



A new approach to the Cauchy and Goursat problems for the nonlinear Wheeler–DeWitt equation

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Abstract. We consider a nonlinear version of the Wheeler–DeWitt equation which was introduced by Cooper, Susskind, and Thorlacius in the context of two-dimensional quantum cosmology. We establish the existence of global solutions to the Cauchy problem and Goursat problems which, both, arise naturally in physics. Our method of proof is based on a nonlinear transformation of the Wheeler–DeWitt equation and on techniques introduced by Baez and collaborators and by Tsutsumi for nonlinear wave equations.

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1. Introduction

Objective of this paper

The *Wheeler–DeWitt equation* provides a simple, yet challenging model which describes a homogeneous isotropic Universe filled with a scalar field y with mass m . This equation arose from an early attempt to combine ideas from quantum mechanics and general relativity. The Wheeler–DeWitt is a linear, but singular wave equation which reads as follows [8–10]:

$$\frac{\partial^2 \psi}{\partial x^2} + \frac{p}{x} \frac{\partial \psi}{\partial x} - \frac{1}{x^2} \frac{\partial^2 \psi}{\partial y^2} + m^2 x^4 y^2 \psi - x^2 \psi = 0, \quad (1.1)$$

in which the independent variable $x \in (0, +\infty)$ represents a scale factor and the scalar field y is viewed as an independent variable. Moreover, $p \in \mathbb{R}$ is a factor-ordering coefficient due to quantization, and the unknown function $\psi = \psi(x, y) \in \mathbb{C}$ is the so-called *wave function of the Universe* for the mini-superspace model under consideration.

A mathematical study of the corresponding Cauchy problem with prescribed initial condition at $y = 0$, say

$$\psi(x, 0) = \psi_0(x), \quad \frac{\partial \psi}{\partial y}(x, 0) = \psi_1(x), \quad (1.2)$$

was initiated by Dias and Figueira [4] in two simplified cases: they treated the case $x \in (0, R)$ with $R > 0$ as well as the massless case $m = 0$ in the whole interval $x \in (0, +\infty)$ by introducing a suitable transformation of the equation [6, 7].

On the other hand, more recently for a modeling effects arising in quantum cosmology, Cooper et al. [3] introduced a *nonlinear Wheeler–DeWitt equation*, namely

$$\frac{\partial^2 \psi}{\partial x^2} + \frac{p}{x} \frac{\partial \psi}{\partial x} - \frac{1}{x^2} \frac{\partial^2 \psi}{\partial y^2} + m^2 x^4 y^2 \psi - x^2 \psi + \lambda(x) |\psi|^r \psi = 0, \quad (1.3)$$

in which the function $\lambda = \lambda(x) \in \mathbb{R}$ is prescribed and $r \geq 1$ is a parameter. This model was found to provide a better description of some phenomena in quantum cosmology. (We also refer [12] for an alternative nonlinear model.) In Dias and Figueira [5], this nonlinear model was also consider in a simplified case, that is, $x \in (0, R)$ with $R > 0$, and the Cauchy problem was solved for general data $(\psi(x, 0), \frac{\partial \psi}{\partial y}(x, 0))$ and for the function $\lambda(x) = \lambda x^{q-2}$, $q \geq \frac{1}{2} rp$ with $\lambda \in \mathbb{R}$.

In the present work, we pursue this analysis further and rely on the transformation introduced in [6, 7] (in the linear case) in order to study the *nonlinear* equation (1.3) in the *whole* interval $x > 0$. Specifically, we assume that the nonlinearity of the Wheeler–DeWitt equation satisfies the conditions

$$r \geq 2, \quad \lambda(x) = \lambda x^{q-2}, \quad q = \frac{p-1}{2} r, \quad \lambda \in \mathbb{R}. \quad (1.4)$$

By setting

$$z = \log x, \quad x \in (0, +\infty) \quad (1.5)$$

and in view of

$$u(z, y) = x^{\frac{p-1}{2}} \psi(x, y) = e^{\frac{p-1}{2} z} \psi(e^z, y), \quad (1.6)$$

we arrive at the following terminology.

Definition 1.1. The **reduced nonlinear Wheeler–DeWitt equation** by definition is

$$\frac{\partial^2 u}{\partial z^2} - \frac{\partial^2 u}{\partial y^2} - \frac{1}{4}(p-1)^2 u + (m^2 y^2 e^{6z} - e^{4z}) u + \lambda |u|^r u = 0. \quad (1.7)$$

in which $u = u(z, y)$ is a complex-valued function defined over $(z, y) \in \mathbb{R}^2$.

Observe that the principal part of (1.7) decomposes into two parts, i.e.

- the 1 + 1 Klein-Gordon operator, that is,

$$\frac{\partial^2 u}{\partial z^2} - \frac{\partial^2 u}{\partial y^2} - \frac{1}{4}(p-1)^2 u \quad (1.8)$$

- and a potential term of exponential type

$$(m^2 y^2 e^{6z} - e^{4z}) u. \quad (1.9)$$

In addition, the nonlinear version of the Wheeler–DeWitt equation under consideration also involves the nonlinear term

$$\lambda |u|^r u. \tag{1.10}$$

Our objective in this paper is establishing a well-posedness theory for the Cauchy problem and for the Goursat problem by extending the methods introduced originally by Baez et al. [1, 2] and Tsutsumi [14] for nonlinear wave equations.

Main result of this paper

First of all, in Sect. 2, we study the massless case $m = 0$ and consider the Cauchy problem for the equation (1.7) with data

$$\left(u(x, 0), \frac{\partial u}{\partial y}(x, 0) \right) = (u_0(x), v_0(x)) \in X \times H_V^1, \tag{1.11}$$

where (in this case y is regarded as our “time” variable)

$$\begin{aligned} V(z) &= e^{4z}, \\ H_V^1 &= \left\{ u \in H^1(\mathbb{R}) / V^{1/2}u \in L^2(\mathbb{R}) \right\}, \\ X &= \left\{ u \in H_V^1 / \frac{d^2 u}{dz^2} - Vu \in L^2(\mathbb{R}) \right\}, \end{aligned} \tag{1.12}$$

endowed with their natural norms. Here, we will be able to rely on rather standard techniques for nonlinear Klein–Gordon equations (see for instance [13] and the references therein). Considering next a particular class of initial data and provided $\lambda < 0$, we study the sequence ¹

$$v_p(z, y) = e^{ic_p^2 y} u_p(z, c_p y), \tag{1.13}$$

where $c_p = \frac{1}{2}(p - 1)$ (with $p \neq 1$) and u_p is the solution to the corresponding Cauchy problem and, when $p \rightarrow \infty$, we prove that the functions v_p converge in the topology $C([-T, T]; L^2(\mathbb{R}))$, $\forall T > 0$, toward a function

$$\tilde{v}(\hat{z}, \hat{y}) \in C(\mathbb{R}; L^2(\mathbb{R})) \cap L_{loc}^\infty(\mathbb{R}; X) \tag{1.14}$$

such that

$$\frac{\partial \tilde{v}}{\partial y} \in L_{loc}^\infty(\mathbb{R}; L^2(\mathbb{R})), \quad \tilde{v}(\hat{z}, 0) = \lim_{p \rightarrow \infty} u_p(\hat{z}, 0) \text{ in } L^2(\mathbb{R}), \tag{1.15}$$

and, moreover, this function is nothing but a solution to the **nonlinear Schrodinger equation**

$$i \frac{\partial \tilde{v}}{\partial y} + \frac{1}{2} \left(\frac{\partial^2 \tilde{v}}{\partial z^2} - V\tilde{v} + \lambda |\tilde{v}|^r \tilde{v} \right) = 0. \tag{1.16}$$

Our technique of proof for this latter statement is an adaptation of the method developed by Tsutsumi [14] for two space dimensions and $V = 0$. Importantly, our result validates a heuristic given by physicists about the Wheeler–DeWitt equation.

