The $(\sigma + 1)$ -Edge-Connectivity Augmentation Problem without Creating Multiple Edges of a Graph

Satoshi Taoka and Toshimasa Watanabe

Department of Circuits and Systems, Faculty of Engineering, Hiroshima University 1-4-1, Kagamiyama, Higashi-Hiroshima, 739-8527 Japan Phone: +81-824-24-7666 (Taoka), -7662 (Watanabe) Facsimile: +81-824-22-7028 E-mail : {taoka,watanabe}@infonets.hiroshima-u.ac.jp

Abstract. The unweighted k-edge-connectivity augmentation problem (kECA for short) is defined by "Given a σ -edge-connected graph G = (V, E), find an edge set E' of minimum cardinality such that $G' = (V, E \cup E')$ is $(\sigma + \delta)$ -edge-connected and $\sigma + \delta = k$ ", where E' is called a solution to the problem. Let kECA(S,SA) denote kECA such that both G and G' are simple.

The subject of the present paper is $(\sigma + 1)$ ECA(S,SA) (or kECA(S,SA) with $k = \sigma + 1$). Let \mathcal{M} be any maximum matching of a certain graph R(G) whose vertex set V_R consists of vertices representing all leaves of G. From \mathcal{M} we obtain an edge set E'_0 , with $|E'_0| = |\mathcal{M}|$, such that each edge connects vertices in distinct leaves of G. Let \mathcal{L}_1 be the set of leaves to be created by adding E'_0 to G, and \mathcal{K}_1 the set of remaining leaves of G.

The main result is to propose two $O(\sigma^2 |V| \log(|V|/\sigma) + |E| + |V_R|^2)$ time algorithms for finding the following solutions: (1) an optimum solution if *G* has at least $2\sigma + 6$ leaves or if $|\mathcal{L}_1| \leq |\mathcal{K}_1|$ and *G* has less than $2\sigma + 6$ leaves; (2) a $\frac{3}{2}$ -approximate solution if $|\mathcal{L}_1| > |\mathcal{K}_1|$ and *G* has less than $2\sigma + 6$ leaves.

1 Introduction

The unweighted k-edge-connectivity augmentation problem (kECA for short) is described as follows: "Given a σ -edge-connected graph G = (V, E), find an edge set E' of minimum cardinality such that $G' = (V, E \cup E')$ is $(\sigma + \delta)$ -edgeconnected and $\sigma + \delta = k$." We often denote G' as G + E', and E' is called a solution to the problem. Let kECA(*,**) denote kECA with the following restriction (i) and (ii) on G and E', respectively: (i) * is set to S if G is required to be simple, and * is left to mean that G may be a multiple graph; (ii) ** is set to MA if creation of new multiple edges in constructing G' is allowed, and is set to SA otherwise. In kECA(*,SA), if G is simple then so is G', or if G has multiple edges then any multiple edge of G' exists in G. As for kECA,

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kECA(*,MA) has mainly been discussed so far. See [3,5,7,8,12,13,20,21,22,23] for the results. It is natural for us to assume that $|V| \ge \sigma + 2$ in $(\sigma + 1)$ ECA(S,SA): in $(\sigma + 1)$ ECA(*,SA), we may have $|V| \le \sigma + 1$.

As related results, kECA(S,SA) for G having no edges was first discussed in [6], where the problem that is more general than kECA(S,SA) is considered. An O(|V|+|E|) algorithm for 2ECA(S,SA) can be obtained by slightly modifying the one given in [3] for 2ECA(*,MA). As for 3ECA(*,SA), [23] proposed an O(|V|+|E|) algorithm for 3ECA(*,MA), and showed that if $|V| \ge 4$ then this algorithm finds an optimum solution to 3ECA(*,SA). Concerning $(\sigma + 1)\text{ECA}(S,SA)$ with $|V| \ge \sigma + 2$ for $\sigma \in \{3,4\}$, [15] proposed an $O(|V|\log|V| + |E|)$ algorithm. Other related results have been reported in [14,16]. T. Jordán showed in [10] that kECA(S,SA) is NP-hard in general, and [2] proposed an $O(|V|^4)$ algorithm for kECA(S,SA) for any fixed k.

The subject of the present paper is $(\sigma + 1)$ ECA(S,SA), that is, kECA(S,SA) with $k = \sigma + 1$. Let \mathcal{M} be any maximum matching of the *leaf-graph* R(G) whose vertex set V_R consists of vertices representing all leaves of G. (The definition of R(G) is going to be given later). From \mathcal{M} we obtain a certain edge set E'_0 , with $|E'_0| = |\mathcal{M}|$, such that each edge connects vertices in distinct leaves of G. Let \mathcal{L}_1 be the set of leaves to be created by adding E'_0 to G, and \mathcal{K}_1 the set of remaining leaves of G.

The main result of the paper is to propose two $O(\sigma^2 |V| \log(|V|/\sigma) + |E| + |V_R|^2)$ time algorithms for finding the following solutions for $(\sigma + 1)$ ECA(S,SA):

- (1) an optimum solution if G has at least $2\sigma + 6$ leaves or if $|\mathcal{L}_1| \leq |\mathcal{K}_1|$ and G has less than $2\sigma + 6$ leaves;
- (2) a $\frac{3}{2}$ -approximate solution if $|\mathcal{L}_1| > |\mathcal{K}_1|$ and G has less than $2\sigma + 6$ leaves.

A central concept in solving kECA is a *t*-edge-connected component of G: a maximal set of vertices such that G has at least t edge-disjoint paths between any pair of vertices in the set [22]. A *t*-edge-connected component whose degree (the number of edges connecting vertices in the set to those outside of it) is equal to the edge-connectivity of G is called a *leaf*. Although ($\sigma + 1$)ECA(S,SA) can be solved almost similarly to general *k*ECA(*,MA), the only difference is that the augmenting step has to choose a pair of leaves, each containing a vertex such that they are not adjacent in G. (Such a pair of leaves is called a *nonadjacent pair*.) This requires addition of some other characteristics or processes in finding solutions by means of structural graphs: a structural graph is introduced in [11], and is used as a useful tool that reduces time complexity in finding a solution to kECA(*,MA) in [7,13].

