

Disjoint Polymorphism

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Abstract. The combination of *intersection types*, a *merge operator* and *parametric polymorphism* enables important applications for programming. However, such combination makes it hard to achieve the desirable property of a *coherent semantics*: all valid reductions for the same expression should have the same value. Recent work proposed *disjoint intersection types* as a means to ensure coherence in a simply typed setting. However, the addition of parametric polymorphism was not studied. This paper presents F_i : a calculus with *disjoint intersection types*, a variant of *parametric polymorphism* and a *merge operator*. F_i is both type-safe and coherent. The key difficulty occurs in an intersection type, it is not statically known whether the instantiated type will be disjoint to other components of the intersection. To address this problem we propose *disjoint polymorphism*: a constrained form of parametric polymorphism, which allows disjointness constraints for type variables. With disjoint polymorphism the calculus remains very flexible in terms of programs that can be written, while retaining coherence.

1 Introduction

Intersection types [20, 43] are a popular language feature for modern languages, such as Microsoft’s TypeScript [4], Redhat’s Ceylon [1], Facebook’s Flow [3] and Scala [37]. In those languages a typical use of intersection types, which has been known for a long time [19], is to model the subtyping aspects of OO-style multiple inheritance. For example, the following Scala declaration:

```
class A extends B with C
```

says that the class A implements *both B and C*. The fact that A implements two interfaces/traits is captured by an intersection type between B and C (denoted in Scala by B with C). Unlike a language like Java, where `implements` (which plays a similar role to `with`) would be a mere keyword, in Scala intersection types are first class. For example, it is possible to define functions such as:

```
def narrow(x : B with C) : B = x
```

taking an argument with an intersection type B with C.

The existence of first-class intersections has led to the discovery of other interesting applications of intersection types. For example, TypeScript’s documentation motivates intersection types¹ as follows:

¹ <https://www.typescriptlang.org/docs/handbook/advanced-types.html>.

You will mostly see intersection types used for mixins and other concepts that don't fit in the classic object-oriented mold. (There are a lot of these in JavaScript!)

Two points are worth emphasizing. Firstly, intersection types are being used to model concepts that are not like the classical (class-based) object-oriented programming. Indeed, being a prototype-based language, JavaScript has a much more dynamic notion of object composition compared to class-based languages: objects are composed at run-time, and their types are not necessarily statically known. Secondly, the use of intersection types in TypeScript is inspired by common programming patterns in the (dynamically typed) JavaScript. This hints that intersection types are useful to capture certain programming patterns that are out-of-reach for more conventional type systems without intersection types.

Central to TypeScript's use of intersection types for modelling such a dynamic form of mixins is the function:

```
function extend<T, U>(first: T, second: U) : T & U {...}
```

The name *extend* is given as an analogy to the *extends* keyword commonly used in OO languages like Java. The function takes two objects (**first** and **second**) and produces an object with the intersection of the types of the original objects. The implementation of *extend* relies on low-level (and type-unsafe) features of JavaScript. When a method is invoked on the new object resulting from the application of **extend**, the new object tries to use the **first** object to answer the method call and, if the method invocation fails, it then uses the **second** object to answer the method call.

The *extend* function is essentially an encoding of the *merge operator*. The merge operator is used on some calculi [17, 24, 38, 47, 48] as an introduction form for intersection types. Similar encodings to those in TypeScript have been proposed for Scala to enable applications where the merge operator also plays a fundamental role [39, 46]. Unfortunately, the merge operator is not directly supported by TypeScript, Scala, Ceylon or Flow. There are two possible reasons for such lack of support. One reason is simply that the merge operator is not well-known: many calculi with intersection types in the literature do not have explicit introduction forms for intersection types. The other reason is that, while powerful, the merge operator is known to introduce (*in*)*coherence* problems [24, 47]. If care is not taken, certain programs using the merge operator do not have a unique semantics, which significantly complicates reasoning about programs.

Solutions to the problem of coherence in the presence of a merge operator exist for simply typed calculi [17, 38, 47, 48], but no prior work addresses polymorphism. Most recently, we proposed using *disjoint intersection types* [38] to guarantee coherence in λ_1 : a simply typed calculus with intersection types and a merge operator. The key idea is to allow only disjoint types in intersections. If two types are disjoint then there is no ambiguity in selecting a value of the appropriate type from an intersection, guaranteeing coherence.

Combining parametric polymorphism with disjoint intersection types, while retaining enough flexibility for practical applications, is non-trivial. The key issue

is that when a type variable occurs in an intersection type it is not statically known whether the instantiated types will be disjoint to other components of the intersection. A naive way to add polymorphism is to forbid type variables in intersections, since they may be instantiated with a type which is not disjoint to other types in an intersection. Unfortunately this is too conservative and prevents many useful programs, including the `extend` function, which uses an intersection of two type variables T and U .

This paper presents F_i : a core calculus with *disjoint intersection types*, a variant of *parametric polymorphism* and a *merge operator*. The key innovation in the calculus is *disjoint polymorphism*: a constrained form of parametric polymorphism, which allows programmers to specify disjointness constraints for type variables. With disjoint polymorphism the calculus remains very flexible in terms of programs that can be written with intersection types, while retaining coherence. In F_i the `extend` function is implemented as follows:

```
let extend  $T$  ( $U * T$ ) (first :  $T$ , second :  $U$ ) :  $T \& U =$  first , second
```

From the typing point of view, the difference between `extend` in TypeScript and F_i is that the type variable U now has a *disjointness constraint*. The notation $U * T$ means that the type variable U can be instantiated to any types that is disjoint to the type T . Unlike TypeScript, the definition of `extend` is trivial, type-safe and guarantees coherence by using the built-in merge operator `(,)`.

The applicability of F_i is illustrated with examples using `extend` ported from TypeScript, and various operations on polymorphic extensible records [29, 31, 34]. The operations on polymorphic extensible records show that F_i can encode various operations of row types [52]. However, in contrast to various existing proposals for row types and extensible records, F_i supports general intersections and not just record operations.

F_i and the proofs of coherence and type-safety are formalized in the Coq theorem prover [2]. The proofs are complete except for a minor (and trivially true) variable renaming lemma used to prove the soundness between two subtyping relations used in the formalization. The problem arises from the combination of the locally nameless representation of binding [7] and existential quantification, which prevents a Coq proof for that lemma.

In summary, the contributions of this paper are:

- **Disjoint Polymorphism:** A novel form of universal quantification where type variables can have disjointness constraints. Disjoint polymorphism enables a flexible combination of intersection types, the merge operator and parametric polymorphism.
- **Coq Formalization of F_i and Proof of Coherence:** An elaboration semantics of System F_i into System F is given. Type-soundness and coherence are proved in Coq. The proofs for these properties and all other lemmata found in this paper are available at: <https://github.com/jalpuim/disjoint-polymorphism>.
- **Applications:** We show how F_i provides basic support for dynamic mixins and various operations on polymorphic extensible records.

2 Overview

This section introduces F_i and its support for intersection types, parametric polymorphism and the merge operator. It then discusses the issue of coherence and shows how the notion of disjoint intersection types and disjoint quantification achieves a coherent semantics. This section uses some syntactic sugar, as well as standard programming language features, to illustrate the various concepts in F_i . Although the minimal core language that we formalize in Sect. 4 does not present all such features and syntactic sugar, these are trivial to add.

2.1 Intersection Types and the Merge Operator

Intersection Types. The intersection of type A and B (denoted by $A \ \& \ B$ in F_i) contains exactly those values which can be used as both values of type A and of type B . For instance, consider the following program in F_i :

```
let x : Int & Bool = ... in -- definition omitted
let succ (y : Int) : Int = y+1 in
let not (y : Bool) : Bool = if y then False else True in (succ x, not x)
```

If a value x has type $\text{Int} \ \& \ \text{Bool}$ then x can be used anywhere where either a value of type Int or a value of type Bool is expected. This means that, in the program above the functions `succ` and `not` – simple functions on integers and booleans, respectively – both accept x as an argument.

Merge Operator. The previous program deliberately omitted the introduction of values of an intersection type. There are many variants of intersection types in the literature. Our work follows a particular formulation, where intersection types are introduced by a *merge operator* [17, 24, 38, 47, 48]. As Dunfield [24] has argued a merge operator adds considerable expressiveness to a calculus. The merge operator allows two values to be merged in a single intersection type. For example, an implementation of x in F_i is $1, \text{True}$. Following Dunfield’s notation the merge of v_1 and v_2 is denoted by v_1, v_2 .