¹Here, $i = \sqrt{-1}$.

Next, in Sect. 3 we study the periodic Goursat problem associated with the Wheeler–DeWitt equation (1.7), and establish the existence of 2π -periodic solutions ((in the y variable) which is now regarded as the “space” variable), when with data are prescribed on the characteristic cone

$$C_0 = \{(z, y) / z = |y|, |y| \leq \pi\}. \tag{1.17}$$

Our technique of proof is an adaptation of the method developed by Baez et al. [1, 2] and begins by reducing the problem under consideration to a more convenient Cauchy problem for an evolution equation. When $m \neq 0$, in the equation (1.7) we need to replace the function $m^2 y^2 e^{6z}$ by $m^2 \theta(y^2) e^{6z}$ where $\theta(y^2)$ is the 2π -periodic extension of the function y^2 in $[-\pi, \pi]$. In order to obtain smooth local (in the variable z) solutions, we restrict the Goursat data accordingly, and to obtain global (in z) solutions we take $\lambda > 0$.

Two cases are of particular interest and are covered by our theorems in this section:

- Case $m^2 y^2 = k^2$ (a positive constant). This is a simplification which is often made in the physical applications, for instance in the study of tunneling solutions; cf. [8].
- Case $c_p = 0$, that is $p = 1$. The spatial curvature term e^{4z} is also neglected in the study of inflationary solutions, cf. again [8].

2. The Cauchy problem for the massless case

In this section we extend to the nonlinear equation (1.7), in the particular case $m = 0$, the existence results for the Cauchy problem and the singular limit when $p \rightarrow \infty$ obtained in [6] and [7]. We write the equation (1.7) for $m = 0$:

$$\frac{\partial^2 u}{\partial y^2} - \frac{\partial^2 u}{\partial z^2} + \frac{1}{4}(p - 1)^2 u + Vu + \lambda |u|^r u = 0, \tag{2.1}$$

with $V(z) = e^{4z} u$, $(z, y) \in \mathbb{R}^2$.

We will study the Cauchy problem for initial data $u(z, 0)$, $\frac{\partial u}{\partial y}(z, 0)$, for $z \in \mathbb{R}$. For this purpose we introduce, as in [6], the space (in z)

$$H_V^1 = \left\{ v \in H^1(\mathbb{R}) / V^{1/2} v \in L^2(\mathbb{R}) \right\} \tag{2.2}$$

with norm

$$\|v\|_{H_V^1} = \left(\|v\|_{H^1}^2 + \|V^{1/2} v\|_2^2 \right)^{1/2},$$

where $\|\cdot\|_p$ denotes the standard L^p norm. Let

$$X = \left\{ v \in H_V^1 \mid \frac{\partial^2 v}{\partial z^2} - Vv \in L^2 \right\},$$

and $H = H_V^1 \times L^2$, $D(A) = X \times H_V^1$, $A: D(A) \subset H \rightarrow H$ defined by

$$A \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ \frac{\partial^2}{\partial z^2} - V - c_p^2 & 0 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} \tag{2.3}$$

with $c_p = \frac{1}{2}(p - 1)$.

With $v = \frac{\partial u}{\partial y}$ the equation (2.1) can be written in the first-order form

$$\frac{\partial}{\partial y} \begin{pmatrix} u \\ v \end{pmatrix} = A \begin{pmatrix} u \\ v \end{pmatrix} + J \begin{pmatrix} u \\ v \end{pmatrix}, \quad J \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} 0 \\ -\lambda |u|^r u \end{pmatrix}. \quad (2.4)$$

The operator A is skew-self-adjoint in H (cf. [6], Theorem 1) and so generates a unitary group of operators in H . We take the initial (in y) data

$$\left(u_0(\widehat{z}) = u(\widehat{z}, 0), v_0(\widehat{z}) = \frac{\partial u}{\partial y}(\widehat{z}, 0) \right) \in D(A).$$

We study first the existence of a local (in y) solution to the Cauchy problem

$$u \in C([0, y_0]; X) \cap C^1([0, y_0]; H_V^1) \cap C^2([0, y_0], L^2). \quad (2.5)$$

If $\varphi = \begin{pmatrix} u \\ v \end{pmatrix} \in D(A) = X \times H_V^1$ it is easy to see, since $H^1(\mathbb{R}) \hookrightarrow L^\infty(\mathbb{R})$, that $J\varphi \in D(A)$, and if $\varphi_1 = \begin{pmatrix} u_1 \\ v_1 \end{pmatrix}, \varphi_2 = \begin{pmatrix} u_2 \\ v_2 \end{pmatrix} \in D(A)$ we have

$$\begin{aligned} A(J\varphi_1 - J\varphi_2) &= \begin{pmatrix} -\lambda |u_1|^r u_1 + \lambda |u_2|^r u_2 \\ 0 \end{pmatrix}, \\ \|J(\varphi_1) - J(\varphi_2)\|_A^2 &= \|J(\varphi_1) - J(\varphi_2)\|_{H_V^1 \times L^2}^2 + \|AJ(\varphi_1) - AJ(\varphi_2)\|_{H_V^1 \times L^2}^2 \\ &= |\lambda|^2 \| |u_1|^r u_1 - |u_2|^r u_2 \|_2^2 + |\lambda|^2 \| |u_1|^r u_1 - |u_2|^r u_2 \|_{H^1}^2 \\ &\quad + |\lambda|^2 \| V^{1/2} (|u_1|^r u_1 - |u_2|^r u_2) \|_2^2. \end{aligned}$$

We have

$$\left| |u_1|^r u_1 - |u_2|^r u_2 \right| \leq c (|u_1|^r + |u_2|^r) |u_1 - u_2|$$

and so

$$\left\| V^{1/2} (|u_1|^r u_1 - |u_2|^r u_2) \right\|_2 \leq c (\|\varphi_1\|_A^r + \|\varphi_2\|_A^r) \|\varphi_1 - \varphi_2\|_A$$

since $H^1(\mathbb{R}) \hookrightarrow L^\infty(\mathbb{R})$. Moreover, for $r \geq 2$, it is not difficult to derive

$$\begin{aligned} \| |u_1|^r u_1 - |u_2|^r u_2 \|_{H^1} &\leq c (\|u_1\|_{H^1}^r + \|u_2\|_{H^1}^r) \|u_1 - u_2\|_{H^1} \\ &\leq c (\|\varphi_1\|_A^r + \|\varphi_2\|_A^r) \|\varphi_1 - \varphi_2\|_A. \end{aligned}$$

Hence,

$$\|J(\varphi_1) - J(\varphi_2)\|_A \leq c (\|\varphi_1\|_A^r + \|\varphi_2\|_A^r) \|\varphi_1 - \varphi_2\|_A.$$

In view of Theorem X.72 in [13], we conclude the following.

