# PHASE I STUDIES

# Sunitinib combined with pemetrexed and carboplatin in patients with advanced solid malignancies—results of a phase I dose-escalation study

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Summary Objectives The maximum tolerated dose (MTD) and overall safety of sunitinib plus pemetrexed and carboplatin was determined in patients with advanced solid malignancies. Methods In this phase I dose-escalation study, patients received oral sunitinib on a continuous daily dosing (CDD) schedule (37.5 mg/day) or Schedule 2/1 (2 weeks on treatment, 1 week off treatment; 37.5 or 50 mg/day). Pemetrexed (400-500 mg/  $m^2$  IV) and carboplatin (AUC=5 mg·min/ml IV) were administered q3w. At the MTD for the chosen schedule, a cohort of patients with non-small cell lung cancer (NSCLC) or mesothelioma was further evaluated. Results Twenty-one patients were enrolled on Schedule 2/1 (expansion cohort included) and 3 patients on the CDD schedule. The MTD on Schedule 2/1 was sunitinib 37.5 mg/day with pemetrexed 500 mg/m<sup>2</sup> and carboplatin AUC=5 mg·min/ml; MTD on the CDD schedule was not established. Dose-limiting toxicities included grade 3/4 neutropenia, grade 3 thrombocytopenia, and grade 3 hand-foot syndrome. The most common grade 3/4 drug-related non-

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A. Ruiz-Garcia · A. Thall · K. Zhang · R. C. Chao Pfizer Oncology, La Jolla, CA, USA hematologic adverse events at Schedule 2/1 MTD were fatigue/asthenia and diarrhea (both n=4). Grade 3/4 hematologic abnormalities included neutropenia (83 %) and leukopenia (83 %). Pharmacokinetic data revealed no clinically significant drug–drug interactions. Best response at the Schedule 2/1 MTD was stable disease  $\geq 8$  weeks in 3/5 evaluable patients (60 %). *Conclusions* With this combination, in patients with advanced solid malignancies, sunitinib MTD on Schedule 2/1 was 37.5 mg/day. Sunitinib plus pemetrexed and carboplatin were tolerable at the MTD, although sunitinib dose delays and reductions were often required due to myelosuppression.

**Keywords** Solid tumors · Non-small cell lung cancer · Sunitinib · Pemetrexed · Carboplatin

## Introduction

Sunitinib is an oral, multitargeted tyrosine kinase inhibitor of vascular endothelial growth factor receptors (VEGFRs 1–3) and platelet-derived growth factor receptors (PDGFRs  $\alpha$  and  $\beta$ ), as well as other receptors [1–6]. VEGF and PDGF are key angiogenic ligands that influence cancer growth, progression, and metastasis [7–10]. Sunitinib is approved multinationally for the treatment of advanced renal cell carcinoma (RCC) and imatinib-resistant or -intolerant gastrointestinal stromal tumors (GISTs) [11]. In a phase II trial, single-agent sunitinib was well tolerated and associated with an encouraging response rate (11.1 %) in patients with previously treated advanced non-small cell lung cancer (NSCLC) [12, 13] and has also shown antitumor activity in patients with other solid malignancies, such as pancreatic neuroendocrine tumor, sarcoma, thyroid cancer, and melanoma [14, 15]. Both

intermittent and continuous daily dosing (CDD) schedules of sunitinib have shown similar efficacy and tolerability in patients with RCC, GIST, and NSCLC. The approved dose for RCC and GIST is 50 mg/day administered in 6-week cycles comprising 4 weeks on treatment followed by 2 weeks off treatment (Schedule 4/2), and the approved dose for pancreatic NET is 37.5 mg/day CDD [12, 13, 16–19].

Pemetrexed is a chemotherapeutic agent that targets multiple folate pathway enzymes resulting in inhibition of cellular replication. It has clinical activity in a range of solid tumors [20]. While single-agent pemetrexed is approved for second-line or maintenance treatment of patients with non-squamous advanced NSCLC, response rates in the second-line setting remain low (<10 %) and there is a need for treatment combinations with improved efficacy [21, 22]. Pemetrexed in combination with carboplatin is active in multiple tumor types including NSCLC, small-cell lung cancer, and mesothelioma, and has different toxicities than pemetrexed with cisplatin [23–25].

The addition of antiangiogenic agents to chemotherapy has shown additive or synergistic effects in preclinical models [26–28]. Changes in tumor vasculature initiated by antiangiogenic agents appear to enhance chemotherapy diffusion and delivery, possibly by reducing interstitial pressure and increasing permeability and perfusion [7, 29]. Sunitinib combined with pemetrexed decreased tumor growth in NSCLC NCI-H460 xenograft models [26], although a recent phase II study in patients with advanced NSCLC did not show a benefit for the combination over pemetrexed alone as second-line therapy [30]. Clinical evidence from other antiangiogenic agents, such as the anti-VEGF monoclonal antibody bevacizumab, further support the benefit of combination therapy versus chemotherapy alone in patients with solid tumors including advanced non-squamous NSCLC [31, 32] and colorectal cancer [33].

The primary objective of this phase I dose-escalation study was to determine the maximum tolerated dose (MTD) and overall safety of sunitinib (on intermittent and CDD schedules) in combination with pemetrexed and carboplatin in patients with advanced solid malignancies.

# Methods

#### Study population

Patients aged 18 years or older with Eastern Cooperative Oncology Group (ECOG) performance status of 0 or 1 were enrolled. Patients had a histologic or cytopathologic diagnosis of solid malignancy refractory to standard therapy or for which no standard therapy existed, adequate organ function (including bone marrow, kidney, and liver), and a life expectancy of  $\geq$ 12 weeks. In the expansion cohort, previously treated and/or platinum- refractory/-intolerant patients with recurrent or advanced NSCLC of any histologic subtype and patients with advanced unresectable mesothelioma (pleural or peritoneal; stage 3 or 4) were eligible for enrollment.