2.2 Coherence and Disjointness

Coherence is a desirable property for a semantics. A semantics is coherent if any *valid program* has exactly one meaning [47] (that is, the semantics is not ambiguous). Unfortunately the implicit nature of elimination for intersection types built with a merge operator can lead to incoherence. This is due to intersections with overlapping types, as in $\text{Int} \ \& \ \text{Int}$. The result of the program $((1, 2) : \text{Int})$ can be either 1 or 2, depending on the implementation of the language.

Disjoint Intersection Types. One option to restore coherence is to reject programs which may have multiple meanings. The λ_i calculus [38] – a simply-typed calculus with intersection types and a merge operator – solves this problem by using the concept of disjoint intersections. The incoherence problem with the

expression `1, 2` happens because there are two overlapping integers in the merge. Generally speaking, if both terms can be assigned some type `C` then both of them can be chosen as the meaning of the merge, which in its turn leads to multiple meanings of a term. Thus a natural option is to forbid such overlapping values of the same type in a merge. In λ_1 intersections such as `Int&Int` are forbidden, since the types in the intersection overlap (i.e. they are not disjoint). However an intersection such as `Char&Int` is ok because the set of characters and integers are disjoint to each other.

2.3 Parametric Polymorphism

Unfortunately, combining parametric polymorphism with disjoint intersection types is non-trivial. Consider the following program (uppercase Latin letters denote type variables):

```
let merge3 A (x : A) : A & Int = x, 3 in
```

The `merge3` function takes an argument `x` of some type (`A`) and merges `x` with `3`. Thus the return type of the program is `A & Int`. `merge3` is unproblematic for many possible instantiations of `A`. However, if `merge3` instantiates `A` with a type that overlaps (i.e. is not disjoint) with `Int`, then incoherence may happen. For example:

```
merge3 Int 2
```

can evaluate to both `2` or `3`.

Forbidding Type Variables in Intersections. A naive way to ensure that only programs with disjoint types are accepted is simply to forbid type variables in intersections. That is, an intersection type such as `Char&Int` would be accepted, but an intersection such as `A & Int` (where `A` is some type variable) would be rejected. The reasoning behind this design is that type variables can be instantiated to any types, including those already in the intersection. Thus forbidding type variables in the intersection will prevent invalid intersections arising from instantiations with overlapping types. Such design does guarantee coherence and would prevent `merge3` from type-checking. Unfortunately the big drawback is that the design is too conservative and many other (useful) programs would be rejected. In particular, the `extend` function from Sect. 1 would also be rejected.

Other Approaches. Another option to mitigate the issues of incoherence, without the use of disjoint intersection types, is to allow for a biased choice: multiple values of the same type may exist in an intersection, but an implementation gives preference to one of them. The encodings of merge operators in TypeScript and Scala [39, 46] use such an approach. A first problem with this approach, which has already been pointed out by Dunfield [24], is that the choice of the corresponding value is tied up to a particular choice in the implementation. In other words incoherence still exists at the semantic level, but the implementation makes it

predictable which overlapping value will be chosen. From the theoretical point-of-view it would be much better to have a clear, coherent semantics, which is independent from concrete implementations. Another problem is that the interaction between biased choice and polymorphism can lead to counter-intuitive programs, since instantiation of type-variables affects the type-directed lookup of a value in an intersection.

2.4 Disjoint Polymorphism

To avoid being overly conservative, while still retaining coherence in the presence of parametric polymorphism and intersection types, F_i uses *disjoint polymorphism*. Inspired by bounded quantification [14], where a type variable is constrained by a type bound, disjoint polymorphism allows type variables to be constrained so that they are disjoint to some given types.

With disjoint quantification a variant of the program `merge3`, which is accepted by F_i , is written as:

```
let merge3 (A * Int) (x : A) : A & Int = x, ,3 in
```

In this variant the type `A` can be instantiated to any types disjoint to `Int`. Such restriction is expressed by the notation `A * Int`, where the left-side of `*` denotes the type variable being declared (`A`), and the right-side denotes the disjointness constraint (`Int`). For example,

```
merge3 Bool True
```

is accepted. However, instantiating `A` with `Int` fails to type-check.

Multiple Constraints. Disjoint quantification allows multiple constraints. For example, the following variant of `merge3` has an additional boolean in the merge:

```
let merge3b (A * Int & Bool) (x : A) : A & Int & Bool = x, ,3, ,True in
```

Here the type variable `A` needs to be disjoint to both `Int` and `Bool`. In F_i such constraint is specified using an intersection type `Int & Bool`. In general, multiple constraints are specified with an intersection of all required constraints.

Type Variable Constraints. Disjoint quantification also allows type variables to be disjoint to previously defined type variables. For example, the following program is accepted by F_i :

```
let fst A (B * A) (x : A & B) : A = x in ...
```

The program has two type variables `A` and `B`. `A` is unconstrained and can be instantiated with any type. However, the type variable `B` can only be instantiated with types that are disjoint to `A`. The constraint on `B` ensures that the intersection type `A & B` is disjoint for all valid instantiations of `A` and `B`. In other words, only coherent uses of `fst` will be accepted. For example, the following use of `fst`:

```
fst Int Char (1, , 'c')
```

is accepted since `Int` and `Char` are disjoint, thus satisfying the constraint on the second type parameter of `fst`. Furthermore, problematic uses of `fst`, such as:

```
fst Int Int (1,,2)
```

are rejected because `Int` is not disjoint with `Int`, thus failing to satisfy the disjointness constraint on the second type parameter of `fst`.

Empty Constraint. The type variable `A` in the `fst` function has no constraint. In F_i this actually means that `A` should be associated with the empty constraint, which raises the question: which type should be used to represent such empty constraint? Or, in other words, which type is disjoint to every other type? It is obvious that this type should be one of the bounds of the subtyping lattice: either \perp or \top . The essential intuition here is that the more specific a type in the subtyping relation is, the less types exist that are disjoint to it. For example, `Int` is disjoint to all types except the n -ary intersections that contain `Int`, and \perp ; while `Int&Char` is disjoint to all types that do not contain `Int` or `Char`, and \perp . This reasoning that \top should be treated as the empty constraint. Indeed, in F_i , a single type variable `A` is only syntactic sugar for `A * \top` .

3 Applications

F_i is illustrated with two applications. The first application shows how to mimic some of TypeScript's examples of dynamic mixins in F_i . The second application shows how F_i enables a powerful form of polymorphic extensible records.

3.1 Dynamic Mixins

TypeScript is a language that adds static type checking to JavaScript. Amongst numerous static typing constructs, *TypeScript supports a form of intersection types, without a merge operator*. However, it is possible to define a function `extend` that mimics the merge operator:

```
function extend<T, U>(first: T, second: U): T & U {
  let result = <T & U>{};
  for (let id in first) {
    (<any>result)[id] = (<any>first)[id];
  }
  for (let id in second) {
    if (!result.hasOwnProperty(id)) {
      (<any>result)[id] = (<any>second)[id];
    }
  }
  return result;
}
class Person { constructor(public name : string, public male : boolean) {
  } }
interface Loggable { log() : void; }
class ConsoleLogger implements Loggable { log() {...} }
var jim = extend(new Person("Jim",true), new ConsoleLogger());
```

```
var n = jim.name;
jim.log();
```

In this example, taken from TypeScript’s documentation², an `extend` function is defined for mixin composition. Two classes `Person` and `ConsoleLogger` are also defined. Two instances of those classes are then composed in a variable `jim` with the type of the intersection of both using `extend`. It is type-safe to access both the properties from `Person` and `ConsoleLogger` in the object `jim`.

TypeScript’s implementation of `extend` relies on a biased choice. The function starts by creating a variable `result` with the type of the intersection. It then iterates through `first`’s properties and copies them to `result`. Next, it iterates through `second`’s properties but it only copies the properties that `result` does not possess yet (i.e. the ones present in `first`). This means that the implementation is left-biased, as the properties of left type of the intersection are chosen in favor of the ones in the right. However, in TypeScript this may be a cause of severe problems since that, at the time of writing, intersections at type-level are right-biased! For example, the following code is well-typed:

```
class Dog { constructor(public name : string, public male : string) { } }
var fool : Dog & Person = extend(new Dog("Pluto", "yes"), new
  Person("Arnold", true));
boolean b = fool.male; /* Undetected type-error here! */
```

There are a few problems here. Firstly both `Dog` and `Person` contain a `name` field, and the use of `extend` will favour the `name` field in the first object. This could be surprising for someone unfamiliar with the semantics of `extend` and, more importantly, it could easily allow unintended name clashes to go undetected. Secondly, note how `fool.male` is statically bound to a variable of type `boolean` but, at run-time, it will contain a value of type `String`! Thus the example shows some run-time type errors can still occur when using `extend`.