Theorem 2.1. *For $\varphi_0 = \begin{pmatrix} u_0 \\ v_0 \end{pmatrix} \in D(A)$, there exists a $y_0 > 0$ and a unique function $\varphi(\widehat{y}) = (u(\widehat{y}), v(\widehat{y}))$, $y \in [0, y_0]$, such that $\varphi \in C([0, y_0]; D(A)) \cap C^1([0, y_0]; H)$ and $\frac{\partial}{\partial y} \begin{pmatrix} u \\ v \end{pmatrix} = A \begin{pmatrix} u \\ v \end{pmatrix} + J \begin{pmatrix} u \\ v \end{pmatrix}$, $y \in [0, y_0]$, $\varphi(0) = \varphi_0$.*

Returning to the Cauchy problem for (2.1) we deduce the following result:

Corollary 2.1. *For $(u_0, v_0) \in D(A)$, there exists a $y_0 > 0$ and a unique function $u(\hat{y}) \in C([0, y_0]; X) \cap C^1([0, y_0]; H) \cap C^2([0, y_0]; L^2)$ satisfying (2.1) for $y \in [0, y_0]$ and $u(0) = u_0, \frac{\partial u}{\partial y}(0) = v_0$.*

Moreover, we have the energy conservation law

$$E(y) = \frac{1}{2} \int_{\mathbb{R}} \left| \frac{\partial u}{\partial y} \right|^2 dz + \frac{1}{4} (p - 1)^2 \int_{\mathbb{R}} |u|^2 dz + \frac{1}{2} \int_{\mathbb{R}} V |u|^2 dz + \frac{\lambda}{n + 2} \int_{\mathbb{R}} |u|^{r+2} dz = E(0), \quad y \in [0, y_0]. \tag{2.6}$$

Now, let us assume $\lambda > 0$. From (2.6) we establish the existence of a local solution to the Cauchy problem, if $p \neq 1$, setting $\varphi(y) = \left(u(y), \frac{\partial u}{\partial y}(y) \right), y \in [0, y_0], H = H_V^1 \times L^2,$

$$\|AJ(\varphi)\|_H \leq c \|\varphi\|_H^{r+1} \leq c. \tag{2.7}$$

Hence, from the semigroup integral formula we deduce

$$\begin{aligned} \|A\varphi(y)\|_H &\leq \|A\varphi(0)\|_H + \int_0^y \|AJ(\varphi(s))\|_H ds \\ &\leq \|A\varphi_0\|_H + c \int_0^y \|\varphi(s)\|_H^{r+1} ds \\ &\leq \|A\varphi_0\|_H + cy \end{aligned}$$

and so, by Gronwall’s inequality,

$$\|A\varphi(y)\|_H \leq \|A\varphi(0)\|_H e^{cy}.$$

We can thus state the following result.

Theorem 2.2. *Assuming $\lambda > 0, p \neq 1$ and $(u_0, v_0) \in D(A)$, there is a unique function $u \in C((0, +\infty); X) \cap C^1((0, +\infty); H_V^1) \cap C^2((0, +\infty); L^2)$ satisfying (2.1) and $u(0) = u_0, \frac{\partial u}{\partial y}(0) = v_0$.*

Now, consider, for each $p \neq 1$ and with $\lambda > 0, \left(u_p(0), \frac{\partial u_p}{\partial y}(0) \right) \in D(A)$, the unique solution $u_p \in C((0, +\infty); X) \cap C^1((0, +\infty); H_V^1) \cap C^2((0, +\infty); L^2)$ of the previous Cauchy problem.

Let us introduce (cf. [7]) the function

$$v_p(z, y) = e^{ic_p^2 y} u_p(z, c_p y), \quad c_p = \frac{1}{2}(p - 1) \tag{2.8}$$

and

$$\varepsilon_p^2 = \frac{1}{2c_p^2} = \frac{2}{(p - 1)^2}. \tag{2.9}$$

We have

$$i \frac{\partial v_p}{\partial y} - \varepsilon_p^2 \frac{\partial^2 v_p}{\partial y^2} + \frac{1}{2} \left(\frac{\partial^2 v_p}{\partial z^2} - V v_p - \lambda |v_p|^r v_p \right) = 0, \tag{2.10}$$

$$v_p(z, 0) = v_{0p}(z) = u_{0p}(z), \quad \frac{\partial v_p}{\partial y}(z, 0) = v_{1p}(z) = c_p(iu_{0p} + u_{1p})(z),$$

where $u_{0p} = u_p(0)$, $u_{1p} = \frac{\partial u_p}{\partial y}(0)$. We assume

$$\begin{aligned} \{u_{0p}\}_p \text{ bounded in } X, \quad \{u_{1p}\}_p \text{ bounded in } H_V^1, \\ u_{0p} \xrightarrow{p \rightarrow \infty} \tilde{v}_0 \text{ in } L^2(\mathbb{R}), \quad \text{with } \tilde{v}_0 \in X. \end{aligned} \tag{2.11}$$

Using the technique in [14], we want to extend Theorem 3 in [7] to obtain the following result:

Theorem 2.3. *Assume (2.10) and (2.11). Then, there exists a unique function $\tilde{v} \in C(\mathbb{R}_+; L^2) \cap L_{\text{loc}}^\infty(\mathbb{R}_+; X)$, such that $v_y \in L_{\text{loc}}^\infty(\mathbb{R}_+, L^2)$, solution to the Cauchy problem*

$$\begin{aligned} i \frac{\partial \tilde{v}}{\partial y} + \frac{1}{2} \left(\frac{\partial^2 \tilde{v}}{\partial z^2} - V\tilde{v} - \lambda |\tilde{v}|^r \tilde{v} \right) = 0, \quad (\lambda > 0, \quad r \geq 2), \\ \tilde{v}(0) = \tilde{v}_0. \end{aligned} \tag{2.12}$$

Moreover, for each $T > 0$, we have (with v_p solution to (2.10)),

$$v_p \xrightarrow{p \rightarrow \infty} \tilde{v} \text{ in } C([0, T]; L^2(\mathbb{R})) \text{ (with the sup norm)}.$$

Proof. To simplify the notation, we will replace v_p by v and ε_p^2 by ε^2 (if necessary) and we assume $\lambda = 1$.

Multiplying the equation in (2.10) by \bar{v}_y (complex conjugate of v_y), integrating in \mathbb{R} (in z) and taking the real part, we obtain (denoting by v_y the derivative $\frac{\partial v}{\partial y}, \dots$),

$$\frac{1}{2} \varepsilon^2 \frac{d}{dy} \int |v_y|^2 dz + \frac{1}{4} \frac{d}{dy} \int |v_z|^2 dz + \frac{1}{4} \frac{d}{dy} \int V|v|^2 dz + \frac{1}{2} \frac{1}{r+2} \frac{d}{dy} \int |v|^{r+2} dz = 0. \tag{2.13}$$

Hence, with c independent of p and y ,

$$\varepsilon_p \|(v_p)_y\|_2 \leq c, \tag{2.14}$$

$$\|(v_p)_z\|_2 \leq c, \tag{2.15}$$

$$\|v_p\|_{r+2} \leq c, \tag{2.16}$$

$$\|V^{1/2} v_p\|_2 \leq c. \tag{2.17}$$

Multiplying the equation in (2.10) by \bar{v}_p , integrating in \mathbb{R} , and taking the imaginary part, we obtain

$$\varepsilon^2 \operatorname{Im} \int v_{yy} \bar{v} dz - \operatorname{Re} \int v_y \bar{v} dz = 0,$$

and since

$$\operatorname{Im} \int v_{yy} \bar{v} dz = \operatorname{Im} \left(\frac{d}{dy} \int v_y \bar{v} dz - \int v_y \bar{v}_y dz \right) = \operatorname{Im} \frac{d}{dy} \int v_y \bar{v} dz,$$

we find

$$\begin{aligned} \varepsilon^2 \frac{d}{dy} \operatorname{Im} \int v_y \bar{v} dz - \frac{d}{dy} \frac{1}{2} \int |v|^2 dz = 0, \\ \int |v|^2 dz \leq 2 \varepsilon^2 \|v_y\|_2 \|v\|_2 + c, \end{aligned}$$

and so, by (2.14),

$$\|v_p\|_2 \leq c. \tag{2.18}$$

Now (the calculations can be justified by a suitable regularization technique) we take the y derivative in the equation in (2.10) to obtain, by multiplying by $(\bar{v}_p)_y$, integrating and taking the imaginary part:

$$\begin{aligned} & \operatorname{Im} \varepsilon^2 \int \frac{\partial^3 v}{\partial y^3} \bar{v}_y dz - \operatorname{Re} \int v_{yy} \bar{v}_y dz - \operatorname{Im} \frac{1}{2} \int \frac{\partial^3 v}{\partial y \partial z^2} \bar{v}_y dz \\ & + \operatorname{Im} \frac{1}{2} \int V v_y \bar{v}_y dz + \operatorname{Im} \frac{1}{2} \int (|v|^r v)_y \bar{v}_y dz = 0, \\ & \operatorname{Im} \frac{d}{dy} \varepsilon^2 \int v_{yy} \bar{v}_y dz - \frac{1}{2} \frac{d}{dy} \int |v_y|^2 dz + \frac{r}{2} \operatorname{Im} \int |v|^{r-2} \operatorname{Re}(v \bar{v}_y) v \bar{v}_y dz = 0, \end{aligned}$$

and so, by (2.15), (2.18) and (2.11), for $y > 0$ we find

$$\int |v_y|^2 dz \leq c + \varepsilon^2 \|v_{yy}\|_2 \|v_y\|_2 + c \int_0^y \int |v_y|^2 dz d\tau,$$

and so

$$\int |(v_p)_y|^2 dz \leq c + (\varepsilon_p^4 \|(v_p)_{yy}\|_2^2) + c \int_0^y \int |(v_p)_y|^2 dz d\tau. \tag{2.19}$$

Now, we take again the y derivative in (2.10), multiply by $(\bar{v}_p)_{yy}$, integrate in \mathbb{R} , and take the real part:

$$\varepsilon^2 \frac{d}{dy} \int |v_{yy}|^2 dz + \frac{1}{2} \frac{d}{dy} \int |v_{yz}|^2 dz + \frac{1}{2} \frac{d}{dy} \int V |v_y|^2 dz - \frac{1}{2} \operatorname{Re} \int (|v|^r v)_y \bar{v}_{yy} dz = 0. \tag{2.20}$$

We have (cf. [14], pg. 640):

$$\begin{aligned} 2 \operatorname{Re} \left((|v|^r v)_y \bar{v}_{yy} \right) &= \frac{r}{2} |v|^{r-2} \frac{d}{dy} (v \bar{v}_y + \bar{v} v_y)^2 + |v|^r \frac{d}{dy} |v_y|^2 \\ &\quad - r |v|^{r-2} (v |v_y|^2 \bar{v}_y + \bar{v} |v_y|^2 v_y). \end{aligned} \tag{2.21}$$

Hence, by (2.20) and (2.21), we obtain, by applying the Gagliardo–Nirenberg inequality:

$$\begin{aligned} & \varepsilon^4 \frac{d}{dy} \int |v_{yy}|^2 dz + \frac{1}{2} \varepsilon^2 \frac{d}{dy} \int |v_{yz}|^2 dz + \frac{1}{2} \varepsilon^2 \frac{d}{dy} \int V |v_y|^2 dz \\ & + \frac{\varepsilon^2}{8} \frac{d}{dy} \int |v|^{r-2} (\operatorname{Re}(v \bar{v}_y))^2 dz + \frac{\varepsilon^2}{4} \frac{d}{dy} \int |v|^r |v_y|^2 dz \\ & \leq c \varepsilon^2 \int |v|^{r-1} |v_y|^3 dz \leq c \varepsilon^2 \|v\|_\infty^{r-1} \|v_y\|_2^{5/2} \|v_{yz}\|_2^{1/2} \\ & \leq c \|v\|_\infty^{r-1} (\varepsilon^2 \|v_{yz}\|_2^2)^{1/4} \|v_y\|_2^{5/2} \varepsilon^{3/2}. \end{aligned}$$

Hence, in view of (2.15), (2.18), (2.11) and (2.19), we get

$$\begin{aligned} & \varepsilon^4 \int |v_{yy}|^2 dz + \varepsilon^2 \int |v_{yz}|^2 dz + \varepsilon^2 \int V |v_y|^2 dz \\ & + \varepsilon^2 \int |v|^{r-2} (\operatorname{Re}(v \bar{v}_y))^2 dz + \frac{1}{2} \int |v_y|^2 dz \end{aligned}$$

$$\begin{aligned} &\leq c + \frac{1}{2} (\varepsilon^4 \|v_{yy}\|_2^2) + c \int_0^y \|v_y\|_2^{5/2} \varepsilon^{3/2} (\varepsilon^2 \|v_{yz}\|_2^2)^{1/4} d\tau \\ &\quad + c \int_0^y \int |v_y|^2 dz d\tau. \end{aligned}$$

Therefore, by (2.14), we obtain

$$\begin{aligned} &\frac{1}{2} \varepsilon^4 \int |v_{yy}|^2 dz + \varepsilon^2 \int |v_{yz}|^2 dz + \frac{1}{2} \int |v_y|^2 dz + \varepsilon^2 \int V |v_y|^2 dz \\ &\leq c + c \int_0^y \|v_y\|_2 (\varepsilon^2 \|v_{yz}\|_2^2)^{1/4} d\tau + c \int_0^y \int |v_y|^2 dz d\tau \\ &\leq c + c \int_0^y \int |v_y|^2 dz d\tau + c \int_0^y (\varepsilon^2 \|v_{yz}\|_2^2)^{1/2} d\tau \\ &\leq c + c \int_0^y \int |v_y|^2 dz d\tau + c \int_0^y \varepsilon^2 \int |v_{yz}|^2 dz d\tau + cy. \end{aligned}$$

We conclude that, by applying the Gronwall inequality, and for fixed $T > 0$, and $y \in [0, T]$,

$$\varepsilon_p^2 \int |(v_p)_{yz}|^2 dz + \int |(v_p)_y|^2 dz \leq c(T), \quad (2.22)$$

$$\varepsilon_p^4 \int |(v_p)_{yy}|^2 dz + \varepsilon_p^2 \int V |(v_p)_y|^2 dz \leq c(T). \quad (2.23)$$

We have, in particular, from (2.14), (2.15), (2.17), (2.18), (2.22),

$$\begin{aligned} v_p &\in L^\infty(\mathbb{R}_+; H^1), \quad V^{1/2} v_p \in L^\infty(\mathbb{R}_+; L^2), \\ (v_p)_y &\in L^\infty([0, T]; L^2), \quad \varepsilon_p (v_p)_y \in L^\infty(\mathbb{R}_+; L^2). \end{aligned} \quad (2.24)$$

From (2.10) (cf. [14], pg. 642, for similar computations with $V \equiv 0$) we deduce

$$\begin{aligned} &\varepsilon_p^2 \frac{\partial^2 v_p}{\partial y^2} - \varepsilon_q^2 \frac{\partial^2 v_q}{\partial y^2} - i \frac{\partial}{\partial y} (v_p - v_q) - \frac{1}{2} \frac{\partial^2}{\partial z^2} (v_p - v_q) \\ &\quad + \frac{1}{2} V (v_p - v_q) + \frac{1}{2} |v_p|^r v_p - \frac{1}{2} |v_q|^r v_q = 0. \end{aligned}$$