Patients were excluded if they had uncontrolled or symptomatic brain metastases; gross hemoptysis (≥5 ml per episode or  $\geq 10$  ml per day) within 4 weeks of study start; uncontrolled hypertension (>150/100 mmHg) despite standard antihypertensive agents; or cardiac disease, cerebrovascular accident or pulmonary embolism within 12 months of starting the study. Other exclusion criteria included: National Cancer Institute (NCI) Common Terminology Criteria for Adverse Events (CTCAE) grade 3 hemorrhage within 4 weeks of treatment; ongoing cardiac dysrhythmias of grade  $\geq 2$ ; atrial fibrillation of any grade; prolongation of the QTc interval (>450 ms for males or >470 ms for females); chemotherapy, surgery or radiation therapy less than 4 weeks before study start (except palliative radiotherapy to non-target lesions); known hypersensitivity to carboplatin; or prior treatment with pemetrexed, carboplatin, or sunitinib.

#### Study design and treatment

This open-label, multicenter, phase I trial (NCT00528619) conducted in the US and Canada investigated escalating doses of sunitinib plus pemetrexed and carboplatin in combination in serial patient cohorts. The primary objective was determination of the MTD and overall safety of sunitinib administered in combination with pemetrexed and carboplatin in patients with advanced solid malignancies for which curative therapy was not available. Secondary endpoints included pharmaco-kinetic (PK) parameters and the preliminary antitumor activity of this combination.

Sunitinib (37.5 or 50 mg) was administered orally once daily on either the CDD schedule or Schedule 2/1 (2 weeks on treatment followed by 1 week off treatment). Pemetrexed (400–500 mg/m<sup>2</sup> IV) and carboplatin (AUC=5 mg·min/ml IV) were administered once every 3 weeks (q3w). Planned dose escalation cohorts (Table 1) followed a standard 3+3 design and began with the CDD schedule. The dose levels were based on the previous MTD determinations for sunitinib in combination with pemetrexed (i.e., sunitinib 37.5 mg/day on the CDD schedule and 50 mg/day on Schedule 2/1; pemetrexed 500 mg/m<sup>2</sup>) [34].

Treatment cycles lasted 3 weeks, and patients received up to six cycles of triple combination treatment. Upon study completion, patients who continued to experience clinical benefit were eligible to enter a continuation study to receive sunitinib either alone or together with any or all components of the original treatment combination, at the investigator's discretion. Patients with RECIST-defined progressive disease but who were judged as benefiting from treatment were also eligible to enroll into the continuation protocol. The MTD was defined as the highest dose at which 0/3 or  $\leq 1/6$  patients experienced a dose-limiting toxicity (DLT) during the first 22 days of treatment, with the next higher dose level having at least 2/3 or 2/6 patients with a DLT. DLTs were defined as grade 3 or 4 drug-related toxicities that occurred during the defined time frame or that resulted in a delay in administering cycle 2. Hematologic DLTs were defined as neutropenia (grade  $\geq 3$  with grade  $\geq 3$  infection; grade 4 lasting  $\geq 7$  days or with fever >38.5 °C lasting >24 h), thrombocytopenia (grade  $\geq 3$  with bleeding or grade 4 for  $\geq 7$  days), or lymphopenia accompanied by an opportunistic infection. Nonhematologic DLTs included grade 3/4 toxicities lasting  $\geq 7$  days. Nausea, vomiting, and diarrhea that persisted at grade 3/4 despite maximal medical therapy were also considered DLTs.

Depending on the safety profiles of the CDD schedule and Schedule 2/1, one dosing schedule could be further explored in a separate cohort of up to an additional 10 patients with NSCLC and up to 10 patients with mesothelioma (the expansion cohort) treated at the MTD.

All patients provided written informed consent. The study was approved by the institutional review board of each participating center and was carried out in accordance with the International Conference on Harmonization Good Clinical Practice guidelines, and applicable local laws and regulatory requirements.

#### Study assessments

Safety was evaluated at each patient visit by the assessment of adverse events (AEs; NCI CTCAE version 3.0), laboratory abnormalities, physical examinations, and vital signs. Electrocardiogram profiles were obtained at baseline and cycle 1. The AEs related to each study drug were evaluated to determine the safety of the triple combination.

Full PK profiles for sunitinib, SU12662 (its primary active metabolite), the sum of sunitinib plus SU12662, pemetrexed, and carboplatin (as total and free [unbound] platinum) were obtained from the last 3 patients enrolled in the Schedule 2/1 MTD cohort. Pemetrexed and carboplatin PK samples were collected on cycle 1 day 1 (i.e., in the absence of sunitinib) and cycle 2 day 1 (in the presence of sunitinib). Sunitinib PK samples were collected on cycle 2 day 1. To obtain steadystate values, only patients who received at least 10 consecutive doses of sunitinib prior to sample collection on cycle 2 day 1 were included in the summary. Similarly, for the sunitinib PK data used as reference (historical control, NSCLC patients receiving sunitinib as single therapy) only patients who received at least 10 consecutive doses of sunitinib prior to sample collection were included. Pharmacokinetic parameters were estimated using non-compartmental methods, and included C<sub>max</sub> (maximum plasma concentration), T<sub>max</sub> (time to Cmax), AUC24 (area under the plasma concentration-time curve from time zero to 24 h), AUC<sub> $\infty$ </sub> (AUC from time zero to infinity), CL (clearance), and t<sub>1/2</sub> (terminal phase half-life).

Computed tomography (CT) or magnetic resonance imaging (MRI) scans were performed at screening, at every evennumbered cycle, whenever disease progression was suspected or to confirm a response, and at the end of treatment/ withdrawal from the study. Brain CT or MRI and/or bone scan were performed as clinically indicated. In patients with measurable disease, objective response was determined according to Response Evaluation Criteria in Solid Tumors (RECIST version 1.0) [35].

