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Cracked polytopes and Fano toric complete intersections

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Abstract. We introduce the notion of *cracked polytope*, and – making use of joint work with Coates and Kasprzyk—construct the associated toric variety X as a subvariety of a smooth toric variety Y under certain conditions. Restricting to the case in which this subvariety is a complete intersection, we present a sufficient condition for a smoothing of X to exist inside Y . We exhibit a relative anti-canonical divisor for this smoothing of X , and show that the general member is simple normal crossings.

1. Introduction

We introduce a class of polytopes which we call *cracked*. Given a complete fan Σ (a *shape*) these are polytopes whose intersection with the maximal cones of Σ forms a set of unimodular polytopes. We propose that this class of polytopes is particularly well adapted to the study of toric degenerations of Fano varieties.

Our basis for this claim stems from our use of the method *Laurent inversion*—developed jointly with Coates and Kasprzyk [10]—to embed the toric variety X associated with a given cracked polytope into an ambient toric variety Y , such that X degenerates to a union of toric strata of Y . Indeed the definition of cracked is a natural necessary condition for the ambient variety Y to be smooth, and we give precise sufficient conditions in Theorem 1.1.

We aim to apply these ideas to systematically construct Fano varieties. Indeed, every Fano threefold with a very ample anti-canonical divisor can be constructed from a cracked polytope via an explicit deformation of an embedding obtained via Laurent inversion. This is the subject of work in progress [23], drawing on the constructions of Coates–Corti–Galkin–Kasprzyk [7], and the work on toric degenerations of Ilten–Christoffersen [4, 5] and Galkin [13]. One important practical point is that one only requires a small list of shapes to achieve this. Given that the class of cracked polytopes with a given shape is small, one can classify those of a given shape, use the techniques explained below to construct an embedded deformation of the corresponding toric variety and thereby systematically recover every Fano threefold (with $-K$ very ample) without advance knowledge of the classifi-

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cation. It may be that every Fano fourfold with $-K$ very ample can be constructed using a similarly restricted list of shapes.

In Sect. 3 we give a self-contained account of the procedure *Laurent inversion* which takes as input a decoration of P called a *scaffolding*, see Definition 3.1, and returns an embedding of X_P into a toric variety Y . The content of Sect. 3 first appeared in the joint work [10]. Our first main result is proved in Sect. 4, which provides a characterisation of when Y is smooth in a neighbourhood of the image of X_P . Note that given a fan Σ we let $\bar{\Sigma}$ denote the quotient of Σ by its minimal cone.

Theorem 1.1. *Fix a polytope $P \subset M_{\mathbb{R}}$, and a rational fan Σ in $M_{\mathbb{R}}$ such that the toric variety $Z := \mathrm{TV}(\bar{\Sigma})$ is smooth and projective. Given a scaffolding S of P with shape Z , we have that the target of the corresponding embedding is smooth in a neighbourhood of the image of X_P if and only if P is cracked along Σ and S is full—see Definitions 2.1 and 4.1.*

If Z is a product of projective spaces it follows from Proposition 5.1 that X_P is a complete intersection in Y_S . If the line bundles defining this complete intersection are basepoint free, X_P will smooth inside Y_S by Bertini’s theorem. We provide a weaker, though related, criterion for the smoothability of X_P inside Y_S , which we call *positivity* of S : a condition on the codimension one *slabs* of the union of toric varieties to which X_P degenerates. This criterion has practical and theoretical advantages over the naive one. For example – in the context of the Gross–Siebert program [15, 16]—it is related to the notion of positivity of the log structure on the central fibre of a toric degeneration, as defined in [15]. We expect a precise understanding of this connection to lead to a proof of Conjecture 5.11. We also explain in future work that this condition is closely related to the condition required to smooth a cracked polytope as an *integral affine manifold*. In practice it also reduces the problem of computing basepoint loci on Y_S to the problem of computing their restriction to $(\dim X_P - 1)$ dimensional toric strata of Y_S .

Theorem 1.2. *Fix a fan Σ such that $Z := \mathrm{TV}(\bar{\Sigma})$ is a product of projective spaces, and fix a polytope P such that P° is cracked along Σ . Given a full scaffolding S of P with shape Z , the toric variety X_P is the intersection of r Cartier divisors corresponding to line bundles $L_1, \dots, L_r \in \mathrm{Pic} Y_S$. If S is positive we have that:*

- (i) *The vanishing locus of a general section of $\bigoplus_{i \in [r]} L_i$ is a smooth variety.*
- (ii) *There is a divisor D_S on Y_S such that the restriction of D_S to the vanishing locus of a general section of $\bigoplus_{i \in [r]} L_i$ is simple normal crossings and anti-canonical.*

While we do not attempt to classify cracked polytopes in this article, we study the special case in which (after removing torus factors) $Z \cong \mathbb{P}^1$ in detail in [9]. In particular, among other results, we will give a classification when $\dim P \in \{3, 4\}$.

The current work is related to the broad project of Coates, Corti, Galkin, Golyshchev, Kasprzyk, and others to construct and classify Fano varieties via mirror symmetry [1, 2, 6, 7]. In particular, given a scaffolding S defining a complete intersection, there is a *Laurent polynomial* f_S , defined in [10]. If X_P is cut out by a collection of nef line bundles, the Quantum Lefschetz Hyperplane Theorem of

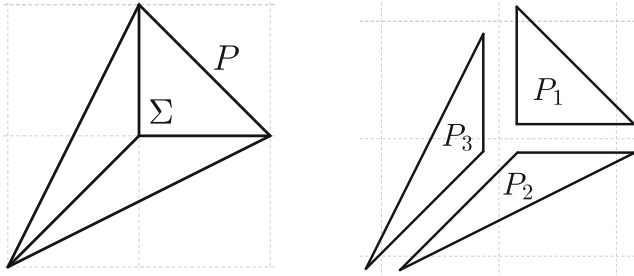


Fig. 1. Cracking a polygon

Coates–Givental [8] implies that f_S is mirror to the smoothing of X_P in the sense defined in [6]. Moreover *positivity* of S implies that f_S admits a certain collection of *mutations*—that is, f_S remains a regular function on a torus under certain birational transformations—see [2, 6, 14].

We also remark on a connection with polyhedral combinatorics. Cracked polytopes are reflexive polytopes made up of a number of *hollow* polytopes, that is, of polytopes without interior points. These are themselves objects of interest and recent study, see for example [3, 21]. It would be interesting to investigate whether these works provide tools to allow us to classify cracked polytopes.

Conventions. Throughout this article $N \cong \mathbb{Z}^n$ will refer to an n -dimensional lattice, and $M := \text{hom}(N, \mathbb{Z})$ will refer to the dual lattice. Given a ring R we write $N_R := N \otimes_{\mathbb{Z}} R$ and $M_R := M \otimes_{\mathbb{Z}} R$. For brevity we let $[k]$ denote the set $\{1, \dots, k\}$ for each $k \in \mathbb{Z}_{\geq 1}$. We work over an algebraically closed field \mathbb{k} of characteristic zero.

2. Cracked polytopes

In this section we introduce the notion of *cracked polytope*, which will form our main object of study, and, in the Fano setting, characterize its dual polytope. We will assume basic ideas and results from toric geometry—see [11, 12]—throughout.

