{S}_{\mathbf{H}_E}^* \in \mathcal{SH}_m(\Omega)$ and $\mathbf{S}_{\mathbf{H}_E}^* \leq -1$ on E which implies that

$$\mathbf{S}_{\mathbf{H}_E}^* \leq \mathbf{S}_E \leq \mathbf{S}_{\mathbf{H}_E} \leq \mathbf{S}_{\mathbf{H}_E}^*.$$

Błocki's generalization of Walsh's celebrated Theorem [62], and an immediate consequence will be needed as well.

Theorem 2.5 *Let Ω be a bounded domain in $\mathbb{C}^n$, and let $f \in \mathcal{C}(\bar{\Omega})$. If for all $w \in \partial\Omega$ we have that $\lim_{z \to w} \mathbf{S}_f(z) = f(w)$, then $\mathbf{S}_f \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$.*

Proof See Proposition 3.2 in [9]. □

A direct consequence of Theorem 2.5 is the following.

Corollary 2.6 *Let Ω be a bounded domain in $\mathbb{C}^n$, and let $f \in \mathcal{C}(\bar{\Omega})$. If for all $w \in \partial\Omega$ we have that $\lim_{z \to w} \mathbf{S}_f^c(z) = f(w)$, then $\mathbf{S}_f^c = \mathbf{S}_f \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$.*

Proof First note that

$$\mathbf{S}_f^c \leq \mathbf{S}_f \leq f.$$

Therefore, if

$$\lim_{z \to w} \mathbf{S}_f^c(z) = f(w),$$

holds for all $w \in \partial\Omega$, then

$$\lim_{z \to w} \mathbf{S}_f(z) = f(w).$$

Hence, by Theorem 2.5, we get that $\mathbf{S}_f \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$, which gives us that $\mathbf{S}_f \leq \mathbf{S}_f^c$. Thus, $\mathbf{S}_f = \mathbf{S}_f^c$. □

In Sect. 4, we shall make use of techniques from Choquet theory, in particular Jensen measures w.r.t. the cone $\mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$ of continuous functions. This is possible since $\mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$ contains the constant functions and separates points in $\mathcal{C}(\bar{\Omega})$. Our inspiration can be traced back to the works mentioned in the introduction, but maybe more to [17] and [35].

Definition 2.7 Let Ω be a bounded domain in $\mathbb{C}^n$, and let μ be a non-negative regular Borel measure defined on $\bar{\Omega}$. We say that μ is a *Jensen measure with barycenter* $z_0 \in \bar{\Omega}$ *w.r.t.* $\mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$ if

$$u(z_0) \leq \int_{\bar{\Omega}} u \, d\mu \qquad \text{for all } u \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega}).$$

The set of such measures will be denoted by $\mathcal{J}_{z_0}^m$. Furthermore, the *Jensen boundary* w.r.t. $\mathcal{J}_{z_0}^m$ is defined as

$$\partial_{\mathcal{J}^m} = \left\{ z \in \bar{\Omega} : \mathcal{J}_z^m = \{\delta_z\} \right\}.$$

Remark The Jensen boundary is another name for the Choquet boundary w.r.t. a given class of Jensen measures. For further information see e.g., [12,48].

Remark There are many different spaces of Jensen measures introduced throughout the literature. Caution is advised.

The most important tool in working with Jensen measures is the Edwards' duality theorem that origins from [25]. We only need a special case formulated in Theorem 2.8. For a proof, and a discussion, of Edwards' theorem see [69] (see also [20,21,55]).

Theorem 2.8 (Edwards' Theorem). *Let Ω be a bounded domain in $\mathbb{C}^n$, and let g be a real-valued lower semicontinuous function defined on $\bar{\Omega}$. Then for every $z \in \bar{\Omega}$ we have that*

$$S_g^c(z) = \sup\{v(z) : v \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega}), v \le g\} = \inf\left\{\int g \, d\mu : \mu \in \mathcal{J}_z^m\right\}.$$

We end this section with a convergence result.

Theorem 2.9 *Assume that Ω is a domain in $\mathbb{C}^n$, and let $\{z_n\} \subset \bar{\Omega}$ be a sequence of points converging to $z \in \bar{\Omega}$. Furthermore, for each n, let $\mu_n \in \mathcal{J}_{z_n}^m$. Then there exists a subsequence $\{\mu_{n_j}\}$, and a measure $\mu \in \mathcal{J}_z^m$ such that $\{\mu_{n_j}\}$ converges in the weak-* topology to μ.*

Proof The Banach–Alaoglu theorem says that the space of probability measures defined on $\bar{\Omega}$ is compact when equipped with the weak-* topology. This means that there is a subsequence $\{\mu_{n_j}\}$ that converges to a probability measure μ. It remains to show that $\mu \in \mathcal{J}_z^m$. Take $u \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$ then

$$\int u \, d\mu = \lim_j \int u \, d\mu_{n_j} \ge \lim_j u(z_j) = u(z),$$

hence $\mu \in \mathcal{J}_z^m$. $\square$

3 Basic Properties of *m*-Hyperconvex Domains

The aim of this section is to introduce *m*-hyperconvex domains (Definition 3.1) within the Caffarelli–Nirenberg–Spruck model, and prove Theorem 3.5 . If $m = 1$, then the notion will be the same as regular domains (see assertion (4) in Theorem A in the introduction), and if $m = n$ then it is the same as hyperconvex domains (see (1) in Theorem C).

Definition 3.1 Let Ω be a bounded domain in $\mathbb{C}^n$. We say that Ω is *m-hyperconvex* if it admits an exhaustion function that is negative and *m*-subharmonic.

Traditionally, in pluripotential theory, the exhaustion functions are assumed to be bounded. That assumption is obviously superfluous in Definition 3.1. Even though it should be mentioned once again that up until now authors have defined *m*-hyperconvex domains to be bounded domains that admit an exhaustion function that is negative, *continuous*, and *m*-subharmonic. We prove below in Theorem 3.5 that the assumption

of continuity is not necessary. Before continuing with Theorem 3.5 let us demonstrate the concept of m-hyperconvexity in the following two examples. Example 3.2 demonstrates that Hartogs' triangle is 1-hyperconvex, but not 2-hyperconvex.