### Statistical methods

Due to the exploratory nature of this study, no confirmatory inferential statistical analyses were planned. Descriptive statistics were used to summarize all patient characteristics, treatment administration/compliance, safety, PK parameters, and antitumor activity.

## Results

#### Patient characteristics

Three patients were enrolled into the CDD and 15 into the Schedule 2/1 dose-escalation cohorts (Table 1). An additional 6 patients with NSCLC (n=5) and mesothelioma (n=1) were subsequently enrolled into the expansion cohort on Schedule 2/1 (see below). In the expansion cohort, all 6 patients had undergone previous surgery and 4 had undergone prior radiation therapy. One had received prior systemic treatment (1 regimen). The median duration since first diagnosis was 1.7 months (range, 0.3-5.0) for the patients with NSCLC and 3.5 months for the patient with mesothelioma. In total, these 24 patients received 98 cycles of sunitinib therapy, 99 cycles of pemetrexed therapy, and 99 cycles of carboplatin therapy (Table 1). Patient demographic and baseline characteristics are summarized in Table 2.

Safety

### Schedule 2/1

The MTD on Schedule 2/1 was determined to be sunitinib 37.5 mg/day + pemetrexed 500 mg/m<sup>2</sup> + carboplatin AUC=5-mg·min/ml. At the Schedule 2/1 MTD, one DLT of grade 3 neutropenia was observed (Table 3). In the escalation cohort above this dose (sunitinib 50 mg/day + pemetrexed 500 mg/m<sup>2</sup> + carboplatin AUC=5 mg·min/ml), DLTs of grade 3 thrombo-cytopenia (n=1) and grade 4 neutropenia (n=2) were observed (Table 3).

|                               | Planned<br>sunitinib<br>dose (mg) | Planned pemetrexed dose $(mg/m^2)$ | Planned<br>carboplatin<br>dose (AUC<br>mg·min/ml) | Patients (n) | Number<br>of sunitinib<br>cycles started | Median no.<br>of sunitinib<br>cycles started<br>(range) | Patients with sunitinib dose reduced ( <i>n</i> ) | Number of<br>pemetrexed<br>cycles started | Median no. of<br>pemetrexed<br>cycles started<br>(range) | Patients with pemetrexed dose reduced ( <i>n</i> ) | Number of<br>carboplatin<br>cycles<br>started | Median no. of<br>carboplatin<br>cycles started<br>(range) | Patients with carboplatin dose reduced $(n)$ |
|-------------------------------|-----------------------------------|------------------------------------|---|--------------|--|---|---|---|--|--|---|---|--|
| CDD schedule                  |                                   |                                    |   |              |  |   |   |   |  |  |   |   |  |
| Dose level 1B (starting dose) | 37.5                              | 400                                | 5   | 3            | 16                                       | 6.0 (4-6)   | 2   | 16  | 6.0 (4-6)  | 1  | 16  | 6.0 (4-6)   | 1  |
| Dose level 2B                 | 37.5                              | 500                                | 5   | N/A          | N/A                                      | N/A   | N/A   | N/A                                       | N/A  | N/A  | N/A   | N/A   | N/A  |
| Dose level 3B                 | 50                                | 500                                | 5   | N/A          | N/A                                      | N/A   | N/A   | N/A                                       | N/A  | N/A  | N/A   | N/A   | N/A  |
| Total (CDD)                   | N/A                               | N/A                                | N/A   | 3            | 16                                       | N/A   | 2   | 16  | N/A  | 1  | 16  | N/A   | 1  |
| Schedule 2/1                  |                                   |                                    |   |              |  |   |   |   |  |  |   |   |  |
| Dose level B1 (starting dose) | 37.5                              | 400                                | 5   | 3            | 11                                       | 3.0 (2-6)   | 3   | 10  | 2.0 (2-6)  | 2  | 10  | 2.0 (2-6)   | 2  |
| Dose level B2 (MTD)           | 37.5                              | 500                                | 5   | 9            | 26                                       | 5.0 (2-6)   | 2   | 26  | 5.0 (2-6)  | 3  | 26  | 5.0 (2-6)   | 3  |
| Expansion cohort              | 37.5                              | 500                                | 5   | 9            | 18                                       | 3.5 (1-4)   | 4   | 20  | 3.5 (1-6)  | 4  | 20  | 3.5 (1–6)   | 5  |
| Dose level B3                 | 50                                | 500                                | 5   | 9            | 27                                       | 5.0 (2-6)   | 3   | 27  | 5.0 (2-6)  | 5  | 27  | 5.0 (2-6)   | 2  |
| Total (Schedule 2/1)          | N/A                               | N/A                                | N/A   | 21           | 82                                       | N/A   | 12  | 83  | N/A  | 14   | 83  | N/A   | 12   |
| Total (CDD + Schedule 2/1)    | N/A                               | N/A                                | N/A   | 24           | 98                                       | N/A   | 14  | 66  | N/A  | 15   | 66  | N/A   | 13   |