This paper adopts the operation, called *edge-interchange*, in finding a solution, where it was introduced in [20,21] in order to reduce time complexity of [22]. A set of two nonadjacent pairs of leaves is called a *D-combination* if they are disjoint. The augmenting step in solving $(\sigma + 1)$ ECA(S,SA) repeats both choosing a nonadjacent pair of leaves and enlarging a $(\sigma + 1)$ -edge-connected component by means of edge-interchange (or an analogous operation). Hence

obtaining an optimum solution requires finding a maximum set of nonadjacent pairs of leaves such that any two members in the set form a D-combination and, therefore, this is reduced to finding a maximum matching of the leaf-graph R(G)of G. The point of $(\sigma + 1)$ ECA(S,SA) is that a solution E' is closely related to a maximum matching \mathcal{M} of R(G).

The paper is organized as follows. Basic definitions and several basic results on σ -edge-connected componets and leaf-graphs are given in Section 2. In Section 3, results on maximum matchings of leaf-graphs are briefly mentioned. Edge-interchange operation is explained in Section 4. Section 5 discusses $(\sigma + 1)$ ECA(S,SA) when G has less than $2\sigma + 6$ leaves, and Section 6 considers $(\sigma + 1)$ ECA(S,SA) when G has at least $2\sigma + 6$ leaves.

All proofs are omitted becase of space limitation.

2 Preliminaries

2.1 Basic Definitions

Technical terms not specified here can be identified in [1,4,9,19]. An undirected graph G = (V(G), E(G)) consists of a finite and nonempty set of vertices V(G) and a finite set of undirected edges E(G), where V(G) and E(G) are often denoted as V and E, respectively. An edge e incident upon two vertices u, v in G is denoted by e = (u, v) unless any confusion arises. We denote $V(e) = \{u, v\}$, or generally $V(K) = \{u, v \in V | (u, v) \in K\}$ for a subset $K \subseteq E$. For disjoint sets $X, X' \subset V$, we denote $(X, X'; G) = \{(u, v) \in E | u \in X \text{ and } v \in X'\}$, where it is often written as (X, X') if G is clear from the context. We denote $d_G(X) = |(X, \overline{X}; G)|$. This is called the *degree* of X (in G). We set $d_G(S) = 0$ if $S = \emptyset$. If $X = \{v\}$ then $d_G(\{v\})$ is denoted simply as $d_G(v)$ and is the total number of edges $(v, v'), v' \neq v$, incident upon v. We often denote $d_G(S)$ as d(S) if G is clear from the context. A path between vertices u and v is often called a (u, v)-path and denoted by $P_G(u, v)$, and is often written as P(u, v) if G is clear from the context. For two vertices u, v of G, let $\lambda(u, v; G)$, or simply $\lambda(u, v)$, denote the maximum number of pairwise edge-disjoint paths between u and v.

For a set $X \subseteq V$, let G[X] denote the subgraph having X as its vertex set and $\{(u, v) \in E | u, v \in X\}$ as its edge set. G[X] is called the *subgraph* of G *induced* by X (or the *induced subgraph* of G by X). Deletion of $X \subseteq V$ from G is to construct G[V - X], which is often denoted as G - X. If $X = \{v\}$ then we often denote G - v for simplicity. Deletion of $Q \subseteq E$ from G defines a spanning subgraph of G, denoted by G - Q, having E - Q as its edge set. If $Q = \{e\}$ then we denote G - e. For a set E' of edges such that $E' \cap E = \emptyset$, let G + E' denote the graph $(V, E \cup E')$. If $E' = \{e\}$ then we denote G + e.

Let $K \subseteq E$ be any minimal set such that G - K has more components than G. K is called a *separator* of G, or in particular a (X, Y)-separator if any vertex of X and any one of Y are disconnected in G - K. If $X = \{u\}$ or $Y = \{v\}$ then it is denoted as a (u, Y)-separator or a (X, v)-separator, respectively. A *minimum* (X, Y)-separator K of G is a (X, Y)-separator of minimum cardinality. Such

K is often called an (X, Y)-cut or an |K|-cut. It is known that a (u, v)-cut K has $|K| = \lambda(u, v; G)$. A minimum separator K of G is a separator of minimum cardinality among all separators of G, and |K| is called the *edge-connectivity* (denoted by σ) of G; particularly we call such $K \subseteq E$ a minimum cut (of G). G is said to be k-edge-connected if $\lambda(G) \geq k$. A k-edge-connected component (k-component, for short) of G is a subset $S \subseteq V$ satisfying the following (a) and (b): (a) $\lambda(u, v; G) \geq k$ for any pair $u, v \in S$; (b) S is a maximal set that satisfies (a). Let $\Gamma_G(k)$ denote the set of all k-components of G. In a graph G with $\lambda(G) = \sigma$, a $(\sigma + 1)$ -component S with $d_G(S) = \sigma$ is called a leaf $(\sigma + 1)$ -component of G (or a leaf of G, for short). It is known that $\lambda(G) \geq k$ if and only if V is a k-component. Note that distinct k-components are disjoint sets. Each 1-component is often called a component.

Note that we assume that $|V| \ge \sigma + 2$ in $(\sigma + 1)$ ECA(S,SA), the subject of the paper.

