# **ORIGINAL ARTICLE**



# Hospital volume following major surgery for gastric cancer determines in-hospital mortality rate and failure to rescue: a nation-wide study based on German billing data (2009–2017)

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# Abstract

**Background** For many cancer resections, a hospital volume-outcome relationship exists. The data regarding gastric cancer resection—especially in the western hemisphere—are ambiguous. This study analyzes the impact of gastric cancer surgery caseload per hospital on postoperative mortality and failure to rescue in Germany.

**Methods** All patients diagnosed with gastric cancer from 2009 to 2017 who underwent gastric resection were identified from nation-wide administrative data. Hospitals were grouped into five equal caseload quintiles (I–V in ascending caseload order). Postoperative deaths and failure to rescue were determined.

**Results** Forty-six thousand one hundred eighty-seven patients were identified. There was a significant shift from partial resections in low-volume hospitals to more extended resections in high-volume centers. The overall in-house mortality rate was 6.2%. The crude in-hospital mortality rate ranged from 7.9% in quintile I to 4.4% in quintile V, with a significant trend between volume categories (p < 0.001). In the multivariable logistic regression analysis, quintile V hospitals (average of 29 interventions/year) had a risk-adjusted odds ratio of 0.50 (95% CI 0.39–0.65), compared to the baseline in-house mortality rate in quintile I (on average 1.5 interventions/year) (p < 0.001). In an analysis only evaluating hospitals with more than 30 resections per year mortality dropped below 4%. The overall postoperative complication rate was comparable between different volume quintiles, but failure to rescue (FtR) decreased significantly with increasing caseload.

**Conclusion** Patients who had gastric cancer surgery in hospitals with higher volume had better outcomes and a reduced failure to rescue rates for severe complications.

Keywords Gastric cancer · Volume outcome · Mortality · Failure to rescue

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# Introduction

The incidence, mortality and treatment of gastric cancers vary considerably around the world [1, 2], with surgical resection being the therapeutic cornerstone for patients with non-metastatic disease [3]. Unlike for pancreatic, esophageal, pulmonary and colorectal resections, however, the correlation between individual surgeon and hospital volume with both short-term and long-term outcomes for gastric resection is unclear [4-8], with the notable exception of East Asia where the incidence of gastric cancer is high [9]. Indeed, a recent review of gastric cancer trials and studies on gastric cancer resections performed mainly in Western countries on more than one thousand patients failed to establish a correlation between hospital volume and patient outcomes [10]. Additionally, real-world data does not suggest reduced

mortality or improved long-term survival for gastric cancer resections in high-volume centers [11–13]. According to an analysis of gastric resections in Germany from between 2010 and 2015, overall in-house mortality was high (around 10%), decreasing slightly when annual surgical volume increased [14–16]. However, this analysis did not distinguish between the different indications for gastric resection.

The aim of this study was therefore to investigate the association between hospital volume for gastric cancer surgery and postoperative outcome by dividing hospitals into five caseload quintile groups. The primary endpoint was in-house mortality while secondary endpoints were rate of complications and associated failure to rescue.

## Methods

#### Case definition and hospital volume

This is a retrospective cohort study based on the individual inpatient billing data from the nation-wide German diagnosis-related groups (DRG) registry. All patients with a DRG code C16 for gastric cancer as the main diagnosis and a concomitant procedure code indicating major gastric or gastric and partial esophageal resection (OPS codes 5435/6/7/8, 542411/12, 54241/2) between 1st January 2009 and 31st December 2017 were included. Procedures were considered hierarchically within each patient and the more radical intervention was considered as the principal intervention to avoid double counting of interventions. ICD-codes for complications were identified among patients' secondary diagnoses. A dichotomous variable for complications was created which became positive if one or more complications were recorded for an inpatient case. Hospitals were divided into five volume quintile categories of approximately equal size based on their pooled number of gastric resections in this period as previously described (quintiles I-V in ascending caseload order) [6, 7]. As a sensitivity analysis hospital volume was analyzed as a continuous variable and based on annual caseload ( $\leq 10, 11-29, \geq 30$  cases/year). Primary endpoint was defined as post-operative death within the same in-house stay as gastric cancer resection. Secondary endpoints were occurrence of complications and death after occurrence of defined complications (FtR).

#### Data

Anonymized data was accessed via the Research Data Centre of the Federal Statistical Office by controlled remote data analysis. Data included in the analysis consisted of anonymized unique patient and hospital identifiers, primary and secondary DRG codes, concomitant procedure codes, patient sex, age, duration of mechanical ventilation, mass transfusion and length of stay (LOS). The German adaptation of the ICD-10-GM codes as well as the German procedure codes in the relevant versions were used for this study [17]. If duplicate patient identifiers occurred, one data set was randomly chosen and included in the analysis. Only elective procedures were considered.