Definition 2.1. Fix a convex polyhedron $P \subset M_{\mathbb{R}}$ containing the origin in its interior, and a unimodular fan Σ . We say P is *cracked along* Σ if every tangent cone of $P \cap C$ is unimodular for every maximal cone C of Σ .

Example 2.2. Figure 1 shows an example of a polygon P cracked along the fan of \mathbb{P}^2 . The toric variety defined by the normal fan of P is \mathbb{P}^2/μ_3 . This surface is isomorphic to the singular cubic surface $\{x_1x_2x_3 = x_0^3\} \subset \mathbb{P}^3$, where $x_i, i \in \{0, \dots, 3\}$ are homogeneous co-ordinates on \mathbb{P}^3 . Clearly, if we replace this binomial with a general cubic, the resulting variety is smooth. Here we see P breaking into polytopes $P_i, i \in [3]$, which we will later identify with the toric divisors $\{x_i = 0\}$ for $i \in [3]$.

Example 2.3. We present two three-dimensional examples of cracked polytopes in Fig. 2. The left-hand example uses the simplest non-trivial fan, consisting of two

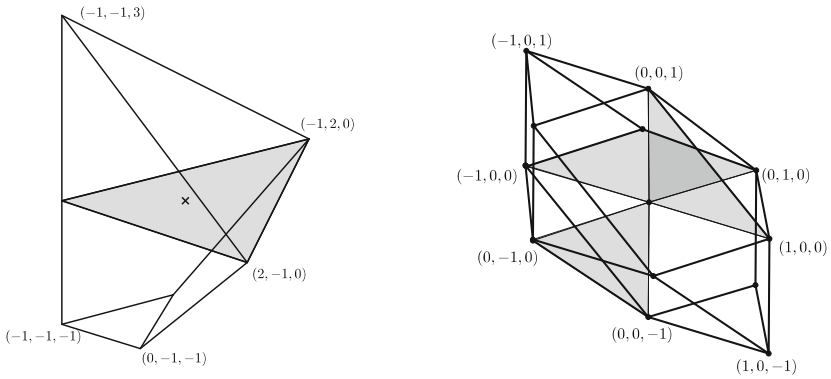


Fig. 2. Examples of cracked polytopes

maximal cones meeting along a hyperplane. The normal fan of the toric variety shown is isomorphic to the blow-up in a smooth point of a quadric in \mathbb{P}^4 which contains a line of singularities. This variety admits an embedded smoothing in \mathbb{P}^4 blown up at a (reduced) point.

The polytope P shown in the right-hand example is cracked along the fan of $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$. The normal fan of P in this case defines a toric variety isomorphic to

$$V(x_1y_1 - x_0y_0, x_2y_2 - x_0y_0, x_3y_3 - x_0y_0) \subset \mathbb{P}^3 \times \mathbb{P}^3$$

where x_i and y_i are homogeneous co-ordinates on the respective \mathbb{P}^3 factors. X_P contains 12 ordinary double point singularities. These are smoothed by perturbing the equations defining X_P in $\mathbb{P}^3 \times \mathbb{P}^3$, which then define the vanishing locus of a general section of $\bigoplus_{i \in [3]} \mathcal{O}_{\mathbb{P}^3 \times \mathbb{P}^3}(2, 2)$.

Note that we do not assume the origin is the minimal cone of Σ in Definition 2.1. We let \bar{M} denote the quotient of M by the minimal cone of Σ .

Remark 2.4. Although we provide a general definition, this article is concerned solely with the case that $\bar{\Sigma}$ defines a *projective* toric variety, and P is a polytope containing the origin in its interior.

We study cracked polyhedra which are the image of the moment map of an anti-canonically polarised toric Fano variety. Recall that an integral polytope $P \subset N_{\mathbb{R}}$ is called *Fano* if it contains the origin in its interior, and every vertex of P is primitive.

In general the polar polytope $P^\circ \subset M_{\mathbb{R}}$ of a Fano polytope P is not integral, which is true in the special case that P is *reflexive*. Given a Fano polytope P its *spanning fan* is the fan whose cones are given by the cones over faces of P , and we denote the toric variety determined by the spanning fan of P by X_P . We also recall that there is an inclusion reversing map between the faces of P and P° . Let F^* denote the face dual to the face F of P or P° .

Proposition 2.5. *Let P be a Fano polytope cracked along a unimodular and complete fan Σ , then P is reflexive. Hence there are only finitely many polytopes of a fixed dimension cracked along some complete fan.*

Proof. We show that, for every vertex $v \in \text{verts}(P^\circ)$, the vertices of the facet v^* of P lie in a hyperplane of $\{v \in N_{\mathbb{R}} : \langle u, v \rangle = 1\}$ for some $u \in M$. Let C be the minimal cone of Σ containing v in its relative interior, and let $k := \dim C$. Let C_v denote the tangent cone of P° at v , and let $\{b_1, \dots, b_k\}$ be the minimal generating set of the unimodular cone $C_v \cap \langle C \rangle$.

Every facet F of P° containing v is contained in an affine hyperplane spanned by $n - 1$ of the vectors $\{b_1, \dots, b_n\}$, the basis of the tangent cone to $C_v \cap \langle B \rangle$ at v for some maximal cone B of Σ . Moreover this collection cannot contain $\{b_1, \dots, b_k\}$, as the affine subspace spanned by these k vectors contains the origin. Thus if $F^* = \{w\}$, $w = b_j^*$ for some $j \in [k]$ and $\langle \sum_{i \in [k]} b_i, w \rangle = 1$. Since $\sum_{i \in [k]} b_i$ is defined independently of F and B , $v^* \subset \langle \sum_{i \in [k]} b_i, - \rangle = 1$. \square

Let $P \subset N_{\mathbb{R}}$ be a reflexive polytope such that P° is cracked along a fan Σ in $M_{\mathbb{R}}$; assume moreover that Σ defines a projective toric variety Z . We now characterize the facets of P .

Definition 2.6. Recall the Cayley sum $P_1 \star \dots \star P_r$ of polytopes $P_i \subset N_{\mathbb{R}}$ for $i \in [r]$ is the convex hull of the union of the polytopes $P_i + e_i$ in $N_{\mathbb{R}} \oplus \mathbb{R}^r$ for $i \in [r]$.

Given a fan Σ in $M_{\mathbb{R}}$ defining a projective toric variety Z , and C a cone of Σ , let Z_C denote the subvariety of the toric boundary corresponding to C under the orbit-cone correspondence.

Definition 2.7. Let $P \subset N_{\mathbb{R}}$ be a Fano polytope and Σ a fan in $M_{\mathbb{R}}$. We say P has facets of Cayley type if every facet F of P is affine linearly isomorphic to the Cayley sum of polyhedra associated to nef divisors of Z_C , where C is the minimal cone of Σ containing the vertex F^* of P° . Moreover we insist that this isomorphism identifies $\text{Ann}\langle C \rangle \subset N$ with the character lattice of Z_C .

