

Iitaka dimensions of vector bundles

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Received: 5 December 2017 / Accepted: 21 March 2018 / Published online: 27 March 2018
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Abstract Let X be a projective variety. If L is a line bundle on X , for each positive integer m in $\mathbf{N}(L) = \{m \in \mathbb{N} \mid H^0(X, L^{\otimes m}) \neq 0\}$, the global sections of $L^{\otimes m}$ define a rational map

$$\phi_m: X \dashrightarrow Y_m \subseteq \mathbb{P}(H^0(X, L^{\otimes m})),$$

where Y_m is the closure of $\phi_m(X)$. It is well-known that for all sufficiently large $m \in \mathbf{N}(L)$, the rational maps $\phi_m: X \dashrightarrow Y_m$ are birationally equivalent to a fixed fibration (the Iitaka fibration), and $\kappa(L) := \dim Y_m$ is called the Iitaka dimension of L . In a recent paper titled “Iitaka fibrations for vector bundles”, Mistretta and Urbinati generalized this to a vector bundle E on X . Let $\mathbf{N}(E)$ be the set of positive integers m such that the evaluation map $H^0(X, S^m E) \rightarrow S^m E_x$ is surjective for all points x in some nonempty open subset of X . For each $m \in \mathbf{N}(E)$, the global sections of $S^m E$ define a rational map

$$\varphi_m: X \dashrightarrow Y_m \subseteq \mathbb{G}(H^0(X, S^m E), \text{rank } S^m E),$$

where $\mathbb{G}(H^0(X, S^m E), \text{rank } S^m E)$ is the Grassmannian of rank $S^m E$ -dimensional quotients of $H^0(X, S^m E)$. Mistretta and Urbinati showed that for every $m \in \mathbf{N}(E)$, the rational maps φ_{km} are birationally equivalent for sufficiently large k , and called $\kappa(E) := \dim Y_{km}$ the Iitaka dimension of E . Here we first slightly improve Mistretta and Urbinati’s result to show that the rational maps φ_m are birationally equivalent for all sufficiently large $m \in \mathbf{N}(E)$. Then we show that

$$\kappa(E) \geq \kappa(\mathcal{O}_{\mathbb{P}(E)}(1)) - \text{rank } E + 1.$$

An immediate corollary of this inequality is that if E is big then $\kappa(E) = \dim X$, which answers a question of Mistretta and Urbinati. Another corollary is that if E is big then $\det E$ is big, provided that $\mathbf{N}(E) \neq \emptyset$.

Keywords Asymptotically generically generated · Big vector bundle · Iitaka dimension

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Mathematics Subject Classification 14C20 · 14J60 · 32L10

1 Introduction

Notations and conventions We will work over an algebraically closed field. Varieties are assumed to be *irreducible*. Points of a variety mean *closed* points. If V is a vector space, $\mathbb{P}(V)$ denotes the projective space of one-dimensional *quotients* of V , and $\mathbb{G}(V, r)$ denotes the Grassmannian of r -dimensional *quotients* of V . If E is a vector bundle on a variety X and $x \in X$ is a point, we denote by $\mathbb{P}(E)$ the projective bundle of one-dimensional *quotients* of E , and by E_x the *fiber* of E over x (**not** the stalk of the germs of sections of E at x).

Let X be a projective variety. It is a basic construction in algebraic geometry that a line bundle L on X such that $H^0(X, L^{\otimes m}) \neq 0$ for some $m > 0$ naturally induces a rational map from X to the projective space $\mathbb{P}(H^0(X, L^{\otimes m}))$. In [2], Mistretta and Urbinati studied a generalization of this construction to vector bundles as follows.

Definition 1 Let E be a vector bundle on a projective variety X , and let U be an open subset of X . We say that

- (1) E is *globally generated on U* if the evaluation map $H^0(X, E) \rightarrow E_x$ is surjective for every point $x \in U$.
- (2) E is *generically generated* if it is globally generated on some nonempty open subset of X .
- (3) E is *asymptotically generically generated (AGG)* if for some positive integer m , the m th symmetric power $S^m E$ of E is generically generated.