Example 3.2 Set

$$\Omega = \{(z, w) \in \mathbb{C}^2 : |z| < |w| < 1\}.$$

This is Hartogs' triangle, and it is not hyperconvex (Proposition 1 in [26]), but it is a regular domain. In other words, it is not 2-hyperconvex, but it is 1-hyperconvex. It is easy to see that

$$\varphi(z, w) = \max \left\{ \log |w|, |z|^2 - |w|^2 \right\}$$

is a negative, subharmonic (1-subharmonic) exhaustion function for Ω. □

In Example 3.3, we construct a domain in $\mathbb{C}^3$ that is 2-hyperconvex, but not 3-hyperconvex.

Example 3.3 For a given integer $1 \leq k \leq n$, let φ_k be the function defined on $\mathbb{C}^n$ by

$$\varphi_k(z_1, \ldots, z_n) = |z_1|^2 + \ldots + |z_{n-1}|^2 + \left(1 - \frac{n}{k}\right) |z_n|^2.$$

Then we have that φ_k is m-subharmonic function if, and only if, $m \leq k$. Let us now consider the following domain:

$$\Omega_k = \left\{(z_1, \ldots, z_n) \in \mathbb{C}^n : |z_1| < 1, \ldots, |z_n| < 1, \varphi_k(z) < 1 \right\}.$$

This construction yields that Ω_k is a balanced Reinhardt domain that is not pseudoconvex (see e.g., Theorem 1.11.13 in [38]). Furthermore, we have that Ω_k is k-hyperconvex, since

$$u(z_1, \ldots, z_n) = \max\{|z_1|, \ldots, |z_n|, \varphi_k(z)\} - 1$$

is a k-subharmonic exhaustion function. In particular, we get that for $n = 3$, and $k = 2$, the domain $\Omega_2 \subset \mathbb{C}^3$ is 2-hyperconvex but not 3-hyperconvex. □

We shall need the following elementary lemma. For completeness we include a proof.

Lemma 3.4 *Let* $\chi : (-\infty, 0) \to (0, \infty)$ *be an increasing and convex function. Then for any* $a < b < 0$ *and* $x < 0$ *we have that*

$$\chi(b + x) - \chi(a + x) \leq \chi(b) - \chi(a).$$

Proof For $x < 0$, let us define

$$\theta(x) = \int_{a+x}^{b+x} \chi_r'(t)\, dt,$$

where χ_r' denotes the right derivative of χ (which exists since χ is convex). By our assumptions, we know that χ_r' is a non-negative and nondecreasing function. Therefore, θ is also nondecreasing, and then for any $x < 0$ we have that

$$\chi(b + x) - \chi(a + x) = \theta(x) \leq \theta(0) = \chi(b) - \chi(a).$$

$\square$

The aim of this section is to prove the following theorem, especially property (3).

Theorem 3.5 *Assume that Ω, Ω_1, and Ω_2 are bounded m-hyperconvex domains in $\mathbb{C}^n$, $n \geq 2$, $1 \leq m \leq n$. Then we have the following.*

(1) *If $\Omega_1 \cap \Omega_2$ is connected, then the domain $\Omega_1 \cap \Omega_2$ is m-hyperconvex in $\mathbb{C}^n$.*
(2) *The domain $\Omega_1 \times \Omega_2$ is m-hyperconvex in $\mathbb{C}^{2n}$.*
(3) *The domain Ω admits a negative exhaustion function that is strictly m-subharmonic on Ω, and continuous on $\bar{\Omega}$.*
(4) *If Ω is a priori only a bounded domain in $\mathbb{C}^n$ such that for every $z \in \partial\Omega$ there exists a neighborhood U_z such that $\Omega \cap U_z$ is m-hyperconvex, then Ω is m-hyperconvex.*

Proof Part (1) For each $j = 1, 2$, assume that $\psi_j \in \mathcal{SH}_m(\Omega_j)$ is a negative exhaustion function for the m-hyperconvex domain Ω_j, $j = 1, 2$. Then $\max\{\psi_1, \psi_2\} \in \mathcal{SH}_m(\Omega_1 \cap \Omega_2)$ is a negative exhaustion function for $\Omega_1 \cap \Omega_2$. Thus, $\Omega_1 \cap \Omega_2$ is m-hyperconvex in $\mathbb{C}^n$.

Part (2) This part is concluded by defining a negative exhaustion function by

$$\psi(z_1, z_2) = \max\{\psi_1(z_1), \psi_2(z_2)\} \in \mathcal{SH}_m(\Omega_1 \times \Omega_2).$$

Part (3) The proof of this part is inspired by [19]. First, we shall prove that there exists a negative and continuous exhaustion function. We know that Ω always admits a bounded, negative, exhaustion function $\varphi \in \mathcal{SH}_m(\Omega)$. Fix $w \in \Omega$ and $r > 0$ such that $B(w, r) \Subset \Omega$, and note that there exists a constant $M > 0$ such that

$$M\varphi \leq \mathbf{H}_{B(w,r)}$$

(the definition of $\mathbf{H}_{B(w,r)}$ is in the remark after Definition 2.4). This construction implies that

$$0 = \lim_{z \to \partial\Omega} M\varphi(z) \leq \lim_{z \to \partial\Omega} \mathbf{S}_{\mathbf{H}_{B(w,r)}}(z) \leq \lim_{z \to \partial\Omega} \mathbf{H}_{B(w,r)}(z) = 0.$$

Thanks to the generalized Walsh theorem (Theorem 2.5) we have that

$$\mathbf{S}_{\mathbf{H}_{B(w,r)}} = \mathbf{S}_{B(w,r)} \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega}),$$

and that $\mathbf{S}_{B(w,r)}$ is a continuous exhaustion function.