Other problematic issues regarding the semantics of intersection types can include the order of the types in an intersection, or even intersections including repeated types. This motivates the need to define a clear meaning for the practical application of intersection types.

Dynamic Mixins in F_i . In F_i , the merge operator is built-in. Thus `extend` is simply defined as follows:

```
let extend T (U * T) (first : T, second : U) : T & U = first ,, second in
```

The disjointness constraint on `U` ensures that no conflicts (such as duplicated fields of the same type) exists when merging the two objects. In practice this approach is quite similar to trait-based OO approaches [50]. If conflicts exist when two objects are composed, then they have to be resolved manually (by dropping fields from some object, for example). Moreover if no existing implementation can be directly reused, a new one must be provided via record extension, analogously to standard method overriding in OO languages.

² We have added the field `male` to the class `Person`.

For the previous TypeScript examples, assuming a straightforward translation from objects to (polymorphic) records, then the composition of `person` and `consoleLogger` is well-typed in F_i :

```

type Person = {name : String} & {male : Bool};
type Loggable = {log :  $\top \rightarrow \top$ };

let person (n : String) (s : Bool) : Person = {name = n} ,, {male = s} in
let consoleLogger : Loggable = {log = ...} in
let jim = extend Person Loggable (person "Jim" true) consoleLogger in
let n = jim.name in
jim.log  $\top$ 

```

However, the intersection `Dog & Person` is not accepted. This is due to both types sharing a field with the same name (`name`) and the same type (`String`). Note that the name clash between `male` fields (which have different types) does not impose any problem in this example: F_i allows and keeps duplicated fields whose types are disjoint. This feature of F_i is further illustrated next.

3.2 Extensible Records

F_i can encode polymorphic extensible records. Describing and implementing records within programming languages is certainly not novel and has been extensively studied in the past, including systems with row types [52, 53]; predicates [28–30]; flags [45]; conditional constraints [42]; cases [10]; amongst others. However, while most systems have non-trivial built-in constructs to model various aspects of records, F_i specializes the more general notion of intersection types to encode complex records.

Records and Record Operations in F_i . Systems with records usually rely on 3 basic operations: selection, restriction and extension/concatenation. Selection and concatenation (via the merge operator) are built-in in the semantics of F_i . Merges in F_i can be viewed as a generalization of record concatenation. In F_i , following well-known encodings of multi-field records in systems with intersection types and a merge operator [47, 48], there are only three rather simple constructs for records: (1) single field record types; (2) single field records; (3) field accessors. Multi-field records in F_i are encoded with intersections and merges of single field records. An example is already illustrated in Sect. 3.1. The record type `Person` is the intersection of two single field record types. The record `person "Jim" true` is built with a merge of two single field records. Finally, `jim.name` and `jim.log` illustrates the use of field accessors. Note how, through the use of subtyping, accessors will accept any intersection type that contains the single record with the corresponding field. This resembles systems with record subtyping [15, 41].

Restriction via Subtyping. In contrast to most record systems, restriction is not directly embedded in F_i . Instead, F_i uses subtyping for restriction:

```

let remove (x : {age : Int} & {name : String}) : {name : String} = x in
...

```

The function `remove` drops the field `age` from the record `x`.

Polymorphic Extensible Records. Records in F_i can have polymorphic fields, and disjointness enables encoding various operations expressible in systems with polymorphic records. For example, the following variant of `remove`

```
let remove A (B * {l : A}) (x : { l : A } & B) : B = x in ...
```

takes a value x which contains a record of type $l : A$, as well as some extra information of type B . The disjointness constraint on B ensures that values of type B do not contain a record with type $l : A$. This example shows that one can use disjoint quantification to express negative field information, which is very close to the system described by Harper and Pierce [29]. Note, however, that F_i requires explicitly stating the type of field in the constraint, whereas systems with a *lacks* (field) predicate only require the name of the field. The generality of disjoint intersection types, which allows one to encode record types, is exactly what forces us to add this extra type in the constraint. However, there is a slight gain with F_i 's approach: `remove` allows B to contain fields with label l , as long as the field types are *disjoint* to A . Such fine-grained constraint is not possible to express only with a *lacks* predicate.

Expressibility. As noted by Leijen [34], systems can typically be categorized into two distinct groups in what concerns extension: strict and free. The former does not allow field overriding when extending a record (i.e. one can only extend a record with a field that is not present in it); while the latter does account for field overriding. Our system can be seen as hybrid of these two kinds of systems.

With *lightweight extensible records* [31] – a system with strict extension – an example of a function that uses record types is the following:

```
let avg1 (R\X, R\y) => (r : {R | x:Int, y:Int}) = (r.x+r.y)/2
```

The type signature says that any record r , containing fields x and y and some more information R (which lacks both fields x and y), can be accepted returning an integer. Note how the bounded polymorphism is essential to ensure that R does not contain x nor y .

On the other hand, in Leijen's [34] system with free extension the more general program would be accepted:

```
let avg2 R (r : {x:Int, y:Int | R}) = (r.x+r.y)/2
```

In this case, if R contains either field x or field y , they would be shadowed by the labels present in the type signature. In other words, in a record with multiple x fields, the most recent (i.e. left-most) is used in any function which accesses x .

In F_i the following program can be written instead:

```
let avg3 (R*\{x:Int}&\{y:Int}) (r : {x:Int}&\{y:Int}&R) = (r.x+r.y)/2
```

Since F_i accepts duplicated fields as long as the types of the overlapping fields are disjoint, more inputs are accepted by this function than in the first system. However, since Leijen's system accepts duplicated fields even when types are overlapping, `avg3` accepts less types than `avg2`. Another major difference between F_i and the two other mentioned systems, is the ability to combine records with arbitrary types. Our system does not account for well-formedness of record types

as the other two systems do (i.e. using a special *row* kind), since our encoding of records piggybacks on the more general notion of disjoint intersection types.

4 The F_i Calculus

This section presents the syntax, subtyping, and typing of F_i : a calculus with intersection types, parametric polymorphism, records and a merge operator. This calculus is an extension of the λ_i calculus [38], which is itself inspired by Dunfield’s calculus [24]. F_i extends λ_i with (disjoint) polymorphism.

4.1 Syntax

The syntax of F_i (with the differences to λ_i highlighted in gray) is:

Types	$A, B ::= \top \mid \text{Int} \mid A \rightarrow B \mid A \& B \mid \alpha \mid \forall(\alpha * A). B \mid \{l : A\}$
Terms	$e ::= \top \mid i \mid x \mid \lambda x. e \mid e_1 e_2 \mid e_1, e_2 \mid \Lambda(\alpha * A). e \mid e A \mid \{l = e\} \mid e.l$
Contexts Γ	$::= \cdot \mid \Gamma, \alpha * A \mid \Gamma, x : A$

Types. Metavariables A, B range over types. Types include all constructs in λ_i : a top type \top ; the type of integers Int ; function types $A \rightarrow B$; and intersection types $A \& B$. The main novelty are two standard constructs of System F used to support polymorphism: type variables α and disjoint (universal) quantification $\forall(\alpha * A). B$. Unlike traditional universal quantification, the disjoint quantification includes a disjointness constraint associated to a type variable α . Finally, F_i also includes singleton record types, which consist of a label l and an associated type A . We will use $\{\alpha := A\} B$ to denote the capture-avoiding substitution of A for α inside B and $\text{ftv}(\cdot)$ for sets of free type variables.

Terms. Metavariables e range over terms. Terms include all constructs in λ_i : a canonical top value \top ; integer literals i ; variables x , lambda abstractions $(\lambda x. e)$; applications $(e_1 e_2)$; and the *merge* of terms e_1 and e_2 denoted as e_1, e_2 . Terms are extended with two standard constructs in System F: abstraction of type variables over terms $\Lambda(\alpha * A). e$; and application of terms to types $e A$. The former also includes an extra disjointness constraint tied to the type variable α , due to disjoint quantification. Singleton records consists of a label l and an associated term e . Finally, the accessor for a label l in term e is denoted as $e.l$.