# Potential confounding

For each patient a comorbidity score, as published by Stausberg and Hagn [18] was determined. Patient data was cross tabulated and crude associations were evaluated using  $\chi^2$  tests where appropriate. Trends across hospital volume quintiles and temporal trends were assessed with a non-parametric test for trend [19]. Crude odds ratios (OR) between in-hospital mortality and the main independent variable (hospital volume quintile), as well as with other secondary variables were computed to identify potential confounders. Mantel–Haenszel method was used to screen for relevant effect modification. To detect multicollinearity, we determined the correlation between the different pairs of variables.

# **Multivariable regression**

We estimated the effect of hospital volume on in-hospital mortality by multivariable logistic regression analysis considering patient clustering within institutions by means of hospital as a random effect. The accuracy of the randomeffects estimators of the multivariable models was checked by refitting the models for different numbers of quadrature points and subsequent comparison of the values of the estimators.  $10^{-4}$  was considered to be the maximum acceptable relative difference between the different quadrature points. The multivariable model was adjusted for known confounding effects of sex, age and co-morbidity. We also fitted models with patient number per hospital as a continuous variable. Model performance was assessed using likelihood ratio tests. Risk standardized mortality rates (RSMR), risk standardized major complication rates (RSMCR) and a combined risk standardized mortality after major complication rate (RSMMCR) for each hospital based on predicted mortality rates were calculated by multivariable logistic regression as described in [20]. Differences in means were compared by Kruksal–Wallis tests.

#### **Statistical analysis**

The statistical evaluation was carried out with Stata 14.2 (StataCorp LP, College Station, Texas, USA). A p value  $\leq 0.05$  was considered significant. The authors followed RECORD Guidelines for good practice of secondary data analysis [21].

## Results

A total of 46,218 datasets with the diagnosis of gastric cancer (ICD code C16) and gastric resection (procedure codes 5435/6/7/8, 542411/12, 54241/2) in Germany between 1 January 2009 and 31 December 2017 were identified. 31 cases were excluded from analysis due to duplication (0.07%) leaving 46,187 patients for further analysis.

## Patient background

Seventeen thousand eight hundred sixty-four patients (38.7%) were female, and the median age was 70 years. 27.9% of patients (n = 12,881) had cancer of the pyloric antrum, followed by cancer of the corpus (n = 11,789, 25.5%) and the cardia (n = 10,046, 21.8%). Most frequently,

patients underwent total gastrectomy (n = 27,717; 60.0%), followed by subtotal or 4/5 gastric resection (n = 10,080; 21.8%) and partial, or 2/3 gastric resection (n = 3370; 7.9%) (Table 1). A significant temporal trend could not be observed regarding patient age. The mean comorbidity score steadily increased during the observation period (102.07 in 2009 versus 103.19 in 2017, p < 0.001). The median LOS steadily decreased (19 days in 2009 versus 16 days in 2017, p < 0.001).

## **Unadjusted in-hospital mortality**

Nationwide in-hospital mortality following elective gastric cancer resection was 6.2% (n = 2872 of 46,187) (Table 2). The mortality rate was higher for men than for women (6.7% (1908 of 28,323) versus 5.4% (964 of 17,864), respectively) and increased with patient age. While patients under

Table 1	Characteristics	of patients undergoing	gastric cancer resection 200	09–2017, according	to hospital volume quintile
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	Hospital volume quintile							
	I	II	III	IV	V	Total	$p^{\ddagger}$	
No. of hospitals	665	189	121	73	36	1084		
Total no. of patients	9100	9015	9513	9223	9336	46,187		
No. of patients over 9-year period*	13.7 (9.8)	47.7 (8.4)	78.6 (10.6)	126.3 (20.9)	259.3 (94.8)	42.6 (55.7)		
Annual volume per hospital*	1.5	5.3	8.7	14.0	28.8	16.1		
Age (years, median and sd)	73 (11.4)	71 (11.6)	71 (11.7)	69 (12.0)	67 (12.3)	70 (12.0)	$< 0.001^{\$}$	
≤55	916 (13.8)	1077 (16.3)	1306 (19.7)	1488 (22.5)	1839 (27.8)	6626	< 0.001	
55–74	4097 (17.6)	4449 (19.1)	4824 (20.8)	4811 (20.7)	5065 (21.8)	23,246	< 0.001	
≥75	4087 (25.1)	3489 (21.4)	3383 (20.8)	2924 (17.9)	2432 (14.9)	16,315	< 0.001	
No. of women	3743 (41.1)	3612 (40.1)	3737 (39.3)	3423 (37.1)	3349 (35.9)	17,864 (38.7)	$< 0.001^{\$}$	
Co-morbidity score*	102.8(5.6)	102.7(5.8)	102.5(5.8)	102.7(5.7)	102.6(6.0)	102.6 (5.8)	$< 0.001^{\$}$	
Length of hospital stay (days, median and sd)	20 (14.9)	18 (15.2)	18 (15.3)	17 (15.6)	16 (14.5)	17 (15.3)	$< 0.001^{\$}$	
Cancer location								
Cardia (C16.0)	1155 (12.7)	1602 (17.8)	2016 (21.2)	2426 (26.3)	2847 (30.5)	10,046 (21.8)	< 0.001	
Corpus (C16.2)	2437 (27.8)	2350 (26.1)	2483 (26.1)	2283 (24.8)	2236 (24.0)	11,789 (25.5)	< 0.001	
Antrum (C16.3)	2910 (32.0)	2694 (29.9)	2654 (27.9)	2500 (27.1)	2123 (22.7)	12,881 (27.9)	< 0.001	
Other (C16.1, 4–9)	3530 (38.8)	2369 (26.3)	2360 (24.8)	2014 (21.8)	2130 (22.8)	11,471 (24.8)	< 0.001	
Type of surgery								
Complete gastrectomy and esophageal resec- tion	221 (10.4)	311 (14.6)	481 (22.5)	538 (25.1)	583 (27.2)	2,134	< 0.001	
Complete gastrectomy	5188 (18.7)	5376 (19.4)	5805 (20.9)	5591 (20.2)	5757 (20.8)	27,717	< 0.001	
Subtotal (4/5) gastric resection	2494 (24.7)	2137 (21.2)	1915 (19.0)	1821 (18.1)	1713 (17.0)	10,080		
Partial gastric resection and esophageal resec- tion (transthorac)	147 (7.7)	288 (15.1)	459 (24.0)	529 (27.7)	490 (25.6)	1913	< 0.001	
Partial gastric resection and esophageal resec- tion (transhiatal)	59 (6.1)	129 (13.3)	184 (18.9)	256 (26.3)	345 (35.5)	973	< 0.001	
Partial (2/3) gastric resection	991 (29.4)	774 (23.0)	669 (19.9)	488 (14.5)	448 (13.3)	3370	< 0.001	