Next, we shall construct a continuous *strictly m-subharmonic* exhaustion function for Ω. From the first part of this theorem, we know that there is a negative and continuous exhaustion function $u \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$ for Ω. Choose $M > 0$ such that $|z|^2 - M \leq -1$ on Ω, and define

$$\psi_j(z) = \max \left\{ u(z), \frac{|z|^2 - M}{j} \right\}.$$

Then $\psi_j \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$, $\psi_j|_{\partial\Omega} = 0$, and $\psi_j < 0$ on Ω. If we now let

$$a_j = \frac{1}{2^j} \frac{1}{\max\{\sup(-\psi_j), 1\}}, \quad \text{and} \quad \psi = \sum_{j=1}^{\infty} a_j \psi_j,$$

then $\psi^k = \sum_{j=1}^{k} a_j \psi_j$ defines a decreasing sequence of continuous *m*-subharmonic functions on defined Ω. We can conclude that $\psi \in \mathcal{SH}_m(\Omega)$, since $\psi(z) > -\infty$ for $z \in \Omega$. The continuity of ψ is obtained by the Weierstrass *M*-test. To see that ψ is strictly *m*-subharmonic, note that if $\omega \Subset \Omega$, then there exists an index j_ω such that on ω we have that

$$\psi_j = \frac{|z|^2 - M}{j} \quad \text{for all } j > j_\omega.$$

This gives us that

$$\psi = \sum_{j=1}^{j_\omega} a_j \psi_j + \sum_{j=j_\omega+1}^{\infty} a_j \frac{|z|^2 - M}{j}.$$

Since $\frac{|z|^2 - M}{j}$ is strictly plurisubharmonic, and therefore strictly *m*-subharmonic, we have that ψ is strictly *m*-subharmonic on Ω. Finally, ψ is an exhaustion function for Ω, since $\psi_j|_{\partial\Omega} = 0$ for all j.

Part (4) The idea of the proof of this part is from [7]. By the assumption there are neighborhoods $U_{z_1}, \ldots, U_{z_N}$ such that $\partial\Omega \subset \bigcup_{j=1}^{N} U_{z_j}$, and each $U_{z_j} \cap \Omega$ is *m*-hyperconvex. Let $u_j : \Omega \to [-1, 0]$ be a negative and continuous *m*-subharmonic exhaustion function for $U_{z_j} \cap \Omega$. Let $V_j \Subset U_{z_j}$ be such that $\partial\Omega \subset \bigcup_{j=1}^{N} V_j$. For $x < 0$, we then define the following continuous functions

$$\beta(x) = \max \left\{ u_j(z) : z \in \bar{V}_j \cap \Omega, j = 1, \ldots, N, \text{dist}(z, \partial\Omega) \leq -x \right\},$$
$$\alpha(x) = \min \left\{ u_j(z) : z \in \bar{V}_j \cap \Omega, j = 1, \ldots, N, \text{dist}(z, \partial\Omega) \leq -x \right\}.$$

From these definitions, it follows that $\alpha \leq \beta$, and $\lim_{x \to 0^-} \alpha(x) = 0$. Therefore, there exists a convex, increasing function $\chi : (-\infty, 0) \to (0, \infty)$ such that $\lim_{x \to 0^-} \chi(x) = \infty$, and $\chi \circ \beta \leq \chi \circ \alpha + 1$ (see e.g., Lemma A2.4. in [7]). Hence,

$$|\chi \circ u_j - \chi \circ u_k| \leq 1 \text{ on } V_j \cap V_k \cap \Omega.$$

For any $\varepsilon > 0$ we have that

$$|\chi(u_j(z) - \varepsilon) - \chi(u_k(z) - \varepsilon)| \leq 1 \text{ for } z \in V_j \cap V_k \cap \Omega, \tag{3.1}$$

since χ is an increasing and convex function (see Lemma 3.4). Next, let $V_j' \Subset V_j$, $j = 1, \ldots, N$, be such that $\bar{\Omega} \setminus V \subset \bigcup_{j=1}^{N} V_j'$, for some open set $V \Subset \Omega$. For each j, take a smooth function φ_j such that $\text{supp}(\varphi_j) \subset V_j$, $0 \leq \varphi_j \leq 1$, and $\varphi_j = 1$ on a neighborhood of $\bar{V}_j'$. Furthermore, there are constants $M_1, M_2 > 0$ such that $|z|^2 - M_1 \leq 0$ on Ω, and such that the functions $\varphi_j + M_2(|z|^2 - M_1)$ are m-subharmonic for $j = 1, \ldots, N$. Let us define

$$v_{j,\varepsilon}(z) = \chi(u_j(z) - \varepsilon) + \varphi_j(z) - 1 + M_2(|z|^2 - M_1).$$

From (3.1) it then follows that

$$v_{j,\varepsilon} \leq v_{k,\varepsilon} \text{ on a neighborhood of } \partial V_j \cap \bar{V}_k' \cap \Omega. \tag{3.2}$$

Take yet another constant c such that

$$\sup \{u_j(z) : z \in V \cap V_j, j = 1, \ldots, N\} < c < 0, \tag{3.3}$$

and define

$$v_\varepsilon(z) = \max \left\{v_{j,\varepsilon}(z), \chi(c) - 1 + M_2(|z|^2 - M_1)\right\}.$$

By (3.2), and (3.3), it follows that v_ε is a well-defined m-subharmonic function defined on Ω. Finally note that, for $c < -\varepsilon$, the following function

$$\psi_\varepsilon(z) = \frac{v_\varepsilon(z)}{\chi(-\varepsilon)} - 1$$

is m-subharmonic, and $\psi_\varepsilon \leq 0$ on Ω. For $z \in \partial\Omega \cap V_j'$, we have that

$$u_j(z) = 0 \quad \text{and} \quad \varphi_j(z) = 1,$$

hence

$$\psi_\varepsilon(z) \geq \frac{v_{j,\varepsilon}(z)}{\chi(-\varepsilon)} - 1 = \frac{\chi(-\varepsilon) + M_2(|z|^2 - M_1)}{\chi(-\varepsilon)} - 1 \geq -\frac{M_1 M_2}{\chi(-\varepsilon)}. \tag{3.4}$$

In addition, it holds that

$$\psi_\varepsilon(z) \leq \frac{\chi(c) - 1}{\chi(-\varepsilon)} - 1, \quad z \in V \setminus \bigcup_{j=1}^{N} V_j. \tag{3.5}$$

Now fix a ball $B(z, r) \Subset V \setminus \bigcup_{j=1}^{N} V_j$. From (3.4), (3.5), and the fact that

$$\lim_{x \to 0^-} \chi(x) = \infty$$

we have that

$$(\sup_{\varepsilon} \psi_{\varepsilon})^* \leq \mathbf{S}_{B(z,r)}$$

(see Definition 2.4). Thus,

$$\lim_{\xi \to \partial \Omega} \mathbf{S}_{B(z,r)}(\xi) = 0.$$

Theorem 2.5 (generalized Walsh's theorem) gives us that

$$\mathbf{S}_{B(z,r)} \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega}),$$

and that $\mathbf{S}_{B(z,r)}$ is the desired exhaustion function for Ω. This ends the proof of Part (4), and this theorem. □

Remark Assume that Ω is bounded *m*-hyperconvex domain, and $E \Subset \Omega$ is an open subset such that $\Omega \setminus \bar{E}$ is a regular domain in the sense of Theorem A. Then we have that

(1) $\mathbf{S}_E^c(z) = \mathbf{S}_{\mathbf{H}_E}^c(z)$, and
(2) $\mathbf{S}_E = \mathbf{S}_E^c = \mathbf{S}_{\mathbf{H}_E}^c = \mathbf{S}_{\mathbf{H}_E}$.