Definition 2 Let E be an AGG vector bundle of rank r on a projective variety X . Let m be a positive integer such that $S^m E$ is globally generated on a nonempty open subset $U \subseteq X$. Denote

$$\sigma_m(r) = \text{rank } S^m E = \binom{m+r-1}{m}.$$

Then one can define a rational map

$$\varphi_m : X \dashrightarrow \mathbb{G}(H^0(X, S^m E), \sigma_m(r))$$

by sending a point $x \in U$ to the $\sigma_m(r)$ -dimensional quotient $[H^0(X, S^m E) \twoheadrightarrow S^m E_x]$ of $H^0(X, S^m E)$ under the evaluation map. We call φ_m the *m th Kodaira map of E* .

Our first result is a slight improvement on [2, Theorem 4.4].

Theorem 3 *Let E be an AGG vector bundle on a complex projective variety X , and denote*

$$\mathbf{N}(E) = \{m \in \mathbb{N} \mid S^m E \text{ is generically generated}\}.$$

For each $m \in \mathbf{N}(E)$, let φ_m be the m th Kodaira map of E , and let Y_m be the closure of $\varphi_m(X)$. Then for all sufficiently large $m \in \mathbf{N}(E)$, the rational maps $\varphi_m : X \dashrightarrow Y_m$ are birationally equivalent to a fixed surjective morphism of projective varieties

$$\varphi_{\mathbb{G}} : X_{\mathbb{G}} \rightarrow Y_{\mathbb{G}}.$$

That is, there exists a commutative diagram

$$\begin{array}{ccc}
 X & \xleftarrow{u_G} & X_G \\
 \varphi_m \downarrow & & \downarrow \varphi_G \\
 Y_m & \xleftarrow{v_m} & Y_G
 \end{array}$$

where the horizontal maps are birational and u_G is a morphism.

The statement in [2, Theorem 4.4] is the same except that instead of “for all sufficiently large $m \in \mathbf{N}(E)$ ”, they have “for every $m \in \mathbf{N}(E)$ and for $k \gg 0$ ” the rational maps $\varphi_{km} : X \dashrightarrow Y_{km}$ are birationally equivalent to a fixed surjective morphism $\varphi_G : X_G \rightarrow Y_G$. Our version is more in line with the original Iitaka fibrations for line bundles [1, Theorem 2.1.33]. We also remark that compared to the case of line bundles, the difficulty in the proof comes from the fact that for a vector bundle E , $S^p E \otimes S^q E$ is not isomorphic to $S^{p+q} E$, and also that the morphism $\varphi_G : X_G \rightarrow Y_G$ is in general not a fibration (i.e., may not have connected fibers: see [2, Example 3.7]).

Following [2], we call the dimension of Y_G the *Iitaka dimension* of E , and denote it by $\kappa(X, E)$ or $\kappa(E)$. Mistretta and Urbinati showed that if E is strongly semiample, meaning that $S^m E$ is globally generated on X for some $m > 0$, then $\kappa(E) = \kappa(\det E)$ [2, Remark 4.5]. They then raised several questions on Iitaka dimensions for vector bundles which are not necessarily strongly semiample. Our second result, which is an inequality relating the Iitaka dimensions of E and $\mathcal{O}_{\mathbb{P}(E)}(1)$, can help answer some of those questions.

Theorem 4 *Let E be an AGG vector bundle of rank r on a projective variety X . Let $\pi : \mathbb{P}(E) \rightarrow X$ be the projective bundle of one-dimensional quotients of E , and let $\mathcal{O}_{\mathbb{P}(E)}(1)$ be the tautological quotient line bundle of π^*E on $\mathbb{P}(E)$. Then*

$$\kappa(X, E) \geq \kappa(\mathbb{P}(E), \mathcal{O}_{\mathbb{P}(E)}(1)) - r + 1.$$

Corollary 5 *Let E be an AGG vector bundle on a projective variety X . If E is big (meaning that $\mathcal{O}_{\mathbb{P}(E)}(1)$ is big), then $\kappa(E) = \dim X$.*

This answers Question 4.7 and 4.8 in [2].

Corollary 6 *Let E be an AGG vector bundle on a projective variety X . If E is big, then $\det E$ is big.*

This answers Question 4.6 in [2] affirmatively when E is big. Note that Corollary 6 is interesting in its own right since it is false without the AGG assumption: for example let E be a direct sum of line bundles

$$E = \mathcal{O}_X(D_1) \oplus \cdots \oplus \mathcal{O}_X(D_r).$$

Then E is big if and only if some nonnegative \mathbb{Z} -linear combination of the D_i is big [1, Lemma 2.3.2], whereas $\det E$ is big if and only if $D_1 + \cdots + D_r$ is big.