Values in parentheses are percentages of total in the relevant quintile unless indicated otherwise

\*Values are mean (SD)

 $\frac{1}{\chi^2}$  test for difference between subgroups, except

<sup>§</sup>Non-parametric test for trend

Table 2	Mortality	according	to hospital	volume quintile
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	Hospital volume quintile								
	I	II	III	IV	V	Total	$p^{\ddagger}$		
In-hospital deaths	716 (7.9)	600 (6.7)	606 (6.4)	536 (5.8)	414 (4.4)	2,872 (6.2)	< 0.001 <sup>§</sup>		
Age group $\leq$ 55 years	24 (2.6)	21 (2.0)	19 (1.5)	20 (1.3)	16 (0.9)	100 (1.5)	0.006		
Age group 55–74 years	217 (5.3)	192 (4.3)	238 (4.9)	220 (4.6)	202 (4.0)	1,069 (4.6)	0.028		
Age group $\geq$ 75	475 (11.6)	387 (11.1.)	349 (10.3)	296 (10.1)	196 (8.1)	1,703 (10.4)	< 0.001		
Cancer location									
Cardia (C16.0)	101 (8.7)	134 (8.4)	148 (7.3)	150 (6.2)	140 (4.9)	673 (6.7)	< 0.001		
Corpus (C16.2)	179 (7.4)	127 (5.4)	137 (5.5)	117 (5.1)	84 (3.8)	644 (5.5)	< 0.001		
Antrum (C16.3)	202 (6.9)	146 (5.4)	160 (6.0)	148 (5.9)	74 (3.5)	730 (5.7)	< 0.001		
Other (C16.1, 4–9)	234 (6.6)	193 (8.1)	161 (6.1)	121 (6.0)	116 (5.4)	825 (7.2)	0.011		
Type of surgery									
Complete gastrectomy and esophageal resection	21 (9.5)	27 (8.7)	32 (6.7)	42 (7.8)	40 (6.9)	162 (7.6)	0.728		
Complete gastrectomy	398 (7.7)	350 (6.5)	354 (6.1)	289 (5.2)	259 (4.5)	1,650 (6.0)	< 0.001		
Subtotal (4/5) gastric resection	182 (7.3)	121 (5.7)	123 (6.4)	119 (6.5)	62 (3.6)	607 (6.0)	< 0.001		
Partial gastric resection and esophageal resection (tran- sthoracic)	16 (10.9)	26 (9.0)	32 (7.0)	35 (6.6)	17 (3.5)	126 (6.6)	0.010		
Partial gastric resection and esophageal resection (tran- shiatal)	3 (5.1)	12 (9.3)	12 (6.5)	21 (8.2)	14 (4.1)	62 (6.4)	0.217		
Partial (2/3) gastric resection	96 (9.7)	64 (10.7)	53 (7.9)	30 (6.2)	22 (4.9)	265 (7.9)	0.034		

Values in parentheses are percentages of total in the relevant quintile unless indicated otherwise

 $^{\pm}\chi^{2}$  test for difference between subgroups, except

§Non-parametric test for trend

55 years of age had a mortality rate of 1.5% (100 of 6626), this rate increased to 4.6% (1069 of 23,246) for patients between 55 and 74 years of age and increased further to 10.4% in patients over 75 years of age (1703 of 16,315 or 10.4%). When considering the type of resection, the highest in-hospital mortality was observed in patients receiving a partial gastric resection (265 of 3370; 7.9%), followed by gastrectomy with concomitant esophageal resection (162 of 2134; 7.6%). The in-house mortality for patients after total gastrectomy and subtotal gastric resection was around 6.0% (1650 of 27,717 and 126 of 1913 respective).