To see that (1) holds, first note that it is clear that $\mathbf{S}_E^c(z) \leq \mathbf{S}_{\mathbf{H}_E}^c(z)$. On the other hand, since Ω is a *m*-hyperconvex domain it can be proved as in part (3) of Theorem 3.5 that $\mathbf{S}_{\mathbf{H}_E} \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$. Therefore, $\mathbf{S}_E^c(z) \geq \mathbf{S}_{\mathbf{H}_E}^c(z)$. Property (2) follows from Theorem 2.5 together with the remark after Definition 2.4.

4 The Geometry of *m*-Regular Domains

In this section, we shall investigate the geometry of the corresponding notions of *B*-regular and hyperconvex domains within the Caffarelli–Nirenberg–Spruck model. More precisely, in Theorem 4.3, we prove what degenerates into Theorem B when $m = n$, and in Theorem 4.1, we prove what is Theorem C in the case $m = n$.

Theorem 4.1 *Assume that Ω is a bounded domain in $\mathbb{C}^n$, $n \geq 2$, $1 \leq m \leq n$. Then the following assertions are equivalent.*

(1) *Ω is m-hyperconvex in the sense of Definition 3.1;*
(2) *$\partial\Omega$ has a weak barrier at every point that is m-subharmonic;*
(3) *Ω admits an exhaustion function that is negative, smooth and strictly m-subharmonic; and*
(4) *For every $z \in \partial\Omega$, and every $\mu \in \mathcal{J}_z^m$, we have that $\mathrm{supp}(\mu) \subseteq \partial\Omega$.*

Proof The implications (1) $\Rightarrow$ (2), and (3) $\Rightarrow$ (1) are trivial. The implication (1) $\Rightarrow$ (3) is postponed to Theorem 5.4 in Sect. 5.

(2) $\Rightarrow$ (1) : Let $w \in \Omega$ and $r > 0$ be such that the ball $B(w, r) \Subset \Omega$. Then by assumption, we have that for every $z \in \partial\Omega$ there exists a weak barrier u_z at z that is m-subharmonic. Since there exists a constant $M_z > 0$ such that

$$M_z u_z \leq \mathbf{S}_{B(w,r)}$$

it follows that

$$\lim_{\xi \to \partial\Omega} \mathbf{S}_{B(w,r)}(\xi) = 0.$$

Thanks to the generalized Walsh theorem (Theorem 2.5), we know that $\mathbf{S}_{B(w,r)} \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$. Hence, $\mathbf{S}_{B(w,r)}$ is an exhaustion function for Ω.

(1) $\Rightarrow$ (4) : Assume that Ω is m-hyperconvex, and that $u \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$ is an exhaustion function for Ω. If $z \in \partial\Omega$, and $\mu \in \mathcal{J}_z^m$, then

$$0 = u(z) \leq \int u \, d\mu \leq 0.$$

This implies that $\text{supp}(\mu) \subseteq \partial\Omega$, since $u < 0$ on Ω.

(4) $\Rightarrow$ (1) : Suppose that $\text{supp}(\mu) \subset \partial\Omega$ for all $\mu \in \mathcal{J}_z^m$, $z \in \partial\Omega$. Let $w \in \Omega$, $r > 0$, be such that the ball $B(w, r) \Subset \Omega$, and let

$$\mathbf{S}_{\overline{B(w,r)}}^c(z) = \sup\{\varphi(z) : \varphi \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega}), \varphi \leq 0, \varphi \leq -1 \text{ on } \overline{B(w, r)}\}.$$

From Edwards' theorem (Theorem 2.8), it follows that

$$\mathbf{S}_{\overline{B(w,r)}}^c(z) = \inf\left\{\int -\chi_{\overline{B(w,r)}} \, d\mu : \mu \in \mathcal{J}_z^m\right\} = -\sup\left\{\mu(\overline{B(w, r)}) : \mu \in \mathcal{J}_z^m\right\}.$$

We shall now prove that

$$\lim_{\xi \to \partial\Omega} \mathbf{S}_{\overline{B(w,r)}}^c(\xi) = 0,$$

and this shall be done with a proof by contradiction. Assume the contrary, i.e., that there is a point $z \in \partial\Omega$ such that

$$\lim_{\xi \to z} \mathbf{S}_{\overline{B(w,r)}}^c(\xi) < 0.$$

Then we can find a sequence $\{z_n\}$, that converges to z, and

$$\mathbf{S}_{\overline{B(w,r)}}^c(z_n) < -\varepsilon \qquad \text{for every } n.$$

We can find corresponding measures $\mu_n \in \mathcal{J}_{z_n}^m$ such that $\mu_n(\overline{B(w, r)}) > \varepsilon$. By passing to a subsequence, Theorem 2.9 gives us that we can assume that μ_n converges in the weak-* topology to a measure $\mu \in \mathcal{J}_z^m$. Lemma 2.3 in [17], implies then that

$$\mu(\overline{B(w, r)}) = \int \chi_{\overline{B(w,r)}} \, d\mu \geq \varlimsup_{n \to \infty} \int \chi_{\overline{B(w,r)}} \, d\mu_n = \varlimsup_{n \to \infty} \mu_n(\overline{B(w, r)}) > \varepsilon \geq 0.$$

This contradicts the assumption that $\mu \in \mathcal{J}_z^m$ only has support on the boundary. Hence, Corollary 2.6 gives us that

$$\mathbf{S}_{B(w,r)}^c \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega}),$$

and that $\mathbf{S}_{B(w,r)}^c$ is an exhaustion function for Ω. Thus, Ω is *m*-hyperconvex. $\qquad\square$

Before we can start with the proof of Theorem 4.3, we need the following corollary.