Multiplying the previous equation by $\overline{v_p - \widetilde{v}_q}$, taking the imaginary part and integrating in z , we see that for any fixed $T > 0$ and all $y \in [0, T]$,

$$\begin{aligned} &\frac{d}{dy} \|v_p - v_q\|_2^2 + 2 \operatorname{Im} \int (\overline{v_p - v_q}) \left(\varepsilon_p^2 \frac{\partial^2 v_p}{\partial y^2} - \varepsilon_q^2 \frac{\partial^2 v_q}{\partial y^2} \right) dz \\ &= - \operatorname{Im} \int (\overline{v_p - v_q}) (|v_p|^r v_p - |v_q|^r v_q) dz, \\ &\frac{d}{dy} \|v_p - v_q\|_2^2 + 2 \varepsilon_p^2 \operatorname{Im} \int (\overline{v_p - v_q}) \left(\frac{\partial^2 v_p}{\partial y^2} - \frac{\partial^2 v_q}{\partial y^2} \right) dz \\ &\quad + 2(\varepsilon_p^2 - \varepsilon_q^2) \operatorname{Im} \int (\overline{v_p - v_q}) \frac{\partial^2 v_q}{\partial y^2} dz \\ &= \frac{d}{dy} \|v_p - v_q\|_2^2 + 2(\varepsilon_p^2 - \varepsilon_q^2) \frac{d}{dy} \operatorname{Im} \int (\overline{v_p - v_q}) \frac{\partial v_q}{\partial y} dz \end{aligned}$$

$$\begin{aligned}
 & -2(\varepsilon_p^2 - \varepsilon_q^2) \operatorname{Im} \int \frac{d}{dy} (\overline{v_p - v_q}) \frac{\partial v_q}{\partial y} dz \\
 & = -\operatorname{Im} \int (\overline{v_p - v_q}) (|v_p|^r v_p - |v_q|^r v_q) dz.
 \end{aligned}$$

Hence, we obtain

$$\begin{aligned}
 & \frac{d}{dy} \|v_p - v_q\|_2^2 + 2(\varepsilon_p^2 - \varepsilon_q^2) \frac{d}{dy} \operatorname{Im} \int (\overline{v_p - v_q}) \frac{\partial v_q}{\partial y} dz \\
 & \leq (\varepsilon_p^2 + \varepsilon_q^2) c(T) + (\|v_p\|_\infty^{r-1} + \|v_q\|_\infty^{r-1}) \|v_p - v_q\|_2^2 \\
 & \leq c(T) (\varepsilon_p^2 + \varepsilon_q^2) + c \|v_p - v_q\|_2^2.
 \end{aligned}$$

For $y \in [0, T]$, we have

$$\|v_p(y) - v_q(y)\|_2^2 \leq (\varepsilon_p^2 + \varepsilon_q^2) c(T) + c \int_0^y \|v_p(\tau) - v_q(\tau)\|_2^2 d\tau.$$

Applying Gronwall’s inequality, we deduce that $\{v_p\}$ is a Cauchy sequence in $L^\infty([0, T]; L^2(\mathbb{R}))$ and so, by a suitable diagonalization method, there exists a function $\tilde{v} \in L^\infty_{\text{loc}}(\mathbb{R}_+; L^2(\mathbb{R}))$ and a subsequence $v_p \xrightarrow{p \rightarrow \infty} \tilde{v}$ in $L^\infty_{\text{loc}}(\mathbb{R}_+; L^2(\mathbb{R}))$.

By the previous estimates, it is easy to prove that

$$\begin{aligned}
 & \tilde{v} \in L^\infty_{\text{loc}}(\mathbb{R}_+; H^1(\mathbb{R})), \quad \tilde{v}_y \in L^\infty_{\text{loc}}(\mathbb{R}_+; L^2(\mathbb{R})), \\
 & V^{1/2} \tilde{v} \in L^\infty(\mathbb{R}_+; L^2(\mathbb{R})), \quad \text{and so } \tilde{v} \in C(\mathbb{R}_+; L^2(\mathbb{R})).
 \end{aligned}$$

By applying Aubin’s Lemma (cf. [11]) there exists a subsequence $v_p \xrightarrow{p \rightarrow \infty} \tilde{v}$ a.e. in $\mathbb{R}_+ \times \mathbb{R}$. Hence, \tilde{v} satisfies (2.12) in the sense of distributions and $\tilde{v} \in L^\infty_{\text{loc}}(\mathbb{R}_+; X)$. Finally, by standard methods, it is easy to see that \tilde{v} is the unique function with these properties that satisfies the Cauchy problem (2.12), and this achieves the proof of Theorem 2.3. \square

Remark 2.1. If, for $\lambda > 0$, $p \neq 1$, u is the solution to the Cauchy problem for the equation (2.1) under the conditions in Theorem 2.2, we can multiply (2.1) by $\frac{\partial \bar{u}}{\partial z}$, then take the real part, and integrate over \mathbb{R} (in z),

$$0 = \operatorname{Re} \frac{d}{dy} \int \frac{\partial u}{\partial y} \frac{\partial \bar{u}}{\partial z} dz + \operatorname{Re} \int V u \frac{\partial \bar{u}}{\partial z} dz = \operatorname{Re} \frac{d}{dy} \int \frac{\partial u}{\partial y} \frac{\partial \bar{u}}{\partial z} dz - 2 \int V |u|^2 dz,$$

and so, by the energy conservation (2.6), we obtain

$$\int_0^y \int V |u|^2 dz d\tau \leq c, \tag{2.25}$$

c independent of $y > 0$, and so we have the decay property

$$\int_y^{y+1} \int V |u|^2 dz d\tau \xrightarrow{y \rightarrow \infty} 0.$$

3. The periodic Goursat problem

In this section, we investigate the nonlinear Wheeler–DeWitt equation by following the approach and techniques developed in [1]. The difference is that, in our case, we have the following additional term in (1.7):

$$f(z, y) u, \quad \text{with } f(z, y) = m^2 y^2 e^{6z} - e^{4z} - c_p^2, \quad (3.1)$$

where $c_p = \frac{1}{2}(p - 1)$ and u is complex valued. With (in this case z will be the “time” variable)

$$\square u = \frac{\partial^2 u}{\partial z^2} - \frac{\partial^2 u}{\partial y^2} \quad \text{and} \quad g(u) = \lambda |u|^r u \quad (3.2)$$

the equation (1.7) can be rewritten as

$$\square u + f(z, y) u + g(u) = 0. \quad (3.3)$$

As in [1], we introduce the characteristic cones

$$C_z = \{(\tau, y) / \tau = z + |y|, |y| \leq \pi\} \quad (3.4)$$

and the functions ϕ_+ , ϕ_- , ϕ , $c_0(z)$ and $c_\pi(z)$, for a 2π periodic (in y) solution u of equation (3.3), defined by

$$\begin{aligned} \phi_+(z, y) &= u(z + y, y), \quad 0 \leq y \leq \pi, \\ \phi_-(z, y) &= u(z + y, -y), \quad 0 \leq y \leq \pi, \\ \phi(z, y) &= (\phi_+(z, y), \phi_-(z, y)), \\ c_0(z) &= u(z, 0) = \phi_+(z, 0) = \phi_-(z, 0), \\ c_\pi(z) &= u(z + \pi, \pi) = \phi_+(z, \pi) = \phi_-(z, \pi). \end{aligned} \quad (3.5)$$

We then recall the following; see Lemma 1 in [1].

Lemma 3.1. *If u is a C^2 solution to the equation $\square u = h(z, y)$ on a neighborhood U of C_z , when h is a continuous function in U , then*

$$\begin{aligned} \partial_{zy}^2 \phi_+(z, y) &= \frac{1}{2} (\partial_y^2 \phi_+(z, y) + h_+(z, y)), \\ \partial_{zy}^2 \phi_-(z, y) &= \frac{1}{2} (\partial_y^2 \phi_-(z, y) + h_-(z, y)), \end{aligned}$$

where $h_+(z, y) = h(z + y, y)$, $h_-(z, y) = h(z + y, -y)$, $0 \leq y \leq \pi$.