**Table 1** Drug exposure for sumitivity nemetrexed and carbonlativ

In total, 9/12 patients (75 %) treated at the Schedule 2/1MTD had at least one sunitinib dose delay, with 1 patient (8 %) having a delay of 3-4 weeks. Additionally, 6 patients (50 %) in this cohort had a sunitinib dose reduction to 25 mg. Six patients discontinued sunitinib at the MTD due to AEs (neutropenia [n=3], fatigue, diarrhea, and clostridial infection [all n=1]). The diarrhea was considered by the investigator to be related to sunitinib and pemetrexed, while the neutropenia and fatigue were attributed to all three study treatments. The median number of cycles of sunitinib, pemetrexed, and carboplatin received per patient was 5.0 (range 2-6) in the original Schedule 2/1 MTD cohort (n=6), and 3.5 (range 1–4) in the expansion cohort (n=6; Table 1). The dose of sunitinib was reduced to 25 mg in 2 patients (33 %) in the original Schedule 2/1 MTD cohort and 4 patients (67 %) in the expansion cohort (Table 1). Of these 6 patients, four discontinued sunitinib within 12 weeks after dose reduction, while the other two continued for 13-24 weeks. The dose of pemetrexed was reduced to 400 mg/m<sup>2</sup> in 3 patients (50 %) in the Schedule 2/1 MTD cohort and 4 patients (67 %) in the expansion cohort. All but one discontinued pemetrexed within 12 weeks after dose reduction. The dose of carboplatin was reduced up to 30 % in 3 patients (50 %) in the Schedule 2/1 MTD cohort and 5 patients (83 %) in the expansion cohort. Of these 8 patients, four discontinued carboplatin within 12 weeks after dose reduction, and the other four continued for 13-24 weeks.

One patient with NSCLC tolerated 37.5 mg for 3 cycles but was discontinued from the study due to diarrhea related to sunitinib and pemetrexed. One patient with anal cancer received sunitinib 50 mg for 4 cycles but the pemetrexed dose had to be reduced to  $375 \text{ mg/m}^2$  in Cycles 2 through 4. Another patient with metastatic synovial sarcoma received sunitinib 50 mg for 3 cycles, but the pemetrexed dose had to be reduced to  $400 \text{ mg/m}^2$  in Cycles 2 and 3.

The most common treatment-related non-hematologic AEs at the MTD on Schedule 2/1 are shown in Table 4; these events were predominantly mild to moderate in severity. In the original Schedule 2/1 MTD cohort, the most common non-hematologic AEs related to any study drug were fatigue/asthenia and diarrhea (both n=4; 67 %). Among patients in the expansion cohort, fatigue/asthenia (n=6; 100 %), nausea, and decreased appetite (each n=5; 83 %) were most common. Hematologic laboratory abnormalities on Schedule 2/1 at the MTD were grade 3/4 neutropenia, n=5 (83 %); grade 3 leukopenia, n=5(83 %); grade 3 lymphopenia, n=1 (17 %); grade 3/4 thrombocytopenia, n=3 (50 %); and grade 3 anemia, n=3(50 %). Serious AEs considered related to sunitinib treatment at the MTD included febrile neutropenia (n=3), neutropenia (DLT), transient ischemic attack, anemia, and diarrhea (each n=1). No patient at the MTD had more than one serious AE related to sunitinib.

CDD continuous daily dosing; N/A not applicable

|                                     | Sunitinib 37.5 mg + pemetrexed<br>400 mg/m <sup>2</sup> + carboplatin<br>AUC=5 mg·min/ml ( $n$ =3) | Sunitinib 37.5 mg + pemetrexed<br>400 mg/m <sup>2</sup> + carboplatin<br>AUC=5 mg·min/ml $(n=3)$ | Sunitinib 37.5 mg + pemetrexed<br>500 mg/m <sup>2</sup> + carboplatin<br>AUC=5 mg·min/ml $(n=6)^{a}$ | Sunitinib 50 mg + pemetrexed<br>500 mg/m <sup>2</sup> + carboplatin<br>AUC=5 mg·min/ml ( $n=6$ ) | Expansion cohort Sunitinib 37.5 mg +<br>pemetrexed 500 mg/m <sup>2</sup> + carboplatin<br>AUC=5 mg·min/ml ( $n$ =6) |
|-------------------------------------|--|--|--|--|---|
| Median age in years, (range)        | 60.0 (51–67)   | 54.0 (50–72)   | 58.0 (36-67)   | 58.0 (21–63)   | 60.0 (52–73)  |
| Male/female, <i>n</i>               | 2/1  | 0/3  | 4/2  | 2/4  | 1/5   |
| ECOG PS 0/1, n                      | 1/2  | 1/2  | 3/3  | 2/4  | 4/2   |
| Smoking status (ever smoked), n     | 3  | 1  | 5  | 1  | 5   |
| Primary malignancy, n               |  |  |  |  |   |
| NSCLC <sup>b</sup>                  | 3  | 0  | 0  | 1  | 5   |
| Breast cancer                       | 0  | 1  | 1  | 0  | 0   |
| Renal cancer                        | 0  | 0  | 2  | 1  | 0   |
| Other <sup>c</sup>                  | 0  | 2  | 3  | 4  | 1   |
| Prior systemic therapy, n           |  |  |  |  |   |
| Yes/no                              | 2/1  | 3/0  | 4/2  | 3/3  | 1/5   |
| Number of prior regimens 1 or 2/>2  | 1 or 1/0   | 2 or 0/1   | 2 or 0/2   | 1 or 1/1   | 1 or 0/0  |
| CDD continuous daily dosing; EC     | OG PS Eastern Cooperative Onco   | ology Group performance status;  | VSCLC non-small cell lung canc   | er   |   |
| <sup>a</sup> Maximum tolerated dose |  | •  |  |  |   |
|                                     |  |  |  |  |   |

 Table 2
 Baseline demographic and disease characteristics

Schedule 2/1 (n=21)

CDD schedule (n=3)

Histologies included: adenocarcinoma, n=3; bronchioalveolar carcinoma, n=1; other, n=1; unknown/not reported, n=4