Proposition 2.8. *Fix a reflexive polytope P such that P° is cracked along a fan Σ . Assuming that $Z := \text{TV}(\bar{\Sigma})$ is a smooth projective toric variety, P has facets of Cayley type.*

Proof. Let C_v denote the tangent cone to P° at v . Let Σ_v be the fan induced by C_v in the quotient space $M_{\mathbb{R}}/\langle v \rangle$. Let C be the minimal cone of Σ containing v , and let $\{b_1, \dots, b_k\}$ denote the minimal generating set of $C_v \cap \langle C \rangle$, where $k := \dim C$.

We construct a fan $\tilde{\Sigma}_v$ in $M_{\mathbb{R}}/\langle v \rangle$ refining Σ_v . Fix a maximal cone $\sigma \ni v$ of Σ and let $\{b_1, \dots, b_n\}$ be the extension of $\{b_1, \dots, b_k\}$ to the minimal generating set of the tangent cone σ_v to σ at v . The cone $\langle b_i : i \in [n], i \neq j \rangle$ projects to a full-dimensional unimodular cone in $M_{\mathbb{R}}/\langle v \rangle$ for any $j \in [k]$. We define $\tilde{\Sigma}_v$ to be the complete fan formed by these maximal cones for all $\sigma \ni v$.

As every maximal cone of $\tilde{\Sigma}_v$ contains $k - 1$ vectors b_i for $i \in [k]$, the toric variety X_v defined by $\tilde{\Sigma}_v$ admits a projection given by the quotient $M_{\mathbb{R}}/\langle v \rangle \rightarrow M_{\mathbb{R}}/\langle C \rangle$ to the toric variety Z_C . Since, by the proof of Proposition 2.5, the anti-canonical (Gorenstein) direction for cone(v^*) is $u := \sum_{i \in [k]} b_i$, u is in the kernel

of the map $M_{\mathbb{R}} \rightarrow M_{\mathbb{R}}/\langle v \rangle$. Thus the fibres of the projection $X_v \rightarrow Z_C$ are isomorphic to \mathbb{P}^{k-1} .

We write C_v as the region above a convex PL-function $\theta : M_{\mathbb{R}}/\langle v \rangle \rightarrow \mathbb{R}$. First note that, by reflexivity of P , fixing a vertex w of the facet v^* determines a splitting of N into the sublattice annihilating $v \in M$, and the direction generated by w . Identifying $M_{\mathbb{R}}/\langle v \rangle$ with $\text{Ann}(w)$ we identify $M_{\mathbb{R}}$ with $M_{\mathbb{R}}/\langle v \rangle \oplus \langle v \rangle$, and hence identify ∂C_v with a graph of a function θ on $M_{\mathbb{R}}/\langle v \rangle$. Note that v^* is the polyhedron of sections of the corresponding divisor on the toric variety X_v associated to $\tilde{\Sigma}_v$.

Let \bar{b}_i be the images of b_i in $M_{\mathbb{R}}/\langle v \rangle$ for $i \in [k]$. Recall that each maximal cone of $\tilde{\Sigma}_v$ contains $k - 1$ of the vectors \bar{b}_i , for $i \in [k]$. Therefore the function θ vanishes on all but a single ray of the k rays in the subspace $\langle C \rangle/\langle v \rangle \subset M_{\mathbb{R}}/\langle v \rangle$, and evaluates to 1 on the remaining ray by unimodularity. Relabelling the elements b_i we may assume that $\langle u, b_i \rangle = 0$ for all $i \in [k - 1]$. Since $\theta(\bar{b}_k) = 1$, v^* (regarded as a polytope in $\text{Ann}(v)$) is contained in the column

$$\bigcap_{i \in [k-1]} \{u : u \in \text{Ann}(v), \langle \bar{b}_i, u \rangle \geq 0\} \cap \{u : u \in \text{Ann}(v), \langle \bar{b}_k, u \rangle \geq -1\}.$$

Thus v^* projects to the standard simplex in the vector space dual to $\langle C \rangle/\langle v \rangle$ and hence is a Cayley sum.

Recall that there is a *surjection* from the set of maximal cones of $\tilde{\Sigma}_v$ to the vertices of v^* . Fix a maximal cone of $\tilde{\Sigma}_v$ and assume, without loss of generality, that it contains the vectors $\{\bar{b}_1, \dots, \bar{b}_{k-1}\}$. The vertex of v^* dual to this maximal cone is contained in the subspace annihilating every \bar{b}_i for $i \in [k - 1]$. The face of v^* contained in this subspace, is nothing other than the polyhedron of sections of the divisor on Z_C obtained from the convex piecewise linear function induced by θ on the quotient fan $\tilde{\Sigma}_v/\langle \bar{b}_1, \dots, \bar{b}_{k-1} \rangle$. This is a nef divisor on Z_C as θ is a convex function on the fan $\tilde{\Sigma}_v$. \square

Remark 2.9. Note that the converse to Proposition 2.8 is not true. For example, any smooth lattice polytope $P \subset N_{\mathbb{R}}$ has Cayley facets for the fan subdividing $M_{\mathbb{R}}$ into two half-spaces meeting along the annihilator of any vector $u \in N$ such that $v \notin \text{Ann}(u)$ for all $v \in \text{verts}(P^\circ)$. However slicing P° by the annihilator of u will not produce a pair of polytopes with unimodular tangent cones in general.

Fix a Fano polytope P such that P° is cracked along a fan Σ . Since facets of P are of Cayley type, there is a projection $\pi_F : F \rightarrow \Delta_{k-1}$ where k is the dimension of the minimal cone of Σ containing the dual vertex to the facet F , and Δ_l is the standard simplex of dimension l .

Definition 2.10. Given a reflexive polytope P such that P° is cracked along a fan Σ we say a face E is *vertical* if, for any facet F containing E , $\pi_F(E)$ is a vertex of Δ_{k-1} ,

3. Laurent inversion

In this section we recall the method, Laurent inversion, developed in [10]. Throughout this section we fix a lattice $N \cong \mathbb{Z}^n$, a splitting of $N = \tilde{N} \oplus N_U$ and a Fano

polytope $P \subset N_{\mathbb{R}}$. Given these data we can define the notion of *scaffolding* on P , see [10, Definition 3.1].

Definition 3.1. Fix a smooth projective toric variety Z with character lattice \bar{N} . A *scaffolding* of P is a set of pairs (D, χ) where D is a nef divisor on Z and χ is an element of N_U , such that

$$P = \text{conv} \left(P_D + \chi \mid (D, \chi) \in S \right).$$

We refer to Z as the *shape* of the scaffolding, and elements $(D, \chi) \in S$ as *struts*. We also assume that for every vertex of P , there is a unique $s = (D, \chi)$ such that $v \in P_D + \chi$.

Note that the assumption that vertices meet a unique polytope $P_D + \chi$ did not appear in the Definition given in [10]. This is an innocuous technical condition which we make use of to prove Theorem 1.1.

We let ℓ denote the rank of the free abelian group $\text{Div}_{T_{\bar{M}}} Z$. Following [10] we show that a scaffolding yields a torus invariant embedding of X_P into an ambient toric variety Y_S .

