

# Diabetes Mellitus: New Challenges and Innovative Therapies

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**Abstract** Diabetes is a common chronic disease affecting an estimated 285 million adults worldwide. The rising incidence of diabetes, metabolic syndrome, and subsequent vascular diseases is a major public health problem in industrialized countries. This chapter summarizes current pharmacological approaches to treat diabetes mellitus and focuses on novel therapies for diabetes mellitus that are under development.

There is great potential for developing a new generation of therapeutics that offer better control of diabetes, its co-morbidities and its complications. Preclinical results are discussed for new approaches including AMPK activation, the FGF21 target, cell therapy approaches, adiponectin mimetics and novel insulin formulations. Gene-based therapies are among the most promising emerging alternatives to conventional treatments. Therapies based on gene silencing using vector systems to deliver interference RNA to cells (i.e. against VEGF in diabetic retinopathy) are also a promising therapeutic option for the treatment of several diabetic complications.

In conclusion, treatment of diabetes faces now a new era that is characterized by a variety of innovative therapeutic approaches that will improve quality of life in the near future.

**Keywords** Endothelial dysfunction • Endothelium • Nitric oxide • Oxidative stress • Therapeutic approaches

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# 1 Introduction

Diabetes mellitus is increasing globally affecting more than 285 million people worldwide [1]. Of these, over 90% is type 2 diabetes and, because of the increase in the aging population and massive rise in prevalence of obesity, the incidence is likely to be more than doubled by 2030 [1, 2]. Diabetes is a global problem with devastating human, social and economic impact. A major concern with the diabetes epidemic is the anticipated increase in mortality and morbidity related to the complications of the disease [3, 4]. Insufficient drug therapies, poor patient compliance to therapy and inadequate management regimes may contribute to the increasing incidence of complications linked to the disease.

## 1.1 Glycemic Control

Glycemic control is fundamental to the management of diabetes. It is now established that the risk of diabetic complications is dependent on the degree of glycemic control in diabetic patients. Clinical trials such as the Diabetes Control and Complications Trial [5] and the Stockholm Diabetes Study in type 1 diabetes [6], and the UK Prospective Diabetes study [7, 8] and Kumamoto study [8] in type 2 diabetes, have demonstrated that tight glycemic control achieved with intensive insulin regimes can reduce the risk of developing or progressing retinopathy, nephropathy and neuropathy in patients with all types of diabetes. However, the Third National Health and Nutrition Examination Survey (NHANES III) showed that only 50% of diabetics have been able to achieve a glycosylated hemoglobin (HbA1C) level, as an index of chronic glycemia, less than 7%; therefore, the only way to ensure the long-term health of diabetic patients is to maintain constant normoglycemia [2].

The goal of antidiabetes therapy is to reduce hyperglycemia to either prevent or minimize the complications associated with this disease. HbA1C levels are a measure of glycemic control, and antidiabetes treatment aims to reduce HbA1C to as close to normal (<6.0%) as possible, without inducing hypoglycemia. Improved glycemic control is associated with significant reductions in microvascular complications, such as retinopathy, nephropathy, and neuropathy [7, 8]. In a meta-analysis, tight glycemic control has also been shown to reduce cardiovascular disease [9]. As a result, a clinical trial is currently investigating whether reducing HbA1C to less than 6.0% decreases the rate of cardiovascular disease and death [10, 11], which would be clinically beneficial as macrovascular disease accounts for approximately 50% of deaths in individuals with type 2 diabetes.

In type 2 diabetes, despite the clinical benefits of tight glycemic control and the availability of many distinct antidiabetic agents, treatments tend to become less effective over time as insulin resistance increases and pancreatic  $\beta$ -cell function deteriorates. Patients with type 1 diabetes are unable to maintain normoglycemia at all times, even with an intensive insulin therapy.

**Table 1** American Diabetes Association – recommended therapeutic goals for patients with type 2 diabetes [13]

Glycosylated hemoglobin	<7% (as close to 6% as possible for each individual patient)
Preprandial plasma glucose	90–130 mg/dl (5.0–7.2 mmol/L)
Postprandial plasma glucose	<180 mg/dl (<10 mmol/L)
Blood pressure	<130/80 mmHg
Low-density lipoprotein cholesterol	<100 mg/dl (<2.6 mmol/L)
High-density lipoprotein cholesterol	>40 mg/dl (>1.1 mmol/L)
Triglycerides	<150 mg/dl (<1.7 mmol/L)
Body mass index	<25.0 kg/m <sup>2</sup>

Insight into the pathophysiology of diabetes and its complications has led to the development of improved therapeutic strategies. Proper glycemic control and attainment of other nonglycemic management targets (e.g., blood pressure, lipids, body weight) are essential to the prevention of long-term complications of diabetes and to the reduction of overall disease management costs. Two prime examples of such therapy are treatment of hypertension and early stages of diabetic renal dysfunction with antihypertensive agents, and specifically with angiotensin-converting enzyme inhibitors, and laser photocoagulation of proliferative retinopathy and clinically significant macular edema. The latest American Diabetes Association (ADA) guidelines recommend a range of interventions to better improve type 2 diabetes outcomes. The ADA algorithm advocates a stepwise therapeutic approach that is based upon reduction in the plasma glucose concentration to achieve an HbA1C <7.0% [12]. Several therapeutic goals are recommended as summarized in Table 1 [13].

## 2 Type 1 Diabetes

Type 1 diabetes is a metabolic disorder that results from the progressive destruction of insulin-secreting  $\beta$  cells in the islets of Langerhans of the pancreas, leading to insulin insufficiency and hyperglycemia [14]. People with type 1 diabetes are dependent on insulin for the rest of their life. But insulin is not a cure, and people with diabetes are at significant risk for a wide range of serious complications, including heart and kidney diseases and blindness [15].

To avoid the variations in blood glucose levels in those with type 1 diabetes, and to reduce the chance of long-term complications, it would be helpful for new  $\beta$ -cells to be produced. Ideally, new  $\beta$ -cells should be derived from cell sources already existing within the person with diabetes so avoiding immunosuppression [16]. Alternatively exogenous sources of surrogate  $\beta$ -cells have also been described, including adult human pancreases donated after death, fetal pancreas, pluripotent and multipotent stem cells, and cells that reside in the liver. Whilst most of these

exogenous sources are heterologous to the recipient; some are autologous such as cord blood stem cells and pluripotent stem cells induced from fibroblasts derived from skin [17].

Transplantation of human pancreatic tissue derived after death is the alternative therapy currently available that allows the possibility of even transient cessation of exogenous insulin.

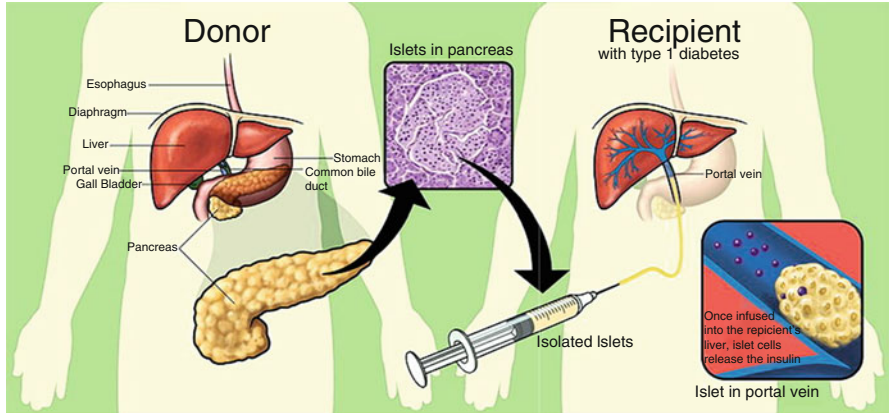
## ***2.1 Pancreas Transplantation***

There has been a great deal of excitement and investigation in islet transplantation, but at the current time only whole-organ pancreas transplantation can be counted on for long-term exogenous insulin independence and normalization of HbA1C. The goal of pancreas transplantation is to safely restore normoglycemia by the provision of sufficient  $\beta$  cell mass [18]. Transplantation of a pancreas is not a life-saving operation but it improves quality of life because patients do not need to inject insulin on a daily basis or regularly monitor glucose concentrations with finger sticks, and hypoglycemic unawareness is no longer a problem. The majority of pancreas transplants are simultaneous pancreas-kidney transplantation, the preferred treatment option for diabetics with approaching or coexisting end-stage renal disease [19]. The benefits of pancreas transplantation are clear: improved quality of life, prevention of recurrent diabetic nephropathy, freedom from exogenous insulin with euglycemia and normalization of HbA1C, less stringent dietary restrictions, less frequent blood glucose monitoring and stabilization of or improvement in secondary complications. The major disadvantages to the patient are the operative risk, the need for chronic immunosuppression, and the inherent side effects of chronic immunosuppression [18, 20]. The long-term advantages of this surgical procedure have to be balanced against the potential morbidity and mortality associated with diabetes, and the side effects from the long-term immunosuppression that is needed to prevent alloimmunity and autoimmune recurrence [18].

## ***2.2 Islet Transplantation***

A promising way of treatment of diabetes is using  $\beta$ -cell replacement therapy. Significant advances in islet isolation techniques have allowed progress in research using transplanted human islets [21].

Enthusiasm for the promise of human islet transplantation started in 2000 with the publication of the Edmonton protocol (Fig. 1). Because many traditional immunosuppression regimens were known to be toxic to islets, Shapiro and coworkers [23] employed a modified immunosuppression protocol with a monoclonal antibody against interleukin 2, daclizumab, and eliminated glucocorticoids. Notably, both host-versus-graft and autoimmune reactions were avoided. However, despite



**Fig. 1** The process of islet transplantation (Illustration by Giovanni Maki) [22]

short term success, long term insulin independence is usually unsustainable. Several follow-up studies indicate that even with the rigorous application of steroid-free immunosuppressive regimens, only a small percentage of the patients maintained insulin independence. Noteworthy, 80% of patients evaluated had fewer hypoglycemic events and decreased their insulin requirements 2 years after transplant [24, 25].

The Edmonton protocol is limited by several major factors: the lack of a sufficiently large source of islets due to the scarcity of cadaveric pancreas donors, and the presence of persistent immune rejection as well as the potential for recurrence of autoimmunity. There is still a slow and progressive loss of insulin production from transplanted islets in diabetic recipients over time, as evidenced by reports that 30–40% of islet recipients may experience recurrence of autoimmune diabetes with re-acquisition of insulin dependence 1–2 years post transplantation [23, 25]. A third limiting factor is islet revascularization, which appears to play an important role in determining the long-term survival and optimal performance of functional islet mass post transplantation. Rapid re-establishment of an appropriate microvascular system in newly transplanted islets is crucial for survival and function of islet grafts. Unfortunately, islets implanted at ectopic sites, such as under the renal capsule or in the liver and spleen, are invariably associated with markedly reduced vascularization, in comparison with native islets in the pancreas [26, 27]. This impairment in islet revascularization accounts at least in part for the demand of sufficiently large quantities of islet mass for restoration of normoglycemia in type 1 diabetic subjects. In addition, delayed and inadequate islet graft vascularization can deprive islets of oxygen and nutrients, causing islet cells to undergo cellular apoptosis and subsequent cell death, particularly in the core of large islets or in the center of aggregated islet clusters post transplantation. Moreover, a lack of sufficient islet revascularization may also compromise the optimal performance of transplanted islets. Indeed, there are clinical data indicating that even after postabsorptive blood glucose

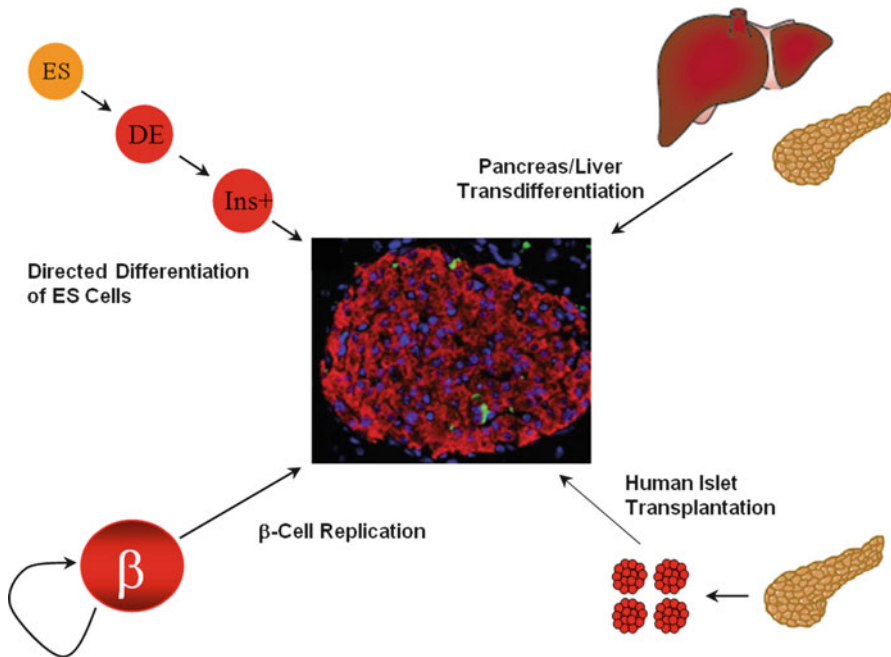
homeostasis is restored to normal post islet transplantation, implanted islets do not seem to function at optimal levels, as reflected in their significantly impaired glucose tolerance in diabetic recipients in response to intravenous glucose challenge [25, 28]. Thus, it is of great significance to define the molecular mechanism of islet revascularization and develop therapeutic angiogenesis approaches to enhance the process of islet revascularization. Such approaches are expected to ensure adequate microvascular perfusion to islet cells and protect implanted islet cells from hypoxia-induced inflammation and necrosis, which will ultimately improve the outcome of islet transplantation by reducing the donor/recipient ratio thus increasing the success rate of islet transplantation [29]. Antioxidant supplementation [30, 31], elevation of vascular endothelial growth factor (VEGF) delivery [32] to islet grafts and co-transplantation of bone marrow-derived mesenchymal stem cells [33] improves the outcome of islet transplantation by enhancing islet revascularization. Pretreatment of islet donors with exenatide improved graft function compared to post-transplant treatment of recipients only [34]. In addition, a combination of thymoglobulin and CTLA4-Ig prevented autoimmunity recurrence after islet transplantation, reverting ongoing autoimmune diabetes in animal models [35]. On the other hand, some immunosuppressive therapies, notably ciclosporin and tacrolimus, may induce insulin resistance and hyperglycemia and/or impair  $\beta$ -cell function and proliferation [36, 37]. However, metformin was effectively used for reversing immunosuppressant-induced hyperglycemia in experimental animal models [38].