Now, if u is a C^2 function, 2π -periodic in y , solution to (3.3), we can multiply by $\frac{\partial \bar{u}}{\partial z}$, take the real part, and integrate in y :

$$\begin{aligned} \frac{1}{2} \frac{\partial}{\partial z} \int_{-\pi}^{\pi} \left(\left| \frac{\partial u}{\partial z} \right|^2 + \left| \frac{\partial u}{\partial y} \right|^2 \right) dy + \frac{\lambda}{r + 2} \frac{\partial}{\partial z} \int_{-\pi}^{\pi} |u|^{r+2} dy \\ + \frac{1}{2} \frac{\partial}{\partial z} \int_{-\pi}^{\pi} f(z, y) |u|^2 dy - \frac{1}{2} \int_{-\pi}^{\pi} \frac{\partial f}{\partial z}(z, y) |u|^2 dy = 0. \end{aligned} \quad (3.6)$$

In addition, with $z' = z + y$, it is not difficult to deduce

$$\begin{aligned} & \frac{1}{2} \int_0^\pi (|\partial_y \phi_+|^2(z, y) + |\partial_y \phi_-|^2(z, y)) dy \\ &= \frac{1}{2} \int_{-\pi}^\pi \left(\left| \frac{\partial u}{\partial y} \right|^2(z', y) + \left| \frac{\partial u}{\partial z} \right|^2(z', y) \right) dy \\ & \quad + \operatorname{Re} \int_{-\pi}^\pi \frac{\partial u}{\partial z}(z', y) \frac{\partial \bar{u}}{\partial y}(z', y) dy \end{aligned} \tag{3.7}$$

and

$$\begin{aligned} & \frac{\partial}{\partial z} \operatorname{Re} \int_{-\pi}^\pi \frac{\partial u}{\partial z}(z', y) \frac{\partial \bar{u}}{\partial y}(z', y) dy \\ &= \operatorname{Re} \int_{-\pi}^\pi \frac{\partial^2 u}{\partial z^2}(z', y) \frac{\partial \bar{u}}{\partial y}(z', y) dy + \operatorname{Re} \int_{-\pi}^\pi \frac{\partial u}{\partial z}(z', y) \frac{\partial^2 \bar{u}}{\partial y \partial z}(z', y) dy \\ &= \operatorname{Re} \int_{-\pi}^\pi \left(\frac{\partial^2 u}{\partial y^2}(z', y) - f(z', y) u(z', y) - \lambda |u|^\tau u(z', y) \right) \frac{\partial \bar{u}}{\partial y}(z', y) dy \\ &= - \int_{-\pi}^\pi \left(f(z', y) \frac{1}{2} \frac{\partial}{\partial y} |u(z', y)|^2 - \frac{1}{r+2} \frac{\partial}{\partial y} |u|^{r+2}(z', y) \right) dy \\ &= \frac{1}{2} \int_{-\pi}^\pi \frac{\partial f}{\partial y}(z', y) |u(z', y)|^2 dy \\ &= \frac{1}{2} \int_0^\pi \frac{\partial f}{\partial y}(z', y) |\phi_+(z, y)|^2 dy + \frac{1}{2} \int_0^\pi \frac{\partial f}{\partial y}(z', -y) |\phi_-(z, y)|^2 dy. \end{aligned} \tag{3.8}$$

From (3.6), (3.7) and (3.8), with $z' = z + y$ we deduce

$$\begin{aligned} & \frac{1}{2} \frac{\partial}{\partial z} \int_0^\pi (|\partial_y \phi_+|^2(z, y) + |\partial_y \phi_-|^2(z, y)) dy \\ &= - \frac{\lambda}{r+2} \frac{\partial}{\partial z} \int_0^\pi (|\phi_+|^{r+2}(z, y) + |\phi_-|^{r+2}(z, y)) dy \\ & \quad - \frac{1}{2} \frac{\partial}{\partial z} \int_0^\pi (f(z', y) |\phi_+|^2(z, y) + f(z', -y) |\phi_-|^2(z, y)) dy \\ & \quad + \frac{1}{2} \int_0^\pi \left(\frac{\partial f}{\partial z}(z', y) |\phi_+|^2(z, y) + \frac{\partial f}{\partial z}(z', -y) |\phi_-|^2(z, y) \right) dy \\ & \quad + \frac{1}{2} \int_0^\pi \left(\frac{\partial f}{\partial y}(z', y) |\phi_+|^2(z, y) + \frac{\partial f}{\partial y}(z', -y) |\phi_-|^2(z, y) \right) dy \end{aligned} \tag{3.9}$$

and so, with $z' = z + y$, $\tau' = \tau + y$, we have

$$\begin{aligned} E(z) &= \frac{1}{2} \int_0^\pi (|\partial_y \phi_+|^2(z, y) + |\partial_y \phi_-|^2(z, y)) dy \\ & \quad + \frac{\lambda}{r+2} \int_0^\pi (|\phi_+|^{r+2}(z, y) + |\phi_-|^{r+2}(z, y)) dy \\ & \quad + \frac{1}{2} \int_0^\pi (f(z', y) |\phi_+|^2(z, y) + f(z', -y) |\phi_-|^2(z, y)) dy \end{aligned} \tag{3.10}$$

$$\begin{aligned}
 &= E(0) + \frac{1}{2} \int_0^z \int_0^\pi \left(\frac{\partial f}{\partial z}(\tau', y) |\phi_+|^2(\tau, y) + \frac{\partial f}{\partial z}(\tau', -y) |\phi_-|^2(\tau, y) \right) dy dz \\
 &\quad + \frac{1}{2} \int_0^z \int_0^\pi \left(\frac{\partial f}{\partial y}(\tau', y) |\phi_+|^2(\tau, y) + \frac{\partial f}{\partial y}(\tau', -y) |\phi_-|^2(\tau, y) \right) dy d\tau,
 \end{aligned}$$

and $E(z)$ is the energy associated to our problem.

Recall that $\phi(0, y) = (\phi_+(0, y), \phi_-(0, y))$, $0 \leq y \leq \pi$ are the Goursat data $(u(y, y), u(y, -y))$, $0 \leq y \leq \pi$. For each z , that is, for each cone C_z , in [1] it is introduced the Banach space $(0 \leq y \leq \pi)$:

$$\begin{aligned}
 H(C_z) = & \left\{ \phi(z, \hat{y}) = (\phi_+(z, \hat{y}), \phi_-(z, \hat{y})) = (u(z + \hat{y}, \hat{y}), u(z + \hat{y}, -\hat{y})) \mid \right. \\
 & \text{with finite norm } \|\phi(z, \cdot)\|_{H(C_z)} \\
 & = \left(\int_0^\pi (|\partial_y \phi_+(z, y)|^2 + |\partial_y \phi_-(z, y)|^2) dy \right)^{1/2} + |c_0(z)| \\
 & \text{and such that } c_0(z) = \phi_+(z, 0) = \phi_-(z, 0), \\
 & \left. c_\pi(z) = \phi_+(z, \pi) = \phi_-(z, \pi) \right\}. \tag{3.11}
 \end{aligned}$$

We have

$$H(C_z) \hookrightarrow (L^p(0, \pi))^2, \quad \text{for } 1 \leq p \leq +\infty. \tag{3.12}$$

