# Generic Attacks on Unbalanced Feistel Schemes with Contracting Functions 

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#### Abstract

In this paper, we describe generic attacks on unbalanced Feistel schemes with contracting functions. These schemes are used to construct pseudo-random permutations from $k n$ bits to $k n$ bits by using $d$ pseudo-random functions from $(k-1) n$ bits to $n$ bits. We describe known plaintext attacks (KPA) and non-adaptive chosen plaintext attacks (CPA-1) against these schemes with less than $2^{k n}$ plaintext/ciphertext pairs and complexity strictly less than $O\left(2^{k n}\right)$ for a number of rounds $d \leq 2 k-1$. Consequently at least $2 k$ rounds are necessary to avoid generic attacks. For $k=3$, we found attacks up to 6 rounds, so 7 rounds are required. When $d \geq 2 k$, we also describe some attacks on schemes with generators, (i.e. schemes where the $d$ pseudo-random functions are generated) and where more than one permutation is required.


Keywords: unbalanced Feistel permutations, pseudo-random permutations, generic attacks, Luby-Rackoff theory, block ciphers.

## 1 Introduction

Feistel schemes are widely used in symmetric cryptography in order to construct pseudo-random permutations. In trying to design such scheme, one of the natural questions is: what is the the minimum number of rounds required to avoid all the "generic attacks". By generic attacks we mean all the attacks effective with high probability when the round functions are randomly chosen. We are mainly interested in generic attacks with a complexity that is much smaller than a search on all possible inputs of the permutation.

Many results are known on classical (balanced) Feistel schemes. In [7, Luby and Rackoff have shown their famous result: for more than 3 rounds all the generic chosen plaintext attacks on Feistel schemes require at least $O\left(2^{\frac{n}{2}}\right)$ inputs. Moreover for more than 4 rounds all the generic attacks on adaptive chosen plaintext/ciphertext require at least $O\left(2^{\frac{n}{2}}\right)$ inputs. These bounds are tight [110].

It has also been proved that to avoid all attacks with less than $2^{2 n}$ computations at least 6 rounds of balanced Feistel schemes are needed [2|1112]. This result is still valid if the round functions are permutations [5]6]. For more than 6 rounds, some attacks are still possible but with more than $2^{2 n}$ computations [11]. All these results on classical Feistel schemes are summarized in Table 1:

Table 1. Results (from [12) on $G_{2}^{d}$. For more than 6 rounds more that one permutation is needed or more than $2^{2 n}$ computations are needed in the best known attacks to distinguish $G_{2}^{d}$ from a random permutation with an even signature.

|  | KPA | CPA-1 | CPCA-2 |
| :---: | :---: | :---: | :---: |
| $G_{2}^{1}$ | 1 | 1 | 1 |
| $G_{2}^{2}$ | $2^{\frac{n}{2}}$ | 2 | 2 |
| $G_{2}^{3}$ | $2^{\frac{n}{2}}$ | $2^{\frac{n}{2}}$ | 3 |
| $G_{2}^{4}$ | $2^{n}$ | $2^{n / 2}$ | $2^{\frac{n}{2}}$ |
| $G_{2}^{5}$ | $2^{3 n / 2}$ | $2^{n}$ | $2^{n}$ |
| $G_{2}^{6}$ | $2^{2 n}$ | $2^{2 n}$ | $2^{2 n}$ |
| $G_{2}^{7}$ | $2^{3 n}$ | $2^{3 n}$ | $2^{3 n}$ |
| $G_{2}^{8}$ | $2^{4 n}$ | $2^{4 n}$ | $2^{4 n}$ |
| $G_{2}^{d}, d \geq 8$ | $2^{(k-4) n}$ | $2^{(k-4) n}$ | $2^{(k-4) n}$ |

The aim of this paper is to look for similar results for the case of unbalanced Feistel schemes with contracting functions: we call such schemes "contracting Feistel Schemes". A precise definition of these schemes is given in Sect. 2. The case of unbalanced Feistel schemes with expanding functions instead of contracting functions is studied in 41415. Some results on contracting Feistel schemes or on small transformations of these schemes can be found in [89. In [9], Naor and Reingold studied the security of contracting Feistel schemes with pairwise independent permutations. They show lower bounds for the security of such schemes. Lucks [8 gives some security results on contracting Feistel schemes built with hash functions.