Definition 3.2. [10, Definition A.1] Given a scaffolding S of P we define a toric variety Y_S , associated to the normal fan Σ_S of the polytope $Q_S \subset \tilde{M}_{\mathbb{R}} := (\text{Div}_{T_{\bar{M}}} Z \oplus M_U) \otimes_{\mathbb{Z}} \mathbb{R}$, itself defined by the following inequalities:

$$\begin{cases} \langle (-D, \chi), - \rangle \geq -1 & \text{for all } (D, \chi) \in S; \\ \langle e_i, 0 \rangle, - \rangle \geq 0 & \text{for } i \in [\ell], \end{cases}$$

where e_i denotes the standard basis of $\text{Div}_{T_{\bar{M}}} Z \cong \mathbb{Z}^{\ell}$.

Let E_i denote the divisor of Z corresponding to the lattice vector e_i and define $\rho_s := (-D, \chi)$ for each $s = (D, \chi) \in S$. We define a map of lattices

$$\begin{array}{ccc} \theta := \rho^* \oplus \text{Id} : \bar{N} \oplus N_U & \longrightarrow & \text{Div}_{T_{\bar{M}}}(Z) \oplus N_U, \\ \parallel & & \parallel \\ N & & \tilde{N} \end{array}$$

where ρ is the ray map of the fan $\tilde{\Sigma}$ determined by Z .

Theorem 3.3. [10, Theorem 5.5] *A scaffolding S of a polytope P determines a toric variety Y_S and an embedding $X_P \rightarrow Y_S$. This map is induced by the map θ on the corresponding lattices of one-parameter subgroups.*

Let $\text{verts}(S)$ denote the set of torus fixed points of Z , and, for each $u \in \text{verts}(S)$, let C_u denote the intersection of the maximal cone of Σ corresponding to u with P° . Observe that, given a nef divisor D on Z , there is a canonical surjection $\text{verts}(S) \rightarrow \text{verts}(P_D)$. We denote this map $v \mapsto v^D$. Each element $u \in \text{verts}(S)$ defines a function $u : S \rightarrow N$, defined by setting $u((D, \chi)) = u^D + \chi$.

Definition 3.4. Let ι be the inverse map to the restriction to $\Gamma \oplus N_U$ of the canonical projection $\tilde{M}_{\mathbb{R}} \rightarrow M_{\mathbb{R}}$, where Γ is the union of $(n - \dim N_U)$ -dimensional faces of the standard coordinate cone in $\text{Div}_{T_M}(Z)^*$ which project onto maximal dimensional cones of $\tilde{\Sigma}$.

Let $\iota_u : M_{\mathbb{R}} \rightarrow \tilde{M}_{\mathbb{R}}$ be the linear extension of the map $\iota|_{C_u} : C_u \rightarrow \tilde{M}_{\mathbb{R}}$ for each $u \in \text{verts}(S)$.

Lemma 3.5. *Given an element $s \in S$ and $u \in \text{verts}(S)$, we have that*

$$\iota_u^* \rho_s = u(s).$$

Proof. The ray generators of the maximal cone in $M_{\mathbb{R}}$ corresponding to u form a basis $\{\bar{e}_i : i \in [\dim(\bar{M})]\}$ of \bar{M} . Moreover the vectors $\iota_u(\bar{e}_i)$ are standard basis vectors e_i^* in $\text{Div}_{T_M}(Z)^* \subseteq \tilde{M}_{\mathbb{R}}$. Thus we have that

$$\langle \iota_u^* \rho_s, \bar{e}_i \rangle = \langle \rho_s, e_i^* \rangle.$$

Writing $s = (D, \chi)$, one of the defining inequalities of P_D is

$$\langle -, \bar{e}_i \rangle \geq -\langle \rho_s, e_i^* \rangle.$$

That is, writing the projection of $\iota_u^* \rho_s$ to \bar{N} in co-ordinates determined by the basis \bar{e}_i^* , and recalling that $\rho_s = (-D, \chi)$, we have that these co-ordinates are identical to those of $u(s)$. Note that since ι_u acts as the identity on M_U the result follows. \square

Proposition 3.6. [10, Proposition A.9] *The polytope $\iota(C_u)$ is a face of Q_S for each $u \in \text{verts}(S)$.*

Proof. The polytope $\iota(C_u)$ is clearly contained in the boundary of the standard positive cone. Given any $s \in S$ and $p \in C_u$, $\langle \rho_s, \iota(p) \rangle = \langle u(s), p \rangle \geq -1$ by Lemma 3.5. Thus $\iota(C_u)$ is contained in a face of Q_S ; the reverse inclusion follows similarly. \square

Lemma 3.7. *Given a vertex $v \in \text{verts}(P^\circ)$, the tangent cone of Q_S at $\iota(v)$ is defined by the following inequalities:*

$$\begin{aligned} \langle \rho_s, - \rangle &\geq -1 \quad s = (D, \chi) \in S \text{ such that } (P_D + \chi) \cap v^* \neq \emptyset; \\ \langle (e_i, 0), - \rangle &\geq 0 \quad u \notin E_i \text{ for some } u \text{ such that } v \in C_u, \end{aligned}$$

Proof. By Lemma 3.5 $\langle \rho_s, \iota(v) \rangle = \langle u(s), v \rangle$ for any $u \in \text{verts}(S)$ such that $v \in C_u$. This is equal to -1 if and only if $u(s) \in v^*$. The second set inequalities follow as $\iota(v)$ is in the span of those e_i^* corresponding to rays of \bar{C} , where C is the minimal cone of Σ containing v and \bar{C} is the projection of C to \bar{M} . \square

Proof of Theorem 3.3. Given a vertex $v \in \text{verts}(P^\circ)$, let C_v denote the tangent cone of P° at v , and let \tilde{C}_v denote the tangent cone of Q_S at $\iota(v)$. We prove that $\theta^*(\tilde{C}_v) = C_v$. By Proposition 3.6 we have that $C_v \subseteq \theta^*(\tilde{C}_v)$. Fix a point $p \in \tilde{C}_v$ and a vertex $w \in \text{verts}(v^*)$. We have that $w = \iota_u^* \rho_s$ for some $s \in S$ and $u \in \text{verts}(S)$. Now $\langle \theta(w), p \rangle = \langle \rho_s, p \rangle + \langle \theta(w) - \rho_s, p \rangle$. Note that $\langle \rho_s, p \rangle \geq -1$ by Lemma 3.7.

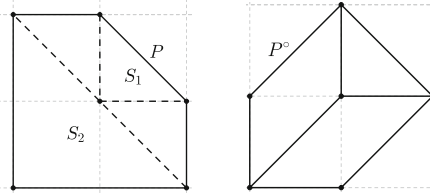


Fig. 3. Scaffolding a polygon

After projecting $\tilde{N} \rightarrow \text{Div}_{T_M} Z$, the polyhedron of sections of the divisor $\theta(w) - \rho_s$ is the translate of P_D defined by taking the vertex w to the origin. Thus, writing out $\theta(w) - \rho_s$ in the basis $e_i, i \in [\ell]$, the components corresponding to divisors E_i containing any u such that $u(s) = w$ vanish; while all others have non-negative coefficient. Thus $\langle \theta(w) - \rho_s, p \rangle \geq 0$, and $\langle w, \theta^*(p) \rangle \geq -1$, as required. Finally, we need to show that the map θ^* defines a surjection of semigroups. This follows from Proposition 3.6: as Z is smooth each ι_u is an integral splitting of θ^* . \square

We describe the construction of Y_S and the embedding $X_P \hookrightarrow Y_S$ in a simple example.