<sup>c</sup> Other carcinomas included: pleural mesothelioma (epithelial and sarcomatoid), prostate cancer, anal cancer, thyroid cancer, endometrial cancer, gastric cancer, esophageal carcinoma, metastatic synovial sarcoma, transitional cell carcinoma, vulval cancer (all *n*=1)

| imiting | toxicities |
|---------|------------|
|         | imiting    |

| Sunitinib<br>dose (mg) | Pemetrexed<br>dose (mg/m <sup>2</sup> ) | Carboplatin dose<br>(AUC mg·min/ml) | n | DLT details <sup>a</sup>  |
|------------------------|---|-------------------------------------|---|---|
| Schedule 2             | /1 (n=21)                               |                                     |   |   |
| 37.5                   | 400                                     | 5                                   | 3 | -   |
| 37.5 <sup>b</sup>      | 500 <sup>b</sup>                        | 5 <sup>b</sup>                      | 6 | Grade 3 neutropenia (n=1)   |
| 50                     | 500                                     | 5                                   | 6 | Grade 3 thrombocytopenia ( $n=1$ )<br>Grade 4 neutropenia ( $n=2$ ) |
| CDD schee              | lule $(n=3)$                            |                                     |   |   |
| 37.5                   | 400                                     | 5                                   | 3 | Grade 3 hand–foot syndrome $(n=1)$<br>Grade 4 neutropenia $(n=1)$   |

CDD continuous daily dosing; DLT dose-limiting toxicity

<sup>a</sup> If a DLT was experienced by only one of the three patients at any dose level, the cohort was expanded to six patients. If none of the additional three patients experienced a DLT, the dose was escalated to the next level. If DLTs occurred in  $\geq$ 2 patients at any dose level, the dose level was deemed as having exceeded the MTD and the prior, lower dose level was further expanded (if only three patients were previously treated at that dose level). The MTD was defined as the dose level at which no more than one patient in a cohort of six experienced a DLT during the first 22 days of treatment of each schedule

<sup>b</sup> Maximum tolerated dose (MTD)

## CDD schedule

On the CDD schedule, two DLTs of grade 3 hand–foot syndrome (n=1) and grade 4 neutropenia (n=1) were observed with sunitinib 37.5 mg/day + pemetrexed 400 mg/m<sup>2</sup> + carboplatin AUC=5 mg·min/ml (Table 3). Because lower doses of sunitinib, pemetrexed, or carboplatin were considered unlikely to be efficacious, no further dose levels were investigated on the CDD schedule (thus the CDD MTD was not established) and the Schedule 2/1 MTD was selected for the expansion cohort.

On the CDD schedule, the median number of cycles of sunitinib, pemetrexed, and carboplatin received per patient was 6.0 (4–6), and dose reductions occurred in 2 patients (67 %) for sunitinib, and in 1 patient each for pemetrexed and carboplatin at dose level 1B (Table 1). Three patients on the CDD schedule (100 %) had at least one sunitinib dose delay of 1–3 weeks, and 2 patients had a sunitinib dose reduction to 25 mg. Most treatment-related non-hematologic AEs were grade 1 or 2, with increased lacrimation being the most common (n=3). Three serious AEs were considered related to sunitinib treatment: anemia, dehydration, and fatigue. Hematologic laboratory abnormalities were grade 4 neutropenia, n=3 (100 %); grade 3 lymphopenia, n=1 (33 %); grade 3 anemia, n=2 (67 %); and grade 4 thrombocytopenia, n=1 (33 %).

### All cohorts

Across all cohorts there were three deaths (one in the MTD cohort on Schedule 2/1 and two in the sunitinib 50 mg/day + pemetrexed 500 mg/m<sup>2</sup> + carboplatin AUC=5 mg·min/ml

**Table 4** Treatment-related (sunitinib, pemetrexed or carboplatin) non-<br/>hematologic adverse events of special interest or experienced by  $\geq 2$ <br/>patients treated at the maximum tolerated dose on Schedule 2/1

| Adverse event  | Sunitinib 37.<br>pemetrexed m <sup>2</sup> + carbop<br>AUC=5 mg<br>(original coh | .5 mg +<br>500 mg/<br>vlatin<br>g-min/ml<br>nort, <i>n</i> =6) | Sunitinib 37.<br>pemetrexed 5<br>carboplatin<br>AUC=5 mg<br>(expansion c | 5  mg +<br>$500 \text{ mg/m}^2 +$<br>$\cdot \text{min/ml}$<br>ohort, $n=6$ ) |
|--|--|--|--|--|
|  | Grade 3/4<br>n (%)   | Total<br>n (%)   | Grade 3/4<br>n (%)   | Total<br>n (%)   |
| Fatigue/asthenia   | 1 (17)   | 4 (67)   | 1 (17)   | 6 (100)  |
| Decreased appetite   | 0  | 2 (33)   | 1 (17)   | 5 (83)   |
| Nausea   | 0  | 1 (17)   | 1 (17)   | 5 (83)   |
| Diarrhea   | 1 (17)   | 4 (67)   | 1 (17)   | 4 (67)   |
| Edema/swelling <sup>a</sup>  | 0  | 2 (33)   | 0  | 4 (67)   |
| Dyspepsia  | 0  | 1 (17)   | 0  | 3 (50)   |
| Dehydration  | 0  | 0  | 2 (33)   | 3 (50)   |
| Hypertension   | 0  | 2 (33)   | 0  | 2 (33)   |
| Skin/subcutaneous tissue disordersb                                | 0  | 2 (33)   | 0  | 2 (33)   |
| Weight decreased   | 0  | 1 (17)   | 0  | 2 (33)   |
| Chills   | 0  | 0  | 0  | 2 (33)   |
| Vomiting   | 0  | 0  | 0  | 2 (33)   |
| Yellow skin  | 0  | 0  | 0  | 2 (33)   |
| Hypomagnesemia   | 0  | 2 (33)   | 0  | 1 (17)   |
| Stomatitis/oral discomfort/<br>related oral syndromes <sup>c</sup> | 0  | 2 (33)   | 0  | 1 (17)   |
| Paresthesia/neuropathyd  | 0  | 1 (17)   | 0  | 1 (17)   |
| Constipation   | 0  | 2 (33)   | 0  | 0  |
| Flatulence   | 0  | 2 (33)   | 0  | 0  |
| Jaundice   | 0  | 2 (33)   | 0  | 0  |
|  |  |  |  |  |