Contexts. Typing contexts Γ track bound type variables α with disjointness constraints A ; and variables x with their type A . We will use $\{\alpha := A\} \Gamma$ to denote the capture-avoiding substitution of A for α in the co-domain of Γ where the domain is a type variable (i.e. all disjointness constraints). Throughout this paper, we will assume that all contexts are well-formed. Importantly, besides usual well-formedness conditions, in well-formed contexts type variables must not appear free within its own disjointness constraint.

$$\begin{array}{c}
\boxed{A \text{ ordinary}} \\
\text{Int ordinary} \quad A \rightarrow B \text{ ordinary} \quad \alpha \text{ ordinary} \quad \forall(\alpha * B). A \text{ ordinary} \\
\{l : A\} \text{ ordinary} \\
\boxed{A <: B \leftrightarrow E} \\
\frac{}{A <: \top \leftrightarrow \lambda x. ()} \text{ST} \quad \frac{A_1 <: A_2 \leftrightarrow E_1 \quad A_1 <: A_3 \leftrightarrow E_2}{A_1 <: A_2 \& A_3 \leftrightarrow \lambda x. (E_1 x, E_2 x)} \text{S\&R} \\
\frac{}{\text{Int} <: \text{Int} \leftrightarrow \lambda x. x} \text{SZ} \quad \frac{A_1 <: A_3 \leftrightarrow E \quad A_3 \text{ ordinary}}{A_1 \& A_2 <: A_3 \leftrightarrow \lambda x. \llbracket A_3 \rrbracket_{(E (\text{proj}_1 x))}} \text{S\&L}_1 \\
\frac{A <: B \leftrightarrow E}{\{l : A\} <: \{l : B\} \leftrightarrow E} \text{SREC} \quad \frac{A_2 <: A_3 \leftrightarrow E \quad A_3 \text{ ordinary}}{A_1 \& A_2 <: A_3 \leftrightarrow \lambda x. \llbracket A_3 \rrbracket_{(E (\text{proj}_2 x))}} \text{S\&L}_2 \\
\frac{}{\alpha <: \alpha \leftrightarrow \lambda x. x} \text{S}\alpha \quad \frac{B_1 <: A_1 \leftrightarrow E_1 \quad A_2 <: B_2 \leftrightarrow E_2}{A_1 \rightarrow A_2 <: B_1 \rightarrow B_2 \leftrightarrow \lambda f. \lambda x. E_2 (f (E_1 x))} \text{S}\rightarrow \\
\frac{B_1 <: B_2 \leftrightarrow E_1 \quad A_2 <: A_1 \leftrightarrow E_2}{\forall(\alpha * A_1). B_1 <: \forall(\alpha * A_2). B_2 \leftrightarrow \lambda f. \Lambda \alpha. E_1 (f \alpha)} \text{S}\forall
\end{array}$$

Fig. 1. Subtyping rules of F_i .

Syntactic Sugar. In F_i we may quantify a type variable and omit its constraint. This means that its constraint is \top . For example, the function type $\forall \alpha. \alpha \rightarrow \alpha$ is syntactic sugar for $\forall(\alpha * \top). \alpha \rightarrow \alpha$. This is discussed in more detail in Sect. 6.

4.2 Subtyping

The subtyping rules of the form $A <: B$ are shown in Fig. 1. At the moment, the reader is advised to ignore the gray-shaded parts, which will be explained later. Some rules are ported from λ_i : ST , SZ , $\text{S}\rightarrow$, S\&R , S\&L_1 and S\&L_2 .

Polymorphism and Records. The subtyping rules introduced by F_i refer to polymorphic constructs and records. $\text{S}\alpha$ defines subtyping as a reflexive relation on type variables. In $\text{S}\forall$ a universal quantifier (\forall) is covariant in its body, and contravariant in its disjointness constraints. The SREC rule says that records are covariant within their fields' types. The subtyping relation uses an auxiliary unary *ordinary* relation, which identifies types that are not intersections. The *ordinary* conditions on two of the intersection rules are necessary to produce

unique coercions [38]. The ordinary relation needs to be extended with respect to λ_i . As shown at the top of Fig. 1, the new types it contains are type variables, universal quantifiers and record types.

Properties of Subtyping. The subtyping relation is reflexive and transitive.

Lemma 1 (Subtyping reflexivity). *For any type A , $A <: A$.*

Proof. By structural induction on A . □

Lemma 2 (Subtyping transitivity). *If $A <: B$ and $B <: C$, then $A <: C$.*

Proof. By double induction on both derivations. □

4.3 Typing

Well-Formedness. The well-formedness rules are shown in the top part of Fig. 2. The new rules over λ_i are $WF\alpha$ and $WF\forall$. Their definition is quite straightforward, but note that the constraint in the latter must be well-formed.

Typing Rules. Our typing rules are formulated as a bi-directional type-system. Just as in λ_i , this ensures the type-system is not only syntax-directed, but also that there is no type ambiguity: that is, inferred types are unique. The typing rules are shown in the bottom part of Fig. 2. Again, the reader is advised to ignore the gray-shaded parts, as these will be explained later. The typing judgements are of the form: $\Gamma \vdash e \Leftarrow A$ and $\Gamma \vdash e \Rightarrow A$. They read: “in the typing context Γ , the term e can be checked or inferred to type A ”, respectively. The rules ported from λ_i are the check rules for \top (T-TOP), integers (T-INT), variables (T-VAR), application (T-APP), merge operator (T-MERGE), annotations (T-ANN); and infer rules for lambda abstractions (T-LAM), and the subsumption rule (T-SUB).

Disjoint Quantification. The new rules, inspired by System F, are the infer rules for type application T-TAPP, and for type abstraction T-BLAM. Type abstraction is introduced by the big lambda $\Lambda(\alpha * A).e$, eliminated by the usual type application $e A$ (T-TAPP). The disjointness constraint is added to the context in T-BLAM. During a type application, the type system makes sure that the type argument satisfies the disjointness constraint. Type application performs an extra check ensuring that the type to be instantiated is compatible (i.e. disjoint) with the constraint associated with the abstracted variable. This is important, as it will retain the desired coherence of our type-system; and it will be further explained in Sect. 5. For ease of discussion, also in T-BLAM, we require the type variable introduced by the quantifier to be fresh. For programs with type variable shadowing, this requirement can be met straightforwardly by variable renaming.

Records. Finally, T-REC and T-PROJR deal with record types. The former infers a type for a record with label l if it can infer a type for the inner expression; the latter says if one can infer a record type $\{l : A\}$ from an expression e , then it is safe to access the field l , and inferring type A .

$$\begin{array}{c}
\boxed{\Gamma \vdash A} \\
\frac{}{\Gamma \vdash \text{Int}} \text{WFZ} \quad \frac{\alpha * A \in \Gamma}{\Gamma \vdash \alpha} \text{WF}\alpha \quad \frac{\Gamma \vdash A}{\Gamma \vdash \{l : A\}} \text{WFR} \quad \frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \rightarrow B} \text{WF}\rightarrow \\
\frac{}{\Gamma \vdash \top} \text{WFT} \quad \frac{\Gamma \vdash A \quad \Gamma, \alpha * A \vdash B}{\Gamma \vdash \forall(\alpha * A). B} \text{WF}\forall \quad \frac{\Gamma \vdash A \quad \Gamma \vdash B \quad \Gamma \vdash A * B}{\Gamma \vdash A \& B} \text{WF}\& \\
\boxed{\Gamma \vdash e \Rightarrow A \leftrightarrow E \quad e \text{ synthesizes type } A} \\
\frac{}{\Gamma \vdash \top \Rightarrow \top \leftrightarrow ()} \text{T-TOP} \quad \frac{}{\Gamma \vdash i \Rightarrow \text{Int} \leftrightarrow i} \text{T-INT} \\
\frac{x : A \in \Gamma}{\Gamma \vdash x \Rightarrow A \leftrightarrow x} \text{T-VAR} \quad \frac{\Gamma \vdash e \Leftarrow A \leftrightarrow E}{\Gamma \vdash e : A \Rightarrow A \leftrightarrow E} \text{T-ANN} \\
\frac{\Gamma \vdash e_1 \Rightarrow A_1 \rightarrow A_2 \leftrightarrow E_1 \quad \Gamma \vdash e_2 \Leftarrow A_1 \leftrightarrow E_2}{\Gamma \vdash e_1 e_2 \Rightarrow A_2 \leftrightarrow E_1 E_2} \text{T-APP} \\
\frac{\Gamma \vdash e \Rightarrow \forall(\alpha * B). C \leftrightarrow E \quad \Gamma \vdash A \quad \boxed{\Gamma \vdash A * B}}{\Gamma \vdash e A \Rightarrow [\alpha := A] C \leftrightarrow E | A|} \text{T-TAPP} \\
\frac{\Gamma \vdash e_1 \Rightarrow A \leftrightarrow E_1 \quad \Gamma \vdash e_2 \Rightarrow B \leftrightarrow E_2 \quad \Gamma \vdash A * B}{\Gamma \vdash e_1, e_2 \Rightarrow A \& B \leftrightarrow (E_1, E_2)} \text{T-MERGE} \\
\frac{\Gamma \vdash e \Rightarrow A \leftrightarrow E}{\Gamma \vdash \{l = e\} \Rightarrow \{l : A\} \leftrightarrow E} \text{T-REC} \quad \frac{\Gamma \vdash e \Rightarrow \{l : A\} \leftrightarrow E}{\Gamma \vdash e.l \Rightarrow A \leftrightarrow E} \text{T-PROJR} \\
\frac{\Gamma \vdash A \quad \Gamma, \alpha * A \vdash e \Rightarrow B \leftrightarrow E \quad \alpha \notin \text{ftv}(\Gamma)}{\Gamma \vdash \Lambda(\alpha * A). e \Rightarrow \forall(\alpha * A). B \leftrightarrow \Lambda\alpha. E} \text{T-BLAM} \\
\boxed{\Gamma \vdash e \Leftarrow A \leftrightarrow E \quad e \text{ checks against given type } A} \\
\frac{\Gamma \vdash A \quad \Gamma, x : A \vdash e \Leftarrow B \leftrightarrow E}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \leftrightarrow \lambda x. E} \text{T-LAM} \\
\frac{\Gamma \vdash e \Rightarrow A \leftrightarrow E \quad A <: B \leftrightarrow E_{\text{sub}} \quad \Gamma \vdash B}{\Gamma \vdash e \Leftarrow B \leftrightarrow E_{\text{sub}} E} \text{T-SUB}
\end{array}$$