The paper is organized as follows. In Sect. 2 and 3, we introduce notations and present precise definitions of the considered schemes and an overview of our attacks. In Sect. (4) we study attacks for $k=3$ and $d \leq 6$. Then in Sect. 5, we give attacks for any $k$ and $d \leq 2 k-1$. Finally, Sect. 6is devoted to what can be done with more than $2^{k n}$ computations. In particular, we describe attacks against permutation generators. All the results are summarized in the conclusion: these tables extend the above Table 1 to the case of unbalanced Feistel schemes with contracting functions.

## 2 Notation

Our notation is very similar to that used in 77 and [9]. We also follow the construction given in [9]. [a,b] denotes the concatenation of strings $a$ and $b$. An Unbalanced Feistel Scheme with Contracting Functions $G_{k}^{d}$ is a Feistel scheme
with $d$ rounds. At round $j$, we denote by $f_{j}$ the round function from $(k-1) n$ bits to $n$ bits. On some input $\left[I^{1}, I^{2}, \ldots, I^{k}\right], G_{k}^{d}$ produces an output denoted by $\left[S^{1}, S^{2}, \ldots, S^{k}\right]$ by going through $d$ rounds. At each round, the last $(k-1) n$ bits of the round entry are used as an input to the round function $f_{j}$, which produces $n$ bits. Those bits are xored to the first $n$ bits of the round entry. Finally before going to round $j+1$, the $k n$ bit value is rotated by $n$ bits.

We introduce the internal variable $X^{j}$ : it is the only $n$-bit value which is modified at round $j$ and which becomes the $k$ coordinate of the internal state after $j$ rounds. For example, we have:

$$
\begin{aligned}
X^{1} & =I^{1} \oplus f_{1}\left(\left[I^{2}, \ldots, I^{k}\right]\right) \\
X^{2} & =I^{2} \oplus f_{2}\left(\left[I^{3}, \ldots, I^{k}, X^{1}\right]\right) \\
X^{3} & =I^{3} \oplus f_{3}\left(\left[I^{4}, \ldots, I^{k}, X^{1}, X^{2}\right]\right)
\end{aligned}
$$

The first round of $G_{k}^{d}$ is represented in Fig. 1 below.


Fig. 1. First Round of $G_{k}^{d}$

## 3 Overview of the Attacks

We present several attacks that allow us to distinguish $G_{k}^{d}$ from a random permutation. Depending on the number of rounds, it is possible to find some relations between the input variables and output variables. Those relations hold conditionally to equalities of some internal variables due to the structure of the Feistel scheme. Our attacks consist in using $m$ plaintexts and ciphertexts tuples and in counting the number $\mathcal{N}_{G_{k}^{d}}$ of pairs of these tuples that satisfy the above relations. We then compare $\mathcal{N}_{G_{k}^{d}}$ with the equivalent number $\mathcal{N}_{\text {perm }}$ if a random permutation is used instead of $G_{k}^{d}$. Our attack is successful, i.e. it is able to distinguish
$G_{k}^{d}$ from a random permutation if the difference $\left|E\left(\mathcal{N}_{G_{k}^{d}}\right)-E\left(\mathcal{N}_{\text {perm }}\right)\right|$ is much larger than the standard deviation $\sigma_{p e r m}$ and than the standard deviation $\sigma_{G_{k}^{d}}$, where E denotes the expectancy function.More general cases of succes are also given in the extended version of this paper [13].