Corollary 4.2 *Let Ω be a bounded m-hyperconvex domain in $\mathbb{C}^n$, and let $f \in \mathcal{C}(\partial\Omega)$. Then there exists a function $u \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$ such that $u = f$ on $\partial\Omega$ if, and only if,*

$$f(z) = \inf \left\{ \int f \, d\mu : \mu \in \mathcal{J}_z^m \right\} \qquad \text{for all } z \in \partial\Omega.$$

Proof Assume that $f \in \mathcal{C}(\partial\Omega)$, and that $u \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$ is such that $u = f$ on $\partial\Omega$. Let $z \in \partial\Omega$, and $\mu \in \mathcal{J}_z^m$, then we have that

$$f(z) = u(z) \leq \int u \, d\mu,$$

which, together with Theorem 4.1, imply that

$$f(z) \leq \inf \left\{ \int u \, d\mu : \mu \in \mathcal{J}_z^m \right\} = \inf \left\{ \int f \, d\mu : \mu \in \mathcal{J}_z^m \right\}.$$

Since $\delta_z \in \mathcal{J}_z^m$ we have that

$$\inf \left\{ \int f \, d\mu : \mu \in \mathcal{J}_z^m \right\} \leq \int f \, d\delta_z = f(z).$$

Hence,

$$f(z) = \inf \left\{ \int u \, d\mu : \mu \in \mathcal{J}_z^m \right\} \qquad \text{for } z \in \partial\Omega.$$

Conversely, extend f to a continuous function on $\bar{\Omega}$ (for instance one can take PWB$_f$, which was defined in Theorem A in the introduction) and for simplicity denote it also

by f. Since Ω is a m-hyperconvex domain then by Theorem 4.1 for any $z \in \partial\Omega$ and any $\mu \in \mathcal{J}_z^m$ it holds that $\mathrm{supp}(\mu) \subseteq \partial\Omega$, so we have

$$f(z) = \inf\left\{\int f\, d\mu : \mu \in \mathcal{J}_z^m\right\} \qquad \text{for all } z \in \partial\Omega.$$

Edwards' theorem (Theorem 2.8) gives us now that

$$\mathbf{S}_f^c(z) = \inf\left\{\int f\, d\mu : \mu \in \mathcal{J}_z^m\right\},$$

and therefore $\mathbf{S}_f^c = f$ on $\partial\Omega$. To conclude this proof, we shall prove that for $z \in \partial\Omega$ it holds that

$$\lim_{\xi \to z} \mathbf{S}_f^c(\xi) = f(z).$$

We shall argue by contradiction. Assume that

$$\varliminf_{\xi \to z} \mathbf{S}_f^c(\xi) < f(z) \qquad \text{for some } z \in \partial\Omega.$$

Then we can find an $\varepsilon > 0$, and a sequence $\xi_j \to z$ such that

$$\mathbf{S}_f^c(\xi_j) < f(z) - \varepsilon \qquad \text{for every } j.$$

Since, for every j, we have that

$$\mathbf{S}_f^c(\xi_j) = \inf\left\{\int f\, d\mu : \mu \in \mathcal{J}_{\xi_j}^m\right\}$$

there are measures $\mu_j \in \mathcal{J}_{\xi_j}^m$ such that

$$\int f\, d\mu_j < f(z) - \varepsilon.$$

By passing to a subsequence, and using Theorem 2.9, we can assume that μ_j converges in the weak-* topology to some $\mu \in \mathcal{J}_z^m$. Hence,

$$\int f\, d\mu = \lim_j \int f\, d\mu_j < f(z) - \varepsilon.$$

This contradicts the assumption that

$$f(z) = \inf\left\{\int f\, d\mu : \mu \in \mathcal{J}_z^m\right\}.$$

Therefore, by Corollary 2.6, $\mathbf{S}_f^c \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$, and the proof is finished. □

Remark If Ω is a bounded domain that is not necessarily *m*-hyperconvex, then we have a similar result as in Corollary 4.2 namely that there exists a function $u \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$ such that $u = f$ on $\bar{\Omega}$ if, and only if, there exists a continuous extension φ of f to $\bar{\Omega}$ such that

$$\varphi(z) = \inf \left\{ \int \varphi \, d\mu : \mu \in \mathcal{J}_z^m \right\}.$$

We end this section by proving Theorem 4.3, and its immediate consequence. We have decided to deviate in Theorem 4.3 the notation from Definition 2.3. This to simplify the comparison with Theorem B in the introduction.

Theorem 4.3 *Assume that Ω is a bounded domain in $\mathbb{C}^n$, $n \geq 2$, $1 \leq m \leq n$. Then the following assertions are equivalent.*

(1) *$\partial\Omega$ is B_m-regular at every boundary point $z_0 \in \partial\Omega$, in the sense that*

$$\lim_{\substack{z \to z_0 \\ z \in \Omega}} \mathrm{PB}_f^m(z) = f(z_0),$$

for each continuous function $f : \partial\Omega \to \mathbb{R}$. Here

$$\mathrm{PB}_f^m(z) = \sup \left\{ v(z) : v \in \mathcal{SH}_m(\Omega), \; \varlimsup_{\substack{\zeta \to \xi \\ \zeta \in \Omega}} v(\zeta) \leq f(\xi), \; \forall \xi \in \partial\Omega \right\}.$$

(2) *$\partial\Omega$ has a strong barrier at every point that is m-subharmonic;*
(3) *Ω admits an exhaustion function φ that is negative, smooth, m-subharmonic, and such that*

$$\left(\varphi(z) - |z|^2 \right) \in \mathcal{SH}_m(\Omega) \, ; and$$

(4) *$\partial\Omega = \partial_{\mathcal{J}_z^m}$ in the sense of Definition 2.7.*

Proof (1) $\Rightarrow$ (2) : Fix $z \in \partial\Omega$, and let f be a continuous function on $\partial\Omega$ such that $f(z) = 0$ and $f(\xi) < 0$ for $\xi \neq z$. Then PB_f^m is a strong barrier at z.