A *cactus* is an undirected connected graph in which any pair of cycles share at most one vertex. A structural graph F(G) of G with $\lambda(G) = \sigma$ is a representation of all minimum cuts of G and is introduced in [11]. We use the term "nodes of F(G)" to distinguish them from vertices of G. F(G) is an edge-weighted cactus of O(|V|) nodes and edges such that each tree edge (an edge which is a bridge in F(G) has weight $\lambda(G)$ and each cycle edge (an edge included in any cycle) has weight $\lambda(G)/2$. Let F(G) be a structural graph of G. Particularly if σ is odd then F(G) is a weighted tree. (Examples of G and F(G) will be given in Figs. 1 and 2.) Each vertex in G maps to exactly one node in F(G), and F(G) may have some other nodes, call *empty nodes*, to which no vertices of G are mapped. Let $\epsilon(G) \subseteq V(F(G))$ denote the set of all empty nodes of F(G). Note that any minimum cut of G is represented as either a tree edge or a pair of two cycle edges in the same cycle of F(G), and vice versa. Let $\rho: V \to V(F(G)) - \epsilon(G)$ denote this mapping. We use the following notations: $\rho(X) = \{\rho(v) | v \in X\}$ for $X \subseteq V$, and $\rho^{-1}(Y) = \{v \in V | \rho(v) \in Y\}$ for $Y \subseteq V(F(G))$. $\rho(\{v\})$ or $\rho^{-1}(\{v\})$ is written as $\rho(v)$ or $\rho^{-1}(v)$, respectively, for notational simplicity. For any cut (X, V(F(G)) - X; F(G)), if summation of weights of all edges contained in the cut is equal to σ then $(\rho^{-1}(X), V - \rho^{-1}(X); G)$ is a σ -cut of G. Note that the cut of F(G) consists of either one tree edge or a pair of two cycle edges in the same cycle of F(G). Conversely, for any σ -cut (X, V - X; G), F(G) has at least one cut (Y, V(F(G)) - Y; G) in which summation of weight of all edges contained in the cut is equal to σ , where Y is a node set of F(G) such that $\rho(X) = Y - \epsilon(G)$. Each $(\sigma + 1)$ -component S of G is represented as a vertex $\rho(S) \in V(F(G)) - \epsilon(G)$ in F(G), and, for any vertex $v \in V(F(G)) - \epsilon(G)$, $\rho^{-1}(v)$ is a $(\sigma + 1)$ -component of G. For $v \in V(F(G))$, if summation of weights of all edges that are incident to v in F(G) equals to σ , then v is called a *leaf node* (that is a degree-1 vertex in a tree or a degree-2 vertex in a cycle). Note that, for any leaf node $v, \rho^{-1}(v)$ is a leaf of G, conversely, for any leaf L of G, $\rho(L)$ is a leaf node of F(G). It is shown that F(G) can be constructed in O(|V||E|) time [11] or in $O(\sigma^2|V|\log(|V|/\sigma) + |E|)$ time [7].

Two edges e_1 , e_2 are said to be *independent* if and only if $V(e_1) \cap V(e_2) = \emptyset$, and a set $Q \subseteq E$ is called an *independent set* or a *matching* of G if and only if any pair of edges in Q are independent. An independent set of maximum cardinality in G is called a *maximum matching* of G.

Proposition 1. [5] For distinct sets $X, Y \subset V$ of any graph G = (V, E),

$$d(X) + d(Y) = d(X - Y) + d(Y - X) + 2|(V - X \cup Y, X \cap Y)|,$$
(2.1)

$$d(X) + d(Y) = d(X \cap Y) + d(X \cup Y) + 2|(X - Y, Y - X)|.$$
(2.2)

Let $\lceil x \rceil$ ($\lfloor x \rfloor$, respectively) denote the minimum integer no smaller (the maximum one no greater) than x.

2.2 σ -Components and Leaf-Graphs

Let $\lambda(G) = \sigma > 0$. Let X_1, X_2 be distinct $(\sigma + 1)$ -components of G. The pair $\{X_1, X_2\}$ are called an *adjacent pair* (denoted as $X_1\chi X_2$) if any two vertices $w \in X_1$ and $w' \in X_2$ are adjacent in G, or called a *nonadjacent pair* (denoted as $X_1\overline{\chi}X_2$) otherwise. Let

 $V_C = \{v | v \text{ represents an individual } (\sigma + 1) \text{-component of } G\}$

and let $S(v) \in \Gamma_G(\sigma + 1)$ denote the one represented by $v \in V_C$. Let $C(G) = (V_C, E_C)$ be defined by V_C and $E_C = \{(v, v') | v, v' \in V_C \text{ and } S(v)\overline{\chi}S(v')\}$, and it is called the *component graph* of G. Let $LF(G) = \{X \in \Gamma_G(\sigma+1) | X \text{ is a leaf of } G\}$ and $V_R = \{v | v \text{ represents an individual leaf of } G\} \subseteq V_C$. Let Y(v) denote the leaf $(\sigma + 1)$ -component represented by $v \in V_R$. Let $R(G) = (V_R, E_R)$ be the subgraph of C(G) defined by $E_R = \{(v, v') \in E_C | v, v' \in V_R \text{ and } Y(v)\overline{\chi}Y(v')\}$, and it is called the *leaf-graph* of G.

Property 1. R(G) is simple.

Let Y_i , i = 1, 2, 3, 4, be distinct leaves of G. A set of two nonadjacent pairs $\{Y_1, Y_2\}, \{Y_3, Y_4\}$ is called a *D*-combination if they are disjoint (that is, $\{Y_1, Y_2\} \cap \{Y_3, Y_4\} = \emptyset$). In general, for 2t distinct leaves Y_i , $i = 1, \ldots, 2t$, of G with $t \ge 2$, a set of t nonadjacent pairs $\{Y_1, Y_2\}, \ldots, \{Y_{2t-1}, Y_{2t}\}$ is called a *D*-set of G if any two pairs of the set form a D-combination. Let $Y_1\chi\{Y_2, Y_3\}$ denote that both $Y_1\chi Y_2$ and $Y_1\chi Y_3$ hold. A D-combination $\{\{Y_1, Y_2\}, \{Y_3, Y_4\}\}$ is called an *I*-combination (denoted as $\{Y_1, Y_2\} \angle \{Y_3, Y_4\}$) if either $Y_1\chi\{Y_3, Y_4\}$ or $Y_2\chi\{Y_3, Y_4\}$ holds. If neither $\{Y_1, Y_2\} \angle \{Y_3, Y_4\}$ nor $\{Y_3, Y_4\} \angle \{Y_1, Y_2\}$ holds then we denote $\{Y_1, Y_2\} \angle \{Y_3, Y_4\}$.

We first show some basic results on R(G) and leaves of G.

Proposition 2. Suppose that G is simple. Then either |Y| = 1 or $|Y| \ge \sigma + 2$ for any $Y \in LF(G)$.

Since each leaf Y has $d_G(Y) = \sigma$, we obtain the next proposition by Proposition 2.

Proposition 3. Suppose that G is simple. If $\{Y_1, Y_2\} \subseteq LF(G)$ is an adjacent pair then $|Y_1| = |Y_2| = 1$.

Proposition 4. $d_{R(G)}(v) \ge \max\{|V_R| - (\sigma + 1), 0\}$ for any $v \in V_R$.



Fig. 1. A simple graph G with $\lambda(G) = 3$ and |LF(G)| = 4.



Fig. 2. A structural graph F(G) of G in Fig. 1, where all edge-weights are 3 and none of them are written. In this case leaves Y_i in LF(G) of the graph G shown in Fig. 1 are represented as nodes v_i of F(G) for i = 1, ..., 5: it may happen that G has a node to which no corresponding leaf of LF(G) exists.