In order to compute these values, we need to take into account the fact that the $m^{2}$ pairs obtained from the $m$ plaintext/ciphertext tuples are not independent. However their mutual dependence is very small. To compute $\sigma_{p e r m}$ and $\sigma_{G_{k}^{d}}$, we will use this well-known formula that we will call the "Covariance Formula":

$$
V\left(\sum x_{i}\right)=\sum_{i} V\left(x_{i}\right)+\sum_{i<j}\left[E\left(x_{i}, x_{j}\right)-E\left(x_{i}\right) E\left(x_{j}\right)\right]
$$

where the $x_{i}$ are random variables.
We can note that for a small number of rounds $d<k$, a distinguishing attack is very easy to find. The output of $G_{k}^{d}$ is $\left[S^{1}, S^{2}, \ldots, S^{k}\right]$ which is equal to $\left[I^{d+1}, \ldots, I^{k}, X^{1}, \ldots, X^{d}\right]$. This shows that we can easily mount a KPA attack with one single message. We just have to test if the first coordinate of the output is equal to the coordinate of rank $d+1$ of the input. This leads us to start investigating attacks for scheme with at least $k$ rounds.

## 4 Generic Attacks When $k=3$ and $3 \leq d \leq 6$

We first study schemes with $k=3$ since this case is slightly different from the general case $k \geq 4$ and since it gives simple examples of what we will do. We have $\left[S_{i}^{1}, S_{i}^{2}, S_{i}^{3}\right]=G_{3}^{d}\left(\left[I_{i}^{1}, I_{i}^{2}, I_{i}^{3}\right]\right)$.

### 4.1 Attacks on 3 Rounds: $G_{3}^{3}$

$G_{3}^{3}$ : 3 rounds, CPA-1 with $m=2$ messages. Let us choose $I_{2}^{2}=I_{1}^{2}, I_{2}^{3}=I_{1}^{3}$ and $I_{2}^{1} \neq I_{1}^{1}$. Then the attack just tests if $S_{1}^{1} \oplus S_{2}^{1}=I_{1}^{1} \oplus I_{2}^{1}$. This will occur with probability 1 if $f$ is a $G_{3}^{3}$, and with probability $\simeq \frac{1}{2^{n}}$ if $f$ is a random permutation. So with three rounds there is a generic attack with two non-adaptive chosen queries and $O(1)$ computations.
$G_{3}^{3}$ : 3 rounds, KPA with $m \simeq 2^{n}$ messages. It is possible to transform this nonadaptive chosen plaintext attack into a known plaintext attack as follows. If we have $m \geq 2^{n}$ random inputs $\left[I_{i}^{1}, I_{i}^{2}, I_{i}^{3}\right]$, then (since $m^{2} \geq 2^{2 n}$ ) with a good probability we will have a collision $I_{i}^{2}=I_{j}^{2}$ and $I_{i}^{3}=I_{j}^{3}, i \neq j$. Then we test if $S_{i}^{1} \oplus S_{j}^{2}=I_{i}^{1} \oplus I_{j}^{1}$. Now the attack requires $O\left(2^{n}\right)$ random queries and $O\left(2^{n}\right)$ computations.

### 4.2 Attacks on 4 Rounds: $G_{3}^{\mathbf{4}}$

When the output $\left[I^{1}, I^{2}, I^{3}\right]$ is given, we have introduced the internal variable $X^{1}=I^{1} \oplus f_{1}\left(\left[I^{2}, I^{3}\right]\right)$ and the following conditions hold:

$$
\left\{\begin{array}{l}
I_{i}^{2}=I_{j}^{2} \quad \text { and } I_{i}^{3}=I_{j}^{3} \Rightarrow X_{i}^{1} \oplus X_{j}^{1}=I_{i}^{1} \oplus I_{j}^{1} \\
I_{i}^{3}=I_{j}^{3} \quad \text { and } X_{i}^{1}=X_{j}^{1} \Rightarrow S_{i}^{1} \oplus S_{j}^{1}=I_{i}^{2} \oplus I_{j}^{2} \\
X_{i}^{1}=X_{j}^{1} \text { and } S_{i}^{1}=S_{j}^{1} \Rightarrow S_{i}^{2} \oplus S_{j}^{2}=I_{i}^{3} \oplus I_{j}^{3} \\
S_{i}^{1}=S_{j}^{1} \text { and } S_{i}^{2}=S_{j}^{2} \Rightarrow S_{i}^{3} \oplus S_{j}^{3}=X_{i}^{1} \oplus X_{j}^{1}
\end{array}\right.
$$