The 1084 hospitals were divided into 5 volume quintile groups of equal size (mean 9237 patients per quintile, maximum absolute difference of 5.4% between volume quintiles). 665 hospitals were in quintile I, whereas 73 and 36 hospitals were in quintiles IV and V (Table 2, Fig. 1a), respectively. Median LOS was 20 days in quintile I compared to 16 days in quintile V hospitals. Median patient age steadily decreased from 73 to 67 years with increasing hospital volume (very-low versus very-high-volume centers).

# **Hospital volume quintiles**

Annually, a mean of 1.5 patients underwent gastric cancer resection in quintile I hospitals. Quintile V hospitals performed 28.8 resections per year. Postoperative mortality

during hospital stay was inversely associated with hospital volume. The crude in-house mortality rate ranged from 7.9% (716 of 9100) in hospitals in the lowest volume category to 4.4% (414 of 9336) in quintile V centers (p < 0.001 for trend) (Table 2).

Accounting for patient clustering within institutions and for the effect of confounding, a steady and significant decrease in in-hospital mortality was observed with increasing volume of gastric cancer resections. The adjusted OR for postoperative in-hospital mortality was almost 50% lower in quintile V, 34% lower in quintile IV, and 24% lower in quintile III hospitals as compared to quintile I hospitals (p < 0.001 for Quintile IV and V; p = 0.004 for Quintile III) (Fig. 1b–f; Table 3).

#### Postoperative complications and failure to rescue

Mechanical ventilation for  $\geq 48$  h, massive transfusion of  $\geq 6$ units of packed red blood cells and relaparotomy were all associated with a significant increase in in-hospital death [mechanical ventilation 39.0% versus 3.1% (1565 of 4015 and 1307 of 42,172), massive transfusion 31.4% versus 3.8% (1271 of 4042 and 1601 of 42,145) and relaparotomy 28.0% versus 4.5% (942 of 3369 and 1930 of 42,818), all p < 0.001] (Table 4). Anastomotic leakage was reported in 4.2% of patients and of those 27.5% died during their inpatient

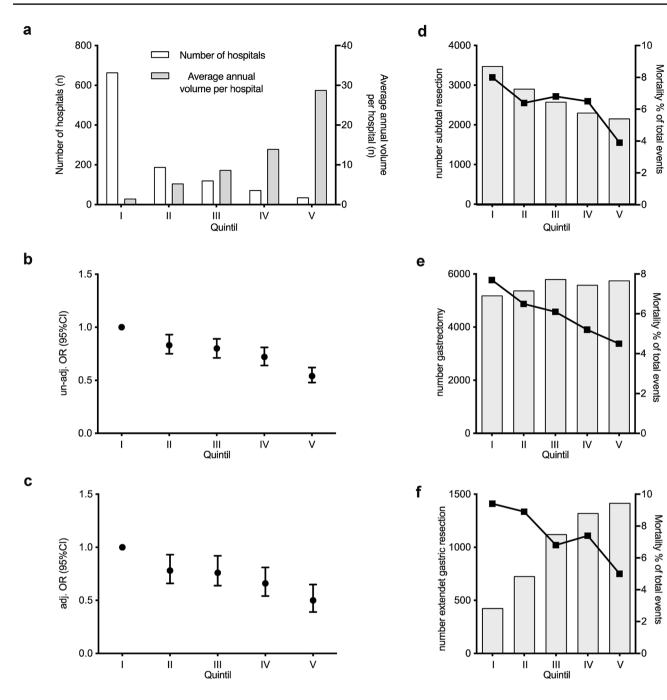


Fig.1 Left row: a Hospital caseload per volume category. b Unadjusted in-house mortality and 95% CI; c adjusted in-house mortality and 95% CI according to hospital volume. Right row Number of gas-

tric resections (gray bar) and in-house mortality (black line) according to hospital quintile. d subtotal gastrectomy; e gastrectomy; f extended resections

time (536 of 1947 as compared to 5.3% mortality (2336 of 41,904) in patients without anastomotic leakage; p < 0.001). Peritonitis occurred in 10.4% of cases (4817) and was also significantly associated with increased mortality (1491 of 4817; 31.0%, p < 0.001). There were several cases of mediastinitis (137 of 46,187) and pleural empyema (485 of 46,187), which were also associated with an increased fatal outcome (mediastinitis: 54 of 137; 39.4%; pleural empyema: 120 of

485; 24.7%; both p < 0.001). Pancreatitis was reported in 816 patients (Mortality: n = 214, 26.2%; p < 0.001 for increase in mortality). 5.7% (n = 2623) of all patients were diagnosed with surgical site infection (SSI) (Mortality: n = 372; 14.2%, p < 0.001 for increase in mortality). Mortality in patients with clostridia difficile infection was doubled compared to patients without (86 of 623 versus 2786 of 456,564 or 13.8 versus 6.1%, p < 0.001) (supp. Table 1).