2.3 Examples

Let G = (V, E) with $|V| \ge \sigma + 2$ and $\lambda(G) = \sigma$ be any given simple graph. Let OPT(M) or OPT(S) denote the cardinality of an optimum solution to $(\sigma+1)$ ECA(*,MA) or to $(\sigma+1)$ ECA(S,SA) for G, respectively. For $\sigma = 3$, we give an example such that OPT(S) = OPT(M) + 1. For the graph G with |LF(G)| = 4 shown Fig. 1, R(G) is given in Fig. 3. The set of edges $\{(u_1, u_3), (u_2, u_4)\}$ is an optimum solution to 4ECA(*,MA), while $\{(u_1, u_3), (u_2, u_8), (u_3, u_7)\}$ is an optimum solution to 4ECA(S,SA) and, therefore, OPT(S) = 3 = OPT(M) + 1.

3 Maximum Matchings of Leaf-Graphs

One of requirements in finding a solution to $(\sigma+1)$ ECA(S,SA) or $(\sigma+1)$ ECA(*, SA) with $\sigma \geq 1$ is to obtain a largest D-set. Hence, in this section, the cardinality of a maximum D-set is investigated by considering a maximum matching \mathcal{M} of R(G).



Fig. 3. The leaf-graph R(G) of G in Fig. 1.

Let \mathcal{M} denote any fixed maximum matching of R(G) in the following discussion unless otherwise stated, where we assume that $\lambda(G) = \sigma \geq 1$.

Proposition 5. $|\mathcal{M}|$ satisfies one of the following (1)-(3). (1) If $|V_R| \ge 2\sigma + 1$ or if σ is even and $|V_R| = 2\sigma$ then $|\mathcal{M}| = \lfloor |V_R|/2 \rfloor$. (2) If σ is odd and $|V_R| = 2\sigma$ then

$$\lfloor |V_R|/2| \rfloor - 1 \le |\mathcal{M}| \le \lfloor |V_R|/2 \rfloor.$$

(3) If $|V_R| \le 2\sigma - 1$ then

$$\max\{0, \min\{|V_R| - \sigma, \lfloor |V_R|/2 \rfloor\}\} \le |\mathcal{M}| \le \lfloor |V_R|/2 \rfloor.$$

Corollary 1. Suppose that $|V_R| = 2\sigma$ and $\sigma = 2m + 1$. If $|\mathcal{M}| = \lfloor |V_R|/2 \rfloor - 1$ then G = (V, E) is a complete bipartite graph with $V = X \cup Y$, $X \cap Y = \emptyset$, $|X| = |Y| = \sigma$ and $E = \{(x, y) | x \in X, y \in Y\}.$

The relationship among G, C(G) and R(G) shows the following proposition concerning $|V_R|$, $|\mathcal{M}|$ and |E'| of any optimum solution E' to $(\sigma+1)$ ECA(S,SA).

Proposition 6. Let E' be any solution to G in $(\sigma + 1)ECA(S,SA)$ and \mathcal{M} be a maximum matching of R(G). Then

$$|V_R| - |\mathcal{M}| \le |E'|. \tag{3.1}$$

4 Augmentation by Edge-Interchange

We explain an operation called edge-interchange which was originally introduced in [20,21] for an efficient augmentation. It is also used in [14,15,16,17,18]. Let $LF(G) = \{Y_1, \ldots, Y_q\}$ (q = |LF(G)|) denote the class of all leaves of G and choose $y_i \in Y_i$ as the representative of Y_i . Let

$$Y(G) = \{y_i | Y_i \in LF(G)\}, \ q \ge 2, \text{ and } r = \lceil q/2 \rceil.$$

We can easily prove the next proposition.

Proposition 7. If there is a set E' of edges, each connecting vertices of G, such that $E' \cap E = \emptyset$ and $V(E') = Y(G) \subseteq S$ for some $(\sigma+1)$ -component S of G + E', then S = V.

Let Y stand for Y(G) in the rest of the section.

4.1 Attachments

We have $d_G(Y_i) = \sigma$ and $\lambda(y_i, y_j; G) = \sigma$ for any $y_i, y_j \in Y$ $(i \neq j)$. An edge set F is called an *attachment* (for G) if and only if the following (1) through (4) hold:

- (1) $V(F) \subseteq Y$,
- (2) $F \cap E(G) = \emptyset$,
- (3) $V(e) \neq V(e') \quad (\forall e, e' \in F, e \neq e'), \text{ and }$
- (4) if $q \ (= |LF(G)|)$ is odd then F has at most one pair f, f' such that $|V(f) \cap V(f')| = 1$; or if q is even then F has no such pair.

Let F be any attachment for G. For each $e = (u, v) \in F$, G + F has a new $(\sigma + 1)$ -component, denoted by $\mathcal{A}(e, G + F)$, containing V(e).

We are going to show that we can find a minimum attachment $Z(\sigma + 1) = \{e_1, \ldots, e_r\}$ $(r = \lceil q/2 \rceil)$ such that $\lambda(G + Z(\sigma + 1)) = \sigma + 1$. Although there are two cases: r = 1 and $r \ge 2$, we discuss only the latter case in the following. (Note that if r = 1 then we immediately obtain the desired attachment F.)

4.2 Finding a Minimum Attachment

Suppose that there are an attachment F for G and vertices $y_{ij} \in Y - V(F)$, $1 \leq i, j \leq 2$, where y_{11}, y_{12}, y_{21} are distinct, and if y_{22} is equal to one of the other three then we assume that $y_{22} = y_{21}$ (see Fig. 4). We use the following



Fig. 4. The edges e, e' and $f_i, 1 \le i \le 4$: (1) $y_{21} \ne y_{22}$; (2) $y_{21} = y_{22}$.

notations:

$$L = G + F, \ e = (y_{11}, y_{12}), \ e' = \begin{cases} (y_{21}, y_{22}) & \text{if } y_{21} \neq y_{22} \\ (y_{12}, y_{21}) & \text{if } y_{21} = y_{22}, \end{cases}$$
$$\mathcal{A}(e) = \mathcal{A}(e, L + \{e, e'\}), \ \mathcal{A}(e') = \mathcal{A}(e', L + \{e, e'\}),$$
$$f_1 = (y_{11}, y_{21}), \ f_2 = (y_{12}, y_{22}), \ f_3 = (y_{11}, y_{22}), \ f_4 = (y_{12}, y_{21}) \end{cases}$$

where we set $f_1 = f_3$ and $e' = f_2 = f_4$ if $y_{21} = y_{22}$, and

$$\mathcal{A}(f_i) = \begin{cases} \mathcal{A}(f_i, L + \{f_1, f_2\}) & \text{if } 1 \le i \le 2\\ \mathcal{A}(f_i, L + \{f_3, f_4\}) & \text{if } 3 \le i \le 4. \end{cases}$$

Note that $e, e', f_i \notin E(L), 1 \leq i \leq 4$. We have the following two cases.