2 Proofs

Lemma 7 *Let E be a vector bundle on a projective variety X . If p and q are positive integers such that $S^p E$ and $S^q E$ are globally generated on an open subset U of X , then $S^{p+q} E$ is globally generated on U . Moreover, if $x, y \in U$ are points such that $\varphi_p(x) \neq \varphi_p(y)$, then $\varphi_{p+q}(x) \neq \varphi_{p+q}(y)$.*

Proof By assumption the evaluation maps

$$\text{ev}_{p,x}: H^0(X, S^p E) \rightarrow S^p E_x \text{ and } \text{ev}_{q,x}: H^0(X, S^q E) \rightarrow S^q E_x$$

are surjective for each $x \in U$. It thus follows from the commutative diagram

$$\begin{CD} H^0(X, S^p E) \otimes H^0(X, S^q E) @>\text{ev}_{p,x} \otimes \text{ev}_{q,x}>> S^p E_x \otimes S^q E_x \\ @VVV @VVV \\ H^0(X, S^{p+q} E) @>\text{ev}_{p+q,x}>> S^{p+q} E_x \end{CD}$$

that the evaluation map $H^0(X, S^{p+q} E) \rightarrow S^{p+q} E_x$ is surjective. Hence $S^{p+q} E$ is globally generated on U .

For each point $x \in U$, by definition

$$\varphi_p(x) = [\text{ev}_{p,x}: H^0(X, S^p E) \rightarrow S^p E_x].$$

If $x, y \in U$ are points such that $\varphi_p(x) \neq \varphi_p(y)$, then there exists an element v in $H^0(X, S^p E)$ such that

$$\text{ev}_{p,x}(v) = 0 \text{ and } \text{ev}_{p,y}(v) \neq 0.$$

Pick any $w \in H^0(X, S^q E)$ such that

$$\text{ev}_{q,x}(w) \neq 0 \text{ and } \text{ev}_{q,y}(w) \neq 0.$$

Denote by $v \cdot w \in H^0(X, S^{p+q} E)$ the image of $v \otimes w \in H^0(X, S^p E) \otimes H^0(X, S^q E)$ under the multiplication map. It follows from the commutative diagram above that

$$\text{ev}_{p+q,x}(v \cdot w) = 0 \text{ and } \text{ev}_{p+q,y}(v \cdot w) \neq 0.$$

Hence $\varphi_{p+q}(x) \neq \varphi_{p+q}(y)$. □

Proof of Theorem 3 By the proof of [2, Theorem 4.4], for each $m \in \mathbf{N}(E)$ the rational map $\varphi_m: X \dashrightarrow Y_m$ factors through $\varphi'_\mathbb{G} = \varphi_\mathbb{G} \circ u_\mathbb{G}^{-1}: X \dashrightarrow Y_\mathbb{G}$. So to show that $\varphi_m = \varphi'_\mathbb{G}$ for sufficiently large m , it is enough to show that $\varphi'_\mathbb{G}(x) \neq \varphi'_\mathbb{G}(y)$ implies $\varphi_m(x) \neq \varphi_m(y)$ for all points x and y in some nonempty open subset of X .

Pick $m_1, \dots, m_n \in \mathbf{N}(E)$ which generate $\mathbf{N}(E)$ as a semigroup. By [2, Theorem 4.4], $\varphi_{km_i} = \varphi'_\mathbb{G}$ for $k \gg 0$. Hence all sufficiently large $m \in \mathbf{N}(E)$ can be written as $m = \sum_{i=1}^n k_i m_i$, where $k_i \in \mathbb{N}$ and at least one of $\varphi_{k_i m_i} = \varphi'_\mathbb{G}$. It thus follows from Lemma 7 that $\varphi'_\mathbb{G}(x) \neq \varphi'_\mathbb{G}(y)$ implies $\varphi_m(x) \neq \varphi_m(y)$. □