Table 3 Crude and adjusted odds ratios to determine factors influencing in-house mortality

0.50 (0.39, 0.65)

1.00 (reference)

0.96 (0.87, 1.06)

1.00 (reference)

2.32 (1.85, 2.92)

4.12 (3.29, 5.15)

1.27 (1.26, 1.28)

< 0.001

< 0.001

< 0.001

< 0.001

Co-morbidity score 1.26 (1.25, 1.27) < 0.001 Values in parentheses are 95% confidence intervals

0.54 (0.48, 0.62)

1.00 (reference)

1.26 (1.17, 1.37)

1.00 (reference)

3.15 (2.56, 3.87)

7.61 (6.20, 9.32)

Table 4	Complications and	l failure to rescue in low	est and highest-volume	quintiles
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Hospital volume quintile

I

Π

III

IV

V

Sex F

Μ Age (years) ≤55

55-74

≥75

	Observed occurrence (%)				Observed mortalit	y for the complication (%)			
	Overall occur- rence	Quintile I	Quintile V	<i>p</i> *	Overall mortality	Quintile I	Quintile V	<i>p</i> * (across all volume categories)	
Ventilation > 48 h	4015	9.0	8.7	0.589	1565 (39.0)	42.6	32.9	< 0.001	
Transfusion of $\geq 6$ erythrocyte con- centrates	4042	9.9	8.5	0.001	1271 (31.4)	32.6	29.1	0.124	
Anastomotic leak	1947	3.5	4.9	< 0.001	536 (27.5)	30.2	20.4	0.002	
Relaparotomy	3369	6.6	7.7	0.006	942 (28.0)	31.8	22.1	0.002	
Peritonitis	4,817	10.8	10.3	0.251	1491 (31.0)	32.9	26.4	< 0.001	
Pulmonary embolism	833	1.3	2.4	< 0.001	183 (22.0)	29.7	15.4	0.002	
Myocardial infarction	448	1.2	0.9	0.025	164 (36.6)	36.5	25.3	0.107	
Stroke	219	0,5	0.5	0.740	52 (23.7)	16.7	21,7	0.532	
CPR	850	1.8	1.6	0.207	545 (66.5)	72.4	62.8	0.071	
Bleeding	2115	4.8	4.4	0.278	385 (18.2)	21.3	16.0	0.049	
Acute kidney injury	2364	5.4	4.9	0.124	1,119 (47.3)	49.7	42.5	0.026	
Hospital associated pneumonia	3263	5.9	7.9	< 0.001	669 (20.5)	22.9	18.9	0.079	
Mediastinitis	137	0.2	0.3	0.115	54 (39.4)	35.0	31.3	0.779	
Pleural empyema	485	0.6	1.3	< 0.001	120 (24.7)	35.3	21.1	0.051	
Pancreatitis	816	2.2	1.4	< 0.001	214 (26.3)	24.8	24.4	0.946	
Surgical site infection	2623	5.9	5.7	0.475	372 (14.2)	17.8	9.8	< 0.001	
C. difficile infection	623	1.3	1.2	0.291	86 (13.8)	17.2	12.8	0.355	

Values in parentheses are percentages

 $\chi^{2}$  test for difference

Anastomotic leakage was reported significantly more often in quintile III and V hospitals, with a rate of 4.9% in quintile V as compared to a rate of 3.5% in quintile I (p < 0.001 for differences across categories). Prolonged ventilation occurred with similar frequency in all volume categories between around 8.5 and 9.0% across all volume categories. Relaparotomy was most frequent in quintile IV and V centers (8.1% and 7.7% versus 6.6% in quintile I, p = 0.003). Pulmonary embolism occurred more often in quintile IV as compared to quintile I (2.4% versus 1.3%, p < 0.001). Peritonitis, stroke, myocardial infarction, the incidence of bleeding and transfusion, cardio pulmonal

< 0.001

0.391

< 0.001

< 0.001

< 0.001

resuscitation or acute kidney injury were not significantly associated with hospital volume. There was no significant difference in the occurrence of SSI which was reported in 5.4–5.9% of all patients.