No grade 5 adverse events were reported at the MTD on Schedule 2/1 *MTD* maximum tolerated dose

<sup>a</sup> Edema/swelling is any event having a preferred term that contains edema or swelling

<sup>b</sup> Skin/subcutaneous tissue disorders is any event having a preferred term that contains erythema or hyperkeratosis or rash or skin exfoliation or skin hyperpigmentation

<sup>c</sup> Stomatitis/oral discomfort/related oral syndromes is any event having a preferred term equal to aphthous stomatitis, gingival pain, gingival ulceration, gingivitis, glossodynia, glossitis, mouth ulceration, mucosal dryness, mucosal inflammation, mucosal ulceration, oral discomfort, oral mucosal blistering, oral pain, stomatitis, swollen tongue, tongue blistering, tongue edema, or tongue ulceration

<sup>d</sup> Paresthesia/neuropathy is any event having a preferred term that contains paresthesia or neuropathy

cohort on Schedule 2/1). All deaths occurred during followup, more than 28 days after the last dose of study medication, and were considered related to the disease under study.

### Pharmacokinetics

Pharmacokinetic data revealed no clinically significant drugdrug interactions with the triple combination of sunitinib, pemetrexed, and carboplatin. The PK profile of sunitinib plus

SU12662 in the presence of pemetrexed/carboplatin was compared with historical controls since no data were collected for sunitinib administered alone (Table 5). Samples from the last 3 patients enrolled in the Schedule 2/1 MTD cohort showed that the geometric mean ratios (triple combination relative to sunitinib alone) for sunitinib C<sub>max</sub> and AUC<sub>24</sub> were 1.12 and 1.38, respectively. These data suggest that the PK of sunitinib when co-administered with pemetrexed and carboplatin were similar to when it was administered alone. The geometric mean ratios (triple combination relative to pemetrexed/carboplatin alone) for pemetrexed  $C_{max}$  and  $AUC_{\infty}$  were 1.20 and 1.03, respectively; for total platinum C<sub>max</sub> and AUC<sub>24</sub> they were 0.97 and 1.00; and for free platinum  $C_{max}$  and  $AUC_{24}$  they were 0.94 and 0.95 (Table 5). Based on these data, the addition of sunitinib to pemetrexed and carboplatin did not appear to affect the PK of pemetrexed or carboplatin. Individual patient plasma concentration-time profiles are presented in Fig. 1.

#### Antitumor activity

### Schedule 2/1

Of 21 evaluable patients treated on Schedule 2/1, a confirmed partial response was observed in 4 patients (objective response rate [ORR] 19.0 %) and stable disease  $\geq$ 8 weeks was reported in 9 patients (42.9 %). The patients with a partial response had primary diagnoses of breast cancer (sunitinib 37.5 mg + pemetrexed 400 mg/m<sup>2</sup> + carboplatin AUC=5-mg·min/ml), esophageal carcinoma (sunitinib 37.5 mg + pemetrexed 500 mg/m<sup>2</sup> + carboplatin AUC=5 mg·min/ml), gastric cancer (sunitinib 50 mg + pemetrexed 500 mg/m<sup>2</sup> + carboplatin AUC=5 mg·min/ml), gastric cancer (sunitinib 50 mg + pemetrexed 500 mg/m<sup>2</sup> + carboplatin AUC=5 mg·min/ml), and NSCLC, tumor histology not otherwise specified (NOS; sunitinib 50 mg + pemetrexed 500 mg/m<sup>2</sup> + carboplatin AUC=5 mg·min/ml).

Of 5 patients with NSCLC treated at the Schedule 2/1 MTD who were evaluable based on measurable disease at baseline, three had stable disease  $\geq 8$  weeks, one had progressive disease, and in one case the response could not be evaluated (stable disease but <8 weeks for response evaluation) (Table 6). As part of a continuation protocol, sunitinib (25–50 mg/day) was administered to 3 patients with NSCLC upon completion of 6 cycles of sunitinib + pemetrexed + carboplatin in the original study, or at the investigator's discretion. Best overall responses (taking into account time spent on both the original and continuation protocols) were partial response in 1 patient maintained for 6.9 months and stable disease maintained for 3.5 months and 7.9 months in the other 2 patients. Overall survival times were greater than 10.0, 6.9, and 10.6 months (all 3 patients were alive at last data collection point).