Fig. 2. Well-formedness and type system of F_i .

5 Disjointness

Section 4 presented a type system with disjoint intersection types and disjoint quantification. In order to prove both type-safety and coherence (in Sect. 6), it is necessary to first introduce a notion of disjointness, considering polymorphism and disjointness quantification. This section presents an algorithmic set of rules for determining whether two types are disjoint. After, it will show a few important properties regarding substitution, which will turn out to be crucial to ensure both type-safety and coherence. Finally, it will discuss the bounds of disjoint quantification and what implications they have on F_i .

5.1 Algorithmic Rules for Disjointness

The rules for the disjointness judgement are shown in Fig. 3, which consists of two judgements.

$$\begin{array}{c}
 \boxed{\Gamma \vdash A * B} \\
 \\
 \frac{}{\Gamma \vdash \top * A} \text{D}\top \qquad \frac{}{\Gamma \vdash A * \top} \text{D}\top\text{Sym} \qquad \frac{\alpha * A \in \Gamma \quad A <: B}{\Gamma \vdash \alpha * B} \text{D}\alpha \\
 \\
 \frac{\alpha * A \in \Gamma \quad A <: B}{\Gamma \vdash B * \alpha} \text{D}\alpha\text{Sym} \qquad \frac{\Gamma, \alpha * A_1 \& A_2 \vdash B * C}{\Gamma \vdash \forall(\alpha * A_1). B * \forall(\alpha * A_2). C} \text{D}\forall \\
 \\
 \frac{\Gamma \vdash A * B}{\Gamma \vdash \{l : A\} * \{l : B\}} \text{DRec=} \qquad \frac{l_1 \neq l_2}{\Gamma \vdash \{l_1 : A\} * \{l_2 : B\}} \text{DRec}\neq \\
 \\
 \frac{\Gamma \vdash A_2 * B_2}{\Gamma \vdash A_1 \rightarrow A_2 * B_1 \rightarrow B_2} \text{D}\rightarrow \qquad \frac{\Gamma \vdash A_1 * B \quad \Gamma \vdash A_2 * B}{\Gamma \vdash A_1 \& A_2 * B} \text{D}\&\text{L} \\
 \\
 \frac{\Gamma \vdash A * B_1 \quad \Gamma \vdash A * B_2}{\Gamma \vdash A * B_1 \& B_2} \text{D}\&\text{R} \qquad \frac{A *_{\text{ax}} B}{\Gamma \vdash A * B} \text{D}A_{\text{ax}} \\
 \\
 \boxed{A *_{\text{ax}} B} \\
 \\
 \frac{B *_{\text{ax}} A}{A *_{\text{ax}} B} \text{D}_{A_{\text{ax}}}\text{SYM} \qquad \frac{}{\text{Int} *_{\text{ax}} A_1 \rightarrow A_2} \text{D}_{A_{\text{ax}}}(\mathbb{Z}\rightarrow) \qquad \frac{}{\text{Int} *_{\text{ax}} \{l : A\}} \text{D}_{A_{\text{ax}}}(\mathbb{Z}\text{Rec}) \\
 \\
 \frac{}{\text{Int} *_{\text{ax}} \forall(\alpha * B_1). B_2} \text{D}_{A_{\text{ax}}}(\mathbb{Z}\forall) \qquad \frac{}{A_1 \rightarrow A_2 *_{\text{ax}} \forall(\alpha * B_1). B_2} \text{D}_{A_{\text{ax}}}(\rightarrow\forall) \\
 \\
 \frac{}{A_1 \rightarrow A_2 *_{\text{ax}} \{l : B\}} \text{D}_{A_{\text{ax}}}(\rightarrow\text{Rec}) \qquad \frac{}{\forall(\alpha * A_1). A_2 *_{\text{ax}} \{l : B\}} \text{D}_{A_{\text{ax}}}(\forall\text{Rec})
 \end{array}$$

Fig. 3. Algorithmic disjointness.

Main Judgement. The judgement $\Gamma \vdash A * B$ says two types A and B are disjoint in a context Γ . The rules are inspired in the disjointness algorithm described by λ_i . $D\top$ and $D\top\text{Sym}$ say that any type is disjoint to \top . This is a major difference to λ_i , where the notion of disjointness explicitly forbids the presence of \top types in intersections. We will further discuss this difference in Sect. 6.

Type variables are dealt with two rules: $D\alpha$ is the base rule; and $D\alpha\text{Sym}$ is its twin symmetrical rule. Both rules state that a type variable is disjoint to some type B , if Γ contains any subtype of the corresponding disjointness constraint. This rule is a specialization of the more general lemma:

Lemma 3 (Covariance of disjointness). *If $\Gamma \vdash A * B$ and $B <: C$, then $\Gamma \vdash A * C$.*

Proof. By double induction, first on the disjointness derivation and then on the subtyping derivation. The first induction case for $D\alpha$ does not need the second induction as it is a straightforward application of subtyping transitivity. \square

The lemma states that if a type A is disjoint to B under Γ , then it is also disjoint to any supertype of B . Note how these two variable rules would allow one to prove $\alpha * \alpha$, for any variable α . However, under the assumption that contexts are well-formed, such derivation is not possible as α cannot occur free in A .

The rule for disjoint quantification $D\forall$ is the most interesting. To illustrate this rule, consider the following two types:

$$(\forall(\alpha * \text{Int}). \text{Int} \& \alpha) \quad (\forall(\alpha * \text{Char}). \text{Char} \& \alpha)$$

When are these two types disjoint? In the first type α cannot be instantiated with Int and in the second case α cannot be instantiated with Char . Therefore for both bodies to be disjoint, α cannot be instantiated with either Int or Char . The rule for disjoint quantification adds a constraint composed of the intersection of both constraints into Γ and checks for disjointness in the bodies under that environment. The reader might notice how this intersection does not necessarily need to be well-formed, in the sense that the types that compose it might not be disjoint. This is not problematic because the intersections present as constraints in the environment do not contribute directly to the (coherent) coercions generated by the type-system. In other words, intersections play two different roles in F_i , as:

1. **Types:** restricted (i.e. disjoint) intersections are required to ensure coherence.
2. **Constraints:** arbitrary intersections are sufficient to serve as constraints under polymorphic instantiation.

The rules $D\text{Rec}_=$ and $D\text{Rec}\neq$ define disjointness between two single label records. If the labels coincide, then the records are disjoint whenever their fields' types are also disjoint; otherwise they are always disjoint. Finally, the remaining rules are identical to the original rules.