Now, to solve the Goursat 2π -periodic (in y) problem for the equation (3.3), with data

$$(u(y, y) = \phi_+(0, y), \quad u(y, -y) = \phi_-(0, y))$$

$(0 \leq y \leq \pi)$ in $H(C_0)$, we follow closely the idea in Section 4 of [1], which reduces the problem to an abstract Cauchy form

$$\phi(z) = T_z \phi(0) - k_z(fu + g(u)),$$

with k_z linear with values in C_z defined by:

$$\phi = (\phi_+, \phi_-), \quad \phi_+(0, 0) = \phi_-(0, 0), \quad \phi_+(0, \pi) = \phi_-(0, \pi),$$

$$\phi_+(z, y) = u(z + y, y), \quad \phi_-(z, y) = u(z + y, -y),$$

$$\begin{aligned}
 (T_z \phi(0))(z, y) = & \left(\phi_+ \left(0, \frac{z + 2y}{2} \right) + \phi_- \left(0, \frac{z}{2} \right) - c_0(0), \right. \\
 & \left. \phi_- \left(0, \frac{z + 2y}{2} \right) + \phi_+ \left(0, \frac{z}{2} \right) - c_0(0) \right),
 \end{aligned}$$

for $y \leq \pi - \frac{z}{2}$,

$$\begin{aligned}
 (T_z \phi(0))(z, y) = & \left(\phi_+ \left(0, \frac{z + 2y}{2} - \pi \right) + \phi_- \left(0, \frac{z}{2} \right) - 2c_0(0) + c_\pi(0), \right. \\
 & \left. \phi_- \left(0, \frac{z + 2y}{2} - \pi \right) + \phi_+ \left(0, \frac{z}{2} \right) - 2c_0(0) + c_\pi(0) \right),
 \end{aligned}$$

for $\pi - \frac{z}{2} \leq y \leq \pi$,

where

$$\begin{aligned} c_0(z) &= \phi_+ \left(0, \frac{z}{2}\right) + \phi_- \left(0, \frac{z}{2}\right) - c_0(0), \\ c_\pi(z) &= \phi_+ \left(0, \frac{z}{2}\right) + \phi_- \left(0, \frac{z}{2}\right) - 2c_0(0) + c_\pi(0), \\ c_0(0) &= \phi_+(0, 0) = \phi_-(0, 0), \quad c_\pi(0) = \phi_+(0, \pi) = \phi_-(0, \pi), \end{aligned}$$

and, for a continuous function $h(z, y)$, 2π -periodic in y ,

$$\begin{aligned} (k_z h)_\pm(y) &= \int_0^{(z+2y)/2} \int_0^{z/2} h(p+q, \pm(p-q)) \, dq \, dp, \quad \text{for } y \leq \pi - \frac{z}{2}, \\ (k_z h)_\pm(y) &= \int_0^{(z+2y)/2-\pi} \int_\pi^{y+\pi} h(p+q, \pm(p-q)) \, dq \, dp \\ &\quad + \int_0^\pi \int_0^{z/2} h(p+q, \pm(p-q)) \, dq \, dp \\ &\quad + \int_\pi^{(z+2y)/2} \int_{p-\pi}^{z/2} h(p+q, \pm(p-q)) \, dq \, dp, \quad \text{for } \pi - \frac{z}{2} \leq y \leq \pi. \end{aligned} \tag{3.13}$$

Let us introduce, with C_τ defined in (3.4),

$$D_z = \bigcup C_\tau, \quad \text{for } 0 \leq \tau \leq z.$$

For u continuous, 2π -periodic in y , it is easy to see, cf. [1] and with c independent of $z \in [0, \pi]$,

$$\|k_z(fu + g(u))\|_{H(z)} \leq c\|fu + g(u)\|_{L^2(D_z)}. \tag{3.14}$$

Hence, by applying the estimate (8) in [1] and for all ϕ satisfying (3.13) and $z \in [0, \pi]$, we find

$$\|\phi(z)\|_{H(C_z)} \leq (1 + c\sqrt{z}) \|\phi(0)\|_{H(C_0)} + c\|fu + g(u)\|_{L^2(D_z)}. \tag{3.15}$$

Now, for a function $\phi = (\phi_+, \phi_-)$ defined in C_z we say that $\phi \in L^p(C_z)$, $1 \leq p \leq +\infty$, if $\phi_+(z, \hat{y})$ and $\phi_-(z, \hat{y})$ belong to $L^p(0, \pi)$ and we put

$$\|\phi\|_{L^p(C_z)} = \|\phi_+(z, \hat{y})\|_{L^p(0,\pi)} + \|\phi_-(z, \hat{y})\|_{L^p(0,\pi)}.$$

We have $\|\phi\|_{L^\infty(C_z)} \leq c\|\phi\|_{H(C_z)}$, for $\phi \in H(C_z)$. By setting in (3.13), $N_z(\phi) = -k_z(fu + g(u))$, we want to prove the following result which is a variant of Theorem 2 in [1].

Theorem 3.1. *If $\phi(0) \in H(C_0)$, then there is a $z_0 \in (0, \pi]$ and a unique continuous function $\phi(\hat{z}) = (\phi_+(\hat{z}), \phi_-(\hat{z})) : [0, z_0] \rightarrow \tilde{H}_1(0, \pi) = \{\phi = (\phi_+, \phi_-) \in H^1(0, \pi)^2 \mid \phi_+(0) = \phi_-(0), \phi_+(\pi) = \phi_-(\pi)\}$ such that*

$$\phi(z) = T_z\phi(0) + N_z(\phi), \quad z \in [0, z_0]. \tag{3.16}$$

Proof. Replacing an iteration method by a fixed point argument, we follow the lines of the proof of Theorem 2 in [1], which is a special case of the proof of

Theorem 13 in [2]. We have, with an increasing continuous function $\theta: \mathbb{R} \rightarrow \mathbb{R}$ with $\theta(0) = 1$,

$$\begin{aligned} \|N_z(\phi_1) - N_z(\phi_2)\|_{L^2(0,\pi)} &\leq \theta(M) \|\phi_1(z) - \phi_2(z)\|_{\tilde{H}^1(0,\pi)} \\ &\text{if } \|\phi_1(z)\|_{\tilde{H}^1(0,\pi)}, \|\phi_2(z)\|_{\tilde{H}^1(0,\pi)} \leq M \end{aligned} \quad (3.17)$$

since $H^1((0, 2\pi)) \hookrightarrow L^\infty((0, 2\pi))$,

$$\||a|^r a - |b|^r b| \leq c (|a|^{r-1} + |b|^{r-1}) |a - b|, \quad a, b \in \mathbb{C},$$

and, in addition, we have, by (3.1), for $z, y \in [0, \pi]$,

$$|f(z, y)| \leq m^2 \pi^2 e^{6\pi} + e^{4\pi} + c_p^2.$$

For $\phi \in C([0, z_0]; \tilde{H}^1(0, \pi))$ let us define $\tilde{\phi} \in C([0, z_0], \tilde{H}^1(0, \pi))$ by

$$\tilde{\phi}(z) = T_z \phi(0) + N_z(\phi), \quad z \in [0, z_0].$$

With $\phi_i \in C([0, z_0]; \tilde{H}^1(0, \pi))$, $i = 1, 2$, $\phi_{i+}(z, \hat{y}) = u_i(z + \hat{y}, \hat{y})$ and $\phi_{i-}(z, \hat{y}) = u_i(z + \hat{y}, -\hat{y})$, in view of (3.14), (3.15), (3.17), with $X_1 = C([0, z_0]; \tilde{H}^1(0, \pi))$, we find

$$\begin{aligned} \|\tilde{\phi}_2 - \tilde{\phi}_1\|_{X_1} &\leq (1 + c\sqrt{z_0}) \|\phi_2(0) - \phi_1(0)\|_{\tilde{H}^1(0,\pi)} \\ &\quad + c\theta(M) \int_0^{z_0} \|\phi_2(z) - \phi_1(z)\|_{\tilde{H}^1(0,\pi)} dz \\ &\leq (1 + c\sqrt{z_0}) \|\phi_2(0) - \phi_1(0)\|_{\tilde{H}^1(0,\pi)} + c\theta(M) z_0 \|\phi_1 - \phi_2\|_X \\ &\quad \text{if } \|\phi_1\|_{X_1}, \|\phi_2\|_{X_1} \leq M \quad (X_1 \text{ endowed with the sup norm}). \end{aligned} \quad (3.18)$$

If we choose $M \geq \|\phi_1(0)\|_{\tilde{H}^1(0,\pi)} + 1$, then from (3.18) with $\phi_2 \equiv 0$,

$$\begin{aligned} \|\tilde{\phi}_1\|_{X_1} &\leq (1 + c\sqrt{z_0}) \|\phi_1(0)\|_{\tilde{H}^1(0,\pi)} + c\theta(M) z_0 M \\ &\leq (1 + c\sqrt{z_0}) (M - 1) + c\theta(M) z_0 M \leq M \end{aligned}$$

for $z_0 \leq z(M)$.