The attack exploits the second condition. It proceeds as follows: we choose $m$ messages such that $\forall i, I_{i}^{3}=0$ and $I_{i}^{2} \neq I_{j}^{2}$ for all $i \neq j$. We then count $\mathcal{N}_{G_{3}^{4}}$ the number of pairs $(i, j)$ with $i<j$ such that $I_{i}^{2} \oplus I_{j}^{2}=S_{i}^{1} \oplus S_{j}^{1}$. For a random permutation, this condition appears only by chance. Thus we get:

$$
\mathcal{N}_{\text {perm }} \simeq \frac{m^{2}}{2 \cdot 2^{n}}+O\left(\frac{m}{2^{\frac{n}{2}}}\right)
$$

Here $0\left(\frac{m}{2^{\frac{n}{2}}}\right)$ denotes the standard deviation. This can be easily proved using the Covariance Formula, see Appendix A or full version of this article [13.

For $G_{3}^{4}$, the equation $I_{i}^{2} \oplus I_{j}^{2}=S_{i}^{1} \oplus S_{j}^{1}$ can occur at random with probability $2^{-n}$ or from the internal collision $X_{i}^{1}=X_{j}^{1}$. Since $I_{i}^{3}$ is equal to zero for all $i$, we have $X_{i}^{1}=I_{i}^{1} \oplus f_{1}\left(\left[I_{i}^{2}, 0\right]\right)$. Sine $f_{1}$ is a random function and the $I^{2}$ are pairwise distinct, the values $f_{1}\left(\left[I_{i}^{2}, 0\right]\right)$ and consequently the $X_{i}^{1}$ are uniformly distributed random variables. Consequently the internal collision $X_{i}^{1}=X_{j}^{1}$ appears with probability $2^{-n}$ and we have:

$$
\mathcal{N}_{G_{3}^{4}} \simeq \frac{m^{2}}{2^{n}}+O\left(\frac{m}{2^{\frac{n}{2}}}\right)
$$

where $O\left(\frac{m}{2^{\frac{n}{2}}}\right)$ denotes the standard deviation (proof is given below). We can distinguish the two permutations when the difference between the mean values is larger than the standard deviation i.e. when $\frac{m^{2}}{2^{n}} \geq \frac{m}{2^{\frac{n}{2}}}$, i.e. for $m \geq 2^{\frac{n}{2}}$. This generic attack requires $O\left(2^{\frac{n}{2}}\right)$ random queries and $O\left(2^{\frac{n}{2}}\right)$ computations.

As explained previously, we can transform this attack in a known plaintext attack with $m \simeq 2^{n}$.

## Proof of the Standard Deviation $\sigma_{G_{3}^{4}}$

We introduce the following random variables:

$$
\left\{\begin{array}{l}
\delta_{i, j}=1 \text { if } I_{i}^{2} \oplus I_{j}^{2}=S_{i}^{1} \oplus S_{j}^{1} \\
\delta_{i, j}=0 \text { otherwise. }
\end{array}\right.
$$