Axioms. Axiom rules take care of two types with different language constructs. These rules capture the set of rules is that $A *_{\text{ax}} B$ holds for all two types of different constructs unless any of them is an intersection type, a type variable, or \top . Note that disjointness with type variables is already captured by $D\alpha$ and $D\alpha\text{Sym}$, and disjointness with the \top type is captured by $D\top$ and $D\top\text{Sym}$.

5.2 Well-Formed Types

In F_i it is important to show that the type-system only produces well-formed types. This is crucial to ensure coherence, as shown in Sect. 6. However, in the presence of both polymorphism and disjoint intersection types, extra effort is needed to show that all types in F_i are well-formed. To achieve this, not only we need to show that a weaker version of the general substitution lemma holds, but also that disjointness between two types is preserved after substitution. To motivate the former (i.e. why general substitution does not hold in F_i), consider the type $\forall(\alpha * \text{Int}). (\alpha \& \text{Int})$. The type variable α cannot be substituted by any type: substituting with Int will lead to the ill-formed type $\text{Int} \& \text{Int}$. To motivate the latter, consider the judgement $\alpha * \text{Int} \vdash \alpha * \text{Int}$. After the substitution of Int for α on the two types, the sentence $\alpha * \text{Int} \vdash \text{Int} * \text{Int}$ is no longer true, since Int is clearly not disjoint with itself. Generally speaking, a careless substitution can violate the constraints in the context. However, if appropriate disjointness pre-conditions are met, then disjointness can be preserved. More formally, the following lemma holds:

Lemma 4 (Disjointness is stable under substitution). *If $(\alpha * D) \in \Gamma$ and $\Gamma \vdash C * D$ and $\Gamma \vdash A * B$ and well-formed context $[\alpha := C] \Gamma$, then $[\alpha := C] \Gamma \vdash [\alpha := C] A * [\alpha := C] B$.*

Proof. By induction on the disjointness derivation of C and D . Special attention is needed for the variable case, where it is necessary to prove stability of substitution for the subtyping relation. It is also needed to show that, if C and D do not contain any variable x , then it is safe to make a substitution in the co-domain of the environment. \square

We can now prove a weaker version of the general substitution lemma:

Lemma 5 (Types are stable under substitution). *If $\Gamma \vdash A$ and $\Gamma \vdash B$ and $(\alpha * C) \in \Gamma$ and $\Gamma \vdash B * C$ and well-formed context $[\alpha := B] \Gamma$, then $[\alpha := B] \Gamma \vdash [\alpha := B] A$.*

Proof. By induction on the well-formedness derivation of A . The intersection case requires the use of Lemma 4. Also, the variable case required proving that if α does not occur free in A , and it is safe to substitute it in the co-domain of Γ , then it is safe to perform the substitution. \square

Now we can finally show that all types produced by the type-system are well-formed and, more specifically, justify that the disjointness premise on T-TAPP is sufficient for that purpose. More formally, we have that:

Lemma 6 (Well-formed typing). *We have that:*

- If $\Gamma \vdash e \Leftarrow A$, then $\Gamma \vdash A$.
- If $\Gamma \vdash e \Rightarrow A$, then $\Gamma \vdash A$.

Proof. By induction on the derivation and applying Lemma 5 in the case of T-TAPP. \square

Even though the meta-theory is consistent, we can still ask: what are the bounds of disjoint quantification? In other words, which type(s) can be used to allow unrestricted instantiation, and which one(s) will completely restrict instantiation? As the reader might expect, the answer is tightly related to subtyping.

5.3 Bounds of Disjoint Quantification

Substitution raises the question of what range of types can be instantiated for a given variable α , under a given context Γ . To answer this question, we ask the reader to recall the rule $D\alpha$, copied below:

$$\frac{\alpha * A \in \Gamma \quad A <: B}{\Gamma \vdash \alpha * B} D\alpha$$

Given that the cardinality of F_i 's types is infinite, for the sake of this example we will restrict the type universe to a finite number of primitive types (i.e. `Int` and `String`), disjoint intersections of these types, \top and \perp . Now we may ask: how many suitable types are there to instantiate α with, depending on A ? The rule above tells us that the more super-types A has, the more types α has to be disjoint with. In other words, the choices for instantiating α are inversely proportional to the number of super-types of A . It is easy to see that the number of super-types of A is directly proportional to the number of intersections in A . For example, taking A as `Int` leads B to be either \top or `Int`; whereas A as `Int&String` leaves B as either \top , `Int` or `String`. Thus, the choices of α are inversely proportional to the number of intersections in A . Following the same logic, choosing \top (i.e. the 0-ary intersection) as constraint leaves α with the most options for instantiation; whereas \perp (i.e. the infinite intersection) will deliver the least options. Consequently, we may conclude that \top is the empty constraint: a variable associated to it can be instantiated to any well-formed type. It is a subtle but very important property, since F_i is a generalization of System F. Any type variable quantified in System F, can be quantified equivalently in F_i by assigning it a \top disjointness constraint (as seen in Sect. 2.4).

6 Semantics, Coherence and Type-Safety

This section discusses the elaboration semantics of F_i and proves type-safety and coherence. It will first show how the semantics is described by an elaboration to System F. Then, it will discuss the necessary extensions to retain coherence, namely in the coercions of top-like types; coercive subtyping, and bidirectional type-system's elaboration.

6.1 Target Language

The dynamic semantics of the call-by-value F_i is defined by means of a type-directed translation to an extension of System F with pairs. The syntax and typing of our target language is unsurprising:

Types $T ::= \alpha \mid \text{Int} \mid T_1 \rightarrow T_2 \mid \forall \alpha. T \mid () \mid (T_1, T_2)$
 Terms $E ::= x \mid i \mid \lambda x. E \mid E_1 E_2 \mid \Lambda \alpha. E \mid E T \mid () \mid (E_1, E_2) \mid \text{proj}_1 E \mid \text{proj}_2 E$
 Contexts $G ::= \cdot \mid G, \alpha \mid G, x : T$

The highlighted part shows its difference with the standard System F. The interested reader can find the formalization of the full target language syntax and typing rules in our Coq development.

Type and Context Translation. Figure 4 defines the type translation function $|\cdot|$ from F_i types A to target language types T . The notation $|\cdot|$ is also overloaded for context translation from F_i contexts Γ to target language contexts G .

$$\begin{array}{c}
 \boxed{|A| = T} \qquad \qquad \qquad \boxed{|\Gamma| = G} \\
 \\
 |\alpha| = \alpha \qquad \qquad \qquad |\cdot| = \cdot \\
 |T| = () \qquad \qquad \qquad |\Gamma, \alpha * A| = |\Gamma|, \alpha \\
 |A_1 \rightarrow A_2| = |A_1| \rightarrow |A_2| \qquad \qquad \qquad |\Gamma, \alpha : A| = |\Gamma|, \alpha : |A| \\
 |\forall(\alpha * A). B| = \forall \alpha. |B| \\
 |A_1 \& A_2| = (|A_1|, |A_2|) \\
 |\{l : A\}| = |A|
 \end{array}$$

Fig. 4. Type and context translation.

6.2 Coercive Subtyping and Coherence

Coercive Subtyping. The judgement $A_1 <: A_2 \hookrightarrow E$ present in Fig. 1, extends the subtyping relation with a coercion on the right hand side of \hookrightarrow . A coercion E is just a term in the target language and is ensured to have type $|A_1| \rightarrow |A_2|$ (by Lemma 7). For example, $\text{Int} \& \alpha <: \alpha \hookrightarrow \lambda x. \text{proj}_2 x$, generates a target coercion function with type: $(\text{Int}, \alpha) \rightarrow \alpha$.