Many other interventions are being tested to improve islet survival, such as the use of growth factors [39], glucagon-like peptides (GLP) and GLP-1 analogs [40], oxygen carriers [41], substances that reduce inflammatory response [42], anticoagulants [43] and antiapoptotic drugs [44].

The limitations and long term failure of the early transplantation therapies indicate that the procedure in its current format is not suitable for all patients with type 1 diabetes [45]. Intensive research is being conducted to look for alternative sources of  $\beta$ -cells.

An alternative to avoiding immunosuppression is to deliver encapsulated islets; immobilized in selectively permeable casings, islets would be capable of sensing glucose and secreting insulin but would be protected from immune attack. In theory, these membranes would permit islet transplantation without immunosuppression and would also make xenotransplantation a viable option, potentially solving the problem of donor scarcity by making use of more abundant sources such as porcine islets [46, 47]. Microencapsulation strategies have been used successfully in animal studies; the delivery of bovine islets packaged in alginate gel spheres to the peritoneum of rats has been shown to normalize STZ-induced hyperglycemia [48]. Although complications with vascularization and fibrosis around encapsulated islets have not been totally resolved, clinical trials for encapsulated islet delivery to type 1 diabetics are moving forward [49].

Very recently, Living Cell Technologies received approval to progress its New Zealand Phase II human clinical trial with its groundbreaking DIABECCELL<sup>®</sup>. It comprises neonatal porcine islets encapsulated in alginate microcapsules [50]. The approval follows a positive assessment from the New Zealand Data Safety and



**Fig. 2** Sources of new  $\beta$ -cells for the treatment of type 1 diabetes. Type 1 diabetes results from the autoimmune destruction of pancreatic  $\beta$ -cells. A cure for the disease may lie in the development of techniques to replenish islet mass. Some researchers have already directed the differentiation of ES cells toward an endocrine (*Ins+*) cell. The potential exists for liver and nonendocrine pancreatic cells to be reprogrammed by gene transfer or growth factor treatments to produce and release insulin in response to glucose. Recent evidence has suggested that adult  $\beta$  cells are mitotically active and can replicate in response to increasing insulin demands. A complete understanding of the factors and signals that regulate this process could allow this innate ability to be controlled in patients with diabetes. Finally, cadaveric human islets represent a promising source of therapeutic  $\beta$  cells through transplantation. Immunofluorescence staining of a primary adult mouse islet is shown in the center (insulin appears red). **Abbreviations:** *DE* definitive endoderm, *ES* embryonic stem, *Ins+* insulin-positive

Monitoring Board of the first four patients to receive its DIABACELL® implants. Apparently, intraperitoneal islet transplantation has the potential to ameliorate type 1 diabetes mellitus and avert the long-term consequences of chronic diabetes which cannot be achieved by conventional insulin treatment [50].

As an alternative to islet transplantation, implantation of alginate-encapsulated insulin-producing cells differentiated from embryonic stem cells was demonstrated feasible and viable in diabetic animal models [51], although bone marrow transplantation per se also improved islet function through increases in nerve growth factor [52].

Thus, the development of alternative sources of islets, such as the promotion of transdifferentiation of nonbeta cells into an insulin-secreting phenotype, may be a viable option (Fig. 2). In addition, advances in the understanding of pancreas

development have already informed the development of techniques to direct the differentiation of stem cells toward endocrine cells.

### 2.3 *Stem Cells*

An alternative resource for transplantable  $\beta$ -cells is the stem cell. Stem cells are cells that are able to proliferate while maintaining an undifferentiated status (self-renewal) and retaining a capacity to differentiate into specialized cell types under appropriate conditions. The sources of such stem cells for the purpose of generating  $\beta$ -cells were firstly identified through several studies on adult and fetal pancreas. There are two potential sources of stem cells: embryonic stem cells (ES) [53] and the new induced pluripotent stem cells that are reprogrammed from mature adult cells by transduction with transcription factor DNA or recombinant transcription factor proteins [54].

Recently, Kroon et al. [55] reported the development of functional islet-like structures in mice transplanted with human ES cell-derived pancreatic endoderm. Unfortunately, in addition to the generation of functional  $\beta$  cells in the vast majority of transplant recipients, Kroon et al. also observed teratoma formation in a small number of cases. This outcome highlights the delicate balance between the risks and benefits that will need to be managed in future stem cell replacement therapies.

New technologies for reprogramming of adult cells to an induced pluripotent state (iPS), similar to ES cells, may change the landscape of future  $\beta$ -cell therapy [56–60].

Theoretically, it should become straightforward to make autologous iPS cells starting with readily accessible skin or blood cells from any individual, and to use these as a source of transplantable cells, obviating the need for immunosuppression. At a practical level it is not clear that this degree of individualized medicine can be made cost effective for patient populations. However, assessments of cell banking strategies have indicated that as few as ten pluripotent cell lines carefully chosen for homozygosity of the most common alleles at the major histocompatibility genetic loci would provide considerable practical benefit for transplantation matching [61].

It remains to be shown that iPS cells have the same capacity as human ES cells for differentiation toward  $\beta$ -cells. However, a very recent report documents that human iPS cells, indeed, give rise to insulin-producing cells [62]. The iPS cells were induced to yield pancreatic islet-like clusters using one of the culture protocols previously described for human ES cells.

The recent demonstration that human somatic cells can be reprogrammed to a pluripotent stem cell fate suggests that ethical and legal issues will need to be circumvented [58, 59]. Two groups of investigators used genes known to be enriched in ES cells and involved in the establishment of pluripotency to reprogram human somatic cells. Using slightly different protocols, both groups established pluripotent lines that were capable of differentiating into all three germ layers and forming teratomas when injected into mice. The limitations of this finding are considerable,



given that the reprogramming involved the use of viruses and the expression of the proto-oncogene c-Myc. However, alternative methods of cell transduction can be developed and somatic cell-derived insulin-producing cells for diabetes therapy may be possible in the not too distant future.

It is noteworthy that Nagy and co-workers discovered a new method to create pluripotent stem cells without disrupting healthy genes [63]. This method uses a novel wrapping procedure to deliver specific genes to reprogram cells into stem cells. Previous approaches required the use of viruses to deliver the required genes, a method that carries the risk of damaging the DNA. This new method does not require viruses, and so overcomes a major hurdle for the future of safe, personalized stem cell therapies in humans. This study accelerates stem cell technology and provides a road map for new clinical approaches to devastating diseases such as diabetes.

In a 2007 Brazilian study [64], a small number of people newly diagnosed with type 1 diabetes were able to stop using insulin after being treated with stem cells made from their own blood. Although stem cell transplants, which involve shutting down the immune system and then building it up again, can be risky though the technique may 1 day provide an additional treatment option for type 1 diabetes [64, 65].

More recently a pilot study has shown that transfusion of umbilical cord blood in children with type 1 diabetes may decelerate the loss of endogenous insulin production [66]. The experimental challenge in the future will be to unravel the optimal cell source; but there is growing reason for optimism in the replacement of islet transplantation from deceased organ donors by stem cell-derived highly functional  $\beta$ -cells as an achievable goal.

## 2.4 *Transdifferentiation*

Another method of  $\beta$ -cell replacement for diabetes therapy might involve gene transfer or growth factor treatments to redirect nonislet cells to manufacture insulin and respond to changes in extracellular glucose. Given the close embryonic origins of the pancreas and liver, some investigators have focused efforts on transdifferentiation of the liver to a pancreatic endocrine cell fate. Ferber et al. [67] demonstrated that adenoviral-mediated expression of PDX1 induced insulin expression in the liver and rescued STZ-induced hyperglycemia in mice. Although the reprogramming of liver or exocrine tissue to pancreatic endocrine cell fates rescues mouse models of hyperglycemia, the application to human disease may be limited by ongoing autoimmune attack on the  $\beta$ -cells that patients with type 1 diabetes face [68]. Insulin-producing cells in the liver might also be susceptible to autoimmune lymphocyte infiltration and apoptosis. Offering some optimism in this regard, Shternhall-Ron and coworkers [69] demonstrated that adenovirally delivered PDX1 rescued glucose tolerance in cyclophosphamide-accelerated nonobese diabetic mice.

The potential for gene therapy is enormous. For instance, gut K cells of the mouse were induced to produce human insulin by transfecting the human insulin gene linked to the 5'-regulatory region of the gene encoding glucose-dependent insulinotropic

polypeptide (GIP) [70]. Also, research demonstrating that hepatocytes transfected with the *PDX1* gene under the control of the rat insulin 1 promoter were able to produce insulin [67, 71] attracted significant attention and has inspired new hope. In these studies, sufficient levels of insulin were secreted to satisfy the needs of a diabetic mouse, which, when treated, became and remained steadily euglycemic. These studies, however, have not yet been successfully repeated by other groups. This finding suggests that gene therapy-mediated transdifferentiation may prove to be a viable strategy for producing new  $\beta$ -cells, despite ongoing autoimmune attack (see Sect. 5).

It has recently been shown that infecting liver with a virus containing the gene for neurogenin, a transcription factor that is expressed as cells begin differentiating into insulin-producing  $\beta$ -cells, cells in the liver can take on the function of pancreatic cells and go on to reverse symptoms of diabetes in a mouse model of the disease [72]. Other researchers showed that adding genes for transcription factors can change cell differentiation [73].

## 2.5 *Prevention and Early Intervention*

In humans, the accumulation of islet antibodies with differential specificities for  $\beta$ -cell proteins, in combination with genotyping for susceptibility alleles, can predict the risk to develop clinical diabetes. However, researchers are still unable to arrest  $\beta$ -cell destruction in pre-diabetic patients, even though a lot of evidence collected from preclinical studies using various therapeutic regimens in different animal models for type 1 diabetes has been successful in preventing type 1 diabetes [74]. Some compounds (anti-CD3 antibodies, glutamic acid decarboxylase (GAD) of 65 kDa [GAD65], Diapep277, and anti-thymocyte globulin) that reestablished long-term tolerance in animal models after new-onset type 1 diabetes show promising effects in reducing  $\beta$ -cell decline in phase I and II clinical trials in humans with recently diagnosed type 1 diabetes, but none of them was able to cure the disease [75, 76]. Other antigen non-specific agents, such as the anti-thymocyte globulin, monoclonal anti-CD20 antibody (Rituximab) and monoclonal anti-interleukin-1 beta (Canakinumab), are currently under trial in recent-onset type 1 diabetes [74, 76].

The GAD-based diabetes vaccine for type 1 diabetes has been demonstrated to slow or arrest the destruction of insulin producing  $\beta$ -cells, which is characteristic of autoimmune diabetes. Studies have demonstrated that the Diamyd<sup>®</sup> vaccine is most efficacious early in the disease process, in recent-onset type 1 diabetes patients. Diamyd Medical is currently conducting two clinical Phase III studies on type 1 diabetes: one in Europe and one in the United States. These studies apply to people diagnosed with type 1 diabetes within the past 3 months. Prevention studies have already been initiated, where researchers intend to evaluate the Diamyd<sup>®</sup> vaccine in children and adults at risk of developing type 1 diabetes [77]. When people are first

**Table 2** Summary of the novel approaches for the prevention and treatment of type 1 diabetes

Approach/drug	Studies/development stage
<b>Antigen-specific</b>	
Insulin (parental, oral, mucosal)	Efficacy studies, phase I/II
Insulin $\beta$ chain	Animal studies, phase I
Proinsulin (peptide, vaccine)	Animal studies, phase I
Glutamic acid decarboxylase 65 (Diamyd)	Phase II
<b>Antigen-non-specific</b>	
Anti-CD20 monoclonal antibody (Rituximab)	Animal studies, phase II
Anti-CD3 monoclonal antibody (MoAB)	Phase I/II
Anti-thymocyte globulin (ATG)	Animal studies, phase I
DiaPep277 (HsP60 peptide)	Phase II
<b>Non-antigen-specific</b>	
Cyclosporin	Various
Nicotinamide ( $\pm$ combinations)	Pilot, efficacy studies
Vitamin D3/Fish oil	Pilot ongoing
Hydrolyzed cow's milk	Phase I, ongoing
Dietary gluten elimination	Pilot
Potassium channel openers (Diazoxide)	No effect
Rapamycin	Ex vivo
<b>Combinations</b>	
Anti-CD3 MoAB + insulin peptide	Animal studies, phase II planned
Anti-CD3 MoAB + exenatide	Animal studies, phase II planned
Mycophenolate mofetil + anti-CD25 MoAB (Daclizumab)	Open-label randomized trial, phase II ongoing
Rapamycin (Sirolimus) + interleukin-10	Animal studies
Rapamycin (Sirolimus) + interleukin-2	Animal studies, phase II planned
<b>Stem cell therapy</b>	
Autologous umbilical cord	Pilot
Autologous bone marrow-derived stem cells	Pilot
<b>Transplantation</b>	
Pancreatic islet transplantation + glucocorticoid free immunosuppressive regimen	Various

Adapted from [74]

diagnosed with type 1 diabetes, they still have cells that produce insulin. Diamyd<sup>®</sup> treatment is intended to halt or slow the autoimmune destruction process and save the remaining  $\beta$ -cells. Diamyd<sup>®</sup> can also be combined with other drugs that stimulate the generation of new  $\beta$ -cells, or with  $\beta$ -cell transplants.

Recent advances in research for immunotherapeutics resulted in the proinsulin-based plasmidic vaccine BHT-3021, which proved well tolerated while preserving  $\beta$ -cell function in a placebo-controlled trial [78].

The novel approaches for the prevention and treatment of type 1 diabetes are summarized in Table 2 and extensively reviewed elsewhere [74].