  (2) $\Rightarrow$ (1) : Let $f \in \mathcal{C}(\partial\Omega)$. Then the upper semicontinuous regularization $(\mathrm{PB}_f^m)^*$ is *m*-subharmonic, and by the generalized Walsh theorem (Theorem 2.5) it is sufficient to show that

$$\lim_{\xi \to \partial\Omega} \mathrm{PB}_f^m = f$$

to obtain that $\mathrm{PB}_f^m \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$. Fix $w \in \partial\Omega$, and $\varepsilon > 0$. Let $u_w \in \mathcal{SH}_m(\Omega)$ be a strong barrier at w that is *m*-subharmonic. Then there exists a constant $M > 0$ such that

$$f(w) + M u_w^* - \varepsilon \leq f, \qquad \text{on } \partial\Omega,$$

and therefore we have that $f(w) + Mu_w - \varepsilon \leq \mathrm{PB}_f^m$. This gives us that

$$\varliminf_{\xi \to w} \mathrm{PB}_f^m(\xi) \geq f(w) - \varepsilon,$$

and finally $\lim_{\xi \to w} \mathrm{PB}_f^m(\xi) = f(w)$.

$(1) \Rightarrow (4)$: Fix $z \in \partial\Omega$. Let f be a continuous function on $\partial\Omega$ such that $f(z) = 0$ and $f(\xi) < 0$ for $\xi \neq z$. Then $\mathrm{PB}_f^m \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$, and $\mathrm{PB}_f^m = f$ on $\partial\Omega$. Let $\mu \in \mathcal{J}_z^m$ then, since μ is a probability measure on $\bar{\Omega}$, we have that

$$\mathrm{PB}_f^m(z) \leq \int \mathrm{PB}_f^m \, d\mu \leq \left(\max_{\mathrm{supp}(\mu)} \mathrm{PB}_f^m \right) \int d\mu = \mathrm{PB}_f^m(z).$$

Thus, $\mu = \delta_z$.

$(4) \Rightarrow (1)$: This follows from Corollary 4.2.

$(1) \Rightarrow (3)$: Take $f(z) = -2|z|^2$ on $\partial\Omega$ and set $u(z) = \mathrm{PB}_f^m(z) + |z|^2$. By Richberg's approximation theorem, we can find a smooth function v that is m-subharmonic and

$$\lim_{\xi \to \partial\Omega} (u(\xi) - v(\xi)) = 0.$$

This implication is then concluded by letting $\varphi(z) = v(z) + |z|^2$. Some comments on Richberg's approximation theorem are in order. In our case, Demailly's proof of Theorem 5.21 in [22] is valid. Richberg's approximation theorem is valid in a much more abstract setting (see e.g., [33,52]).

$(3) \Rightarrow (1)$: Let $f \in \mathcal{C}(\partial\Omega)$, and let $\varepsilon > 0$. Then there exists a smooth function g defined on a neighborhood of $\bar{\Omega}$ such that

$$f \leq g \leq f + \varepsilon, \qquad \text{on } \partial\Omega.$$

By assumption there exists a constant $M > 0$ such that $g + M\varphi \in \mathcal{SH}_m(\Omega)$. Then we have that

$$g + M\varphi - \varepsilon \leq f, \qquad \text{on } \partial\Omega.$$

Hence, $g + M\varphi - \varepsilon \leq \mathrm{PB}_f^m$ in Ω. This means that

$$\varliminf_{\xi \to w} \mathrm{PB}_f^m(\xi) \geq g(w) - \varepsilon \geq f(w) - \varepsilon \qquad \text{for all } w \in \partial\Omega,$$

and therefore we get

$$\lim_{\xi \to w} \mathrm{PB}_f^m(\xi) = f(w).$$

Thus, $\mathrm{PB}_f^m \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$, by the generalized Walsh theorem (Theorem 2.5). $\quad\square$

An immediate consequence of Theorem 4.3 is the following corollary.

Corollary 4.4 *Let Ω be a bounded domain in $\mathbb{C}^n$ such that for every $z \in \partial\Omega$ there exists a neighborhood U_z such that $\Omega \cap U_z$ is B_m-regular, then Ω is B_m-regular.*

Proof Let $z \in \partial\Omega$, U_z be a neighborhood of z, and let u_z be a strong barrier at z, that is m-subharmonic, and defined in some neighborhood of $\bar{U}_z \cap \Omega$. Now let $\delta > 0$, be such that $u_z < -\delta$ on $\partial U_z \cap \Omega$. Then we can define a (global) strong barrier at z, that is m-subharmonic:

$$v_z(w) = \begin{cases} \max\{u_z(w), -\delta\} & \text{if } w \in U_z \cap \Omega, \\ -\delta & \text{if } w \in \Omega \setminus U_z. \end{cases}$$

$\square$

5 The Existence of Smooth Exhaustion Functions

The purpose of this section is to prove the implication (1) $\Rightarrow$ (3) in Theorem 4.1. That we shall do in Theorem 5.4. This section is based on the work of Cegrell [19], and therefore shall need a few additional preliminaries.

Definition 5.1 Assume that Ω is a bounded domain in $\mathbb{C}^n$, and let $u \in \mathcal{SH}_m(\Omega) \cap L^\infty(\Omega)$. Then the *m-Hessian measure* of u is defined by

$$\mathrm{H}_m(u) = (dd^c u)^m \wedge \beta^{n-m},$$

where $\beta = dd^c |z|^2$.

Remark The m-Hessian measure is well-defined for much more general functions than needed in this section. For further information see e.g., [9].

For a bounded m-hyperconvex domain in $\mathbb{C}^n$, we shall use the following notation

$$\mathcal{E}_m^0(\Omega) = \left\{ \varphi \in \mathcal{SH}_m(\Omega) \cap L^\infty(\Omega) : \varphi \le 0, \lim_{z \to \partial\Omega} \varphi(z) = 0, \int_\Omega \mathrm{H}_m(\varphi) < \infty \right\}.$$

In Theorem 5.4, we shall prove that a m-hyperconvex domain admits an exhaustion function that is smooth, and strictly m-subharmonic. Our method is that of approximation. Therefore, we first need to prove a suitable approximation theorem. Theorem 5.2 was first proved in the case $m = n$ by Cegrell [19]. If the approximating sequence $\{\psi_j\}$ is assumed to be only continuous on Ω, then the corresponding result was proved by Cegrell [18, Theorem 2.1] in the case $m = n$, and Lu [46, Theorem 1.7.1] for general m. In connection with Theorem 5.2, we would like to make a remark on Theorem 6.1 in a recent paper by Harvey et al. [34]. There they prove a similar approximation theorem, but there is an essential difference. They assume that the underlying space should admit a negative exhaustion function that is $\mathcal{C}^2$-smooth, and strictly m-subharmonic. Thereafter, they prove that approximation is possible. Whereas we prove that smooth

approximation is always possible on an m-hyperconvex domain, i.e., there should only exist a negative exhaustion function. Thereafter, we prove the existence of a negative and smooth exhaustion function that is strictly m-subharmonic, and has bounded m-Hessian measure. We believe that Theorem 5.2 is of interest in its own right.