Since we have chosen all the $I_{i}^{3}$ equal to zero, we can say equivalently that $\delta_{i, j}$ is equal to one when $f_{2}\left(\left[0, X_{i}^{1}\right]\right)=f_{2}\left(\left[0, X_{j}^{1}\right]\right) . \mathcal{N}_{G_{3}^{4}}$ is defined as $\sum_{i<j} \delta_{i, j}$ and it is easy to compute $E\left(\delta_{i, j}\right)=\frac{2}{2^{n}}-\frac{1}{2^{2 n}}$. We now compute the variance $V\left(\delta_{i, j}\right)=E\left(\delta_{i, j}^{2}\right)-E\left(\delta_{i, j}\right)^{2}=E\left(\delta_{i, j}\right)-E\left(\delta_{i, j}\right)^{2}=\frac{2}{2^{n}}-\frac{5}{2^{2 n}}+\frac{4}{2^{3 n}}-\frac{1}{2^{4 n}}$. We recall the Covariance Formula:

$$
V\left(\sum_{i<j} \delta_{i, j}\right)=\sum_{i<j} V\left(\delta_{i, j}\right)+\sum_{i<j, k<l,(i, j) \neq(k, l)}\left[E\left(\delta_{i, j} \delta_{k, l}\right)-E\left(\delta_{i, j}\right) E\left(\delta_{k, l}\right)\right]
$$

We need to compute $\operatorname{Cov}(i, j, k, l)=E\left(\delta_{i, j} \delta_{k, l}\right)-E\left(\delta_{i, j}\right) E\left(\delta_{k, l}\right)$ Let us first consider the case, where $i, j, k, l$ are pairwise distinct We need to consider the influence of the equality $f_{2}\left(\left[0, X_{i}^{1}\right]\right)=f_{2}\left(\left[0, X_{j}^{1}\right]\right)$ over the equality $f_{2}\left(\left[0, X_{k}^{1}\right]\right)=$ $f_{2}\left(\left[0, X_{l}^{1}\right]\right)$. It can only happen if $X_{k}^{1} \neq X_{l}^{1}$ and if either $X_{k}^{1}=X_{i}^{1}$ and $X_{l}^{1}=X_{j}^{1}$ or $X_{k}^{1}=X_{j}^{1}$ and $X_{l}^{1}=X_{i}^{1}$. In that case we have also $X_{i}^{1} \neq X_{j}^{1}$. This event happens with probability $\left(1-\frac{1}{2^{n}}\right) \frac{2}{2^{2 n}}$ and both equalities have a probability $\frac{1}{2^{n}}$ instead of $\frac{1}{2^{2 n}}$. This gives a covariance equals to

$$
\frac{2}{2^{3 n}}-\frac{4}{2^{4 n}}+\frac{2}{2^{5 n}} .
$$

The second case is if both equations are sharing an index, for example $i=k$ We need to consider the influence of the equality $f_{2}\left(\left[0, X_{i}^{1}\right]\right)=f_{2}\left(\left[0, X_{j}^{1}\right]\right)$ over the equality $f_{2}\left(\left[0, X_{i}^{1}\right]\right)=f_{2}\left(\left[0, X_{l}^{1}\right]\right)$. It can only happen if $X_{i}^{1} \neq X_{j}^{1}$. This event happens with probability $\left(1-\frac{1}{2^{n}}\right) \frac{1}{2^{n}}$ and both equalities have a probability $\frac{1}{2^{n}}$ instead of $\frac{1}{2^{2 n}}$. This gives a covariance equals to

$$
\frac{1}{2^{2 n}}-\frac{2}{2^{3 n}}+\frac{1}{2^{4 n}}
$$

Consequently we have

$$
V\left(\mathcal{N}_{G_{3}^{4}}\right)=\frac{m^{2}}{2^{n}}+O\left(\frac{m^{3}}{2^{2 n}}\right)+O\left(\frac{m^{4}}{2^{3 n}}\right)
$$

Since $m$ is smaller than $2^{n}$, we get:

$$
V\left(\mathcal{N}_{G_{3}^{4}}\right) \simeq \frac{m^{2}}{2^{n}} \text { and } \sigma_{G_{3}^{4}} \simeq \frac{m}{2^{\frac{n}{2}}} .
$$