From (3.18) we also derive, for ϕ_1, ϕ_2 such that $\phi_1(0) = \phi_2(0)$,

$$\|\tilde{\phi}_2 - \tilde{\phi}_1\|_{X_1} \leq c\theta(M) z_0 \|\phi_2 - \phi_1\|_{X_1} \leq \frac{1}{2} \|\phi_2 - \phi_1\|_X$$

for $z_0 \leq z_1(M)$. Then, for $z_0 \leq \min(z(M), z_1(M))$, the map $\phi \rightarrow \tilde{\phi}$ is a strict contraction in the subspace

$$\left\{ \phi \in C([0, z_0]; \tilde{H}^1(0, \pi)) / \phi(0) = \phi_1(0), \|\phi\|_{X_1} \leq M \right\}$$

which is a Banach space. Hence, there is a unique fixed point, and the theorem is proved. \square

In order to prove a global (in z) existence result for the equation (3.16) we need to extend (3.10) which was proved for $u \in C^2(D_{z_0})$ that is for $\phi = (\phi_+, \phi_-) \in (C^2([0, \pi]))^2$. In that case we must assume $r \geq 2$ in (1.7), to extend Theorem 4 in [1] to our case:

Theorem 3.2. *Assume the hypothesis of Theorem 3.1. Then the associated function u in $C^2(D_{z_0})$ is a solution to equation (3.3) if and only if $\phi_{\pm}(0) \in C^2([0, \pi])$ and satisfy the following nonlinear conditions:*

$$\begin{aligned} & \partial_y \phi_{\pm}(0, \pi) - \partial_y \phi_{\pm}(0, 0) + \int_0^{\pi} (f(y, y) \phi_{\mp}(0, y) + g(\phi_{\mp}(0, y))) dy = 0, \\ & \partial_y^2 \phi_{\pm}(0, \pi) - \partial_y^2 \phi_{\pm}(0, 0) \\ & = f(\pi, \pi) \phi_{\pm}(0, \pi) + g(\phi_{\pm}(0, \pi)) - f(0, 0) \phi_{\pm}(0, 0) - g(\phi_{\pm}(0, 0)) \\ & \quad - 2 \int_0^{\pi} \left(\frac{\partial f}{\partial z}(y, \mp y) \phi_{\mp}(0, y) + f(y, \mp y) \partial_z \phi_{\mp}(0, y) + \frac{\partial}{\partial z} g(\phi_{\mp}(0, y)) \right) dy. \end{aligned} \tag{3.19}$$

The proof of Theorem 3.2 is similar to the proof of Theorem 4 in [1]. In particular, for the second condition in (3.19) we must apply Lemma 3.1.

To prove that the solution ϕ obtained in Theorem 3.1 is global in z , we must extend to ϕ the energy formula (3.10), proved for $\phi \in C^2$. This can be made by an approximation method exactly as it was developed in the proof of Theorem 6 in [1]: we approximate $\phi(0) \in H(C_0) = \tilde{H}^1(0, \pi)$ by a sequence $\{\phi_{n\pm}(0)\} \in H(C_0) \cap C^2([0, \pi])$ satisfying conditions (3.19). The corresponding solutions $\phi_n = (\phi_{n+}, \phi_{n-})$ satisfy (3.10) and, cf. a variant of Theorem 3 in [1], we obtain $\|\phi_n(z) - \phi(z)\|_{\tilde{H}^1(0, \pi)} \xrightarrow{n \rightarrow \infty} 0$. Hence, the energy formula (3.10) can be extended for $\phi \in C([0, z_0]; \tilde{H}^1(0, \pi))$.

Now, let $\phi \in C([0, z_0]; \tilde{H}^1(0, \pi))$ be the unique solution to (3.16) for a given $\phi(0) \in \tilde{H}^1(0, \pi)$. Let u be the associated function such that $\phi = (\phi_+, \phi_-)$, $\phi_+(z, y) = u(z + y, y)$, $\phi_-(z, y) = u(z + y, -y)$, $y \in [0, \pi]$ and assume $\lambda > 0$. From (3.10) we deduce that

$$\begin{aligned} & \frac{1}{2} \int_0^{\pi} (|\partial_y \phi_+|^2 + |\partial_y \phi_-|^2)(z, y) dy + \frac{\lambda}{r+2} \int_0^{\pi} (|\phi_+|^{r+2} + |\phi_-|^{r+2})(z, y) dy \\ & \leq c(\varepsilon) + \varepsilon \int_0^{\pi} (|\phi_+|^{r+2} + |\phi_-|^{r+2})(z, y) dy + E(0) \\ & \quad + cz + c \int_0^z \int_0^{\pi} (|\phi_+|^{r+2} + |\phi_+|^{r+2})(\tau, y) dy d\tau, \quad \text{for each } \varepsilon > 0. \end{aligned}$$

We can choose $\varepsilon < \frac{\lambda}{r+2}$ and, by applying Gronwall’s inequality, it follows that

$$\begin{aligned} & \frac{1}{2} \int_0^{\pi} (|\partial_y \phi_+|^2 + |\partial_y \phi_-|^2)(z, y) dy \\ & \quad + \frac{\lambda}{r+2} \int_0^{\pi} (|\phi_+|^{r+2} + |\phi_-|^{r+2})(z, y) dy \\ & \leq c(z), \end{aligned}$$

c continuous in $(0, +\infty)$. Hence, $\|\phi(z)\|_{\tilde{H}^1(0, \pi)} \leq c_1(z)$, c_1 continuous in $(0, +\infty)$.

Hence, we can finally state the following result.

Theorem 3.3. *Assume $\lambda > 0$. Let $\phi_0 = (\phi_{0+}, \phi_{0-}) \in H(C_0) = \tilde{H}^1(0, \pi)$ and u_0 its associated function such that $\phi_{0+}(y) = u_0(y, y)$, $\phi_{0-}(y) = u_0(y, -y)$,*

$y \in (0, \pi)[$. Then, there exists a unique function $\phi \in C((0, +\infty); \widetilde{H}^1(0, \pi))$ such that

$$\phi(z) = T_z \phi_0 + N_z(\phi), \quad \phi(0) = \phi_0, \quad z \geq 0,$$

and the associated function $u(z, y)$ defined by

$$u(z + y, y) = \phi_+(z, y), \quad u(z + y, -y) = \phi_-(z, y),$$

is a weak solution to the Goursat 2π -periodic (in y) problem for the equation (3.3) in $D = \{(\tau, y) / |y| \leq \tau \leq z + |y|, z \geq 0, |y| \leq \pi\}$ and 2π - D translations (with f replaced by $f(\widehat{z}, \theta(\widehat{y}))$, where $\theta(\widehat{y})$ is the 2π -periodic extension of \widehat{y}^2 defined in $[-\pi, \pi]$). In addition, if $\phi_0 \in C^2([0, \pi])$, then u is a classical solution to the Goursat 2π -periodic (in y) problem for the equation (3.3) in the same domain.

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