Example 3.8. Consider the polygon P shown in Fig. 3. Fixing the shape variety \mathbb{P}^2 , Fig. 3 shows a scaffolding of P with two struts. The dual polytope is shown on the right-hand side of Fig. 3, where it is easily seen that this polygon is cracked along the fan of \mathbb{P}^2 . Note that in this example $\bar{N} = N$ and $N_U = \{0\}$.

The polytope $Q_S \subset \mathbb{R}^3$ is defined by the inequalities $\langle e_i, - \rangle \geq 0$ for all $i \in [3]$, together with the two additional inequalities. These are obtained from the two divisors on \mathbb{P}^2 whose polyhedra of sections are shown in Fig. 3. Identifying the standard basis e_i of $\mathbb{Z}^3 \cong \text{Div}_{T_M}(\mathbb{P}^2)$ with specific divisors of \mathbb{P}^2 we add the inequalities $\langle -e_1 - e_2, - \rangle \geq -1$ and $\langle -e_3, - \rangle \geq -1$ to those defining Q_S . We display the map ι in Fig. 4. Note that $\text{TV}(\Sigma_S) \cong \mathbb{P}^2 \times \mathbb{P}^1$ and the image of X_P is a hypersurface defined by a section of $L := \mathcal{O}_{\mathbb{P}^2}(2) \boxtimes \mathcal{O}_{\mathbb{P}^1}(1)$. It is well known, for example by projecting to the \mathbb{P}^2 factor, that general members of the linear system defined by L are smooth del Pezzo surfaces of degree 5.

4. Full scaffoldings

In this section we introduce the notion of *full scaffolding*, and complete the proof of Theorem 1.1. If we fix a Fano polytope P , there are a vast number of possible scaffoldings, for many different shape varieties Z . We will control this class in two ways: first we constrain the class of polytopes to those which are cracked along the fan determined by Z ; second we insist that our scaffoldings are *full*, which often uniquely determines a scaffolding on P with a given shape.

Definition 4.1. Given a Fano polytope $P \subset N_{\mathbb{R}}$ cracked along a fan Σ in $M_{\mathbb{R}}$ we say a scaffolding S of P with shape $Z := \text{TV}(\bar{\Sigma})$ is *full* if every vertical face of P is contained in a polytope $P_D + \chi$ for a (unique) element $(D, \chi) \in S$.

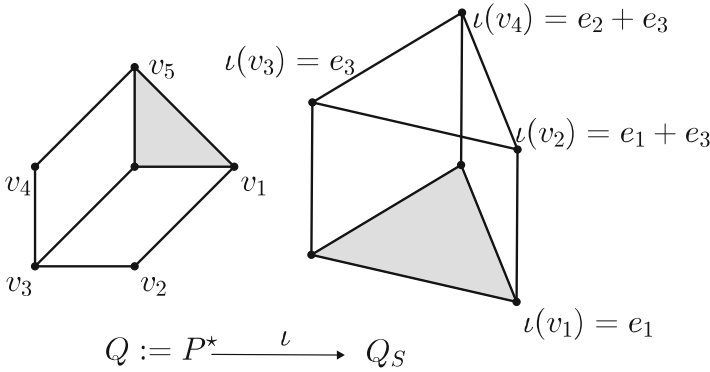


Fig. 4. Example of the piecewise linear map ι

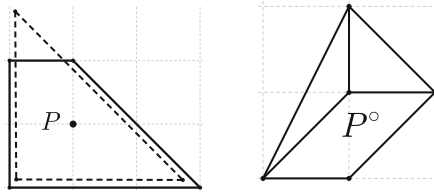


Fig. 5. Cracked polytope with no full scaffolding

Unfortunately full scaffoldings of a cracked polytope need not exist, and if they do exist, they need not be unique.

Example 4.2. Figure 5 shows a polygon P , which we attempt to scaffold using the shape $Z = \mathbb{P}^2$. We show the dual polygon on the right hand side of Fig. 5, from which we can easily see that P is cracked along the fan Σ determined by Z . However the three vertical faces (the three edges of P whose normal directions are rays of Σ) of P cannot be covered by a single strut of a scaffolding, as shown.

Remark 4.3. Note that in the case that the shape variety of Z is one-dimensional (that is, Z is isomorphic to \mathbb{P}^1) full scaffoldings for polytopes cracked along the fan defined by Z always exist. Indeed, vertical faces of P are precisely edges in the direction annihilating the minimal cone of Σ . Scaffold P by covering each such (vertical) edge with a single polytope $P_D + \chi$, and add any struts to cover each remaining vertex of P .

Proof of Theorem 1.1. We first show that if P is cracked along Σ , and admits a full scaffolding with shape $Z = \text{TV}(\bar{\Sigma})$, then the tangent cone \tilde{C}_v to Q_S at $\iota(v)$ is a unimodular cone for any $v \in \text{verts}(P^\circ)$.

Let C be the minimal cone of Σ containing v . We let $k := \dim C$, and $n_u := \dim N_U$. We count the inequalities defining \tilde{C}_v given in Lemma 3.7. The description of v^* as a Cayley sum implies that there are k inequalities of the form $\langle \rho_s, - \rangle \geq -1$. Let \bar{C} be the image of C in $\bar{\Sigma}$ and note that, since Z is smooth, there are $(k - n_u)$ rays of \bar{C} . Any ray generator of $\bar{\Sigma}$ which is not a ray of C defines an inequality

$\langle (e_i, 0), - \rangle \geq 0$ appearing in Lemma 3.7. Thus the total number of inequalities defining \tilde{C}_v is $(\ell - k + n_u) + k = \dim \tilde{N}$, hence \tilde{C}_v is simplicial. Let $d := \ell - k + n_u$.

Fixing a basis of N_U we record the ray generators of \tilde{C}_v^* in the columns of the matrix

$$\mathbb{M} = \begin{pmatrix} I_d & A \\ 0 & B. \end{pmatrix}$$

We need to check that $\det(B) = \pm 1$. The last k columns of \mathbb{M} are the vectors ρ_s such that $u(s) \in v^*$ for some $u \in \text{verts}(S)$. The last k rows of \mathbb{M} correspond to vectors $e_i^* \in \tilde{M}$ dual to the divisors of Z determined by the k rays of \tilde{C} . Let b_i for $i \in [k]$ denote the ray generators of C . Fixing a $u \in \text{verts}(S)$ such that $v \in C_u$;

$$\begin{aligned} \langle \rho_s, e_i^* \rangle &= \langle \rho_s, \iota_u(b_i) \rangle \\ &= \langle u(s), b_i \rangle, \end{aligned}$$

by Lemma 3.5. Varying s we see that these values are nothing other than the coordinates of the standard simplex Δ_{k-1} , the image of $v^* \rightarrow \mathbb{R}^k$ defined by its description as a Cayley sum.