Case I: $\mathcal{A}(e) \cap \mathcal{A}(e') = \emptyset$; Case II: $\mathcal{A}(e) \cap \mathcal{A}(e') \neq \emptyset$ (that is, $\mathcal{A}(e) = \mathcal{A}(e')$).

For Case I, we are going to show that there are two edges f, f', with $V(f) \cup V(f') = V(e) \cup V(e')$, such that

$$\mathcal{A}(e) \cup \mathcal{A}(e') \subseteq \mathcal{A}(f, L + \{f, f'\}) = \mathcal{A}(f', L + \{f, f'\}).$$

That is, we can add two edges so that one $(\sigma + 1)$ -component containing $\mathcal{A}(e) \cup \mathcal{A}(e')$ may be obtained. Finding and adding such a pair of edges f, f' is called *edge-interchange* (with respect to $V(e_1) \cup V(e_2)$).

Suppose that $\mathcal{A}(e) \cap \mathcal{A}(e') = \emptyset$. Note that $y_{21} \neq y_{22}$ in this case. Let K be any fixed $(\mathcal{A}(e), \mathcal{A}(e'))$ -cut of $L + \{e, e'\}$, and let B_i , $1 \leq i \leq 2$, denote the two sets of vertices in $L + \{e, e'\}$ such that $B_1 \cup B_2 = V$, $B_2 = V - B_1$, $K = (B_1, B_2; L + \{e, e'\})$, $\mathcal{A}(e) \subseteq B_1$ and $\mathcal{A}(e') \subseteq B_2$. $|K| = \sigma = \lambda(y_1, y_2; L'')$ for any $y_i \in B_i$, $1 \leq i \leq 2$, where L'' denotes L, L + e, L + e' or $L + \{e, e'\}$. K is a (y_1, y_2) -cut of L. Suppose that f and f' satisfy either (i) or (ii):

(i) $f = f_1, f' = f_2$, or (ii) $f = f_3, f' = f_4$, where $\{f, f'\} \cap E(L) = \emptyset$.

The next proposition shows a property of edge-interchange.

Proposition 8. If $\mathcal{A}(e) \cap \mathcal{A}(e') = \mathcal{A}(f_1) \cap \mathcal{A}(f_2) = \emptyset$ then $\mathcal{A}(f_3) \cap \mathcal{A}(f_4) \neq \emptyset$, that is, $\mathcal{A}(f_3) = \mathcal{A}(f_4)$.

Let $\{f, f'\}$ denote the following pair of edges:

$$\{e, e'\} \text{ if } \mathcal{A}(e) = \mathcal{A}(e') \text{ (the case with } V(e) \cap V(e') = \emptyset \text{ is included});$$
$$\{f_1, f_2\} \text{ if } \mathcal{A}(e) \cap \mathcal{A}(e') = \emptyset \text{ and } \mathcal{A}(f_1) = \mathcal{A}(f_2);$$
$$\{f_3, f_4\} \text{ if } \mathcal{A}(e) \cap \mathcal{A}(e') = \mathcal{A}(f_1) \cap \mathcal{A}(f_2) = \emptyset.$$

Clearly, $\{f, f'\} \cap E(L) = \emptyset$. Such a pair f, f' are called an *augmenting pair* (with respect to $\{y_{11}, y_{12}, y_{21}, y_{22}\}$) of L.

Corollary 2. Let $L' = L + \{f, f'\}$ for any augmenting pair f, f'. Then L' - f' has no σ -cut separating V(f') from V(f). That is, if L' - f' has a σ -cut K separating a vertex of V(f') from V(f) then K separates the two vertices of V(f').

From Corollary 2, other important properties (Proposition 9–11) of edgeinterchange are obtained.



Fig. 5. The two $(\sigma+1)$ -components $\mathcal{A}(f_1, G+\{f_1, f_2\})$ and $\mathcal{A}(g_1, G+\{g_1, g_2\})$ produced by two augmenting pairs $\{f_1, f_2\}$ and $\{g_1, g_2\}$, respectively.

Proposition 9. Suppose that G has six leaves $Y_i \in LF(G)$ $(1 \le i \le 6)$, and choose $y_i \in Y_i$ as a representative of each Y_i . Suppose that $\{f_1, f_2\}$ is an augmenting pair with respect to $\{y_i | 1 \le i \le 4\}$ of G. If $\mathcal{A}(f_1, G + \{f_1, f_2\})$ is a leaf then, for each $i \in \{1, 2\}$, there is an augmenting pair $\{g_1, g_2\}$ with respect to $V(f_i) \cup \{y_5, y_6\}$ of G such that $\mathcal{A}(g_1, G + \{g_1, g_2\})$ is not a leaf (see Fig. 5).

By Proposition 9, we obtain the following procedure that is a modified version of the procedure given in [15]. It finds a sequence of edges e_1, \ldots, e_r $(r = \lceil |LF(G)|/2 \rceil \ge 1)$ by repeating edge-interchange operation, where handling the case with |LF(G)| = 2 is included. Note that edges with which we are concerned are those connecting vertices belonging to distinct leaves. If an edge g connects a vertex in a leaf Y_i and another vertex in a leaf Y_j $(i \neq j)$ then, for simplicity, we say that g connects Y_i and Y_j .

Procedure *FIND_EDGES*;

begin $G_1 \leftarrow G; \pi \leftarrow LF(G); i \leftarrow 1; E'_1 \leftarrow \emptyset;$ 1. 2. while $\pi \neq \emptyset$ do begin if $|\pi| = 2$ then 3. $f_i \leftarrow$ an edge connecting the two leaves of π ; $E''_i \leftarrow \{f_i\}$; 4. 5.else if $|\pi| \leq 5$ then Find an augmenting pair $E''_i = \{f_i, f'_i\}$ by Proposition 8; 6. else /* $|\pi| \ge 6$ */ 7. Find an augmenting pair $E''_i = \{f_i, f'_i\}$ by Proposition 9; $E'_{i+1} \leftarrow E'_i \cup E''_i; G_{i+1} \leftarrow G_i + E''_i; \pi \leftarrow \pi - \{Y(v)|v \in V(E''_i)\}; i \leftarrow i+1;$ 8. 9. end

end;

Proposition 10. G_{i+1} has a leaf containing $\mathcal{A}(f_i, G_{i+1})$ if and only if $|LF(G_i)| = 5$ just after the execution of Step 9 in FIND_EDGES.