### 3 Type 2 Diabetes

Type 2 diabetes mellitus is a multifactorial metabolic disorder characterized by chronic hyperglycemia due to relative or absolute lack of endogenous insulin. Patients have elevated fasting and postprandial plasma glucose levels. Type 2 diabetes development appears to involve defects in insulin action and secretion [79, 80]. Type 2 diabetes is the result of a combined defect in insulin resistance,  $\beta$ -cell dysfunction, increased hepatic glucose production [79–82].

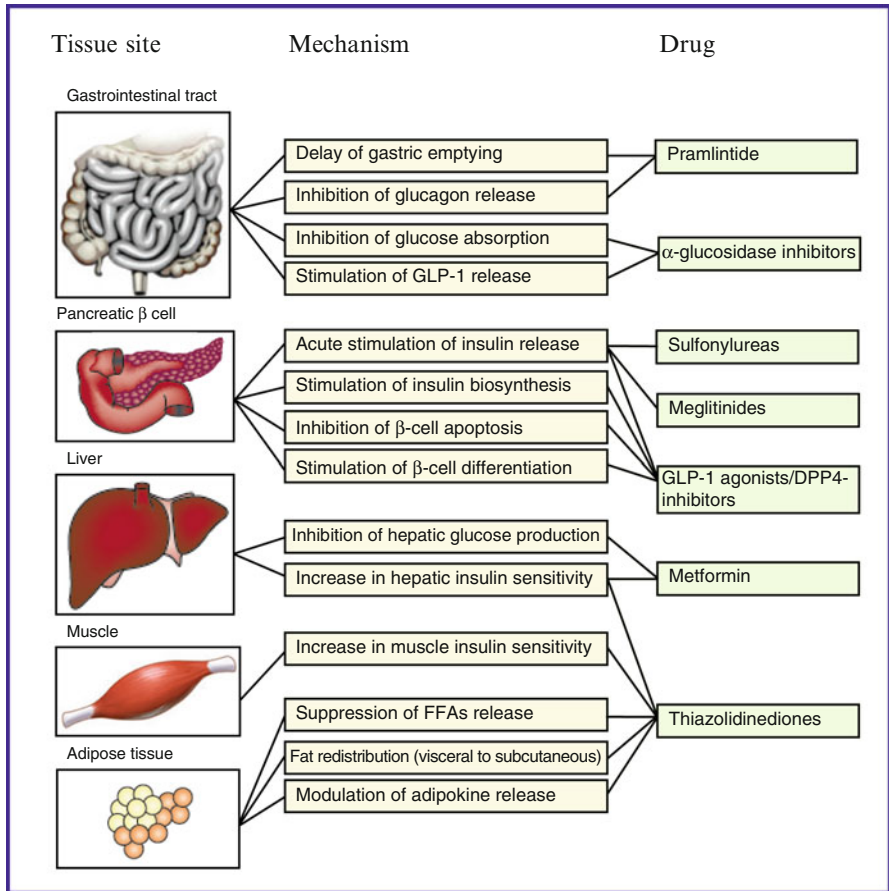
Glucose levels remain normal or only mildly impaired when islet  $\beta$ -cells remain able to compensate with sufficient insulin output to overcome insulin resistance (IR). A progressive decline in  $\beta$ -cell compensation in a subset of insulin-resistant subjects eventually leads to overt hyperglycemia. IR affects the main insulin target tissues, namely, skeletal muscle, liver, and adipose tissue. The progressive loss of  $\beta$ -cell function and increased IR may be due to a number of factors such as genetic abnormalities and acquired defects. Although a degree of IR may be inherited, it may progress with additional factors such as nutrition and a sedentary lifestyle [83].

IR is likely due to the interplay of genetic factors, modifications to the insulin signaling pathway, and inflammation. Insulin secretion is affected by multiple factors, including the baseline secretion capacity, incretin effect, nutrient excess of glucose and free fatty acids (FFAs), and amyloid deposition [83, 84].

Treatment of type 2 diabetes has centered on (1) increasing insulin levels, either by direct insulin administration or oral agents that promote insulin secretion (insulin secretagogues, such as oral sulfonylureas), (2) improving tissue sensitivity, such as with insulin sensitizer biguanide metformin or thiazolidinediones (TZDs), or (3) reducing the rate of carbohydrate absorption from the gastrointestinal tract by the use of  $\alpha$ -glucosidase inhibitors or agents that decrease gastric motility (amylin analogues). Progressive  $\beta$ -cell dysfunction and  $\beta$ -cell failure are fundamental pathogenic features of type 2 diabetes, and, ultimately, the development and continued progression of diabetes is a consequence of the failure of the  $\beta$ -cell to overcome insulin resistance. Current therapies become less effective over time as a result of progressive loss of  $\beta$ -cell function and number, with the result that a majority of type 2 diabetic patients do not achieve current glycemic goals as reflected by HbA1c greater than 7% in more than 60% of treated patients [7, 8, 85–88].

#### 3.1 Conventional Therapies

Diet and exercise are the first step of therapy for type 2 diabetes; if these do not keep blood sugar at goal levels, then antihyperglycemic agents are added. Drug therapy for type 2 diabetes aims to control blood sugar levels both in the basal (fasting) state and postprandially; rational combinations of agents with different mechanisms of action can be used (Fig. 3).



**Fig. 3** Pharmacological treatment of hyperglycemia according to site of action. *GLP-1* glucagon-like peptide 1, *DPP 4* dipeptidyl peptidase 4, *FFAs* free fatty acids (adapted from Stumvoll et al. [83])

Four major classes of antihyperglycemic agents can be used, either as monotherapy or, more appropriately, in combination with one another:

- Insulin secretagogues
- Insulin sensitizers
- α-glucosidase inhibitors
- Insulin

### 3.1.1 Insulin Secretagogues

Insulin secretagogues correct hyperglycemia by stimulating insulin secretion—but only if the patient still has enough functioning β-cells. They close ATP-sensitive potassium channels in the β-cells of the pancreas, increasing insulin production;

slow-acting and rapid-acting agents are available. The major side effects of insulin secretagogues (and insulin replacement) are hypoglycemia and weight gain.

### Sulfonylureas

Sulfonylureas are insulin secretagogues, enhancing insulin secretion by binding to a unique receptor on pancreatic  $\beta$ -cells and having their greatest effect on fasting hyperglycemia [12, 89]. In this group we can include the second-generation agents gliclazide, glyburide, and glimepiride, as well as the first-generation agents acetohexamide, chlorpropamide, tolazamide, and tolbutamide. When used as monotherapy, sulfonylureas generally result in HbA1C improvements of a magnitude similar to metformin (1.5%) [12]. Sulfonylureas are commonly associated with hypoglycemia and weight gain (~2 kg). Based on long experience, efficacy, and low cost, sulfonylureas are recommended by the ADA-EASD as options for second-step pharmacotherapy in patients whose HbA1C remains elevated on metformin [12].

However, sulfonylureas are also hindered by limited durability of effect, with 3- and 9-year failure rates similar to those described for metformin [86], which is again consistent with progressive  $\beta$ -cell failure [90]. It has been previously described that gliclazide improves vascular activity decreasing oxidative stress and inflammation.

Gliclazide, the main drug used in the ADVANCE study [91], may also be potentially beneficial in abolishing the “metabolic memory”. The idea that early glycemic environment is remembered in the target organs (i.e. kidney, eye, heart, extremities).

### Meglitinides

The recently introduced class of meglitinides consists of nateglinide, which binds to the same site of sulphonylurea receptor 1 as do the sulfonylurea derivatives, and repaglinide, which binds to a nearby site of the receptor, both leading to insulin release. They stimulate rapid, short-lived, insulin secretion [92]. They lower postprandial glucose levels, although fasting hyperglycemia is also improved. Meglitinides are more specific than sulfonylureas and are associated with lower risk of hypoglycemia but clinical experience remains limited. These agents cannot further stimulate insulin release in patients on maximal doses of sulfonylurea derivatives. These drugs can be used in patients with decreased renal function [93] and for individuals with varying daily meal patterns. Experimental evidence suggests a better preservation of  $\beta$ -cell function compared to sulfonylureas [94] and improved vascular effects that remain to be clinically proven [95]. The NAVIGATOR (Nateglinide and Valsartan in Impaired Glucose Tolerance Outcomes Research) study aims to assess the utility of these drugs in prevention of diabetes and their impact on cardiovascular morbidity and mortality.

### 3.1.2 Insulin Sensitizers

#### Metformin

Metformin, is an insulin sensitizer well accepted as a first-line agent for treatment of type 2 diabetes [12]. It is a biguanide derivative that exerts an antihyperglycemic effect with minimal risk of hypoglycemia. Metformin lowers blood glucose concentration and improves insulin sensitivity by reducing hepatic gluconeogenesis and enhancing insulin-stimulated peripheral glucose uptake [12, 89]. In addition, metformin reduces insulin resistance in muscle tissue and the liver, decreasing postprandial hyperglycemia and inhibits adipose tissue lipolysis thereby reducing circulating levels of FFAs [89, 96]. Metformin may also suppress inflammation independently of action on glucose, insulin and FFAs [97]. When used as monotherapy, metformin typically reduces HbA1C by about 1.5% [12]. Metformin also improves the lipid profile and lowers blood pressure and plasminogen activator inhibitor-1 levels in both patients and animals with impaired glucose tolerance and type 2 diabetes [8, 96, 98]. In overweight type 2 diabetic patients, metformin use is associated with decreases in macrovascular morbidity and mortality, effects that appear to be independent of the improvement in glycemic control [8, 96, 99, 100].

Caution must be exercised when using metformin in a number of other patient populations, including those with impaired hepatic function or requiring drug therapy for heart failure, and metformin should be temporarily discontinued prior to surgical procedures or intravascular radiocontrast studies [101]. In addition, metformin has been associated with rare cases of fatal lactic acidosis and is contraindicated in patients with renal dysfunction [101]. Furthermore, a study by Ting et al. noted that metformin use was associated with a risk of vitamin B12 deficiency [102]. The frequency of gastrointestinal adverse events may limit the ability to reach maximally effective doses, with rates of diarrhea and nausea/vomiting of up to 53 and 26% of patients, respectively [101]. Because of its efficacy, infrequency of weight gain or hypoglycemia, and low cost, metformin has been recommended by the ADA-EASD as first-line pharmacotherapy for type 2 diabetes [12]. However, the durability of metformin's effectiveness as monotherapy is limited consistent with the progressive loss of  $\beta$ -cell function seen in type 2 diabetes [90].

#### Thiazolidinediones

Thiazolidinediones, another class of insulin sensitizers, currently include pioglitazone and rosiglitazone. They enhance insulin sensitivity reducing hyperglycemia and ameliorating the dyslipidemia and inflammatory milieu of type 2 diabetes [103]. TZDs improve insulin sensitivity in muscle and adipose tissue and in the liver by activating peroxisome proliferators activated receptor- $\gamma$  (PPAR- $\gamma$ ) [12, 89, 104]. TDZs alter adipose metabolism and distribution and redistribution of tissue triglyceride from visceral stores, reducing levels of circulating FFAs apparently by

sequestration in a less lipolytic subcutaneous compartment [105]. TZDs also reduce circulating concentrations of pro-inflammatory cytokines that promote insulin resistance (e.g., tumor necrosis factor- $\alpha$  and interleukin 6) and at the same time increase concentrations of adiponectin, which has insulin-sensitizing and anti-inflammatory properties. TZDs have also been shown to improve  $\beta$ -cell function, probably as a result of PPAR- $\gamma$ -mediated decreases in insulin resistance and  $\beta$ -cell fatty acid concentrations [104]. TZDs have demonstrated the ability to conserve  $\beta$ -cell function, delaying or preventing the development of type 2 diabetes in a high-risk population of women with gestational diabetes in the TRIPOD (TROglitazone In the Prevention Of Diabetes) and PIPOD (Pioglitazone In the Prevention Of Diabetes) studies [106, 107]. A large number of studies show that the TZDs are effective as monotherapy or in combination with metformin, sulfonylureas, and insulin in the treatment of type 2 diabetes. When used as a single agent, TZDs decrease HbA1C in the range of 1.0–1.6% and have a lower incidence of treatment failure than with metformin or the sulfonylurea, glyburide [88, 108, 109]. However, the TZDs are more effective when used in combination with metformin, sulphonylureas, or insulin [110–112]. The TZDs should not be given to patients with heart failure because they typically cause fluid retention and peripheral edema, worsening heart disease [113, 114].

The multiple effects of thiazolidinediones on adipose tissue metabolism and cross-talk of these signals with liver and skeletal muscle, as well as pancreatic  $\beta$ -cells and the vascular endothelium, might account for the enhancement of insulin action and improvement in insulin secretion with these agents, as well as several beneficial effects on vascular function [115]. Renal and vascular benefits of thiazolidinediones have been demonstrated in controlled studies, for example, showing significant improvement in albumin excretion above that observed with a similar degree of glycemic lowering with sulfonylureas [116].

Unlike metformin, the thiazolidinediones can be used in patients with reduced renal function, and they are better tolerated without significant gastrointestinal side effects. A major adverse effect associated with clinical use of the thiazolidinediones is weight gain, which seems to be coupled to the effects of the drugs on adipose cell differentiation and triglyceride storage. Fluid retention is also linked to the PPAR $\gamma$ -agonist activity of the thiazolidinediones, leading to peripheral edema and a mild hemodilution in some patients [113, 117]. Fortunately, congestive heart failure is quite rare with use of thiazolidinediones, but remains a serious concern [118]. TZDs may cause hepatotoxicity and should not be used in patients with impaired liver function [119]; the U.S. Food and Drug Administration (FDA) recommends regular liver-function testing in all patients on TZDs. The use of TZDs has also been reported to (1) increase the risk of myocardial infarction and other CV diseases [120, 121], (2) decrease bone formation, accelerate bone loss, and increase the risk of fractures [122, 123], and (3) induce macular edema [124].

TZDs are recommended by the ADA-EASD as an alternative agent in second-step therapy after metformin failure [12]. Although more expensive than generic versions of metformin and sulfonylureas.