Conversely, assume that \tilde{C}_v is unimodular for every vertex $v \in \text{verts}(P^\circ)$. It immediately follows from Proposition 3.6 that P° is cracked along Σ . To see that scaffolding must be full we note that for each strut $s = (D, \chi)$ such that $u(s) \in v^*$ the intersection $(P_D + \chi) \cap v^*$ is contained in a single vertical face. If none of the inequalities appearing in Lemma 3.7 are redundant then it follows from the count of these inequalities made above that there must be a single strut covering each vertical face.

Note that the inequalities $\langle e_i, - \rangle \geq 0$ clearly cannot be redundant; so we check for redundancy among the inequalities $\langle \rho_s, - \rangle \geq -1$. Given a point $p \in C_u$ it follows from Lemma 3.5 that $\langle \rho_s, \iota(p) \rangle = \langle u(s), p \rangle$ for any $u \in \text{verts}(S)$. However, for some $u \in \text{verts}(S)$, $w := u(s)$ is a vertex of v^* . Moreover, we have assumed that s is the only element of S such that $w \in P_D + \chi$. The vertex w defines a facet of C_u , and since $\langle w, - \rangle \geq -1$ is not redundant in defining C_u the inequality $\langle \rho_s, - \rangle$ is not redundant in defining $\iota(C_u)$, and hence \tilde{C}_v . \square

5. Smoothing complete intersections

We now restrict our attention to scaffoldings of polytopes cracked along the fan of a product of projective spaces. As shown in [10], the embeddings obtained through this construction express X_P as a complete intersection inside Y_S .

Proposition 5.1. *Given a cracked polytope P and a full scaffolding S of P with shape*

$$Z = \mathbb{P}^{k_1} \times \dots \times \mathbb{P}^{k_r},$$

the image of $X_P \hookrightarrow Y_S$ is a complete intersection.

Proof. The homogeneous co-ordinate ring of Y_S is generated by variables $x_{i,j}$ for $i \in [r]$, $j \in [k_i]$, and variables y_s for $s \in S$. Let $e_{i,j}$ denote the standard basis vector in $\text{Div}_{T_M} Z$ corresponding to $x_{i,j}$. The sublattice $\theta(N) \subset \tilde{N}$ is the intersection of the hyperplanes

$$H_i := \left\{ v \in \tilde{N} : \left\langle \sum_{j \in [k_i]} e_{i,j}^*, v \right\rangle = 0 \right\},$$

for $i \in [r]$. Thus X_P is cut out by the equations

$$\prod_{j \in [k_i]} x_{i,j} = \prod_{s \in S} y_s^{-\sum_{j \in [k_i]} \langle \rho_s, e_{i,j}^* \rangle}.$$

It is easily seen that these equations generate the toric ideal of the image of X_P in the affine chart defined by \tilde{C}_v , for any $v \in \text{verts}(P^\circ)$. \square

We fix Z for the remainder of this section to be the product of projective spaces $\mathbb{P}^{k_1} \times \dots \times \mathbb{P}^{k_r}$. We let $\tilde{\Sigma}$ be the fan determined by Z , and fix a splitting $N = N_U \oplus \tilde{N}$. If S is a scaffolding with shape Z , we define

$$L_i := \mathcal{O}_{Y_S} \left(\left\{ \prod_{j \in [k_i]} x_{i,j} = 0 \right\} \right) \in \text{Pic } Y_S.$$

In particular, we can describe X_P by intersecting vanishing loci of (binomial) sections of the line bundles L_i on Y_S .

Remark 5.2. In fact, following [10], scaffolding with shape Z isomorphic to any toric tower of projective space bundles will express X_P in Y_S as a complete intersection. Our restriction to the product of projective spaces case is a simplifying one, but we expect results to hold true in the more general context.

We first introduce an important ingredient, the notion of a *slab*. This terminology is taken from the work of Gross–Siebert [16], though our context and definition differ slightly. In particular our definition is closer to that of a *naked slab* since we do not yet decorate them with particular *slab functions*.

Definition 5.3. Given a scaffolding S of a Fano polytope P , we define the collection *slabs* to be the collection of polytopes formed by intersecting codimension one cones of Σ with P° .

We index the slabs in P° by indexing the torus invariant curves in Z .

Lemma 5.4. *The codimension one cones of Σ are in bijection with functions*

$$f : [r + 1] \rightarrow \prod_{i \in [r]} [k_i],$$

such that $f(i) \in [k_i]$, and we define $i_s \in [r]$ to be the index such that $f(r + 1) \in [k_{i_s}]$.

Given a slab \mathfrak{s} , we denote the toric variety defined by its normal fan as $X_{\mathfrak{s}}$. Note that, as P is cracked, $X_{\mathfrak{s}}$ is smooth.

Lemma 5.5. Fix a Fano polytope P and a slab $\mathfrak{s} = \sigma \cap P^\circ$, where σ is a codimension one cone of Σ . Let f be the function associated to \mathfrak{s} by Lemma 5.4. $X_{\mathfrak{s}}$ is isomorphic to the toric stratum of Y_S defined by the intersection of the vanishing loci $\{x_{i, f(i)} = 0\}$, for $i \in [r]$ and the vanishing locus $\{x_{i_{\mathfrak{s}}, f(r+1)}\}$.

Proof. This follows immediately from Lemma 5.4 and the fact that

$$\iota(\mathfrak{s}) = \text{Ann}(\{e_{i, f(i)} : i \in [r]\} \cup \{e_{i_{\mathfrak{s}}, f(r+1)}\}) \cap Q_S.$$

□

We let $\mu_{\mathfrak{s}}: X_{\mathfrak{s}} \rightarrow Y_S$ denote the inclusion corresponding to the inclusion of $\mathfrak{s} \hookrightarrow Q_S$. Each slab \mathfrak{s} corresponds to a curve $C_{\mathfrak{s}}$ in Z . The index $i_{\mathfrak{s}}$ appearing in Lemma 5.4 is the unique $i \in [r]$ such that $C_{\mathfrak{s}}$ projects to a curve under the map $Z \rightarrow \mathbb{P}^{k_{i_{\mathfrak{s}}}}$.

Definition 5.6. Fix a scaffolding S of a reflexive polytope P , and a slab $\mathfrak{s} \subset P^\circ$. Given a facet τ of \mathfrak{s} contained in ∂P° we let D_τ denote the divisor of $X_{\mathfrak{s}}$ corresponding to the facet τ , and we define

$$a_\tau := \begin{cases} \ell(\tau^*) & \text{if } \tau \text{ is a face of } P^\circ, \text{ and } \dim \tau^* = 1 \\ 0 & \text{otherwise,} \end{cases}$$

where $\ell(e)$ denotes the lattice length of the edge e . We define the *slab divisor*

$$D_{\mathfrak{s}} := \sum_{\tau} a_\tau D_\tau,$$

where the sum is taken over facets τ of \mathfrak{s} contained in ∂P° . Moreover we let $L_{\mathfrak{s}}$ denote the line bundle $\mathcal{O}(D_{\mathfrak{s}}) \in \text{Pic}(X_{\mathfrak{s}})$ for each slab \mathfrak{s} .

Remark 5.7. Note that in Definition 5.6 we assume that P is reflexive. In fact this definition can be extended to all Fano polytopes, but becomes more complicated and we omit it here.

Note that sections of $L_{\mathfrak{s}}$ are closely analogous to the *slab functions* appearing in [16]. We relate the line bundles $L_{\mathfrak{s}}$ with the bundles L_i defining the image of X_P in Y_S .