Proof of Theorem 4 Let $m > 0$ be a positive integer such that $S^m E$ is globally generated on a nonempty open subset U of X . We denote

$$V = H^0(X, S^m E) \text{ and } \mathbb{G}_m = \mathbb{G}(V, \sigma_m(r)).$$

Let Q_m be the tautological quotient bundle on \mathbb{G}_m . Then $\mathbb{P}(Q_m)$ is isomorphic to a two-step flag variety

$$\mathbb{P}(Q_m) = \{[V \rightarrow W_1 \rightarrow W_2] \mid \dim W_1 = \sigma_m(r), \dim W_2 = 1\},$$

so there are projection morphisms

$$\pi_1: \mathbb{P}(Q_m) \rightarrow \mathbb{G}_m \text{ and } \pi_2: \mathbb{P}(Q_m) \rightarrow \mathbb{P}(V).$$

Let $x \in U$ be a point. Then the evaluation map $H^0(X, S^m E) \twoheadrightarrow S^m E_x$ is surjective. By definition a point $y \in \pi^{-1}(x)$ is a one-dimensional quotient $[E_x \twoheadrightarrow L]$ of E_x . Since

$$H^0(\mathbb{P}(E), \mathcal{O}_{\mathbb{P}(E)}(m)) = V = H^0(X, S^m E) \twoheadrightarrow S^m E_x \twoheadrightarrow S^m L = \mathcal{O}_{\mathbb{P}(E)}(m)_y,$$

the line bundle $\mathcal{O}_{\mathbb{P}(E)}(m)$ is globally generated on the open set $\tilde{U} = \pi^{-1}(U) \subseteq \mathbb{P}(E)$. Let

$$\phi_m : \tilde{U} \rightarrow \mathbb{P}(V), \quad y = [E_x \twoheadrightarrow L] \mapsto [V \twoheadrightarrow S^m L]$$

be the morphism defined by the global sections of $\mathcal{O}_{\mathbb{P}(E)}(m)$ on $\mathbb{P}(E)$, and let

$$\psi_m : \tilde{U} \rightarrow \mathbb{P}(Q_m), \quad y = [E_x \twoheadrightarrow L] \mapsto [V \twoheadrightarrow S^m E_x \twoheadrightarrow S^m L].$$

Then there is a commutative diagram

$$\begin{array}{ccccc} & & \phi_m & & \\ & & \curvearrowright & & \\ \tilde{U} & \xrightarrow{\psi_m} & \mathbb{P}(Q_m) & \xrightarrow{\pi_2} & \mathbb{P}(V) \\ \pi \downarrow & & \downarrow \pi_1 & & \\ U & \xrightarrow{\varphi_m} & \mathbb{G}_m & & \end{array} .$$

For each point $x \in U$,

$$\pi^{-1}(x) = \mathbb{P}(E_x), \quad \pi_1^{-1}(\varphi_m(x)) = \mathbb{P}(S^m E_x),$$

and

$$\psi_m|_{\pi^{-1}(x)} : \mathbb{P}(E_x) \rightarrow \mathbb{P}(S^m E_x), \quad [E_x \twoheadrightarrow L] \mapsto [S^m E_x \twoheadrightarrow S^m L]$$

is the m th Veronese embedding. Hence

$$\dim \varphi_m(U) = \dim \psi_m(\tilde{U}) - r + 1 \geq \dim \phi_m(\tilde{U}) - r + 1.$$

Since $\dim \varphi_m(U) = \kappa(X, E)$ and $\dim \phi_m(\tilde{U}) = \kappa(\mathbb{P}(E), \mathcal{O}_{\mathbb{P}(E)}(1))$ for sufficiently large $m \in \mathbb{N}(E)$,

$$\kappa(X, E) \geq \kappa(\mathbb{P}(E), \mathcal{O}_{\mathbb{P}(E)}(1)) - r + 1.$$

□

Corollary 5 follows immediately from Theorem 4. As for Corollary 6, applying the argument in the proof of [2, Theorem 3.4] to an open set $U \subseteq X$ where $S^m E$ is globally generated, one sees that the rational map $\varphi_{\mathbb{G}} : X \dashrightarrow Y_{\mathbb{G}}$ is a composition of the Iitaka fibration $\varphi_{\det E} : X \dashrightarrow Y_{\infty}$ followed by a dominant rational map $Y_{\infty} \dashrightarrow Y_{\mathbb{G}}$. It follows that

$$\kappa(\det E) \geq \kappa(E).$$

If E is big (and AGG), $\kappa(E) = \dim X$ by Corollary 5, and hence $\kappa(\det E) = \dim X$.

Acknowledgements The author gratefully acknowledges the support of MoST (Ministry of Science and Technology, Taiwan).

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