| Table 5 Pharmacc                                  | okinetic param                  | neters for the su  | um of sunitinib plus SU12                       | 2662, pemetrexed, total pla                        | ttinum, and free platinum                 | (carboplatin) at the maxin                         | num tolerated dose on Sc                  | hedule $2/1$ ( $n=3$ )                             |
|---|---------------------------------|--|---|--|---|--|---|--|
| Parameter <sup>a</sup>                            | Sunitinib + SU                  | J12662   | Pemetrexed                                      |  | Total platinum                            |  | Free platinum                             |  |
|   | Alone <sup>b</sup>              | Combined day<br>1 cycle 2  | Pernetrexed + carboplatin<br>day 1 cycle 1      | Pemetrexed + carboplatin + sunitinib day 1 cycle 2 | Pemetrexed + carboplatin<br>day 1 cycle 1 | Pemetrexed + carboplatin + sunitinib day 1 cycle 2 | Pemetrexed + carboplatin<br>day 1 cycle 1 | Pemetrexed + carboplatin + sunitinib day 1 cycle 2 |
| C <sub>max</sub> <sup>c</sup> (%CV)               | 20.3 (31)                       | 25.8 (47)  | 118 (16)  | 142 (18)   | 15.9 (20)                                 | 15.4 (20)  | 15.2 (20)                                 | 14.3 (24)  |
| AUC <sub>24</sub> <sup>d</sup> (%CV)              | 347 (27)                        | 521 (59)   | NR  | NR   | 63.7 (11)                                 | 63.4 (2)   | NR  | NR   |
| AUC <sub>∞</sub> <sup>d</sup> (%CV)               | NR                              | NR   | 261 (29)  | 268 (28)   | NR  | NR   | 45.7 (17)                                 | 43.3 (11)  |
| T <sub>max</sub> (h) (range)                      | 6 (4.0 - 10.0)                  | 8 (6.0–10.0)   | 0.17 (0.15–0.17)                                | 0.17 (0.17–0.23)                                   | 0.53(0.5-0.9)                             | 0.75 (0.62–0.75)                                   | 0.53 (0.50 - 0.90)                        | 0.75 (0.62-0.75)                                   |
| CL (L/h/m <sup>2</sup> ) (%CV)                    | NR                              | NR   | 3.65 (23)                                       | 3.55 (24)  | NR  | NR   | NR  | NR   |
| t <sub>1/2</sub> (h) (%CV)                        | NR                              | NR   | 2.7 (30)  | 2.91 (25)  | NR  | NR   | NR  | NR   |
| $AUC_{24}$ area under<br>nonreportable; $t_{1/2}$ | the plasma cc<br>terminal phase | oncentration–ti<br>herefore = herefore = her | me profile from time zero $x$ time to $C_{max}$ | o to 24 h; $AUC_{\infty}$ AUC frc                  | om time zero to infinity;                 | C <sub>max</sub> maximum plasma co                 | ncentration; CL clearanc                  | e; N/A not available; NR                           |
| <sup>a</sup> Geometric means                      | presented, exi                  | cept T <sub>max</sub> whe  | re medians presented                            |  |   |  |   |  |
| <sup>b</sup> Historical control                   | s (Study A618                   | 81051; Pfizer d  | lata on file) since no data                     | were collected for sunitini                        | b administered alone                      |  |   |  |
| <sup>c</sup> Units: ng/ml for s                   | unitinib + SU                   | 12662; µg/ml   | for pemetrexed total, and                       | free platinum                                      |   |  |   |  |
| <sup>d</sup> Units: AUC, ng·h                     | /ml for sunitir                 | nib + SU12662  | 2, µg·h/ml for pemetrexed                       | , total, and free platinum                         |   |  |   |  |



Fig. 1 Individual plasma concentration–time profiles for **a** the sum of sunitinib plus SU12662 in combination with pemetrexed/carboplatin on day 1 of cycle 2 (n=3), **b** pemetrexed (n=3), **c** total platinum (n=3), and **d** 

# CDD schedule

All 3 evaluable patients treated with the triple combination on the CDD schedule had stable disease  $\geq 8$  weeks as the best confirmed objective response.

## Discussion

Data from preclinical tumor models suggest that adding an antiangiogenic agent to chemotherapy may improve efficacy

**Table 6** Responses in patients with NSCLC (all cohorts; n=8)



free platinum (n=3). Pemetrexed and carboplatin are shown alone and in combination with sunitinib at the maximum tolerated dose on Schedule 2/1

[26–28]. Pemetrexed, sunitinib, and carboplatin are well tolerated individually and in combination, and have demonstrated antitumor activity in a broad range of malignancies, including NSCLC [12, 13, 22, 25]. The combination of pemetrexed and sunitinib has previously been reported to have promising tolerability and the potential for clinical benefit in patients with solid tumors, including NSCLC [34]. However, as noted above, a randomized phase II study of pemetrexed versus sunitinib versus the combination conducted by CALGB suggested that single agent pemetrexed was superior to either arm containing sunitinib [30]. Sunitinib was administered on a

| Patient | NSCLC histology    | Cohort <sup>a</sup>           | Best response           | Rolled over onto continuation protocol? | Total time on treatment (weeks; up to<br>July 2011 for continuation patients <sup>b</sup> ) |
|---------|--------------------|-------------------------------|-------------------------|---|---|
| 1       | Unknown            | Schedule 2/1, dose level B3   | Partial response        | Y                                       | 39.6  |
| 2       | Other              | CDD, dose level B1            | Stable disease ≥8 weeks | Y                                       | 40  |
| 3       | Other              | CDD, dose level B1            | Stable disease ≥8 weeks | Ν                                       | 20.6  |
| 4       | Adenocarcinoma     | Schedule 2/1, MTD (expansion) | Stable disease ≥8 weeks | Y                                       | 20.9  |
| 5       | Adenocarcinoma     | Schedule 2/1, MTD (expansion) | Stable disease ≥8 weeks | Ν                                       | 21.7  |
| 6       | Bronchioloalveolar | Schedule 2/1, MTD (expansion) | Stable disease ≥8 weeks | Ν                                       | 8.6   |
| 7       | Adenocarcinoma     | Schedule 2/1, MTD (expansion) | Progressive disease     | Ν                                       | 8.6   |
| 8       | Unknown            | Schedule 2/1, MTD (expansion) | Not evaluable           | Ν                                       | 2.1   |
|         |                    |                               |                         |   |   |

CDD continuous daily dosing; NSCLC non-small cell lung cancer

<sup>a</sup> Dose levels are described in Table 1

<sup>b</sup> All rollover patients were still alive at time of data collection

37.5 mg/day CDD schedule and the combination was associated with greater hematologic toxicity than either agent alone.