Although anastomotic leak and relaparotomy were more common in higher-volume hospitals, mortality rates in patients with anastomotic leak and relaparotomy decreased with increasing hospital volume, both ranging from around 30% in low-volume hospitals to around 20% in the highestvolume hospitals (p < 0.002) (Table 4). Similarly, pulmonary embolism was significantly more frequent in quintile V; however, patients treated in these hospitals displayed a significantly lower in-hospital mortality rate (15.4% versus 29.7%, p = 0.032) (Table 4). In patients with prolonged ventilation, the failure to rescue (FtR) was equally significantly lower in a high-volume center (32.9% versus 42.6%, p = 0.001) (Fig. 2, supp. Table 1; Table 4). Mortality in patients with peritonitis and SSI was also lower in centers with a higher caseload (peritonitis: 26.4% versus 32.9%; SSI: 9.8% versus 17.8%, *p* = 0.008 and 0.002 respectively).

Because patient characteristics as well as operative characteristics differ significantly between hospitals, we calculated the RSMCR which ranged between 6.1 and 6.2%. Both RSMR and RSMMCR significantly decreased from 7.5 to 4.4% and 7.4–4.6%, respectively, as hospital caseload increased from the lowest to the highest-volume category (both p < 0.001) (Supp. Table 2).

#### Sensitivity analysis—caseload ≥ 30 cases/year

Overall annual numbers of gastric cancer resection per hospital in Germany are far below the average in Asia. As more than 30 resections per year are considered as "high volume" in Europa and as we observed a non-linear drop in quintile V (29 resections per year), we performed a sensitivity analysis dividing hospitals in 3 caseload groups ( $\leq 10, 11-29, \geq 30$  cases/year) [22]. Most patients underwent gastric cancer resection in hospitals performing 10 or less resections annually (n = 25,538 patients)55.3%, 953 hospitals). Fourteen hospitals performed an annual average of  $\geq$  30 resections and treated 10.7% of all patients (n = 4919). Crude in-house mortality dropped to 3.9% in these hospitals (as compared to a crude mortality of 6.9% and 5.8% in hospitals with  $\leq 10$  and 11–29 annual resections respectively, p < 0.001 for trend). Multivariable regression analysis displayed a 25% and 49.9% lower adjusted OR for in-house-mortality (Table 5).

When analyzing postoperative complications as well as the FtR in three caseload groups, comparable results of a significantly reduced mortality for nearly all complications in case of occurrence were observed (Table 5).

#### Discussion

This nation-wide analysis establishes a significant and strong correlation between hospital volume and in-hospital mortality for patients with gastric cancer surgery in Germany. In hospitals with the highest caseload (approximately 29 surgeries performed annually for gastric carcinoma), the adjusted OR for in-hospital mortality was 0.50 (95% CI 0.39–0.65) compared to the lowest volume quintile I hospitals that perform less than two surgeries for gastric carcinoma each year. This difference in mortality was found in both the unadjusted and the adjusted analysis for known confounders such as age, sex and comorbidities. Furthermore, mortality displayed a nearly linear correlation with the annual caseload for each hospital even though the proportion of extended resection increased with the annual caseload. Compared to the situation in East Asia, hospital volume in Germany-even in volume-quintiles IV and V, representing the centers with the highest caseload-was relatively small. We, therefore, conducted a sensitivity analysis focusing on hospitals with an annual average of  $\geq$  30 resections/year. Overall, only 14 hospitals are in this group confirming our strategy of dividing the population in five quintiles. The in-house mortality in these hospitals drops below 4%.

Whereas overall mortality is higher in extended resection compared to gastrectomy or 4/5 gastrectomy, a relatively small group of patients undergoing 2/3 gastrectomy display an even higher mortality. This could potentially be explained by the fact that 2/3 is not a standard resection for gastric cancer and is only applied in patients with elevated risk, or if the gastric cancer diagnosis was by coincidence after resection due to other indications.

Though the postoperative complication rate partly increased with hospital volume, probably due to more complex surgical procedures in higher-volume hospitals, the failure to rescue after both surgical (anastomotic leakage and peritonitis) and non-surgical (such as pulmonary embolism) complications decreased. Interestingly, for several complications there were only minor differences in the FtR in guintiles I-III and a sharp drop for guintile IV and V hospitals. This non-linear correlation was even more obvious when analyzing hospitals with  $\leq 10, 11-29, \geq 30$ cases per year. This non-linear reduced FtR argues that a certain threshold is important to reduce preoperative mortality. Lower FtR rates could also reflect structural differences between high- and low-volume hospitals, for instance availability of specialized intensive care units or availability of computed tomography.