### 3.1.3 $\alpha$ -Glucosidase Inhibitors

The  $\alpha$ -glucosidase inhibitors, acarbose, miglitol and voglibose, slow digestion of oligosaccharides, thereby providing an alternative to reduce postprandial glucose levels [125]. The  $\alpha$ -glucosidase inhibitors do not cause weight gain, and have been shown to lower plasma triglyceride levels in some studies [126]. They must be dosed multiple times per day and are associated with frequent gastrointestinal side effects [12].

They generally have less potent glucose-lowering effects than other oral anti-diabetics [12]. In the STOP-NIDDM (Study to Prevent Non-Insulin Dependent Diabetes Mellitus) trial, acarbose reduced the incidence of new cases of type 2 diabetes in high-risk subjects with impaired glucose tolerance [127]. Acarbose therapy is also associated with a reduction in incidence of cardiovascular events [128] although some controversy is present.

### 3.1.4 Insulin

Several different insulin analogs are available for type-1 and advanced type-2 diabetic patients. The injected insulin types differ in their onset and duration. Insulin therapy often has two components, an intermediate acting or long-acting insulin given at bedtime, and a rapid-acting insulin given before meals [129].

Insulin administration is the most effective means of restoring glycemic control; because there is no maximum dose, any HbA1C level can be reduced to the target range if insulin is dosed adequately [12]. However, insulin has a number of limitations. It is typically administered by subcutaneous injection, often requiring multiple injections per day. Insulin carries a tangible risk of hypoglycemia, and regular self-monitoring of blood glucose is usually required [12]. It is typically associated with weight gain that significantly increases in patients on intensive insulin therapy [130] with adverse cardiovascular consequences [107]. The ADA-EASD guidelines recommend addition of insulin as a second-step option for type 2 diabetes patients who are not adequately controlled on metformin monotherapy or as a third-step option for patients who still do not reach the HbA1C target goal on oral combination therapy [12]. Insulin is also the treatment of choice for patients with severely uncontrolled or symptomatic type 2 diabetes [12].

## 3.2 *Novel Antidiabetic Agents*

The currently available therapies used for type 2 diabetes do not significantly improve  $\beta$ -cell function. In addition, the current approach does not address defects in hormonal secretion thought to play key roles in the pathophysiology of type 2 diabetes.

New emerging therapies for type 2 diabetes have become available in recent years (Table 3). As a result of their recent availability, long-term studies are lacking

**Table 3** Comparison of glucose-lowering agents

Class	Examples	Primary mode of action	Route of glycaemic control	Adverse effects	Effect on weight	Effect on $\beta$ cell function
$\alpha$ -glucosidase inhibitors	Acarbose, miglitol [45, 121]	Inhibit enzyme central to digestion of carbohydrates [45, 79, 121]	Postprandial glucose [45, 79, 121]	Diarrhea, abdominal pain, flatulence, $\uparrow$ transaminases [45]	[131]	?
Biguanides	Metformin [45]	$\downarrow$ Hepatic glucose production, $\uparrow$ muscle sensitivity to insulin [45, 79, 121]	Fasting glucose, insulin sensitivity [121]	Diarrhea, nausea, lactic acidosis [45, 79]	[12, 89, 132]	?
DPP-4 inhibitors	Sitagliptin [45]	Inhibition of DPP-4 results in $\uparrow$ GLP-1 [45, 95, 121]	Postprandial glucose [45, 121]	Upper respiratory infection, nasopharyngitis, headache [129]	[134, 134]	[131, 133]
Meglitinides	Nateglinide, repaglinide [45, 121]	$\beta$ -cell secretagogue [45, 121]	Postprandial glucose [45, 121]	Hypoglycemia [45, 79]	[12, 89, 131]	?
Sulfonylureas	Glimepiride, glipizide, glyburide [45, 121]	$\beta$ -cell secretagogue [45, 79, 121]	Fasting and postprandial glucose [95, 121]	Hypoglycemia, weight gain [45, 79, 128]	[12, 131]	?

Thiazolidinediones	Pioglitazone, rosiglitazone [45, 121]	Enhanced peripheral insulin sensitivity, improved hepatic insulin sensitivity [45, 79, 121]	Insulin sensitivity, postprandial and fasting glucose [95, 121]	Fluid retention, weight gain, heart failure [45, 79, 95, 128]	[12, 89, 104, 131]	[12, 89]
Amylin analogues	Pramlintide [45]	↓Glucagon secretion, gastric emptying, and food intake [45, 128]	Postprandial glucose [45, 128]	Nausea, hypoglycemia [128]	[89, 131]	[131]
Incretin mimetics	Exenatide [45]	↓Glucagon secretion, gastric emptying, and food intake; ↑insulin secretion [45, 128]	Postprandial glucose [45]	Nausea, diarrhea, hypoglycemia, pancreatitis [128, 130]	[131]	[89]
Insulin	—	—	Fasting and postprandial glucose [128]	Hypoglycemia, weight gain [79, 128]	[47, 131]	?

↓ decreased, ↑ increased, *DPP-4* dipeptidyl peptidase 4, *GLP-1* glucagon-like peptide-1

and full safety profiles of these compounds are largely unknown, even though they are being used in large numbers of patients.

These drugs offer a range of different mechanisms of action that complement established therapies (Fig. 3). Several of the novel drugs are based on the incretin hormone, GLP-1. GLP-1 controls glucose levels through various mechanisms including glucose-mediated insulin secretion, suppression of inappropriate glucagon release, slowing gastric emptying and increasing satiety [135–137]. The natural hormone is rapidly degraded by the enzyme dipeptidyl peptidase 4 (DPP-4), and the two approaches to new agents are inhibitors of DPP-4 activity and development of GLP-1 analogues resistant to degradation. An important property of these agents is their neutral or beneficial effect on body weight [138].

### 3.2.1 Incretin System

Gastrointestinal polypeptide hormones that are secreted in response to ingestion of a meal augment postprandial insulin secretion; this is known as the incretin effect and can account for up to 70% of postprandial insulin secretion [139]. The two most important incretin hormones are GLP-1 and GIP; these hormones are secreted by the L cells of the distal ileum and colon, and the K cells of the duodenum and upper jejunum, respectively. Circulating concentrations of incretins rise within minutes of eating, implying likely stimulation via activation of neuro-endocrine pathways. Incretins act via specific G-protein-coupled receptors on  $\beta$ -cells to enhance glucose-stimulated insulin secretion [133].

The incretin effect is almost completely deficient in patients with type 2 diabetes, mainly because of reduced postprandial GLP-1 secretion that is accompanied by a markedly reduced insulinotropic action of GIP [131]. Investigators have observed that infusing GIP or GLP-1 into patients with type 2 diabetes recovers the first phase insulin response, and this indicates an improvement in  $\beta$ -cell function. In animal models, it has been suggested that GLP-1 stimulates neogenesis of  $\beta$ -cells and inhibits  $\beta$ -cell apoptosis. Thus, agents that increase the GLP-1 signal raise the intriguing possibility of replenishing endogenous insulin by increasing the  $\beta$ -cell population, potentially preventing or even reversing disease progression.

Novel therapies that exploit the incretin effect of GLP-1 include the injectable incretin mimetics and the orally active DPP-4 inhibitors. Their development arose from the understanding and extensive research into incretin physiology and metabolism.

### 3.2.2 GLP-1 Receptor Agonists

The only GLP-1 receptor agonist currently available is exenatide, although a second agent, liraglutide, is in late-phase clinical development [140]. These agents are not effective orally and require administration by subcutaneous injection.

In clinical trials, they lowered HbA1C concentration by 0.5–1.0% and had the additional advantage in producing a significant weight loss. The current indication for these drugs is for use after patients have experienced failure of glycemic control with metformin, sulfonylureas and/or TZDs [134, 136, 141]. Exenatide has been evaluated as an alternative to insulin in patients with type 2 diabetes who have inadequate glycemic control. It leads to weight loss, at least over a 3-year period. Long-acting forms of once-weekly injection, such as exenatide LAR, are under development. When used in combination with metformin, sulfonylureas, TZDs, or metformin plus a sulfonylurea, exenatide has been shown to result in weight loss [142]. Daily liraglutide therapy had similar effects with respect to blood glucose control,  $\beta$ -cell function, and weight loss as twice-daily exenatide, and its effects were sustained for at least 1 year.

These agents are not generally associated with hypoglycemia but a large proportion of treated patients experience nausea and vomiting, although these gastrointestinal adverse effects tend to become less over time. There have been some recent reports of hemorrhagic or necrotising pancreatitis and patients should be monitored for signs and symptoms. Exenatide is not recommended for patients with severe renal impairment [143].

### 3.2.3 Dipeptidyl Peptidase 4 Inhibitors

Pharmacological inhibitors of DPP-4 are orally active agents that acutely increase postprandial plasma levels of endogenous GLP-1 typically twofold. In contrast with incretin mimetics, and perhaps reflecting the relatively modest increases in incretin levels, DPP-4 inhibitors show little or no evidence of a deceleration in gastric emptying [144], and mild gastrointestinal adverse effects.

There are two DPP-4 inhibitors currently available, which are sitagliptin, available in Europe and the USA, and vildagliptin, currently only available in Europe; both are orally active small molecules that result in increased incretin hormone activity. In clinical trials of these agents, HbA1C concentration was reduced by 0.5–0.9%, and body weight was not significantly altered. They may be used either as monotherapy or in combination with metformin or sulfonylureas. When used as monotherapy, they are generally not associated with hypoglycemia. DPP-4 is found in many tissues throughout the body and there are a large number of potential substrates [145]. As a result, DPP-4 inhibitors can affect many processes that may lead to side effects; for example, they may interfere with the immune system and side effects of upper respiratory tract infections have been reported [145]. While these drugs are being used increasingly and frequently, data on long-term use are lacking and the continued safety profile remains to be established. We do not yet know whether these new agents will have significant, long-term disease-modifying effects.

DPP-4 inhibitors would almost certainly be more useful in type 2 diabetes management if used in addition to agents that would increase incretin secretion (incretinotropics).

Metformin actually increases incretin secretion [146], and this factor probably explains why the addition of DPP-4 inhibitors to metformin therapy has a synergistic effect on reductions in HbA1C more pronounced than simply adding together the effects of each as a monotherapy: metformin prevents the negative feedback of DPP-4 inhibition on incretin secretion.

### 3.2.4 Pramlintide

Amylin (islet amyloid polypeptide) is a 37-aminoacid peptide hormone that is co-secreted with insulin from islet  $\beta$  cells in response to meals [147]. The hormone acts centrally via the area postrema and other regions to activate neural pathways that decrease glucagon release from pancreatic  $\alpha$  cells [148] and slow gastric emptying [149], contributing to a glucose-lowering effect. Amylin also appears to act centrally to promote satiety, thereby reducing caloric intake [150]. Human amylin precipitates and aggregates to form amyloid fibres, which play a putative role in the progressive  $\beta$ -cell destruction [147].

Pramlintide, a human amylin analogue, is currently only approved for use in the USA and as an adjunct to insulin therapy [151, 152]. It is administered by subcutaneous injection before meals and decreases post-meal glucose excursions by slowing gastric emptying and reducing glucagon secretion in a glucose-dependent manner. Clinical trials showed a relatively small reduction in HbA1C of 0.5–0.7%, although there was also a decrease in body weight of approximately 1–1.5 kg over 6 months, despite the concomitant treatment with insulin. The weight reduction was most likely caused by the satiety effect.

The  $\alpha$ -glucosidase inhibitors, pramlintide, and DPP4 inhibitors generally result in smaller reductions in HbA1C when compared with other treatment options recommended by the ADA-EASD [131, 133].

Incretin-based therapies give new options for lowering blood glucose and should be placed alongside, and added to, older options.

### 3.2.5 Bromocriptine

Bromocriptine is a sympatholytic D2-dopamine agonist that has been recently approved for the treatment of type 2 diabetes. Based on animal and human studies, daily bromocriptine administration within 2 h of awakening is believed to augment low hypothalamic dopamine levels and inhibit excessive sympathetic tone within the central nervous system, resulting in a reduction in postmeal plasma glucose levels due to a decrement in hepatic glucose production [153]. Addition of bromocriptine to poorly controlled type 2 diabetic patients treated with diet alone, metformin, sulfonylureas, or thiazolidinediones produces a 0.5–0.7 decrement in HbA<sub>1c</sub>. Bromocriptine also reduces fasting and postmeal plasma free fatty acid and triglyceride levels by approximately 30% [154].

### 3.2.6 Colesevelam

Colesevelam, a bile acid sequestrant used for the treatment of hyperlipidemia, was recently approved by the FDA for the treatment of type 2 diabetes. The Glucose-Lowering Effect of WelChol Study (Welchol, GelTex Pharmaceuticals Inc) showed overall hemoglobin A1c level reduction of up to 1.0% in patients with baseline hemoglobin A1c level greater than 8% over 12 weeks of treatment. This combined improvement in glycemic control and lipid profile gives colesevelam an advantage in the treatment of type 2 diabetes. It is administered orally, and its main side effects are gastrointestinal, particularly constipation. The mechanism of action is thought to be delayed or altered absorption of glucose in the intestines [155].

Although several classes of anti-diabetic drugs are available, achieving and maintaining long-term glycemic control is often challenging, and many current agents have treatment-limiting side effects. So, a significant need for novel therapeutic approaches remains.

### 3.2.7 Bariatric Surgery

The use of bariatric surgery to achieve and maintain weight loss and ameliorate or resolve diabetes is generating great interest in light of the current epidemic of obesity and diabetes worldwide [156, 157]. Bariatric surgery is recognized as the most effective therapy for treating severe obesity and its associated metabolic abnormalities in patients who have been unsuccessful with dietary, behavioral, and medical interventions [158]. Obesity-related diabetes is controlled by bariatric surgery [159], especially restrictive and malabsorptive surgeries [160, 161]. However, its use as an intervention for type 2 diabetes is not yet recognized in patients with a BMI below 35 kg/m<sup>2</sup> [156, 157].

The mechanisms by which bariatric surgery achieve improvement of diabetes are not well understood. It is suggested that caloric restriction, weight loss, diminished nutrient absorption, hormonal changes in the entero insular axis [159, 162], and rearrangement of the gastrointestinal anatomy are possible features of such mechanisms [156].