Rules $S\top$, $S\alpha$, SZ , $S\rightarrow$, $S\&L_1$, $S\&L_2$, and $S\&R$ are taken directly from λ_i . In rule $S\alpha$, the coercion is simply the identity function. Rule $S\forall$ elaborates disjoint quantification, reusing only the coercion of subtyping between the bodies of both types. Rule $S\text{REC}$ elaborates records by simply reusing the coercion generated between the inner types. Finally, all rules produce type-correct coercions:

Lemma 7 (Subtyping rules produce type-correct coercions). *If $A_1 <: A_2 \hookrightarrow E$, then $\cdot \vdash E : |A_1| \rightarrow |A_2|$.*

Proof. By a straightforward induction on the derivation. \square

Unique Coercions. In order to prove the type-system coherent, the subtyping relation also needs to be shown coherent. In F_i the following lemma holds:

Lemma 8 (Unique subtype contributor). *If $A_1 \& A_2 <: B$, where $A_1 \& A_2$ and B are well-formed types, and B is not top-like, then it is not possible that the following holds at the same time:*

1. $A_1 <: B$
2. $A_2 <: B$

Proof. By double induction: the first on the disjointness derivation (which follows from $A_1 \& A_2$ being well-formed); the second on type B . The variable cases $D\alpha$ and $D\alpha\text{Sym}$ needed to show that, for any two well-formed and disjoint types A and B , and B is not toplike, then A cannot be a subtype of B . \square

Using this lemma, we can show that the coercion of a subtyping relation $A <: B$ is uniquely determined. This fact is captured by the following lemma:

Lemma 9 (Unique coercion). *If $A <: B \leftrightarrow E_1$ and $A <: B \leftrightarrow E_2$, where A and B are well-formed types, then $E_1 \equiv E_2$.*

Proof. By induction on the first derivation and case analysis on the second. \square

6.3 Top-Like Types and Their Coercions

Lemma 8, which is fundamental in the proof of coherence of subtyping, holds under the condition that B is not a *top-like type*. Top-like types in F_i include \top as well as other syntactically different types that behave as \top (such as $\top \& \top$). It is easy to see that the unique subtyping contributor lemma is invalidated if no restriction on top-like types exists. Since every type is a subtype of \top then, without the restriction, the lemma would never hold.

Rules. F_i 's definition of top-like types extends that from λ_i . The rules that compose this unary relation, denoted as $\lceil \cdot \rceil$, are presented at the top of Fig. 5. The new rules are TLREC and $\text{TL}\forall$. Both rules say that their constructs are top-like whenever their enclosing expressions are also top-like.

Coercions for Top-Like Types. Coercions for top-like types require special treatment for retaining coherence. Although Lemma 8 does not hold for top-like types, we can still ensure that any coercions for top-like types are unique, *even if multiple derivations exist*. The meta-function $\llbracket A \rrbracket$, shown at the bottom of Fig. 5, defines coercions for top-like types. With respect to λ_i the record and \forall cases are now defined, and there is also a change in the $\&$ case (covering types such as $\top \& \top$). With this definition, although two derivations exist for type $\text{Char} \& \text{Int} <: \top$, they both generate the coercion $\lambda x.()$.

$$\begin{array}{c}
 \boxed{\lceil A \rceil} \\
 \\
 \frac{}{\lceil \top \rceil} \text{TL}\top \qquad \frac{\lceil A \rceil \quad \lceil B \rceil}{\lceil A \& B \rceil} \text{TL}\& \qquad \frac{\lceil B \rceil}{\lceil A \rightarrow B \rceil} \text{TL}\rightarrow \qquad \frac{\lceil A \rceil}{\lceil \{l : A\} \rceil} \text{TLREC} \\
 \\
 \frac{\lceil A \rceil}{\lceil \forall(\alpha * B). A \rceil} \text{TL}\forall \\
 \\
 \boxed{\llbracket A \rrbracket_C = \top} \qquad \boxed{\llbracket A \rrbracket = \top} \\
 \\
 \llbracket A \rrbracket_C = \begin{cases} \lceil A \rceil & \llbracket A \rrbracket \\ \text{otherwise} & C \end{cases} \qquad \begin{array}{l} \llbracket \top \rrbracket = () \\ \llbracket A_1 \rightarrow A_2 \rrbracket = \lambda x. \llbracket A_2 \rrbracket \\ \llbracket A_1 \& A_2 \rrbracket = (\llbracket A_1 \rrbracket, \llbracket A_2 \rrbracket) \\ \llbracket \{l : A\} \rrbracket = \llbracket A \rrbracket \\ \llbracket \forall(\alpha * B). A \rrbracket = \Lambda \alpha. \llbracket A \rrbracket \end{array}
 \end{array}$$

Fig. 5. Top-like types and their coercions.

Allowing Overlapping Top-Like Types in Intersections. In F_i $\top \& \top$ is a well-formed disjoint intersection type. This may look odd at first, since all other types cannot appear more than once in an intersection. Indeed, in λ_i , $\top \& \top$ is not well-formed. However, \top -types are special in that they have a *unique* canonical top value, which is translated to the unit value $()$ in the target language. In other words a merge of two \top -types will always return the same value regardless of which component of the merge is chosen. This is different from merges of non \top -types, which do not have this property. Thus, one may say that \top -types are *coherent* with every other type. This property makes \top -types perfect candidates for the empty constraint, but such can only be achieved by allowing \top in intersections. This explains the more liberal treatment of top types F_i when compared to λ_i .

6.4 Elaboration of the Type-System and Coherence

In order to prove the coherence result, we refer to the bidirectional type-system introduced in Sect. 4. The bidirectional type-system is elaborating, producing a term in the target language while performing the typing derivation.

Key Idea of the Translation. This translation turns merges into usual pairs, similar to Dunfield’s elaboration approach [24]. It also translates the form of disjoint quantification and disjoint type application into regular (polymorphic) quantification and type application. For example, $\Lambda(\alpha * \text{Int}). \lambda x. (x, , 1)$ in F_i will be translated into System F’s $\Lambda \alpha. \lambda x. (x, 1)$.

The Translation Judgement. The translation judgement $\Gamma \vdash e : A \hookrightarrow E$ extends the typing judgement with an elaborated term on the right hand side of \hookrightarrow .

The translation ensures that E has type $|A|$. The two rules for type abstraction (T-BLAM) and type application (T-TAPP) generate the expected corresponding coercions in System F. The coercions generated for T-REC and T-PROJR simply erase the labels and translate the corresponding underlying term. All the remaining rules are ported from λ_i .

Type-Safety. The type-directed translation is type-safe. This property is captured by the following two theorems.

Theorem 1 (Type preservation). *We have that:*

- If $\Gamma \vdash e \Rightarrow A \hookrightarrow E$, then $|\Gamma| \vdash E : |A|$.
- If $\Gamma \vdash e \Leftarrow A \hookrightarrow E$, then $|\Gamma| \vdash E : |A|$.

Proof. By structural induction on the term and the respective inference rule. \square

Theorem 2 (Type safety). *If e is a well-typed F_i term, then e evaluates to some System F value v .*

Proof. Since we define the dynamic semantics of F_i in terms of the composition of the type-directed translation and the dynamic semantics of System F, type safety follows immediately. \square

Uniqueness of Type-Inference. An important property of the bidirectional type-checking is that, given an expression e , if it is possible to infer a type for it, then e has a unique type.

Theorem 3 (Uniqueness of type-inference). *If $\Gamma \vdash e \Rightarrow A_1 \hookrightarrow E_1$ and $\Gamma \vdash e \Rightarrow A_2 \hookrightarrow E_2$, then $A_1 = A_2$.*

Proof. By structural induction on the term and the respective inference rule. \square

Coherency of Elaboration. Combining the previous results, we are finally able to show the central theorem:

Theorem 4 (Unique elaboration). *We have that:*

- If $\Gamma \vdash e \Rightarrow A \hookrightarrow E_1$ and $\Gamma \vdash e \Rightarrow A \hookrightarrow E_2$, then $E_1 \equiv E_2$.
- If $\Gamma \vdash e \Leftarrow A \hookrightarrow E_1$ and $\Gamma \vdash e \Leftarrow A \hookrightarrow E_2$, then $E_1 \equiv E_2$.

(“ \equiv ” means syntactical equality, up to α -equality.)

Proof. By induction on the first derivation. The most important case is the subsumption rule:

$$\frac{\Gamma \vdash e \Rightarrow A \hookrightarrow E \quad A <: B \hookrightarrow E_{\text{sub}} \quad \Gamma \vdash B}{\Gamma \vdash e \Leftarrow B \hookrightarrow E_{\text{sub}} E} \text{T-SUB}$$

We need to show that E_{sub} is unique (by Lemma 9), and thus to show that A is well-formed (by Lemma 6). Note that this is the place where stability of substitutions (used by Lemma 6) plays a crucial role in guaranteeing coherence. We also need to show that A is unique (by Theorem 3). Uniqueness of A is needed to apply the induction hypothesis. \square

7 Related Work

Intersection Types, Polymorphism and the Merge Operator. To our knowledge no previous work presents a *coherent* calculus which includes parametric polymorphism, intersection types and a *merge* operator. Only Pierce’s F_{\wedge} [40] supports intersection types, polymorphism and, as an extension, the merge operator (called `glue` in F_{\wedge}). However, with such extension, F_{\wedge} is incoherent. Various simply typed systems with intersections types and a merge operator have been studied in the past. The merge operator was first introduced by Reynold’s in the Forsythe [48] language. The merge operator in Forsythe is coherent [47], but it has various restrictions to ensure coherence. For example Forsythe merges cannot contain more than one function. Many of those restrictions are lifted in F_i . Castagna et al. [17] studied a coherent calculus with a special merge operator that works on functions only. The goal was to model *function overloading*. Unlike Reynold’s operator, multiple functions are allowed in merges, but non-functional types are forbidden. More recently, Dunfield [24] formalised a system with intersection types and a merge operator with a type-directed translation to the simply-typed lambda calculus with pairs. Although Dunfield’s calculus is incoherent, it was the inspiration for the λ_i calculus [38], which F_i extends.