So far, the data regarding the impact of the annual case load of gastric cancer surgery on the in-house mortality are conflicting [23]. Whereas some studies demonstrated

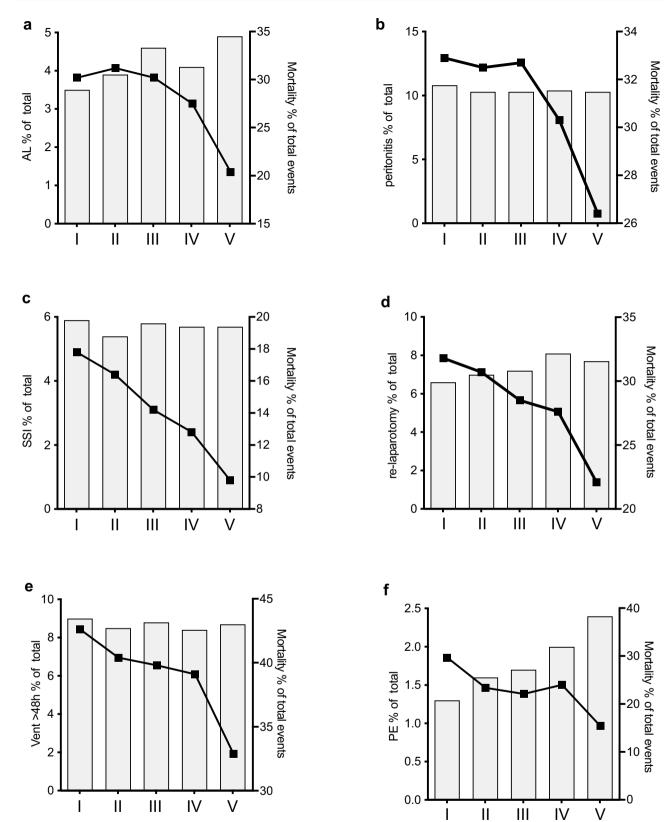


Fig. 2 Failure to rescue according to hospital volume category. Gray bar: percentage of compilations, black line: according FtR to complication. **a** Anastomosis leakage (AL); **b** peritonitis, **c** surgical side

infections (SSI); **d** re-laparotomy, **e** prolonged ventilation over 48 h, **f** pulmonary embolism (PE)

 Table 5
 Crude and adjusted odds ratios for in-house mortality and FtR (sensitivity analysis)

	Hospital caseload groups (cases/year)							
	≤10	11–29	≥30	Total	$p^{\ddagger}$			
Number of hospitals	953	117	14		n/a			
Total number of patients (% of total)	25,538 (55.3)	15,730 (34.1)	4,919 (10.7)	46,187	n/a			
In-hospital deaths	1771 (6.9)	911 (5.8)	190 (3.9)	2872 (6.2)	< 0.001§			
Crude OR (95% CI-interval)	1.0	0.83 (0.76-0.90)	0.54 (0.46-0.63)	n/a	< 0.001*			
Adjusted OR (95% CI-interval) <sup>+</sup>	1.0	0.75 (0.64-0.88)	0.50 (0.34-0.73)	n/a	< 0.001*			
FtR								
Any complication	7902 (30.9)	4955 (31.5)	1487 (30.2)	14,344	0.205			
Mortality	1587 (20.1)	852 (17.2)	178 (12.0)	2617 (18.2)	< 0.001			
Ventilation > 48 h	2213 (8.7)	1406 (8.9)	396 (8.1)	4015 (8.7)	0.151			
Mortality	913 (41.3)	537 (38.2)	115 (29.0)	1565 (39.0)	< 0.001§			
Transfusion of $\geq 6$ erythrocyte concentrates	2258 (8.8)	1426 (9.1)	358 (7.3)	4042 (8.8)	< 0.001			
Mortality	717 (31.8)	456 (32.0)	98 (27.4)	1271 (31.4)	0.264 <sup>§</sup>			
Anastomotic leakage	982 (3.9)	717 (4.6)	248 (5.0)	1947 (4.2)	< 0.001			
Mortality	309 (31.5)	190 (26.5)	37 (14.9)	536 (27.5)	< 0.001§			
Relaparotomy	1736 (6.8)	1255 (8.0)	378 (7.7)	3369 (7.3)	< 0.001			
Mortality	534 (30.8)	345 (27.5)	63 (16.7)	942 (28.0)	< 0.001§			
Peritonitis	2,628 (10.3)	1,685 (10.7)	504 (10.3)	4,817 (10.4)	0.359			
Mortality	863 (32.8)	515 (30.6)	113 (22.4)	1,491 (31.0)	< 0.001§			
Pulmonary embolism	393 (1.5)	316 (2.0)	124 (2.5)	833 (1.8)	< 0.001			
Mortality	99 (25.2)	69 (21.8)	15 (12.1)	183 (22.0)	$0.004^{\$}$			
Myocardial infarction	252 (1.0)	156 (1.0)	40 (0.8)	448 (1.0)	0.494			
Mortality	96 (38.1)	60 (38.5)	8 (20.0)	164 (36.6)	0.12 <sup>§</sup>			
Stroke	124 (0.5)	69 (0.4)	26 (0.5)	219 (0.5)	0.671			
Mortality	28 (28.6)	17 (24.6)	7 (26.9)	219	$0.606^{\$}$			
Cardiopulmonary resuscitation	492 (1.9)	292 (1.9)	66 (1.3)	850 (1.8)	0.02			
Mortality	336 (68.3)	192 (65.8)	37 (56.1)	565 (66.5)	0.071 <sup>§</sup>			
Bleeding	1155 (4.5)	755 (4.8)	205 (4.2)	2115 (4.6)	0.146			
Mortality	209 (18.1)	145 (19.2)	31 (15.1)	385 (18.2)	0.667 <sup>§</sup>			
Acute kidney injury	1323 (5.2)	804 (5.1)	237 (4.8)	2364 (5.1)	0.572			
Mortality	628 (47.5)	407 (50.6)	84 (35.4)	1119 (47.3)	0.066 <sup>§</sup>			
Hospital associated pneumonia	1683 (6.6)	1150 (7.3)	430 (8.7)	3263 (7.1)	< 0.001			
Mortality	375 (22.3)	225 (19.6)	69 (16.1)	669 (20.5)	0.003 <sup>§</sup>			
Mediastinitis	62 (0.2)	65 (0.4)	10 (0.2)	137 (0.3)	0.004			
Mortality	22 (35.5)	29 (44.6)	3 (30)	54 (39.4)	0.673 <sup>§</sup>			
Pleural empyema	192 (0.8)	226 (1.4)	67 (1.4)	485 (1.1)	< 0.001			
Mortality	57 /29.7)	50 (22.1)	13 (19.4)	120 (24.7)	0.044 <sup>§</sup>			
Pancreatitis	479 (1.9)	290 (1.8)	47 (1.0)	816 (1.8)	< 0.001			
Mortality	132 (27.6)	72 (24.8)	10 (21.3)	214 (26.2)	0.251 <sup>§</sup>			
Surgical site infection	1,439 (5.6)	953 (6.1)	231 (4.7)	2623 (5.7)	0.001			
Mortality	238 (16.5)	120 (12.6)	14 (6.1)	372 (14.2)	< 0.001			
C. difficile infection	342 (1.3)	221 (1,4)	60 (1.2)	623 (1.4)	0.605			
Mortality	50 (14.6)	27 (12.2)	15.0)	86 (3.8)	0.717 <sup>§</sup>			