Note that executing Step 6 or Step 8 once can be done in $O(|V_R|)$ by using a structural graph F(G), and we can construct F(G) in $O(\sigma^2|V|\log(|V|/\sigma) + |E|)$ time (see [7]). The details are omitted here.

The next proposition holds for the edge set E' produced by FIND_EDGES.

Proposition 11. Let $Z(\sigma + 1) = \{e_1, \ldots, e_r\}$ $(r = \lfloor |LF(G)/2 \rfloor)$ be given by FIND_EDGES. Then $Z(\sigma+1)$ is a minimum attachment such that $\lambda(G') = \sigma+1$, where $G' = G + Z(\sigma+1)$. Furthermore the procedure runs in $O(\sigma^2 |V| \log(|V|/\sigma) + |E| + |V_R|^2)$ time.

5 $(\sigma + 1)$ ECA(S,SA) for G Having Less Than $2\sigma + 6$ Leaves

We denote $LF(G) = \{Y_i | 1 \le i \le q\}$ (q = |LF(G)|), $Y(G) = \{y_1, \ldots, y_q\}$ and $V_R = \{v_1, \ldots, v_q\}$, where each y_i is represented as v_i in R(G). First we consider the case where G has two or three leaves.

Proposition 12. If q = 2 then the following (1) or (2) holds.

- (1) If $Y_1\overline{\chi}Y_2$ then $|\mathcal{M}| = 1$, there are two vertices $y_i \in Y_i$, i = 1, 2, such that $E' = \{(y_1, y_2)\}$ is a solution, and OPT(S) = OPT(M) = 1.
- (2) If $Y_1\chi Y_2$ then $|\mathcal{M}| = 0$, there are three vertices $y_i \in Y_i$ $(i = 1, 2), x \in V (Y_1 \cup Y_2)$ such that $E' = \{(y_1, x), (y_2, x)\}$ is a solution, and OPT(S) = 2 = OPT(M) + 1.

Proposition 13. If q = 3 and there exist two leaves Y_1 , Y_2 with $Y_1 \overline{\chi} Y_2$ then $|\mathcal{M}| = 1$, there are distinct edges e_1, e_2 such that $E' = \{e_1, e_2\}$ is a solution, and OPT(S) = OPT(M) = 2.

Next we consider the remaining case where $3 \leq q < 2\sigma + 6$. For each $e' = (x', y') \in \mathcal{M}$, we can choose two vertices $x \in Y(x')$, $y \in Y(y')$, and let e = (x, y) be an edge, which is not included in E. We fix such an edge e for each $e' \in \mathcal{M}$, and let

$$E'_{0} = \{ e = (x, y) \mid (x', y') \in \mathcal{M} \}.$$

Proposition 14. $|E'_0| = |\mathcal{M}|$ and $E'_0 \cap E = \emptyset$.

In the rest of this section, we consider the graph $G + E'_0$. First we define two sets \mathcal{L}_1 and \mathcal{K}_1 as follows.

Let $G_1 = G + E'_0$ and let \mathcal{L}_1 be the set of new leaves of G_1 created by adding E'_0 to G. Clearly $|\mathcal{L}_1| \leq |\mathcal{M}|$. Let $\mathcal{K}_1 = LF(G + E'_0) - \mathcal{L}_1 \ (\subseteq LF(G))$. Since \mathcal{M} is a maximum matching of R(G), Proposition 3 shows that each leaf in \mathcal{K}_1 consists of only one vertex and that the set of vertices $\mathcal{K}'_1 = \{x \mid \{x\} \in \mathcal{K}_1\}$ induces a complete graph of G and of $G + E'_0$.

We are going to propose an $O(\sigma^2|V|\log(|V|/\sigma) + |E| + |V_R|^2)$ time algorithm such that it finds an optimum solution if $|\mathcal{L}_1| \leq |\mathcal{K}_1|$ and such that a $\frac{3}{2}$ -approximate solution if $|\mathcal{L}_1| > |\mathcal{K}_1|$. Note that we have $|\mathcal{L}_1| \leq |\mathcal{K}_1|$ if $|\mathcal{M}| \leq \lfloor |V_R|/3 \rfloor$.

Proposition 15. Let $\{y'_1\}, \{y'_2\} \in \mathcal{K}_1 \ (y'_1 \neq y'_2) \text{ and } Y_1, Y_2 \in \mathcal{L}_1 \ (Y_1 \neq Y_2).$ If $\{(y_1, y'_1), (y_2, y'_2)\}$ is not an augmenting pair with $y_1 \in Y_1$ and $y_2 \in Y_2$ then there are $y_3 \in Y_1$ and $y_4 \in Y_2$ such that $\{(y_4, y'_1), (y_3, y'_2)\}$ is an augmenting pair and $(y_4, y'_1), (y_3, y'_2) \notin E$ (See Fig. 6).



Fig. 6. A situation for Proposition 15

Fig. 7. $\mathcal{A}(f_1, G + \{f_1, f_2\})$ in the proof of Proposition 16

We obtain the next proposition by Propositions 9 and 15.

Proposition 16. Assume that $|\mathcal{L}_1| \geq 3$ and $|\mathcal{K}_1| \geq 3$. Then there exists an augmenting pair $\{f_1, f_2\}$ such that $f_1 = (y_1, y'_1) \notin E \cup E'_0$, $f_2 = (y_2, y'_2) \notin E \cup E'_0$, $\{\{y'_1\}, \{y'_2\}\} \subseteq \mathcal{K}_1 \ (y'_1 \neq y'_2), \mathcal{L}_1$ has two distinct sets Y_1, Y_2 with $y_1 \in Y_1, y_2 \in Y_2$ and $\mathcal{A}(f_1, G + \{f_1, f_2\})$ is not a leaf. Furthermore $\mathcal{L}_1 \cup \mathcal{K}_1 - \{\{y'_1\}, \{y'_2\}\}, Y_1, Y_2\}$ is the set of all leaves in $G_1 + \{f_1, f_2\}$. (See Fig. 7)

Next we are going to discuss the case where $|\mathcal{L}_1| \leq 2$ or $|\mathcal{K}_1| \leq 2$.