Rubino et al. [163, 164] advocated that the presence of an intestinal feature derived from excessive stimulus of the upper digestive tract would cause diabotogenic effects demonstrated in rats that bypassing a short segment of proximal intestine directly ameliorates type 2 diabetes, independently of effects on food intake, body weight or malabsorption, i.e., the foregut theory [165]. Strader et al. [166], also in a rat model, suggested that lower intestinal stimulation through ileal interposition, the hindgut theory, increased synthesis and release of peptide YY and GLP-1.

Bariatric surgery presently offers the highest chances of revealing weight independent mechanisms of diabetes resolution [158, 161]. Hypotheses have been postulated to explain the improved insulin sensitivity witnessed postsurgery and include the altered secretion of gut hormones [167, 168], modifications in intestinal

gluconeogenesis [169], alterations in gut microbiota composition [170] and changes in intramyocellular lipid content [171].

Blood sugar levels return to normal in 55–95% of people with diabetes depending on the procedure performed. However, the surgery is expensive and there are risks involved, including a slight risk of death. Additionally, drastic lifestyle changes are required and long-term complications may include nutritional deficiencies especially vitamin D and iron deficiency anemia and osteoporosis [159].

## 4 Experimental Drugs in Development for the Treatment of Diabetes

Many new drugs have entered the market in the past 10 years, and it is controversial that these new drugs are superior to more established therapies [172, 173] probably because many of these drugs are active on the same molecular targets as previously approved drugs. Importantly, with the escalating global diabetes pandemic, developing new medicines that reduce the cost of long-term treatment for this chronic disease will become a necessity.

The preclinical and clinical data recently presented at the ADA and EASD meetings gives a preview of the new drugs and technologies to come. Many of these drugs are several years away from commercialization. However, because of the new technology, new target or new delivery method, the outlook remains positive for these drugs and companies in the expanding market of diabetes control.

### 4.1 *PPAR and GLP-1 Receptor Agonists*

New technology and approaches for treating type 2 diabetic patients is changing and the competition to secure the growing market is enormous. Eli Lilly and Co. and Amylin Pharmaceuticals Inc. (AMLN), makers of Byetta, recently announced the FDA's rejection of their new Byetta formulation. The proposed drug, Bydureon (Byetta LAR), would allow users to take one dose a week to regulate their diabetes.

Injectable peptide agonists of the GLP-1 receptor have shown significant promise as anti-diabetic agents due to their ability to amplify glucose-dependent insulin release and preserve pancreatic  $\beta$ -cell mass (as previously discussed in Sect. 3.2). Byetta was the first FDA-approved agent in a class of diabetes therapies called incretin mimetics [143]. Lixisenatide, under development by sanofi-aventis, is a novel human GLP-1 receptor agonist for the treatment of type 2 diabetes mellitus. In clinical trials, lixisenatide improved glucose tolerance, resulted in weight loss and lowered HbA1C, thereby causing significantly more patients to achieve target HbA1C levels compared with the placebo. Lixisenatide exhibited well-established GLP-1-related gastrointestinal side effects, with mild nausea occurring most frequently;



a low frequency of hypoglycemia was also reported. The results of phase III clinical trial showed that in comparison with patients receiving placebo, a significant number of those given lixisenatide demonstrated HbA1c levels less than or equal to 6.5%. Lixisenatide therapy also significantly reduced the mean change in glucose levels from baseline 2 h after a meal. Treatment with the active drug was separately shown to reduce glucose excursion. All treatment groups demonstrated weight loss as a result of therapy [174].

The new GLP-1 analog (VRS-859), created by Versartis, is a recombinant fusion protein. That is, it is made up of exenatide attached to XTEN. XTEN is a tail of an hydrophilic amino acid sequence that covers exenatide, hiding it from DPP-4 and good work for a longer time, permitting less frequent dosing and fewer side effects. A clinical study of VRS-859 in patients with type 2 diabetes is now in Phase Ia.

Peroxisome proliferator-activated receptors (PPARs) are ligand-activated transcription factors, which belong to the nuclear receptor superfamily and which regulate adipogenesis, lipid metabolism, insulin sensitivity, inflammatory processes and blood pressure [175]. Fibrates, long used as triglyceride-lowering agents, are now known to function as activators of PPAR $\alpha$ , while the already available thiazolidinediones, rosiglitazone and pioglitazone act via PPAR $\gamma$  to influence free fatty acid flux and reduce insulin resistance and blood glucose levels. Future innovations are expected whereby newer agents will combine PPAR $\alpha$ , PPAR $\gamma$  and possibly other PPAR-mediated properties.

Roche recently advance aleglitazar (R1439) into phase III clinical testing for the management of cardiovascular disease in high-risk patients with type 2 diabetes. This decision is supported by data from the Phase II SYNCHRONY study [176]. Aleglitazar is a rationally designed molecule providing balanced dual PPAR  $\alpha/\gamma$  activation.

Specifically it combines the improvements in peripheral insulin sensitivity (and therefore glycemic control) associated with PPAR  $\gamma$  activation, with improved management of dyslipidemia, which is commonly associated with PPAR  $\alpha$  activation.

## 4.2 *GPR119 Agonists*

G protein-coupled receptor 119 (GPR119) is expressed in insulin-producing  $\beta$ -cells of pancreatic islets together with L- and K-cells of the gastrointestinal tract, and is involved in insulin and incretin hormone release.

Several studies report that stimulation of GPR119 under hyperglycemic conditions leads to a dual nutrient dependent insulinotropic and incretinotropic effect to maintain glucose homeostasis [177–179]. Unlike receptors for GLP-1 and other peptides that mediate enhanced glucose-dependent insulin release, GPR119 has proven amenable to the development of potent, orally active, small-molecule agonists [180]. GPR119-specific agonists appear to provide a completely novel and previously unexplored approach to incretin therapy in patients with type 2 diabetes, increasing glucose-dependent insulin secretion through two complementary

**Table 4** GPR119 agonists

Pipeline of G-protein coupled receptor GPR119/glucose-dependent insulinotropic receptor (GDIR) agonist		
Development stage	Drug	Company
Phase II	MBX-2982	Metabolex/Sanofi-Aventis
	GSK1292263	GlaxoSmithKline
Phase I	APD597	Arena Pharmaceuticals/Johnson & Johnson
	PSN821	OSI Pharmaceuticals
Pre-clinical	GPR119 Agonists	Bristol-Myers Squibb
	GPR 119 Project	Swedish Orphan Biovitrum
	GPR119 Follow-on	Metabolex/Sanofi-Aventis
Research project	GPR119 Agonists	Neurocrine Biosciences/Boehringer Ingelheim
	GPR119	Exelixis
	GPR119 Agonist	LG Life Sciences
	PSN821 Backup (AS1535907)	OSI Pharmaceuticals
	GPR119 Research Program	Kalypsys

*Data: EvaluatePharma*

mechanisms: directly, through actions on the  $\beta$ -cell, and indirectly, through enhancement of GLP-1 and GIP release.

The first GPR119 agonist clinical candidates (Table 4) are now in Phase I/II trials (Metabolex MBX-2982; GlaxoSmithKline GSK1292263; Arena/Ortho McNeil APD597; Prosidion/OSI PSN821) and with confirmatory reports of clinical proof of concept with respect to glycemic control and incretin release, the spotlight has been set for this new class of therapeutic [180, 181].

Either alone or in tandem with approved DPP-IV inhibitors, they could herald a brand new treatment paradigm for type 2 diabetes mellitus.

### 4.3 Interleukin-1 $\beta$ Inhibitors

More recently, a new understanding of type 2 diabetes as a disease caused by inflammation as been explored as a source for new therapies [182, 183]. In type 2 diabetic patients, high glucose concentrations induce the production of interleukin-1 (IL-1)  $\beta$  in  $\beta$ -cells, leading to impaired insulin secretion, decreased  $\beta$ -cell proliferation, and increased apoptosis. Whereas most type 2 diabetes medicines focus on maximizing insulin production by the pancreas or increasing the body's sensitivity to insulin, IL-1 blockers target the inflammatory response that can devastate insulin-producing cells.

It has recently been reported that administration of anakinra (Kineret, a recombinant IL-1-receptor antagonist and a competitive inhibitor of IL-1 binding to type I IL-1 receptors) for 13 weeks to type 2 diabetic patients decreased HbA1c by 0.46%, increased C-peptide secretion, and decreased the proinsulin/insulin ratio and IL-6

levels, without an effect on body weight or hypoglycemia [182]. Blockade of the master pro-inflammatory signaling protein, IL-1, resulted in disease improvement for type 2 diabetes patients [183].

XOMA-52, is a clinical stage IL-1 $\beta$  antibody for patients with type-2 diabetes. XOMA-52 is unique to other IL-1  $\beta$  inhibitors because of its high affinity and long half-life (22 days). As a result, patients need to be injected with XOMA-52 once monthly and should increase patient compliance. In addition to safety and pharmacokinetic data, the Phase I trial demonstrated decreased HbA1c levels and improved  $\beta$ -cell function [184]. However, XOMA recently announced that their XOMA-52 phase II trial for type-2 diabetes had missed its primary end point (which was better blood glucose control). XOMA 052 is currently in Phase II development for type 2 diabetes and type 1 diabetes and cardiovascular disease.

Therapies addressing inflammation as an underlying cause of this disease, instead of focusing almost exclusively on improving the body's ability to produce and process insulin can be a valuable tool for diabetes.

#### ***4.4 Halozyme***

Co-administration of recombinant human hyaluronidase accelerated the pharmacokinetics and glucodynamics of insulin formulations [185]. For patients with type-1 diabetes, Halozyme (HALO) recently presented Phase II data that showed improved pharmacokinetics and glucodynamics with patients receiving Lilly's Humalog and co-administration of Halozyme's PH20 enzyme. PH20 is a recombinant hyaluronidase enzyme that catalyzes the hydrolysis of hyaluronic acid, a major constituent of the interstitial barrier, and increases tissue permeability. This study demonstrated an improved blood glucose metabolism profile in patients taking the combination therapy, reflecting a more physiologic glucose profile thereby likely reducing the amount of exogenous insulin needed along with some potential complications such as hypoglycemia [185, 186].

#### ***4.5 Appetite Control***

Anti-obesity drugs facilitate weight loss and contribute to further amelioration of obesity-related health risks. Several pharmaceutical companies are interested in developing new targets and technologies [187]. VIVUS, Inc. (VIVUS) presented data from a year-long Phase II trial with Qnexa demonstrating reduced HbA1c levels and helped patients achieve and maintain significant weight loss through appetite suppression. Qnexa is a combination therapy, of low dose phentermine and topiramate, which in combination have synergistic effects through unique molecular targets resulting in reduced appetite and increased satiety – the two main mechanisms that impact eating behaviour. Qnexa, an investigational drug, is being developed to

**Table 5** Selective SGLT2 inhibitors currently in development

Pipeline of selective SGLT2 inhibitors. SGLT-sodium-glucose co-transporter		
Development stage	Drug	Company
Phase III	Dapagliflozin	Bristol-Myers Squibb/AstraZeneca
	Canagliflozin	Johnson & Johnson/Mitsubishi Tanabe Pharma
	ASP-1941	Astellas/Kotobuki
Phase II	LX-4211	Lexicon Pharmaceuticals
	CSG-452	Roche
	BI-10773	Boehringer Ingelheim
	BI- 44847	Boehringer Ingelheim
Phase I	ISIS-SGLT2Rx	Isis Pharmaceuticals

*SGLT* Sodium-glucose co-transporter

address weight loss. In phase II and III clinical data to date, Qnexa has demonstrated significant weight loss, glycemic control, and improvement in cardiovascular risk factors.

Arena Pharmaceuticals, Inc. (ARNA) recently described Phase III data from its BLOOM (Behavioral modification and Lorcaserin for Overweight and Obesity Management), demonstrating significant weight loss and reduced secondary endpoints associated with cardiovascular disease after 1 year of treatment compared to the placebo. Lorcaserin is a novel serotonin 2C receptor agonist; selective activation of this Gq-coupled GPCR in the hypothalamus leads to appetite control and increased metabolism [188].

#### 4.6 Sodium-Dependent Glucose Cotransporter-2 Inhibitors

The sodium-dependent glucose cotransporters (SGLTs) are a large family of membrane proteins involved in the transport of glucose, amino acids, vitamins, osmolytes and selected ions across the brush border membrane of the intestinal epithelium and the proximal renal tubules. There are two types of cotransporters: SGLT-1 and SGLT-2. SGLT2 is the primary transporter in the kidneys, and selective inhibition of SGLT2 has helped elucidate the functions of these glucose transport proteins. Inhibitors of SGLT-2 block the reabsorption of glucose (in the proximal tubule) from the renal filtrate, whereas the SGLT-1 inhibitors suppress absorption of glucose from the gut; these inhibitors help limit the amount of glucose that is reabsorbed and retained in the body [189–191]. The SGLT inhibitors do not interfere with glucose metabolism, and thus, they are being used complementarily to mainstream approaches to glucose regulation, such as with the PPAR- $\gamma$  agonists, DPP-4 antagonists, and GLP-1 analogues. The majority of inhibitors being developed as antidiabetic agents are selective for SGLT- 2 (Table 5), but there are some inhibitors of SGLT-1 and a mixed type of inhibitors. Most of the SGLT-2 inhibitors, such as dapagliflozin (BMS-512148), remogliflozin, sergliflozin, AVE2268, 189075, 869682,

and T-1095, are derived from the prototype phlorizin and structurally are glycosides (Table 5). The potential ability of SGLT2 inhibitors to reduce plasma glucose levels without inducing increased insulin secretion or sensitivity, hypoglycemia or weight gain could constitute a new approach in diabetes therapy [191]. They are also promising for other therapeutic uses such as in obesity because they cause net loss of calories from the body.

Inhibition of SGLT also has effects beyond glycemic control: exposure of tissues to chemical or physical stress induces SGLT1 expression, which might be mediated by the transforming growth factor (TGF)- $\beta$ 1 signaling pathway [192]. Chronic administration of the SGLT inhibitor T-1095 prevented the progression of diabetic nephropathy and suppressed the development of albuminuria and the expansion of the glomerular mesangial area [193]. Whether this occurs through a modulation of the TGF pathway is yet to be determined. It is also possible that such selective inhibition provides renoprotection through beneficial effects on glomerular haemodynamics, glycosuria, euglycemia and glucose toxicity of tubular cells [193]. The clinical importance of these findings is currently unclear. A recent study showed a reduction in infarct size and edema during induced ischemia in the middle cerebral artery in mice treated with an SGLT inhibitor [194], which suggests that SGLT inhibition can improve stroke outcome. SGLT receptors have also been found in human cardiac tissue [195], but the effects of SGLT2 inhibition on CVD are yet to be determined.