Values in parentheses are percentages of total in the relevant groups unless indicated otherwise

 ${}^{\ddagger}\chi^{2}$  test for difference between subgroups, except

<sup>§</sup>Non-parametric test for trend and \* (multivariable) regression model

+Adjusted for: sex, age and comorbidities

a volume-outcome correlation, there are numerous reports which could not demonstrate differences especially when analyzing the volume impact on gastric and esophageal cancer resection [11, 13, 24]. Interestingly, studies demonstrating a volume-outcome relationship have two major distinct characteristics. First, they analyzed large databases with several thousand patients comparable to our study [23, 25, 26]. Second, the relative difference in annual caseload between the quintiles is high. In studies that found no difference, the highest quintile comprises roughly more than 20 patients per year, whereas in studies demonstrating differences, high-volume hospitals treated more than 80 patients per year [11, 24, 26]. A possible explanation for this is that studies not finding differences so far analyzed data from hospitals under a certain annual case threshold after which the surgical mortality might have dropped. This would be in line with our study showing that the difference between the quintiles is mainly based on a reduced FtR rather than a lower complication rate, and that the failure to rescue shows a non-linear correlation. A study from the Netherlands demonstrated that the main impact on gastric cancer surgery survival is the teaching status of the hospital (university hospitals perform better than nonteaching hospitals), rather than the annual case load [27].

Our study had some strengths. First, we used a complete national sampling cohort independent of insurance status to investigate the association between hospital volume for gastric cancer and postoperative mortality. Thus, the results of this study are unbiased and provide evidencebased arguments for optimal management of gastric cancer patients requiring surgical treatment. Second, the analyzed data consisted of the billing data, which is usually crosschecked by the medical service of health insurance companies as an external audit thereby serving to increase data quality. Third, the endpoint of in-house mortality does not have a documentation bias. Nevertheless, it has been demonstrated for several cancer surgeries, that in-house mortality could be inferior to 30- or 90-day mortality due to the fact that death occurring after discharge could be missed [28].

Our analysis was not limited by selection biases in analyzing a large and representative population-based register. Since the study is based on observational data, only associations, rather than causal relationships, can be reported. Long-term outcomes such as follow-up, survival or important clinical markers such as tumor stage are not recorded in the registry data. Other important demographics were not captured in this dataset and could not be accounted for. Another limitation is that data quality of population-based registries, especially for occurrence of complication, is inferior compared to clinical registries or data from clinical studies due to missing of grading of complications as well as management and outcome data. Comparing the survival of gastric cancer patients between East Asia and the Western hemisphere shows a worse outcome both in short-term and long-term survival in the latter, which in part may be due to different tumor stage as well as differences in biological behavior [10, 14]. Nevertheless, this difference in survival could be decreased by increasing the annual case load in hospitals and encouraging centralization. Based on our data, the threshold of 10 surgical cases in Germany and 20 in The Netherlands should be further evaluated in terms of significant improvement of perioperative outcome.

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#### **Compliance with ethical standards**

Conflict of interest The authors declare no conflict of interest.

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