Proposition 17. Suppose that $|\mathcal{L}_1| \leq 2$ and $|\mathcal{L}_1| \leq |\mathcal{K}_1|$. Then there exists a set $E'_2 = \{f_1, \ldots, f_{|\mathcal{K}_1|}\}$ such that $\lambda(G_1 + E'_2) \geq \sigma + 1$ and $E'_2 \cap (E \cup E'_0) = \emptyset$.

It remains to consider the cases $(|\mathcal{L}_1| \ge 3 \text{ and } |\mathcal{K}_1| \le 2)$ and $(|\mathcal{L}_1| \le 2 \text{ and } |\mathcal{L}_1| > |\mathcal{K}_1|)$, for which the next proposition holds.

Proposition 18. Suppose that one of the following (1)–(3) holds: (1) $|\mathcal{L}_1| \geq 3$ and $|\mathcal{K}_1| \leq 2$; (2) $|\mathcal{L}_1| = 2$ and $|\mathcal{K}_1| = 1$; (3) $|\mathcal{L}_1| = 2$ and $|\mathcal{K}_1| = 0$. Let $q_1 = |LF(G_1)|$ and $r_1 = \lceil \frac{q_1}{2} \rceil$. Then there exists a set $E''_2 = \{f_1, \ldots, f_{r_1}\}$ such that $\lambda(G_1 + E''_2) \geq \sigma + 1$ and $E''_2 \cap (E \cup E'_0) = \emptyset$.

The discussion from Propositions 16 through 18 is summarized in the following procedure *FIND_EDGES2*.

Procedure *FIND_EDGES2*; begin 1. $G_0 \leftarrow G; \pi \leftarrow LF(G); E'_0 \leftarrow \emptyset; \rho \leftarrow \emptyset;$ 2. Find an edge set E'_0 as in Proposition 14; $G_1 \leftarrow G_0 + E'_0$; Determine \mathcal{L}_1 and \mathcal{K}_1 ; $i \leftarrow 1$; while $\mathcal{K}_i \neq \emptyset$ do 3. begin 4. if $|\mathcal{L}_i| \geq 3$ and $|\mathcal{K}_i| \geq 3$ then Find an augmenting pair $\{f, f'\}$ by Proposition 16, $E''_i \leftarrow \{f, f'\}$; else if $|\mathcal{L}_i| \leq 2$ and $|\mathcal{L}_i| \leq |\mathcal{K}_i|$ then 5.Find an edge set E''_i by Proposition 17; 6. else Find an edge set E''_i by Proposition 18; Construct \mathcal{K}_{i+1} and \mathcal{L}_{i+1} ; $E'_i \leftarrow E'_{i-1} \cup E''_i$; $G_{i+1} \leftarrow G_i + E''_i$; $i \leftarrow i+1$; 7. end; if $\lambda(G_i) = \sigma$ then/* the case with $|\mathcal{L}_i| \neq 0$ */ 8. Find an edge set E''_i by Proposition 18; $E'_{i+1} \leftarrow E'_{i-1} \cup E''_i$; end;

Proposition 19. FIND_EDGES2 produces an optimum solution if $|\mathcal{L}_1| \leq |\mathcal{K}_1|$.

Proposition 20. FIND_EDGES2 gives a $\frac{3}{2}$ -approximate solution if $|\mathcal{L}_1| > |\mathcal{K}_1|$.

Remark 1. Let \mathcal{M} be any maximum matching of R(G). If $|\mathcal{M}| \leq \lfloor \frac{|LF(G)|}{3} \rfloor$ then $|\mathcal{L}_1| \leq |\mathcal{K}_1|$ and we can find an optimum solution in polynomial time. If $\lfloor \frac{|LF(G)|}{3} \rfloor < |\mathcal{M}| \leq \lfloor \frac{|LF(G)|}{2} \rfloor$ then $|\mathcal{L}_1| \leq |\mathcal{K}_1|$ or $|\mathcal{L}_1| > |\mathcal{K}_1|$. Since the proof of NP-completeness of kECA(S,SA) in [10] is given for the case with $|\mathcal{M}| = \lfloor \frac{|LF(G)|}{2} \rfloor$, we consider approximate solutions if $|\mathcal{L}_1| > |\mathcal{K}_1|$.

Theorem 1. Suppose that $|LF(G)| \leq 2\sigma + 6$. Then FIND_EDGES2 can find an optimum solution if $|\mathcal{L}_1| \leq |\mathcal{K}_1|$, or a $\frac{3}{2}$ -approximate solution if $|\mathcal{L}_1| > |\mathcal{K}_1|$, in $O(\sigma^2|V|\log(|V|/\sigma) + |E|)$ time.

6 $(\sigma + 1)$ ECA(S,SA) for G Having at Least $2\sigma + 6$ Leaves

In this case, Proposition 5(3) shows that any maximum matching \mathcal{M} of R(G) has $|\mathcal{M}| = \lfloor \frac{|LF(G)|}{2} \rfloor$. First, some basic results on nonadjacent pairs and edge interchange operation are going to be given.

Proposition 21. Suppose that there are a nonadjacent pair of leaves $Y_1, Y_2 \in LF(G)$ and two vertices $y_i \in Y_i$, i = 1, 2, with $(y_1, y_2) \notin E$, such that $G' = G + \{(y_1, y_2)\}$ has a leaf S containing $Y_1 \cup Y_2$. Let $\mathcal{L}' = \{Y \subseteq S | Y \in \Gamma_G(\sigma+1)\}$, $X = Y_1 \cup Y_2$ and $Z = \bigcup_{Y \in LF(G) - \{Y_1, Y_2\}} Y$. Then $|(X, Z; G)| \leq \sigma - 1$ if $|\mathcal{L}'| \geq 3$.

The next proposition can be proved by using Propositon 21.

Proposition 22. Suppose $\sigma \geq 3$ and let $\mathcal{M}' = \{(v_{2i-1}, v_{2i}) | 1 \leq i \leq m\} \subseteq \mathcal{M}$ for some $m \leq |\mathcal{M}|$, and put $Y_j = Y(v_j)$ for each $v_j \in V_R$.