Dapagliflozin represents the first selective SGLT2 inhibitor that functions by regulating renal glucose reabsorption. In preclinical studies, dapagliflozin administration resulted in a decline in fasting and postprandial glucose levels, accompanied by glycosuria [196]. Such declines in fasting glucose levels were sustained in Zucker diabetic fatty rats after 15 days of repeated dosing of dapagliflozin. Similarly, fasting glucose was reduced in streptozocin induced diabetic rats following administration of a single dose of dapagliflozin [196]. Clinical trial data are limited, but available evidence supports clinically significant reductions in fasting and postprandial plasma glucose, hemoglobin A1c, and body weight with this agent [189]. In addition, dapagliflozin has demonstrated excellent tolerability with safety data demonstrated in both Phase I and Phase II studies. Results of ongoing phase III clinical trials are necessary to demonstrate efficacy and safety of this agent across various patient populations and clinical scenarios [197]. Dapagliflozin, and Canagliflozin are currently undergoing Phase III clinical trials (ADA 2010). Several double-blind clinical trials are under development examining canagliflozin. An example is the CANTATA-Su (canagliflozin treatment and trial analysis-sulfonylurea) trial, which is examining the efficacy and safety of canagliflozin compared with glimepiride in patients with type 2 diabetes, who are not optimally controlled on stable-dose metformin monotherapy [198]. Studies are also being conducted on canagliflozin as monotherapy in patients with type 2 diabetes who are inadequately controlled with diet and exercise [199], not controlled with metformin and sulphonylurea [200], and canagliflozin compared with placebo and an active-control (sitagliptin) in patients with type 2 diabetes not controlled with metformin [201].

Isis Pharmaceuticals, Inc. (ISIS) recently presented preclinical data on ISIS-SGLT2Rx [202], an antisense drug that inhibits the production of SGLT2 that resulted in a significant reduction in blood glucose levels in multiple animal species as well as amelioration of diabetic complications such as cataract formation. ISIS-SGLT2Rx is the first kidney-targeted antisense drug for type 2 diabetes and Phase I study has been initiated. Isis is experienced in RNA targeting drugs and has already commercialized the world's first antisense drug. This novel technology allows Isis to target dysfunctional proteins through decreased transcriptional levels thus modifying signaling pathways not attainable through small molecule inhibition. Antisense technologies may play a key role in finding drugs with unique targets previously unreachable ultimately leading to improved disease management and quality of life.

Competitive inhibition of SGLT2 therefore represents an innovative therapeutic strategy for the treatment of hyperglycemia and/or obesity in patients with type 1 or type 2 diabetes by enhancing glucose and energy loss through the urine [191]. As SGLT2 inhibitors do not target the major pathophysiological defects in type 2 diabetes — namely insulin resistance and impaired insulin secretion — they represent a potentially promising new therapy for improving glycemic control in type 2 diabetes.

#### ***4.7 Regulation of Endogenous Gene Expression Using Small Molecule-Controlled Engineered Zinc-Finger Protein Transcription Factors***

Peripheral neuropathy is a common, irreversible complication of diabetes. Diabetic neuropathy (DN) is a severe physiological consequence of chronic elevated blood glucose levels and is seen in many patients with advanced diabetes. Currently, the question being addressed is whether or not gene transfer of an engineered zinc finger protein transcription factor (ZFP) designed to upregulate expression of the endogenous VEGF-A gene can protect against experimental DN [203–205]. VEGF has protective and regenerative effects on neuronal cells in culture and in animal studies. No regenerative therapies are currently available. Data from an earlier Phase I, placebo-controlled study using VEGF Zinc Finger Protein Activator (SB-509) in patients with diabetic peripheral neuropathy showed statistically significant improvements in neurological examination and quantitative sensory testing as well as clinically relevant changes in nerve conduction velocity [204].

Thus, Sangamo BioSciences, Inc. (SGMO) recently described the results of phase II trials for SB-509 as a treatment for DN resulting in statistically significant and clinically relevant improvements in subjects [205]. SB-509 is an injectable plasmid encoding a DNA- ZFP transcription factor designed to upregulate the endogenous expression of the gene encoding VEGF, a peptide responsible for angiogenesis.

#### ***4.8 11 $\beta$ -Hydroxysteroid Dehydrogenase Type 1 Inhibitor***

11 $\beta$ -hydroxysteroid dehydrogenase type 1 (11 $\beta$ -HSD1) is an enzyme that converts inactive cortisone into the potent biologically active hormone cortisol. This conversion occurs within cells of key metabolic tissues including liver, adipose, muscle and pancreas. Chronically elevated glucocorticoid levels cause obesity, diabetes, heart disease, mood disorders, and memory impairments. Excess 11 $\beta$ -HSD1 or cortisol leads to insulin resistance and metabolic syndrome in animal models and in humans. Inhibiting 11 $\beta$ -HSD1 activity signifies a promising therapeutic strategy in the treatment of type 2 diabetes, insulin resistance, obesity, lipid disorders, metabolic syndrome, and other diseases and conditions that are mediated by excessive glucocorticoid action [206]. Cortisol elevates blood glucose levels by driving glucose production in the liver, and inhibiting the uptake and disposal of glucose in muscle and adipose. Thus, cortisol acts as an antagonist of insulin action, and 11 $\beta$ -HSD1 mediated production of cortisol has been hypothesized to contribute to human insulin resistance, type 2 diabetes, and the often-associated cardiovascular comorbidities.

Results from this double-blind, placebo-controlled Phase IIb trial involving over 300 patients with type 2 diabetes showed that treatment with once-daily doses of INCB13739 significantly improved glycemic control, as measured by HbA1c, insulin sensitivity and total-cholesterol levels in patients with type 2 diabetes inadequately controlled with metformin [207].

Selectively inhibiting 11 $\beta$ -HSD1 in humans might become a new and promising approach for lowering blood glucose concentrations and have a favorable effect on insulin resistance, dyslipidemia, and obesity in type 2 diabetes. The modulation of 11 $\beta$ -HSD type 1 activity with selective inhibitors has beneficial effects on various conditions including insulin resistance, dyslipidemia, and obesity. These compounds improve insulin sensitivity in the liver and adipose tissue and possibly may cause weight reduction, as shown in animal studies. A large variety of 11 $\beta$ -HSD1 inhibitor classes have been synthesized [65, 208], and some are under investigation by the pharmaceutical industry to treat type 2 diabetes and obesity [145]. A number of 11 $\beta$ -HSD1 inhibitors (carbenoxolone, AZD4017, BVT116429, PF-00915275, PF-915275, PF-877423) are being investigated by many major pharmaceutical companies for treatment of type 2 diabetes and other abnormalities associated with the metabolic syndrome.

#### ***4.9 FGF-21 for the Treatment of Type 2 Diabetes***

Fibroblast growth factor 21 (FGF-21) is a recently discovered metabolic regulator. FGF-21 activity depends on  $\beta$ -klotho, a single-pass transmembrane protein.  $\beta$ -klotho physically interacts with FGF receptors 1c and 4. FGF-21 is produced predominantly by the liver and, to a lesser extent, also by the adipose tissue [146–150]. FGF-21 exerts a unique role in the regulation of carbohydrate and lipid metabolism

in the liver, adipose tissue and pancreas [209]. FGF-21 stimulates glucose uptake in adipocytes in an insulin-independent fashion [147]. FGF21 injection in ob/ob mice results in an increase in Glut1 in adipose tissue. Another beneficial effect of FGF-21 is its ability to reduce the secretion of glucagon from the pancreas, and to lower blood glucose and triglyceride levels when administered to diabetic rodents and monkeys. Its administration in diabetic primates led to a sustainable improvement in glucose control without occurrence of hypoglycemia, a significant improvement of diabetic dyslipidemia and a mild weight loss without any significant side effects [148]. FGF-21 preserves pancreatic insulin content and  $\beta$ -cell mass when administered to diabetic mice. Moreover, FGF21 protects animals from diet-induced obesity when overexpressed in transgenic mice. The function of FGF-21 in lipid metabolism and energy balance was recently emphasized by Potthoff and coworkers. They described the effect of FGF-21 on fatty acid oxidation and on PPAR $\gamma$  coactivator protein-1 $\alpha$ , a key regulator of energy homeostasis [210].

FGF21 concentrations are higher in both rodent and human obesity, and recent data suggest that obesity may be an FGF21-resistant state. Free fatty acids (FFAs) were described as physiologic stimulators of FGF-21 secretion in humans, an effect which was also mediated via PPAR $\alpha$ , whereas rosiglitazone, a PPAR $\gamma$  agonist, did not modify FGF-21 in humans [211]. In humans, FGF-21 levels are associated with markers of lipid metabolism and abdominal adiposity. However, FGF-21 is not directly regulated by moderate change in fat mass and body weight; and baseline levels of FGF-21 do not predict subsequent weight loss.

Pre-clinical data support a role of FGF-21 in energy balance [210], although the precise mechanism and a potential role in humans are yet unclear. This suggests that FGF-21 might offer a promising new therapeutic approach to treat type 2 diabetes and clearly more studies need to be done in order to evaluate the role of FGF-21 in humans.

New compounds designed to improve therapeutic properties over the native FGF-21 protein are under development by Ambrx and Merck and by Eli Lilly and Company, Inc. (LP10152 is in Phase I/II).

#### **4.10 AMPK Activation**

Understanding the molecules involved in energy sensing and energy balance may lead to specific therapies for obesity and diabetes and for their complications.

AMP-activated protein kinase (AMPK) is a serine/threonine protein kinase that plays a critical role in the regulation of metabolism given its fundamental role as a sensor of cellular energy levels that acts on diverse signaling pathways. The inhibitory effect of AMPK activation on hepatic gluconeogenesis and its stimulatory effect on peripheral glucose uptake make it a prime therapeutic target in the treatment of metabolic disorders such as type 2 diabetes and obesity. This is further bolstered by its ability to bypass the defects in insulin signaling that are observed in these diseases. Most of the current AMPK activators act via an indirect mechanism, inhibiting mitochondrial function and altering cellular



AMP levels. Several antihyperglycemic agents including the biguanide metformin, and thiazolidenediones such as rosiglitazone and pioglitazone, exert beneficial effects on glucose homeostasis in type 2 diabetes via a mechanism that involves activation of AMPK [212].

AMPK is also the target of insulin-sensitizing adipokines such as adiponectin. Stimulation of AMPK by adiponectin reduces insulin resistance and data from the Hoorn Study show that high adiponectin levels are associated with a reduced risk of impaired glucose tolerance and type 2 diabetes [213, 214]. Injection of adiponectin increased blood glucose uptake in normal mice and prevented insulin-resistance in obese mice. Expression of globular adiponectin in transgenic ob/ob mice showed decreased insulin resistance and diabetes compared with transgenic ob/ob mice not expressing globular adiponectin [215]. Regulation of adiponectin plays a pivotal role in obesity and diabetes type 2 by affects on glucose uptake and insulin sensitivity. Thus, pharmacologic agents that could directly increase adiponectin's circulating concentrations (e.g. PPARc agonists [216–218]) and/or compounds that could directly act on specific tissue targets, such as adiponectin receptor agonists that induce signaling pathways downstream of adiponectin receptors, would be an important and valuable tool in diabetes [219]. Thus, adipokines also offer new targets for therapy [for review see 220].

Moreover, direct and specific AMPK activators such as A-769662 and PT1 indicate that it is possible to develop new candidates, and suggest that AMPK is a potential drug target useful in diabetes.

#### 4.10.1 Direct Activators of AMPK: A-769662 and PT1

In 2006, Abbott Laboratories identified the first compound described as direct activator of AMPK, thienopyridone A-769662 [221]. Similar to AMP, A-769662 has two effects in cell free assays: it activates AMPK via an allosteric mechanism and by inhibiting dephosphorylation at Thr172 [222, 223]. Although the exact binding site remains unknown, it is likely to involve the  $\beta$  subunit. Because it is not an AMP mimetic, A-769662 lacks the off-target effects of 5-aminoimidazole-4-carboxamide-1- $\beta$ -riboside (AICAR) on fructose- 1,6-bisphosphatase and glycogen phosphorylase [221]. In cultured cells, A-769662 activates AMPK in the absence of the upstream kinase LKB1 [222, 223], which may indicate that it is acting primarily via the allosteric mechanism. This is supported by observations that increased phosphorylation of the AMPK substrate, ACC, is not always associated with a substantial increase in Thr172 phosphorylation [222]. Importantly, treatment of ob/ob mice with A-769662 decreased plasma glucose and triglyceride levels, and reduced hepatic gluconeogenic and lipogenic gene expression [221]. Although it has beneficial effects on metabolic parameters in vivo, the promise of A-769662 as a useful compound for drug development is counteracted by its poor oral absorption [221] and some recently reported AMPK-independent effects [224].

Pang and colleagues have recently identified PT1 as a novel direct activator of AMPK [225]. PT1 appears to increase AMPK activity by interacting with the

auto-inhibitory domain found in the  $\alpha$  subunits, relieving inhibition of kinase activity by this domain. Treatment of cultured cells with PT1 activates AMPK via increased phosphorylation of Thr172 without altering the intracellular AMP:ATP ratio, and can occur in LKB1-deficient cells, when it requires CaMKK $\beta$ . In liver cell lines, the PT1 dependent activation of AMPK is associated with decreased lipid content. These results suggest that antagonizing inhibition of AMPK by the auto-inhibitory domain may be a valid strategy for treatment of metabolic disorders, although the effects of PT1 in vivo remain to be investigated.

### SIRT1 Activators

SIRT1, a member of the sirtuin family of NAD<sup>+</sup>-dependent protein deacetylases, has been considered an important mediator of longevity that is induced by caloric restriction [226]. Resveratrol, a natural compound found in the skin of red grapes, was recently shown to activate SIRT1. Subsequently, several small molecule activators of SIRT1 have been characterized [227].