Lemma 5.8. Fix a polytope P cracked along the fan Σ , and S a full scaffolding of P with shape Z . Given a slab \mathfrak{s} , we have that $L_{\mathfrak{s}} = \mu_{\mathfrak{s}}^* L_{i_{\mathfrak{s}}}$.

Proof. Let $\Sigma_{\mathfrak{s}}$ denote the fan determined by $X_{\mathfrak{s}}$. Observe that

$$y_{\mathfrak{s}} := \prod_{s \in S} y_s^{-\sum_{j \in [k_{i_{\mathfrak{s}}}]} \langle \rho_s, e_{i_{\mathfrak{s}}, j}^* \rangle} \in \Gamma(Y_S, L_{i_{\mathfrak{s}}}).$$

Moreover recall that there is a bijection between the facets τ of \mathfrak{s} contained in ∂P° and the set

$$\{s \in S : \langle \rho_s, - \rangle \geq -1 \text{ defines a facet of } \mathfrak{s}\}.$$

Thus the pullback of $\{\mathbf{y}_\mathfrak{s} = 0\}$ to $X_\mathfrak{s}$ is the divisor obtained by labelling each such ρ_s with the integer $\sum_{j \in [k_i \mathfrak{s}]} \langle -\rho_s, e_{i_\mathfrak{s}, j}^* \rangle$. We now interpret this integer as an edge length.

Observe that $\text{Pic}(Z) \cong \mathbb{Z}^r$, and that the map ν in the short exact sequence

$$0 \rightarrow N \xrightarrow{\theta} \tilde{N} \xrightarrow{\nu} \text{Pic}(Z) \rightarrow 0,$$

sends $x \in \tilde{N}$ to $\sum_{i \in [r]} a_i \bar{e}_i$, where \bar{e}_i is the pullback of the hyperplane class of \mathbb{P}^{k_i} to Z along the canonical projection, and

$$a_i := \sum_{j \in [k_i]} \langle x, e_{i, j}^* \rangle.$$

Writing $x = (D, \chi) \in \text{Div}_{T_{\tilde{M}}} Z \oplus N_U$, the polytope $P_D \cong \prod_{i \in [r]} a_i \Delta_{k_i}$ is a product of dilated standard simplices. Note that each edge in the i th factor has length a_i . Thus the coefficient attached to each ρ_s defining a facet τ of \mathfrak{s} is precisely the edge length $\ell(\tau^*)$ of P_D corresponding to $C_\mathfrak{s}$, where $s = (D, \chi)$. \square

Definition 5.9. Given a reflexive polytope P , cracked along a fan Σ , and a scaffolding S of P , we say S is *positive* if the image of $\Gamma(Y_S, L_{i_\mathfrak{s}}) \rightarrow \Gamma(X_\mathfrak{s}, L_\mathfrak{s})$ is a basepoint free linear system for all slabs \mathfrak{s} .

Remark 5.10. It would appear more natural, and closer to the definition appearing in [16], to insist only that the complete linear system $\Gamma(X_\mathfrak{s}, L_\mathfrak{s})$ is basepoint free. Note however that this condition is independent of S , while Definition 5.9 is not. We conjecture that if the linear system $\Gamma(X_\mathfrak{s}, L_\mathfrak{s})$ is basepoint free for all \mathfrak{s} then a smoothing of X_P exists. Our slightly more restrictive definition guarantees the existence of an embedded smoothing in Y_S .

Conjecture 5.11. Fix a unimodular rational fan Σ in $M_{\mathbb{R}}$ such that $Z \in \text{TV}(\tilde{\Sigma})$ is projective, and a polytope P such that P° is cracked along Σ . If $\Gamma(X_\mathfrak{s}, L_\mathfrak{s})$ is basepoint free for all slabs \mathfrak{s} , then X_P admits a smoothing.

We study the equations defining $X_P \subset Y_S$ in the toric affine chart of Y_S with cone dual to \tilde{C}_v for a given vertex $v \in \text{verts}(P^\circ)$. Let $S(v) \subset S$ denote those $s \in S$ such that the inequality $\langle \rho_s, - \rangle \geq -1$ appears in Lemma 3.7 as a defining inequality for \tilde{C}_v . Let $B(v) = \prod_{i \in [r]} B_i(v)$ denote the set of vectors $e_{i, j}$ dual to vectors $e_{i, j}^*$ which are not contained in the minimal cone of Σ containing v . In this notation, restricting the equations defining $X_P \subset Y_S$ to $\text{Spec } \mathbb{k}[(\tilde{C}_v - v) \cap \tilde{M}]$, we obtain the equations:

$$\prod_{j \in B_i(v)} x_{i, j} = \prod_{s \in S(v)} y_s^{l_{i, s}} \text{ for all } i \in [r] \tag{1}$$

where

$$l_{i,s} := - \sum_{j \in [k_i]} \langle \rho_s, e_{i,j}^* \rangle.$$

We now prove the first part of Theorem 1.2, namely that vanishing locus of a general section of $\bigoplus_{i \in [r]} L_i$ is a smooth variety.

Proof of Theorem 1.2. (i) Fix a point $x \in \text{Im Sing}(X_P) \subset Y_S$ and let $p \in \partial P^\circ$ denote its moment map image. Let C be the minimal cone of Σ containing p . The cone C corresponds to a toric stratum X_C of Z , the product of toric strata X_i of \mathbb{P}^{k_i} for $i \in [r]$. Let v be a vertex of the minimal face of P° containing p , so that $x \in \text{Spec } \mathbb{k}[(\tilde{C}_v - v) \cap \tilde{M}]$. We replace Y_S by a resolution, or by the complement of $\text{Sing } Y_S$; by Theorem 1.1 this can be done in the complement of a Zariski neighbourhood of $x \in Y_S$.

Let V denote the variety cut out by the Eq. (1) such that X_i is zero dimensional. Since $B_i(v)$ is a singleton for each such equation, this equation becomes $x_{i,j} = \prod_{s \in \mathcal{S}(v)} y_s^{l_{i,s}}$ for some $j \in [k_i]$. Thus the variety V is smooth as \tilde{C}_v is unimodular.

If X_i is not zero dimensional $x \in \text{Im } X_{\mathfrak{s}}$ (and $p \in \mathfrak{s}$) for all the slabs \mathfrak{s} corresponding to curves of Z contained in X_i . Consider the first value i such that $|B_i(v)| > 1$, then L_i is basepoint free on $X_{\mathfrak{s}}$, and hence in a neighbourhood of $x \in Y_S$. It follows from a version of Bertini’s theorem over \mathbb{k} that since V is smooth and affine (and hence quasi-projective) the singularities of the vanishing locus of a general section of L_i on V are contained in the base locus of L_i . Removing the base locus of L_i on X and inductively applying this version of Bertini’s theorem we obtain that the vanishing locus of a general section of $\bigoplus_{i \in [r]} L_i$ is smooth in a neighbourhood of x . Since x was chosen arbitrarily from the singularities of X_P , and applying a standard compactness argument, we see that perturbing the Eq. (1) smooth X_P in Y_S . □

We now construct the divisor D_S appearing in the statement of Theorem 1.2.