Theorem 5.2 *Assume that Ω is a bounded m-hyperconvex domain in $\mathbb{C}^n$. Then, for any negative m-subharmonic function u defined on Ω, there exists a decreasing sequence $\{\psi_j\} \subset \mathcal{E}_m^0(\Omega) \cap \mathcal{C}^\infty(\Omega)$ such that $\psi_j \to u$, as $j \to \infty$.*

Before proving Theorem 5.2, we need the following lemma. The proof is as in [19], and therefore it is omitted.

Lemma 5.3 *Let u, v be smooth m-subharmonic functions in Ω and let ω be a neighborhood of the set $\{u = v\}$. Then there exists a smooth m-subharmonic function φ such that $\varphi \geq \max\{u, v\}$ on Ω and $\varphi = \max\{u, v\}$ on $\Omega \setminus \omega$.*

Now to the proof of Theorem 5.2.

Proof of Theorem 5.2 By Theorem 3.5, property (3), we can always find a continuous and negative exhaustion function α for Ω that is strictly m-subharmonic.

We want to prove that for any $u \in \mathcal{E}_m^0(\Omega) \cap \mathcal{C}(\bar{\Omega})$ with $\operatorname{supp}(H_m(u)) \Subset \Omega$, and for any $a \in (1, 2)$, there exists $\psi \in \mathcal{E}_m^0(\Omega) \cap \mathcal{C}^\infty(\Omega)$ such that

$$au \leq \psi \leq u. \tag{5.1}$$

We shall do it in several steps.

Step 1. Fix a constant $s < 0$ such that

$$\operatorname{supp}(H_m(u)) \Subset \Omega_0 = \{z \in \Omega : \alpha(z) < s\},$$

and let $1 < b < a < 2$ and $c < 0$ be constants such that $au < bu + c$ in a neighborhood of $\bar{\Omega}_0$. Note that we have

$$\bar{\Omega}_0 \subset \{au < c\} \subset \{2u < c\}.$$

By using standard regularization by convolution (Theorem 2.2 (6)), we can construct a sequence ϕ_j' of smooth m-subharmonic functions decreasing to bu. Out of this sequence pick one function, φ_0', that is smooth in a neighborhood of the set $\{2u \leq c\}$, and such that $\varphi_0' < u$ on $\bar{\Omega}_0$. Next, define

$$\varphi_0 = \begin{cases} \max\{2u, \varphi_0' + c\} & \text{on } \{2u < c\}, \\ 2u, & \text{on } \{2u \geq c\}. \end{cases}$$

Then by construction, we have that $\varphi_0 \in \mathcal{E}_m^0(\Omega) \cap \mathcal{C}(\bar{\Omega})$. Furthermore, on a neighborhood of $\bar{\Omega}_0$ we have $\varphi_0 = \varphi_0' + c$, since

$$2u < au < bu + c < \varphi_0' + c.$$

With the definition

$$\tilde{\varphi}_0 = \sup\{v \in \mathcal{SH}_m(\Omega) : v \leq \varphi_0 \text{ on } \Omega_0, v \leq 0\},$$

we get that $\tilde{\varphi}_0 = \mathbf{S}_f$, where

$$f = \begin{cases} \varphi_0 & \text{on } \Omega_0, \\ h & \text{on } \bar{\Omega} \setminus \Omega_0, \end{cases}$$

is a continuous function. Here h is the unique harmonic function on $\Omega \setminus \Omega_0$ that is continuous up to the boundary, $h = \varphi_0$ on $\partial\Omega_0$ and $h = 0$ on $\partial\Omega$. In fact the function h can be obtain as (see [4])

$$h(z) = \sup\left\{\theta(z) : \theta \in \mathcal{SH}_1(\Omega \setminus \overline{\Omega}_0), \limsup_{z \to \xi} \theta(z) \leq \varphi_0(\zeta), \forall \zeta \in \partial\Omega_0 \cup \partial\Omega\right\}.$$

Thanks to the generalized Walsh theorem (Theorem 2.5), we have that $\tilde{\varphi}_0 \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$. Furthermore,

$$au < bu + c \leq \varphi_0' + c = \varphi_0 = \tilde{\varphi}_0 < \varphi_0' < u \qquad \text{on } \bar{\Omega}_0.$$

Thus, we see that

$$au < \tilde{\varphi}_0 < u \qquad \text{on } \bar{\Omega}.$$

The set $\{au \leq \varphi_0\} \subset \{2u \leq c\}$ is compact, and therefore we have that φ_0 is smooth in a neighborhood of $\{au \leq \varphi_0\}$.

    Step 2. Let Ω_0' be a given domain such that $\Omega_0 \Subset \Omega_0' \Subset \Omega$. We shall construct functions $\varphi_1, \tilde{\varphi}_1$, and a domain Ω_1 with the following properties;

(1) $\Omega_0' \Subset \Omega_1 \Subset \Omega$ and $\Omega_1 = \{\alpha < s_1\}$, for some $s_1 < 0$;
(2) $\varphi_1, \tilde{\varphi}_1 \in \mathcal{E}_m^0(\Omega) \cap \mathcal{C}(\bar{\Omega})$;
(3) $\varphi_0 = \varphi_1$ on Ω_0;
(4) $au < \tilde{\varphi}_1 < u$ on Ω;
(5) $\varphi_1 = \tilde{\varphi}_1$ on Ω_1;
(6) $\{au \leq \varphi_1\} \Subset \Omega$; and
(7) φ_1 is smooth in a neighborhood of $\{au \leq \varphi_1\}$.