- (1) If $|\mathcal{M}'| \geq 2$ and there are distinct indices i, j with $1 \leq i, j \leq m$ such that $\{Y_{2i-1}, Y_{2i}\} \notin \{Y_{2j-1}, Y_{2j}\}$ then (i) and (ii) hold. (i) These leaves are partitioned into a D-combination $\{\{L'_1, L'_2\}, \{L'_3, L'_4\}\}$ having four vertices $y_t \in L'_t, t = 1, 2, 3, 4$, such that $G + \{(y_1, y_2), (y_3, y_4)\}$ has a $(\sigma + 1)$ -component S containing all $L'_t, t = 1, 2, 3, 4$. (ii) The $(\sigma+1)$ -component S' of $G + \{(y_1, y_2)\}$ such that $L'_1 \cup L'_2 \subseteq S'$ is not a leaf.
- (2) If $|\mathcal{M}'| \geq \lceil \sigma/2 \rceil + 1$ and no such pair of indices as in (1) exist then, for each $(v_{2i-1}, v_{2i}) \in \mathcal{M}'$, there are vertices $y_{2i-1} \in Y_{2i-1}$ and $y_{2i} \in Y_{2i}$ such that $G' = G + \{(y_{2i-1}, y_{2i})\}$ is a simple graph having a $(\sigma + 1)$ -component X which is not a leaf and which contains $Y_{2i-1} \cup Y_{2i}$.

Proposition 23. Suppose that there is a set $\mathcal{M}' = \{(v_{2i-1}, v_{2i}) | 1 \le i \le m\} \subseteq \mathcal{M}$ for some m with $\sigma + 2 \le m \le |\mathcal{M}|$, and put $Y_i = Y(v_i)$ for each $v_i \in V_R$. Then there is an edge $(v_{2h-1}, v_{2h}) \in \mathcal{M}'$ with $\{Y_1, Y_2\} \not \in \{Y_{2h-1}, Y_{2h}\}$.

By combining Propositions 9, 22 and 23, we obtain the following proposition.

Proposition 24. Suppose that there is a set $\mathcal{M}' = \{f_i = (v_{2i-1}, v_{2i}) | 1 \leq i \leq m\} \subseteq \mathcal{M}$ for some m with $\sigma + 3 \leq m \leq |\mathcal{M}|$, and put $Y_i = Y(v_i)$ for each $v_i \in V_R$. Then there exists an augmenting pair $\{e'_1, e'_2\}$ with respect to $Y_1, Y_2, Y_{2j-1}, Y_{2j}$ such that $G + \{e'_1, e'_2\}$ is simple and has no leaf S with $Y_1 \cup Y_2 \cup Y_{2j-1} \cup Y_{2j} \subseteq S$, where $\{f_1, f_j\} \subseteq \mathcal{M}'$.

Based on Proposition 24, the next procedure FIND_EDGES3 is obtained.

Procedure *FIND_EDGES3;*

begin 1. $G_1 \leftarrow G; \pi \leftarrow LF(G); i \leftarrow 1; E'_0 \leftarrow \emptyset;$ while $\pi \neq \emptyset$ do 2.begin 3. if $|\pi| \leq 3$ then Find an edge set E''_i as E' in Proposition 12(1) or 13; 4. 5.else begin /* $|\pi| \ge 4 */$ Find a matching $\mathcal{M}'' = \{(v_{2p-1}, v_{2p}) | 1 \le p \le m'\}$ of $R(G_i)$, 6. where if $|\pi| \leq 2\sigma + 6$ then $m' \leftarrow |\pi/2|$, otherwise $m' \leftarrow \sigma + 3$; if $|\pi| \leq 2\sigma + 6$ then 7. begin Choose $E'_{s} \subseteq E'_{i}$ with $|E'_{s}| = \sigma + 3 - m'$ appropriately; $\mathcal{M}' \leftarrow \mathcal{M}'' \cup \{(v, w) \in E_R | (v', w') \in E'_s, v' \in Y(v), w' \in Y(w)\};$

```
/* \mathcal{M}' is a matching on R(G) in the case.*/
                      end;
                 else
                      \mathcal{M}' \leftarrow \mathcal{M}'':
                 Find an augmenting pair E_i'' as \{e_1',e_2'\} in Proposition 24
8.
                      by choosing f_1 \in \mathcal{M}'';
                                                              /* Note that |\mathcal{M}'| = \sigma + 3. */
                 if f_j \in \mathcal{M}' - \mathcal{M}'' for f_j of Proposition 24 then
9.
                      begin /* In the case with |\pi| \leq 2\sigma + 6 */
                      E'_i \leftarrow E'_i - \{(y_{2j-1}, y_{2j})\}, G_i \leftarrow G_i - \{(y_{2j-1}, y_{2j})\}, \text{ where }
                            y_{2j-1} \in Y_{2j-1} and y_{2j} \in Y_{2j};
                 end:
           E'_{i+1} \leftarrow E'_i \cup E''_i; \ G_{i+1} \leftarrow G_i + E''_i; \\ \pi \leftarrow \pi - \{Y(v) | v \in V(E''_i)\}; \ i \leftarrow i+1; 
10.
           end;
      end;
```

Proposition 25. Any set final E'_i obtained at the termination of FIND_EDGES3 is a minimum attachment such that $\lambda(G') = \sigma + 1$, where G' = G + E'.

Theorem 2. If G has at least $2\sigma + 6$ leaves then the algorithm FIND_EDGES3 correctly finds a solution E' to $(\sigma+1)ECA(S,SA)$ for any given G with $\lambda(G) = \sigma$ in $O(\sigma^2|V|\log(|V|/\sigma) + |E| + |V_R|^2)$ time.

7 Concluding Remarks

The paper has proposed

- (1) an $O(\sigma^2 |V| \log(|V|/\sigma) + |E| + |V_R|^2)$ time algorithm for finding an optimum solution if G has at least $2\sigma + 6$ leaves or if $|\mathcal{L}_1| \leq |\mathcal{K}_1|$ and G has less than $2\sigma + 6$ leaves,
- (2) an $O(\sigma^2 |V| \log(|V|/\sigma) + |E|)$ time one for a $\frac{3}{2}$ -approximate solution if $|\mathcal{L}_1| > |\mathcal{K}_1|$ and G has less than $2\sigma + 6$ leaves.

We can improve the first algorithm to an $O(\sigma^2 |V| \log(|V|/\sigma) + |E|)$ time one by devising how to check whether or not $\{f_1, f_2\}$ is an augmenting pair, and whether or not $\mathcal{A}(f_1, G + \{f_1, f_2\})$ is a leaf in Proposition 9.

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