λ_i solves the coherence problem for a calculus similar to Dunfield’s, by requiring that intersection types can only be composed of *disjoint* types. λ_i uses a specification for disjointness, which says that two types are disjoint if they do not share a common supertype. F_i does not use such specification as its adaptation to polymorphic types would require using unification, making the specification arguably more complex than the algorithmic rules (and defeating the purpose of having a specification). F_i ’s notion of disjointness is based on λ_i ’s more general notion of disjointness concerning \top types, called \top -disjointness. \top -disjointness states that two types A and B are disjoint if two conditions are satisfied:

1. (not $\top A$) and (not $\top B$)
2. $\forall C$. if $A <: C$ and $B <: C$ then $\top C$

A significant difference between the F_i and λ_i , is that \top -disjointness does not allow \top in intersections, while F_i allows this. In other words, condition (1) is not imposed by F_i . As a consequence, the set of well-formed *top-like* types is a superset of λ_i ’s. This is covered in greater detail in Sect. 6.3.

Intersection Types and Polymorphism, Without the Merge Operator. Recently, Castagna et al. [18] studied a coherent calculus that has polymorphism and set-theoretic type connectives (such as intersections, unions, and negations). Their calculus is based on a semantic subtyping relation due to their interpretation of intersection types. The difference to F_i , is that their intersections are used between function types, allowing overloading (i.e. branching) of types. For example, they can express a function whose domain is defined on any type, but executes different code depending on that type:

$$\lambda^{(\text{Int} \rightarrow \text{Bool}) \wedge (\alpha \setminus \text{Int} \rightarrow \alpha \setminus \text{Int})} \chi. (\chi \in \text{Int}) ? (\chi \bmod 2) = 0 : \chi$$

In our system we cannot express some of these intersections, namely the ones that do not have disjoint co-domains. However, F_i accepts other kinds of intersections which are not possible to express in their calculus. For example merges with type $(\text{Int} \rightarrow \text{Bool}) \& (\text{Int} \rightarrow \text{Int})$ are accepted in F_i . Similarly to Castagna et al. previous work [17], their work is focused on combining intersections with functions (but without a merge operator), whereas F_i is concerned with merges between arbitrary types. Nevertheless, both systems need to express negative information about type variables. That is, which types a given variable cannot be instantiated to. In their calculus, difference takes this role (i.e. $\alpha \setminus \text{Int}$); whereas in F_i , one can express it using disjoint quantification (i.e. $\forall(\alpha * \text{Int}). \dots$).

Going in the direction of higher kinds, Compagnoni and Pierce [19] added intersection types to System F_ω and used a new calculus, F_ω^ω , to model multiple inheritance. In their system, types include the construct of intersection of types of the same kind K . Davies and Pfenning [22] studied the interactions between intersection types and effects in call-by-value languages. They proposed a “value restriction” for intersection types, similar to value restriction on parametric polymorphism. None of these calculi has a merge operator.

Recently, some form of intersection types has been adopted in object-oriented languages such as Scala [37], TypeScript [4], Flow [3], Ceylon [1], and Grace [9]. There is also a foundational calculus for Scala that incorporates intersection types [49]. The most significant difference between F_i and those languages/calculi is that they have no explicit introduction construct like our merge operator. The lack of a native merge operator leads to several ad-hoc solutions for defining a merge operator in those languages, as discussed in Sects. 1 and 3.1.

Extensible Records. The encoding of multi-field records using intersection types and the merge operator first appeared in Forsythe [48]. Castagna et al. [17] propose an alternative encoding for records. Similarly to F_i ’s treatment of elaborating records is Cardelli’s work [13] on translating a calculus with extensible records ($F_{<.\rho}$) to a simpler calculus without records primitives ($F_{<.$). However, he does not encode multi-field records as intersections/merges hence his translation is more heavyweight. Crary [21] used intersection types and existential types to address the problem arising from interpreting method dispatch as self-application, but he did not use intersection types to encode multi-field records.

Wand [52] started the work on extensible records and proposed row types [53] for records, together with a *concatenation* operator, which is used in many calculi with extensible records [29, 35, 42, 44, 51, 53]. Cardelli and Mitchell [15] defined three primitive operations on records that are also standard in type-systems with record types: *selection*, *restriction*, and *extension*. Several calculi are based on these three primitive operators (especially extension) [10, 28, 31, 33, 34, 45]. The merge operator in F_i generalizes the concatenation operator for records, as its components may contain any types (and not just records). Systems with concatenation typically use a set of constraints/filters (such as *lacks* and *contains*) which are useful to combine several, possibly polymorphic, records [34]. In F_i , constraints on labels are encoded using disjoint quantification and intersections. Although systems with records can model structurally typed OO languages, it

is harder to encode nominal objects. One advantage of the generality of intersections and merges is that it is easier to have nominal objects. Unlike systems with records, which have been extensively studied, there is much less work on intersection type systems with a merge operator. An interesting avenue for future work is to see whether some known compilation and type-inference techniques for extensible records can be adapted to disjoint intersections and merges.

Traits and Mixins. Traits [23, 26, 36] and mixins [5, 6, 8, 11, 25, 27] have become very popular in object-oriented languages. They enable restricted forms of multiple inheritance. One of the main differences between traits and mixins are the way in which ambiguity of names is dealt with. Traits reject programs which compose classes with conflicting method implementations, whereas mixins assume a resolution strategy, which is usually language dependent. Our example demonstrated in Sect. 3 suggests that disjointness in F_i enables a model with a philosophy similar to traits: merging multiple values of overlapping types is forbidden. However while our simple encodings of objects work for very dynamic forms of prototype inheritance, the work on type systems for mixins/traits usually builds on more conventional class-based OO models.

Constrained Types. The notion of disjoint quantification is inspired on *bounded polymorphism* [12, 16]. Such form of polymorphism typically uses types as subtyping bounds, whereas disjoint quantification uses types as *disjoint* (i.e. coherent) bounds. Another line of work are *qualified types* [32], which uses predicates on types to express constraints. However, qualified types are only applicable to the class of Hindley-Milner languages and such predicates are only defined over monotypes. F_i falls outside this class of languages, plus its constraints can be expressed using any arbitrary type (and not just monotypes).

8 Conclusion and Future Work

This paper described F_i : a System F-based language that combines intersection types, parametric polymorphism and a merge operator. The language is proved to be type-safe and coherent. To ensure coherence the type system accepts only disjoint intersections. To provide flexibility in the presence of parametric polymorphism, universal quantification is extended with disjointness constraints. We believe that disjoint intersection types and disjoint quantification are intuitive, and at the same time flexible enough to enable practical applications.

For the future, we intend to create a prototype-based statically typed source language based on F_i . We are also interested in extending our work to systems with union types and a \perp type. Union types are also widely used in languages such as Ceylon or Flow, but preserving coherence in the presence of union types is challenging. The naive addition of \perp seems to be problematic. The proofs for F_i rely on the invariant that a type variable α can never be disjoint to another type that contains α . The addition of \perp breaks this invariant, allowing us to derive, for example, $\Gamma \vdash \alpha * \alpha$. Finally, we could study a similar system with implicit

polymorphism. Such system would require some changes in the subtyping and disjointness relations. For instance, subtyping should allow $\forall\alpha. \alpha \rightarrow \alpha <: \mathbf{Int} \rightarrow \mathbf{Int}$. Consequently, the disjointness relation would have to be modified, since valid statements in F_i such as $\Gamma \vdash \forall\alpha. \alpha \rightarrow \alpha * \mathbf{Int} \rightarrow \mathbf{Int}$ would no longer hold under the more powerful subtyping relation.

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