Definition 5.12. Let D_S be the divisor in Y_S corresponding to function on the rays of the normal fan to Q_S which sends each ray generated by ρ_s for some $s \in S$ to 1.

We can now conclude the proof of Theorem 1.2 by describing the restriction of the divisor D_S to a smoothing of X_P . The strategy is broadly the same: for each equation in (1) we can either eliminate it, or make a transversality argument based on the positivity of S .

Proof of Theorem 1.2. (ii) Let X be a general smoothing of X_P obtained by perturbing Eq. (1). Recall that $\prod_{j \in [k_i]} x_{i,j} \in \Gamma(Y_S, L_i)$ for each $i \in [r]$. Thus the restriction of D_S to X (or X_P) is anti-canonical by the adjunction formula.

To show that the restriction of D_S to X is simple normal crossings (snc) we must show that each component of $D_S|_X$ is smooth, and $D_S|_X$ is locally of the form $\prod_{i \in [a]} x_i = 0$, where x_i are local parameters on Y_S and $a \in [\dim N]$. The argument used to show that X_P smooths inside Y_S extends immediately to each component $\{y_s = 0\} \cap X_P$, ensuring each component of $D_S|_X$ is smooth.

Fix a point $x \in X_P$ such that the image of x in Y_S lies at the intersection of divisors $\{y_s = 0\} \cap \text{Im } X_P$ for $s \in S' \subseteq S$, where S' is some subset of S . Let p be point in P° corresponding to x , and let $v \in \text{verts}(P^\circ)$ be a vertex of the minimal face of P° containing p . As above the local affine piece,

$$Y_v := \text{Spec } \mathbb{k}[(\tilde{C}_v - v) \cap \tilde{M}],$$

has co-ordinates y_s for $s \in S(v)$ —noting that $S' \subseteq S(v) \subseteq S$ —and $x_{i,j}$ where $e_{i,j} \in B(v)$. The restriction of the divisor D_S to Y_v is given by the equation $\prod_{s \in S(v)} y_s = 0$. This divisor remains snc after intersection with the i th Eq. (1) if $|B_i(v)| = 1$ (with co-ordinates on the vanishing locus given by eliminating $x_{i,j}$, where $B_i(v) = \{e_{i,j}\}$). As above, if $|B_i(v)| > 1$, the base locus of L_i is disjoint from a neighbourhood of x . Thus, inductively applying the fact that the sum of an snc divisor and a general member of a free linear system is snc—see [18, Lemma 9.1.9]—the result follows. \square

6. Examples

We consider examples in dimension 3, using the famous classifications of reflexive 3-polytopes by Kreuzer–Skarke [17], and of three dimensional Fano varieties by Mori–Mukai [19,20].

Example 6.1. We construct a Fano variety in the family 2–18 in the Mori–Mukai list from a cracked polytope. The complete intersection description we obtain appears in [7]. Let $N := \mathbb{Z}^3$, $N_U := \langle e_3 \rangle$, and $\bar{N} := \mathbb{Z}^2 = \langle e_1, e_2 \rangle$. We let $Z := \mathbb{P}^2$, and let Σ denote the corresponding fan. The left hand image in Fig. 6 shows the scaffolding of P using 3 struts: a pair of triangles labelled s_1 and s_2 , and the remaining vertex. The right hand image shows P° cracked into three Cayley polytopes, two of which (Q_2 and Q_3) are Cayley sums of a pair of triangles, while Q_1 is the Cayley sum of three line segments. Note that X_P is a hypersurface in Y_S , the vanishing locus of a section of some $L \in \text{Pic } Y_S$.

We check positivity along the slab $\mathfrak{s} = Q_1 \cap Q_3$. Observe that $X_{\mathfrak{s}} \cong \mathbb{F}_1$, and let $\pi: \mathbb{F}_1 \rightarrow \mathbb{P}^2$ be the contraction of the (-1) -curve. We have that $L_{\mathfrak{s}} = \pi^* \mathcal{O}_{\mathbb{P}^2}(2)$, which is a basepoint free divisor and, verify that in this example the map $\Gamma(Y_S, L) \rightarrow \Gamma(X_{\mathfrak{s}}, L_{\mathfrak{s}})$ is surjective.

The toric variety Y_S admits a morphism to $\mathbb{P}^2 \times \mathbb{P}^1$, realising a smoothing X of X_P as a double cover of $\mathbb{P}^2 \times \mathbb{P}^1$ branched in a divisor of bidegree $(2, 2)$; see [7] for more details.

Example 6.2. We describe an important class of non-examples. Consider the reflexive polytope P with PALP id 15, whose corresponding toric variety X_P is shown to be non-smoothable by Petracci [22]. A neighbourhood of $\text{Sing } X_P$ is isomorphic to a bundle of A_1 (surface) singularities over \mathbb{P}^1 . This is represented on P by an edge

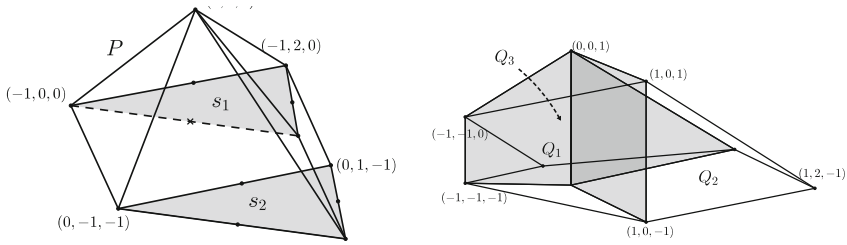


Fig. 6. Construction of a variety in the family 2–18 via a cracked polytope

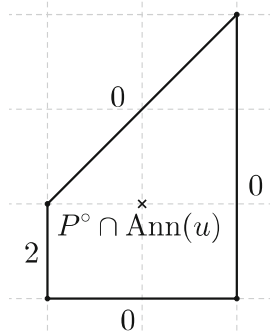


Fig. 7. Slab divisor for a non-positive scaffolding

of length 2 which has direction vector $u := (0, 1, 1)$. P° is cracked along the fan Σ consisting of two half spaces meeting along $M_U = \text{Ann}(u) \subset M_{\mathbb{R}}$. As observed in Remark 4.3 this polytope admits a full scaffolding with shape \mathbb{P}^1 . However this scaffolding is not positive.

The unique slab $\mathfrak{s} = \text{Ann}(u) \cap P^\circ$ is shown in Fig. 7, together with the divisor $D_{\mathfrak{s}}$. The toric variety $X_{\mathfrak{s}} \cong \mathbb{F}_1$ with (-1) -curve E , and $D_{\mathfrak{s}} = 2E$; hence S cannot be positive. The same analysis applies to the reflexive polytopes with PALP ids in the set (a subset of the list appearing in [22]),

$$\{16, 58, 59, 61, 65, 66, 192, 193, 197\}.$$

That is, the corresponding (dual) polytopes are cracked along the hyperplane dual to the direction u of an edge defining a transverse A_n singularity. However no full scaffolding of these polytopes is positive, as $L_{\mathfrak{s}}$ —where $\mathfrak{s} = \text{Ann}(u) \cap P^\circ$ —is a multiple of a curve with negative self intersection.

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