In animals, SIRT1 activators improve whole-body glucose homeostasis and insulin sensitivity in adipose tissue, skeletal muscle and liver [228].

SIRT1 has also been linked to aging in the vascular system [229]. Overexpression of SIRT1 prevented the apoptosis of cultured human oxidized LDL, and this effect was mediated by a marked increase in the expression of endothelial nitric oxide synthase (eNOS) [230]. In addition, resveratrol treatment of spontaneously hypertensive mice has been shown to improve endothelium-dependent vascular relaxation and increase the expression of eNOS [231, 232]. Altogether, these data suggest that SIRT1 activators have the dual effect of lowering blood glucose and decreasing cardiovascular risk, and further investigation is essential.

Thus, several pharmaceutical companies include in their development pipelines sirtuin modulators. Namely, Sirtris Pharmaceuticals (Cambridge, MA, a wholly-owned subsidiary of GlaxoSmithKline) is developing the SIRT1 activators SRT501 (a proprietary formulation of the natural product resveratrol) and SRT2104 (a novel synthetic small-molecule SIRT1 activator that is structurally unrelated to resveratrol and is up to 1,000-fold more potent). SRT501 is in Phase II clinical trials in type 2 diabetes. SRT2104 has been tested in Phase I trials in healthy volunteers, and was found to be safe and well tolerated. Elixir Pharmaceuticals (Cambridge, MA) is developing a preclinical-stage SIRT1 activator for treatment of type 2 diabetes and obesity. Elixir also has a research-stage SIRT2 inhibitor under development for treatment of type 2 diabetes and obesity.

## ***4.11 Antidiabetic Effects of Glucokinase Activators***

Glucokinase (GK) plays a fundamental role in glucose homeostasis by controlling the rate of glucose metabolism in many tissues. GK is believed to function as the

primary glucose sensor in a range of neuro/endocrine sentinel cells (including  $\beta$ -cells) that are involved in glucose homeostasis. In pancreatic  $\beta$ -cells, GK acts as a sensor for glucose stimulated insulin release and in hepatocytes it catalyzes the first step of glucose metabolism. The relationship between changes in GK activity and fasting plasma glucose in humans with GK diseases has been well established for both loss and gain of function mutations [233].

Such strong biological rationale for targeting GK as a potential anti diabetic therapy led to the discovery of small molecule allosteric GK activators (GKAs). GKAs bind to an allosteric site 2 nm away from the bound glucose site and act as non-essential mixed-type enzyme activators [234].

Animal studies have demonstrated that when the glucokinase gene is disrupted, mice die within days of birth from severe diabetes. Heterozygous loss-of-function mutations in the glucokinase gene can result in maturity onset diabetes of the young – type 2, which is linked to defective insulin secretion. The over-expression of glucokinase results in decreased basal blood glucose levels, as well as resistance to developing type 2 diabetes. Evidence suggests that the glucose lowering effects in rodents are mediated by dual effects on increasing plasma insulin levels and suppression of hepatic glucose production as assessed by a pancreatic clamp. Early data in humans suggests that GKAs offer a promising new therapeutic approach to treat type 2 diabetes [235].

Ro-28-1675 was the first GKA noted to reduce blood glucose after an oral glucose tolerance test in several models of type 2 diabetes in rodents. This compound also lowered basal glucose in diet-induced obese mice accompanying with a rise in insulin levels. Ro-28-1675 attenuated endogenous glucose production and elevated hepatic glucose disposal [236].

Several GKAs are presently at various stages of clinical development [235]. Roche was the first pharmaceutical company to bring a GKAs into clinical trials. However, Roche's GKAs were discontinued from further development; the reasons have not been disclosed.

AstraZeneca, OSI/Prosidion in conjunction with Eli Lilly, and Merck have been in and are continuing phase II investigations for PSN010. AZD6370 is presently undergoing phase II trials and is being developed for the potential treatment of obesity and type 2 diabetes [237]. Other compounds are presently in phase I and II clinical trials (Table 6), based on their strong *in vitro* and *in vivo* preclinical data [238]. For instance, ID1101 enhanced glucose-stimulated insulin release from pancreatic islet cells and glucose disposition by the liver, thereby reducing blood glucose and body weight.

Preclinical results of compound NN9101 showed good glucose regulation, no weight gain and increased insulin content in pancreas. Its glucose-lowering effect was also observed in first human data, including reduction in both fasting and postprandial glucose. TTP399, which is also in preclinical development, belongs to a chemical class different from that of NN9101. A potent GK activator [239], ARRY403 showed significant blunting of the postprandial glucose excursion in acute OGTT dose–response study in C57BL/6J normal mice. It also reduced both nonfasted and postprandial glucose in *ob/ob* mice, in ZDF female rats and NONcNZO10/LtJ mice, without causing hypoglycemia or abnormal weight gain.

**Table 6** Agonists of GKAs under development

Development stage	Drug	Company
Phase II	AZD1656	AstraZeneca
Phase II	AZD6370	AstraZeneca
Phase I	TTP355 (NN9101)	Novo Nordisk AS, Transtech Pharma
Phase II	LY2599506 (PSN010)	Eli Lilly and Company, Inc., OSI Pharmaceuticals, Inc.
Discontinued in phase II	R1440	Roche
Phase I	R1511	Roche
Discontinued	RO-28-1675	Roche
Phase I	TTP355	Transtech Pharma
Preclinical	TTP399	Transtech Pharma
Phase I	ARRY-403	Array BioPharma Inc.

It is now ready to enter human clinical trials. Another potent activator of human GK *in vitro*, ARRY588 presented glucose-lowering activity in a dose–response OGTT study in C57BI/6J mice [239] and in ob/ob mice [240].

Although the preclinical and early phase 1 studies suggest promise for these agents, further studies will be needed to elucidate efficacy, safety and tolerability in large numbers of patients in clinical trials.

#### 4.12 Gluconeogenesis Inhibitors

Fasting hyperglycemia in diabetes is well correlated with accelerated hepatic glucose production in type 2 diabetes patients; free fatty acids also induce gluconeogenesis [241]. In animal studies, it has been shown that glucose production in the liver can be inhibited both by substances that act directly on gluconeogenesis and by substances that inhibit fatty-acid oxidation. Therefore, inhibition of both free fatty acid release (such as by SDZ WAG 994) and fatty-acid oxidation (such as by  $\beta$ -aminobetaine [emeriamine]) in the liver may be efficient modalities of treatment to prevent fasting hyperglycemia in diabetics [242]. Benfluorex, a derivative of fenfluramine, lowers plasma glucose by inhibition of gluconeogenesis [242]. Inhibition of fructose 1, 6 bisphosphatase, the key hepatic enzyme in gluconeogenesis is also a therapeutic target for treatment of type 2 diabetes [243]. Specific inhibitors of this enzyme (such as [MB06322 (CS-017) discontinued in phase II] and MB07803 in Phase IIa of development by Metabasis Therapeutics) may be useful in type 2 diabetes [244].

#### 4.13 Oral Insulin

The prospect of a non-injectable means of insulin delivery has always been very attractive. There have been a number of pharmaceutical approaches to developing a non-invasive delivery route for insulin, most of them topical (e.g. patches) or

inhalation [245]. These share the same weakness as injectable insulin: the delivered protein passes first into the peripheral circulation and only thereafter, in much diluted form, into the liver. Thus, topically and subcutaneously administered insulin result in fat and muscle being exposed to higher insulin levels than the liver. This is in contrast to endogenous insulin which is delivered from the pancreas into the portal system and the liver. Oral delivery would share this advantage.

The potential market for an oral form of insulin is assumed to be enormous, thus many laboratories have attempted to devise ways of moving enough intact insulin from the gut to the portal vein to have a measurable effect on blood sugar.

The various challenges associated with the production of a reliable insulin formulation for oral delivery includes (1) maintaining storage stability of the active protein, (2) avoiding enzymatic degradation in the gastrointestinal tract and (3) overcoming poor spontaneous insulin permeability through the gastrointestinal system. Despite the multiple technical challenges many biopharmaceutical companies (Apollo Life Sciences, Bicon, Bidel Inc, Oramed Pharmaceuticals Inc) are currently developing their oral insulin products.

Bidel, Inc. is developing an oral formulation of insulin (VIAtab) designed to be administered sublingually. In a Phase I study, VIAtab delivered insulin to the blood stream quickly and resembled the first-phase insulin release spike found in healthy individuals. The company claims that an oral insulin therapy would be more convenient than currently available injectable or inhalable therapies, and they expect that convenience to result in increased insulin usage among the currently underserved early-stage patients with type 2 diabetes, thus helping to create better long-term outcomes for that patient population.

Oramed Pharmaceuticals, Inc. is currently conducting Phase IIb clinical trials of its oral insulin capsule, ORMD-0801 on 30 patients diagnosed with type 2 diabetes [246]. Oramed's platform technology has two components: (1) A chemical make-up that protects insulin during passage through the gastrointestinal tract, and (2) Absorption enhancers so that insulin could be absorbed by the intestine. Oramed Pharmaceuticals, Inc. in phase I clinical trials, has demonstrated that its oral insulin is safe, well tolerated, and has consistently reduced glucose and c-peptide levels in patients [247].

An alkylated, PEGylated, amphiphilic insulin conjugate (HIM-2, Biocon Corp., India) increased oral formulation of insulin in dogs and has reached Phase II clinical studies [248]. One of the major challenges in biopharmaceutical development, therefore, continues to be the need for effective oral delivery systems and a number of different approaches have already been used to promote oral delivery of insulin [249].

#### **4.14 Insulin Mimetics**

The concept of developing insulin mimetics is a long established one, but recent years have seen important developments. For example, protein tyrosine phosphatases (PTPs) are enzymes that control signal transduction pathways, and inhibition

of individual PTPs can result in activation of therapeutically relevant kinase cascades. Tyrosine phosphatase 1B (PTP1B) is implicated as a negative regulator of insulin receptor signaling, so small-molecule inhibitors of this enzyme are being investigated as potential insulin mimetics or insulin augmenting agents. Inhibition of PTP1B in muscle and liver, down-regulates insulin signaling, thereby improving insulin sensitivity [250]. These compounds have a low potential for hypoglycemia. A number of compounds synthesized [251] as well as isolated from various plants have been found to be potent inhibitors of protein tyrosine phosphatase 1B.

During the last decades, a large body of evidence has accumulated to suggest that organo-vanadium compounds exert various insulin-like effects in both in vitro and in vivo systems. These include their ability to improve glucose homeostasis and insulin resistance in animal models of type 1 and type 2 diabetes mellitus. In addition to animal studies, several reports have documented improvements in liver and muscle insulin sensitivity in a limited number of patients with type 2 diabetes. [252, 253]. Among these compounds, vanadium (IV) oxo bis(maltolato) was the first to be investigated for its higher potency over inorganic vanadium salts in eliciting insulin-like properties in both in vitro and in vivo systems [254]. There are some concerns about the potential toxicity of available inorganic vanadium salts at higher doses and during long-term therapy. Therefore, new organo-vanadium compounds with higher potency and less toxicity need to be evaluated for their efficacy as potential treatment of human diabetes.

## 5 Gene-Based Therapies for the Treatment of Diabetes

Gene-based therapy is an attractive therapeutic strategy that has emerged as an alternative to the traditional treatments of diabetes (e.g. injections of insulin and islet transplantation). This therapeutic approach has the potential to treat, cure and prevent diseases and, despite the limited boundaries to its application, is frequently highlighted as one of the most promising technologies of this century. The aim of gene therapy is the transfer of genetic material into diseased cells or tissues, allowing for the healthy phenotype to be recovered [255]. To this end, three basic elements are required: a profound knowledge of the disease pathogenesis; the genetic sequence of interest that might function as a target; and stable delivery systems providing the targeted tissues with the level of duration of the gene expression necessary to reproduce the desirable therapeutic effect [256].

Type 1 and type 2 diabetes are two examples of very common polygenic and multifactorial diseases that, along with cancer, neurologic and other metabolic diseases, are ideal targets for gene therapy application, since they provide targets that include suppression of autoimmunity, restoration of insulin responsiveness, functional replacement of pancreatic islets and correction of vascular and nerve damage associated with prolonged hyperglycemia. In fact, the risk-benefit balance of gene therapy in diabetes seems to be superior when compared to all currently used treatments.

The first steps towards the application of this innovative therapy in type 1 diabetes were made soon after the cloning of the insulin gene, in the late 1970s. Since then, a plethora of non-insulin producing cells that are not targeted by the immune system for autoimmune destruction (e.g. gut K cells, hepatocytes, myocytes, muscle cells, fibroblasts, keratinocytes, pituitary and exocrine cells) have been used to transform into artificial insulin-producing substitute cells. As true  $\beta$ -cell surrogates, they should be able to synthesize, package and store insulin, according to the metabolic needs, as well as to precisely respond to the blood glucose levels and avoid lag time in the secretory response. To achieve this, the pro-insulin gene should be engineered in order to incorporate a specific mutated amino acid sequence recognized by the furin protease to produce mature insulin, since the  $\beta$ -specific endoprotease involved in the cleavage and maturation of insulin in  $\beta$ -cells is absent in non- $\beta$ -cells [257–259]. A construct encoding this engineered cDNA can be expressed after gene-delivery using cell-specific promoters, viral vectors or nanoparticles [258, 259]. The use of synthetic promoters to regulate glucose-responsive insulin production may confer advantages to these therapies since they can partially override the unresponsiveness of these cells to glucose with physiological secretion of insulin. Indeed, a synthetic promoter library containing hepatocyte nuclear factor-1 (HNF-1), a CAAT/enhancer-binding protein (C/EBP) response element and a glucose-response element was screened and it was found that the promoter SP23137 is highly effective on the production and secretion of insulin in response to glucose [260]. Still, this therapeutic approach faces other limitations. For instance, the fold-induction of insulin release achieved by these constructs is smaller than that of the islets and insufficient to normalize glucose after tolerance tests. Thus, one can question the capability of gene-therapy-constructed nonpancreatic tissues to keep a stringent control over blood glucose concentration similar to the one provided by functional  $\beta$ -cells.