We start by taking $s_1 < 0$ such that

$$\Omega_0' \Subset \Omega_1 = \{\alpha < s_1\} \Subset \Omega.$$

and $\varphi_0 < au$ on $\partial\Omega_1$. This is possible since the set $\{au \leq \varphi_0\}$ is compact. Let $1 < b < a$, and $c < d < 0$, with the properties that

$$au < bu + d < \tilde{\varphi}_0 \text{ on a neighborhood of } \bar{\Omega}_1.$$

Once again using standard approximation by convolutions, let ϕ_j'' be a sequence of smooth m-subharmonic functions decreasing to $bu + d$. Take one function from this sequence, call it φ_1'', such that it is smooth in a neighborhood of $\{2u \leq d\}$, and

$$\varphi_1'' < \tilde{\varphi}_0 \qquad \text{on } \bar{\Omega}_1.$$

The definition

$$\varphi_1' = \begin{cases} \max\{\varphi_1'', 2u\} & \text{on } \{2u < d\}, \\ 2u & \text{on } \{2u \geq d\} \end{cases}$$

yields that $\varphi_1' \in \mathcal{E}_m^0(\Omega) \cap \mathcal{C}(\bar{\Omega})$, and we have that $\varphi_1' = \varphi_1''$ near $\{au \leq \varphi_1'\}$.

Take an open set W such that

$$\{au < \varphi_0 = \varphi_1'\} \Subset W \Subset \{au < \min(\varphi_0, \varphi_1')\} \setminus \bar{\Omega}_0,$$

therefore by Lemma 5.3 there exists $\varphi_1 \in \mathcal{E}_m^0(\Omega)$ such that $\varphi_1 < u$ on Ω, and with $\varphi_1 \geq \max\{\varphi_0, \varphi_1'\}$ with equality on Ω_0. Furthermore, φ_1 is smooth on W and $\varphi_1 = \varphi_0$ on Ω_0. It also follows that φ_1 is smooth near $\{au \leq \varphi_1\}$ which contains $\bar{\Omega}_1$, since $\varphi_1 = \varphi_1'$ if $\varphi_0 < au \leq \varphi_1$. Both functions φ_0, and φ_1', are smooth near

$$\{au \leq \varphi_0\} \cap \{au \leq \varphi_1'\}.$$

Let us define

$$\tilde{\varphi}_1 = \sup\{v \in \mathcal{SH}_m(\Omega) : v \leq \varphi_1 \text{ on } \Omega_1, v \leq 0\},$$

then as in *Step 1*, it follows that $\tilde{\varphi}_1 \in \mathcal{SH}_m(\Omega) \cap \mathcal{C}(\bar{\Omega})$. The constructions $\varphi_1, \tilde{\varphi}_1$ and Ω_1 satisfy all the Conditions (1)–(7).

Step 3. Now if $\Omega_j \nearrow \Omega$, then the function

$$\psi = \lim_{j \to \infty} \varphi_j \in \mathcal{E}_m^0(\Omega).$$

Furthermore, ψ is smooth since for any domain $\omega \Subset \Omega$ there exists j_ω such that on the set ω we have $\psi = \varphi_{j_\omega} \in \mathcal{C}^\infty$. This ends the proof of (5.1).

To finish the proof of this theorem, assume that u is a negative m-subharmonic function defined on Ω. Theorem 1.7.1 in [46] implies that there exists a decreasing sequence $\{u_j\} \subset \mathcal{E}_m^0(\Omega) \cap \mathcal{C}(\bar{\Omega})$, $\text{supp}(H_m(u_j)) \Subset \Omega$, such that $u_j \to u$, as $j \to \infty$. Then by (5.1), there exists a sequence $\psi_j \in \mathcal{E}_m^0(\Omega) \cap \mathcal{C}^\infty(\Omega)$ with

$$\left(1 - \frac{1}{j+1}\right) u_j \leq \psi_j \leq \left(1 - \frac{1}{j}\right) u_j,$$

and the proof is finished. □

We shall end this paper by proving the implication (1) $\Rightarrow$ (3) in Theorem 4.1.

Theorem 5.4 *Assume that Ω is a m-hyperconvex domain in $\mathbb{C}^n$, $n \geq 2$, $1 \leq m \leq n$. Then Ω admits an exhaustion function that is negative, smooth, strictly m-subharmonic, and has bounded m-Hessian measure.*

Proof Theorem 5.2 implies that there exists a function $\psi \in \mathcal{E}_m^0(\Omega) \cap \mathcal{C}^\infty(\Omega)$. Let $M > 0$ be a constant such that

$$|z|^2 - M < -1 \qquad \text{on } \Omega,$$

and define

$$\psi_j(z) = \max\left\{\psi(z), \frac{|z|^2 - M}{j}\right\} \in \mathcal{E}_m^0(\Omega) \cap \mathcal{C}(\Omega).$$

This construction also implies that ψ_j is smooth outside a neighborhood ω of the set

$$\left\{\psi(z) = \frac{|z|^2 - M}{j}\right\}.$$

Lemma 5.3 implies that there exists $\varphi_j \in \mathcal{E}_m^0(\Omega) \cap \mathcal{C}^\infty(\Omega)$ such that $\varphi_j = \psi_j$ outside ω. Now we choose a sequence $a_j \in (0, 1)$ such that the function

$$\varphi = \sum_{j=1}^{\infty} a_j \varphi_j$$

is smooth, strictly m-subharmonic, and belongs to $\mathcal{E}_m^0(\Omega)$. It is sufficient to take

$$a_j = \frac{1}{2^j \max\left\{\|\varphi_j\|_\infty, h_j^{\frac{1}{m}}, 1\right\}}, \qquad \text{where } h_j = \int_\Omega H_m(\varphi_j).$$

Note here that $|\varphi| \leq 1$. The construction

$$u_n = \sum_{j=1}^{n} a_j \varphi_j$$

implies that $u_n \in \mathcal{E}_m^0(\Omega)$, and $u_n \searrow \varphi$, as $n \to \infty$. Using standard arguments, and finally by passing to the limit with n, we arrive at

$$\int_\Omega H_m(\varphi) \leq \left(\sum_{j=1}^{\infty} a_j \left(\int_\Omega H_m(\varphi_j)\right)^{\frac{1}{m}}\right)^m \leq 1.$$

Let us conclude this proof by motivating why φ is necessarily smooth, and strictly m-subharmonic. Let $\Omega' \Subset \Omega$, then there exists an index j_ω such that on Ω' we have that

$$\varphi_j = \frac{|z|^2 - M}{j} \qquad \text{for } j > j_\omega.$$

This gives us that

$$\varphi = \sum_{j=1}^{j_\omega} a_j \varphi_j + \sum_{j=j_\omega+1}^{\infty} a_j \left(\frac{|z|^2 - M}{j} \right) \qquad \text{on } \Omega'.$$

$\square$

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