This phase I study established the MTD and overall safety of the triple combination of sunitinib plus pemetrexed and carboplatin in patients with advanced solid malignancies. The MTD on Schedule 2/1 was determined to be sunitinib  $37.5 \text{ mg/day} + \text{pemetrexed } 500 \text{ mg/m}^2 \text{ q3w} + \text{carboplatin}$ AUC=5 mg·min/ml q3w. The MTD cohort was expanded to further explore the feasibility, tolerability, and early activity of this triple combination for the treatment of advanced NSCLC or mesothelioma. The MTD on the CDD schedule was not established, as the first dose level tested on this schedule (sunitinib 37.5 mg/day + pemetrexed 400 mg/m<sup>2</sup> q3w + carboplatin AUC=5 mg·min/ml) was poorly tolerated due to myelosuppression. As preclinical models and data on minimum inhibitory concentrations suggest that the minimum effective dose is in the range of 37.5 mg/day [2], it was not felt that the exploration of a lower dose schedule would lead to clinically significant activity, considering the need to use suboptimal doses of each individual drug and the clinical experience of other groups using sunitinib alone or in combination with other chemotherapy regimens [36, 37]. Although there were no PK interactions, toxicities appeared additive (particularly in the form of myelosuppression), suggesting overlapping pharmacodynamic effects. It should be noted that full PK profiles for sunitinib, SU12662, pemetrexed, and carboplatin were only obtained from the last 3 patients in the Schedule 2/1 MTD cohort. In the investigators' judgment, the drug was not sufficiently tolerated to proceed with dose escalation and lower doses would not provide a clinical benefit. However, data from these 3 patients were consistent with historical controls from another study in similar patient populations which did not indicate major discrepancies. There is no preclinical evidence suggesting drug-drug interactions, and the pharmacologic profiles of pemetrexed, sunitinib and carboplatin do not predict any elimination interactions when the drugs are used in combination. Sunitinib is metabolized primarily by CYP3A4, which does not play a role in the elimination of carboplatin or pemetrexed. Furthermore, drug-drug interactions at the absorption level can be ruled out as carboplatin and pemetrexed were administered intravenously.

The Schedule 2/1 MTD was generally tolerable and clinically manageable on both sunitinib treatment schedules, with most non-hematologic toxicities being mild or moderate (grade 1 or 2), and similar to those reported with either single-agent sunitinib or pemetrexed combined with carboplatin in advanced NSCLC [12, 13, 38].

Myelosuppression was a common toxicity on the 2/1 dosing schedule, as anticipated. Sunitinib as a single agent has been associated with myelosuppression in a small proportion of patients [17, 18, 39]. One possible explanation is the inhibition of c-KIT, FLT3, and colony-stimulating factor receptor (c-fms), which may play a role in recovery of blood cells following myelosuppressive chemotherapy [40].

Hematologic toxicities such as thrombocytopenia and anemia are common AEs of treatment with carboplatin and pemetrexed monotherapy. In the current study, hematologic toxicities occurred at a greater rate than expected with carboplatin and pemetrexed alone [38, 41]. The DLTs and MTD were determined during the first cycle of treatment: however, the initial tolerability of these doses was not uniformly sustained, as most patients at the Schedule 2/1 MTD required subsequent sunitinib dose delays or reductions (75 % and 50 %, respectively). A median of 5.0 (2-6) cycles of the triple combination was administered in the original Schedule 2/1 MTD cohort, and a median of 3.5 (1-4) cycles was administered in the expansion cohort, which compared favorably with the cycles reported previously for combinations of pemetrexed with platinum agents (a median of 3 cycles of pemetrexed combined with cisplatin or carboplatin has been reported in previously treated patients with NSCLC [42]). Pharmacokinetic analyses revealed no clinically significant drug-drug interactions following co-administration of sunitinib with pemetrexed plus carboplatin.

The toxicity of the combination was broadly similar to previous reports of sunitinib plus other types of chemotherapy. Myelosuppression, fatigue, and diarrhea occurred frequently and often led to dose adjustments in studies of sunitinib combined with paclitaxel [43, 44], pemetrexed [45], carboplatin/ paclitaxel/bevacizumab [37], gemcitabine/cisplatin [40], FOLFIRI [46], or modified FOLFOX6 [47]. Significant toxicities have also been observed with other VEGFR-TKIs combined with chemotherapy. Rates of hematological toxicities and diarrhea were elevated in studies of sorafenib combined with docetaxel/cisplatin [48], gemcitabine/cisplatin [49], or paclitaxel/carboplatin [50]. Similarly, hematologic abnormalities and diarrhea were commonly observed when axitinib was combined with FOLFIRI [51] or docetaxel [52], and the combination of axitinib plus bevacizumab and FOLFOX was reported to markedly raise the incidence of hypertension [51].

In the current study, the ORR on Schedule 2/1 was 4/21 (19%). Given the small number of evaluable patients, and that responses were observed in patients with different tumor types, it is not possible to draw a definitive conclusion on the response rate. However, the observed rate compares favorably with the ORR for single-agent pemetrexed (4% [22]) and carboplatin plus pemetrexed (9% [39]) observed in larger studies. Of the three patients with NSCLC who entered the continuation study, one patient with NSCLC NOS had a partial response (lasting 6.9 months) and two patients with NSCLC NOS and adenocarcinoma maintained stable disease for 7.9 and 3.5 months, respectively.

In summary, although the MTD of sunitinib on Schedule 2/1 in combination with pemetrexed and carboplatin was established, dose adjustments were often required as a result of

myelosuppression, especially in patients with NSCLC, making it difficult to maintain a pharmacologically active sunitinib dose. Although one response was observed in a patient with NSCLC started at the highest sunitinib dose (50 mg), this dose could not be sustained in this patient group. However, 37.5 mg was better tolerated in patients with other tumor types, and clinical responses were observed in patients with esophageal carcinoma, breast cancer, and gastric carcinoma. Further exploration of this combination in solid tumors is warranted.

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