In opposition to ectopic gene expression of insulin, transplantation of pancreatic endocrine lineages reprogrammed from other cell types for  $\beta$ -cell replacement is also a possible and promising option. For example, it was shown that ectopic expression of human neurogenin 3 (NGN3) and pancreatic duodenal homeobox-1 (PDX1) in bone marrow-derived mesenchymal stromal cells triggers pancreatic endocrine gene expression, notably insulin, glucose transporter 2 (Glut-2) and somatostatin, as well as other  $\beta$ -cell lineage-associated genes [261]. The systemic delivery of a PDX1 construct to the livers of streptozotocin (STZ)-treated mice, using a first generation adenovirus therapy vector, was also shown to induce the activation of the endogenous pro-insulin 2 gene in the liver. This resulted in increased levels of serum insulin, glucagon and somatostatin and decreased levels of blood glucose that, despite the transience of the adenoviral constructs, lasted for a period of 4–6 months [72]. The *ex vivo* transduction of adult human hepatocytes with PDX1 also resulted in the induction of several  $\beta$ -cell gene products, and, upon transplantation under the renal capsule of diabetic mice, these cells did ameliorate hyperglycemia for prolonged periods of time [262]. Of noteworthy is the fact that transplantation of STZ-treated mice pancreas with PDX1-transduced adipose tissue-derived stem cells (ASCs) significantly decreases blood glucose levels and increases cell survival. Moreover, PDX1-ASCs were shown to be stably engrafted in the pancreas, to

acquire a functional  $\beta$ -cell phenotype and partially restore pancreatic function *in vivo* [263]. More recently, the transcription factor NKX6 was also found to activate immature pancreatic markers, such as NGN3 and insulin gene enhancer protein 1 (ISL1), in human liver cells, and NKX6 in complementation with PDX1 was found to promote insulin expression and glucose-regulated processed hormone secretion in hepatic cells [264]. Another relevant study showed that NGN3/Betacellulin (BTC) gene delivery and overexpression in the pancreas of STZ-diabetic mice is also able to restore glucose-stimulated insulin secretion and reverse hyperglycemia in these animals, rendering the neo-islets with a transcription profile similar to that of pancreatic islets [265]. The treatment further normalized the hepatic glucose secretion, reversed ketonemia and restored the hepatic glycogen content similar to levels observed in nondiabetics.

Type 1 diabetes is characterized by infiltration of autoreactive T-cells that mediate the destruction of pancreatic  $\beta$ -cells and, as such, several therapeutic approaches aim at controlling autoimmunity in this disease. One of these strategies consists of inducing and/or expanding regulatory T cells (Treg), more specifically of the Foxp3-CD4<sup>+</sup>-expressing Treg (Foxp3<sup>+</sup>Treg) population, which are potent immunoregulatory effectors that modulate T cell and antigen-presenting cell (APC) responses [266]. Deregulation of Foxp3<sup>+</sup>Treg has indeed been reported in diabetic patients [267] and described to result in systemic T cell-mediated autoimmunity in mice [268]. Administration of cytokines, such as IL-2 and TGF- $\beta$ 1 has been used to manipulate T cell-mediated autoimmunity since they play critical roles in the maintenance of and/or differentiation of Foxp3<sup>+</sup>Treg. This approach offers several limitations, including the half-life of these proteins in the serum and, as such, the need for repeated administrations. Thus, an alternative approach to this cytokine-based immunotherapy is an inducible gene therapy strategy, which allows for the expression of the transgene *in vivo* and obviates the need for production, purification, and storage of recombinant proteins. Indeed, it has been shown that the intramuscular injection of adeno-associated virus (AAV) encoding murine IL-2 and TGF- $\beta$ , followed by a short pulse of IL-2, is sufficient to prevent  $\beta$ -cell autoimmunity and diabetes in non-obese diabetic mice by increasing the frequency of Foxp3<sup>+</sup>Treg without nonspecifically activating and/or expanding T cells and other effectors cells [269]. Another study demonstrated that the transfer of the gene encoding the anti-inflammatory cytokine IL-4, using AAV vectors, directly to the pancreatic islets of non-obese diabetic mice prevents islet destruction by blocking autoimmunity, mainly through the maintenance of Foxp3<sup>+</sup>Treg [270]. Despite the benefits of the systemic expression of cytokines and other molecules by gene transfer to different tissues, the concern on the possible adverse effects resulting from this systemic expression on normal immune function remains unclear. These findings, however, suggest that gene therapy employing vectors that encode cytokines and other immunomodulatory proteins may also prove to be an effective and safe strategy to manipulate type 1 diabetes.

Other promising targets of gene-based therapy of diabetes are glucagon-like peptide-1 (GLP1) and angiotensin I-converting enzyme type 2 (ACE2). GLP1, an incretin hormone that regulates postprandial blood glucose levels, has been



suggested for the treatment of type 2 diabetes given its insulinotropic action. However, this protein exhibits an extremely short half-life due to its rapid degradation by the dipeptidyl peptidase IV protease. Gene delivery of GLP1 is a way to overcome this problem and effective experimental therapeutics were indeed achieved. For instance, delivery of GLP1 cDNA, using chitosan nanocomplexes, driven by a cytomegalovirus promoter/enhancer, in Zucker diabetic fatty animals resulted in increased levels of plasma insulin and efficient clearance of the blood glucose in glucose tolerance tests, when compared to untreated animals [271]. Moreover, *in vivo* expression of GLP1 mediated by dsAAV vectors induces significant pancreatic  $\beta$ -cell proliferation, enhances  $\beta$ -cell mass and insulin secretion, and significantly delays the onset of hyperglycemia in db/db mice [272].

Gene therapy using ACE2, which is thought to act as a negative regulator for the renin-angiotensin system that also exists in pancreatic islets, was also shown to be effective on glycemic control in diabetic mice. In 8-week-old db/db mice, overexpression of ACE2 in pancreas, through the direct injection of adenoviral vectors encoding ACE2 gene, showed improved fasting glycemia, enhanced intraperitoneal glucose tolerance, increased islet insulin content and  $\beta$ -cell proliferation, and reduced  $\beta$ -cell apoptosis, as compared to the control. These evidences point to ACE2 as a novel target for the prevention of  $\beta$ -cell dysfunction and apoptosis occurring in type 2 diabetes [273].

Improvement of health condition and quality life of diabetic patients may also pass by the direct treatment of diabetic complications, such as neuropathy, renal damage, retinopathy, impaired wound healing and cardiovascular disease. One of the best examples for a possible gene therapy approach is proliferative diabetic retinopathy (DR). This pathology is the leading cause of blindness worldwide and is characterized by increased vascular permeability and retinal neovascularization [274–276]. The eye constitutes a privileged organ to assess the effectiveness of anti-angiogenic therapies, since it is non-invasively available for imaging techniques that allow the study of progression of neovascularization. Vascular endothelial growth factor (VEGF) is considered a central player in the regulation of angiogenesis, and, therefore, has been proposed as the therapeutic target for treatment of late stages of DR characterized by neovascularization. However, currently used therapeutic approaches targeting VEGF in DR carry several drawbacks. Some of the approaches, developed and clinically tested to reduce neovascularization in DR, include intravitreal injections of antibodies that bind to and block VEGF action and its receptors, such as Ranibizumab (*Lucentis*) and Bevacizumab (*Avastin*). However, intravitreal administration is associated with increased intraocular hypertension and inflammation, whereas intravenous injection was observed to have significant risks of thromboembolic events. Another disadvantage of these therapeutic approaches is the requirement of frequent and costly injections. Therefore, alternative therapies that silence VEGF, for example by the use of vectors carrying specific interference RNAs against VEGF, are considered as a new generation of more effective strategies [256, 277].

Another good example for the promising use of gene therapy is diabetic critical limb ischemia, which is one of the leading causes of disability among diabetic patients and is the most common cause of non-traumatic amputation in diabetes.

It is a disease manifested by diminished blood flow to the legs and appears to be associated, at least in part, with loss of cell and tissue response to ischemia, leading to impairment of the wound healing and angiogenic responses. A recent study using a diabetic model of critical limb ischemia have shown that intramuscular injection of an adenovirus encoding a constitutively active form of HIF-1 $\alpha$  (the key regulator of cell response to low oxygen) into the ischemic limb of diabetic mice increases the recovery of limb perfusion and function, reduces tissue necrosis, rescues the diabetes associated impairment of circulating angiogenic cells, enhances endothelial nitric oxide synthase activation, and increases vessel density and luminal area in the ischemic limb [278].

In agreement with the previous study, several reports have been suggesting that HIF-1 deregulation, induced by high glucose, is directly associated with the loss of cell adaptation to low oxygen in diabetes [279–282], having serious consequences in various organs and tissues, including myocardial collateralization, wound healing, renal, neural and retinal functions. Of significance, some groups have been showing that impairment of the HIF-1 pathway under high glucose conditions may result from the increased availability of methylglyoxal [283, 284], which is a highly reactive by-product of glycolysis. Thus, a therapeutic approach based on the gene delivery and overexpression of glyoxalase 1 (GLO1), the rate-limiting enzyme in the detoxification of methylglyoxal, to diabetic injured tissues, would ameliorate the cell response to hypoxia in diabetic tissues. Indeed, GLO1 overexpression is able to stabilize HIF-1 $\alpha$  [284], as well as to increase HIF-1 transactivation in response to low oxygen and high glucose conditions, contributing to increase the levels of VEGF, CXCR4 and stromal cell-derived factor-1 (SDF-1) [283], which are known to increase mobilization of endothelial progenitor cells, improve neovascularization and tissue repair.

Other recent studies also showed that local sustained release of VEGF, using adenovirus vector-mediated gene transfer, accelerates experimental diabetic wound healing, by stimulating angiogenesis, epithelialization and collagen deposition [285, 286]. Administration of adenoviral VEGF together with Angiopoietin-1 (ANG-1) has also been shown to be an effective and a promising therapeutic approach in the treatment of diabetic myocardial injury after an acute hypoxic challenge, such as a heart attack. Preclinical data demonstrates the efficacy of co-administration of adenoviral VEGF and ANG-1 in increasing angiogenesis, increasing heart collateral development and reducing ventricular remodeling in the infarcted diabetic myocardium [287].

Since obesity is associated with an increased risk of developing insulin resistance and type 2 diabetes, there has been great interest in the development of gene therapies for the control of obesity. Leptin gene therapy is one of the most exciting and promising approaches in this field. This has been achieved by two different systems based on the transfer of the leptin gene to muscles using viral vectors or enhanced electroporation [288]. Intracerebroventricular injection of recombinant AAV encoding leptin in rats consuming a high-fat diet (HFD) have shown that leptin treatment reduces food intake and blocks the HFD-induced increase in weight, adiposity and metabolic variables. Blood glucose was shown to be slightly

reduced but within the normal range. Treatment with leptin significantly augmented uncoupling protein-1 (UCP1) mRNA expression in brown adipose tissue, indicating increased thermogenic energy expenditure [289]. Recently, it was also found that intracerebroventricular and hypothalamic injection of recombinant AAV vectors encoding the leptin gene abolishes chronic hyperglycemia and hyperinsulinemia in animal models of diabetes [290]. Gene therapy approaches to enhance leptin supply locally in the hypothalamus, although unconventional, may offer an efficient anti-diabetic treatment able to prevent and mitigate both type 1 and 2 diabetes [291]. Another example of a molecular therapy for obesity-induced diabetes relies on the hepatic gene transfer of CPT1A, the key enzyme in fatty-acid  $\beta$ -oxidation, or its constitutive active mutant form CPT1AM, to high-fat diet-treated and genetically obese mice using AAV vectors. CPT1A and, to a greater extent, CPT1AM, enhance hepatic fatty-acid oxidation, reducing the liver triacylglyceride content in these animals. Obesity-induced weight gain, increase in fasting blood glucose and insulin levels, and augmented expression of gluconeogenic genes were also restored to normal only 3 months after AAV treatment, suggesting a protective action of both CPT1 forms against diabetes and obesity-induced insulin resistance [292].

The goal of the most current therapies for diabetes is to provide a tight glycemic control in all patients in order to minimize the significant complications associated with hyperglycemia. However, glucose management remains a difficult problem. Thus, the interest on gene therapy approaches that might replace the ongoing therapies and avoid the development of diabetic complications is increasing. The preclinical gene therapy studies mainly aim at developing strategies for insulin replacement and suppression of molecular pathways underlying the loss of  $\beta$ -cell mass and hyperglycemic complications. Given the efficacy of these approaches in animal models of diabetes, the possibility of bringing them into the clinic is becoming more real. Despite this, there are still several obstacles to overcome. One of them lays on the identification of gene delivery systems that combine a high efficiency in cell targeting and gene expression with the least immunogenicity. To date, both viral and nonviral vectors failed to meet all these requirements. Viral vectors do not completely satisfy biosafety concerns due to their immunogenicity and to the random integration of their genetic material into the host genome, whereas non-viral vectors present a low transduction efficiency and lack of cell specificity. However, many efforts are being made towards the development of a good gene transfer technology, which, in parallel with the increasing knowledge on the pathogenesis of type 1 and type 2 diabetes, makes gene therapy move closer to the clinic.

## 6 Conclusions

The prevalence of diabetes has been increasing worldwide and is expected to continue to rise over the next 50 years [2, 293]. The accompanying increase in the prevalence of diabetes-related complications and the occurrence of diabetes among younger adults, children, and adolescents is likely to have a substantial impact on

healthcare costs, with cardiovascular disease and other chronic complications of diabetes accounting for more than one quarter of all direct expenses [3]. Patients with diabetes should be followed closely to ensure that they achieve and maintain both glycemic and nonglycemic treatment objectives. Given the loss of 80% of  $\beta$ -cell function at diagnosis of diabetes, as well as the 50% prevalence of pre-existing coronary heart disease at diagnosis, a more aggressive approach from the earliest stages of disease is clearly indicated [294]. A number of new therapeutic strategies have been introduced [85], and a large number of new compounds are in various stages of development for the treatment of type 2 diabetes [295] and this reflects the enormous impact of diabetes on public health.

Thus, new approaches for the treatment of diabetes and availability of a variety of innovative therapeutic strategies will improve quality of life in the near future. Prevention and major changes in lifestyle factors such as diet and physical exercise will also be needed to contain the growing burden of diabetes.

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