### 4.3 Attacks on 5 Rounds: $G_{3}^{5}$

For 5 rounds, the internal variables are $X^{1}$ and $X^{2}=I^{2} \oplus f_{2}\left(\left[I^{3}, X^{1}\right]\right)$. We have the following conditions:

$$
\left\{\begin{array}{l}
I_{i}^{2}=I_{j}^{2} \quad \text { and } I_{i}^{3}=I_{j}^{3} \Rightarrow X_{i}^{1} \oplus X_{j}^{1}=I_{i}^{1} \oplus I_{j}^{1} \\
I_{i}^{3}=I_{j}^{3} \text { and } X_{i}^{1}=X_{j}^{1} \Rightarrow X_{i}^{2} \oplus X_{j}^{2}=I_{i}^{2} \oplus I_{j}^{2} \\
X_{i}^{1}=X_{j}^{1} \text { and } X_{i}^{2}=X_{j}^{2} \Rightarrow S_{i}^{1} \oplus S_{j}^{1}=I_{i}^{3} \oplus I_{j}^{3} \\
X_{i}^{2}=X_{j}^{2} \text { and } S_{i}^{1}=S_{j}^{1} \Rightarrow S_{i}^{2} \oplus S_{j}^{2}=X_{i}^{1} \oplus X_{j}^{1} \\
S_{i}^{1}=S_{j}^{1} \text { and } S_{i}^{2}=S_{j}^{2} \Rightarrow S_{i}^{3} \oplus S_{j}^{3}=X_{i}^{2} \oplus X_{j}^{2}
\end{array}\right.
$$

The attack proceeds as follows: we choose $m$ messages such that $\forall i, I_{i}^{2}=0$, $I_{i}^{3}=0$ and the $I_{i}^{1}$ values are pairwise distinct. Notice that this directly implies $X_{i}^{1} \oplus X_{j}^{1}=I_{i}^{1} \oplus I_{j}^{1}$, so the $X_{i}^{1}$ values are pairwise distinct. Let $\mathcal{N}$ be the number of pairs $(i, j), i<j$ such that $S_{i}^{1}=S_{j}^{1}$ and $I_{i}^{1} \oplus I_{j}^{1}=S_{i}^{2} \oplus S_{j}^{2}$. With a random permutation, these two conditions appear by chance and we have:

$$
\mathcal{N}_{\text {perm }} \simeq \frac{m^{2}}{2 \cdot 2^{2 n}}+O\left(\frac{m}{2^{n}}\right)
$$

Here $O\left(\frac{m}{2^{n}}\right)$ is the standard deviation. For a $G_{3}^{5}, S_{i}^{1}=S_{j}^{1}$ and $I_{i}^{1} \oplus I_{j}^{1}=S_{i}^{2} \oplus S_{j}^{2}$ appear at random or as a consequence of $X_{i}^{2}=X_{j}^{2}$ and $S_{i}^{1}=S_{j}^{1}$. This gives:

$$
\mathcal{N}_{G_{3}^{5}} \simeq \frac{m^{2}}{2^{2 n}}
$$

We can distinguish the two permutations when the difference between the mean values is larger than the standard deviation i.e. when $\frac{m^{2}}{2^{2 n}} \geq \frac{m}{2^{n}}$, or $m \geq 2^{n}$. Remark: here $m \leq 2^{n}$ since $I_{i}^{2}=0$ and $I_{i}^{3}=0$; so the attack will succeed when $m \simeq 2^{n}$.

As before this attack leads to a KPA attack with $2^{2 n}$ messages. But there is a better attack as we can see now.
$G_{3}^{5}: 5$ rounds, KPA with $m=2^{\frac{3 n}{2}}$ messages
For this attack, let $\mathcal{N}$ be the number of pairs $(i, j), i<j$, such that $I_{i}^{3} \oplus I_{j}^{3}=$ $S_{i}^{1} \oplus S_{j}^{1}$. For a random permutation, we have:

$$
\mathcal{N}_{\text {perm }} \simeq \frac{m^{2}}{2 \cdot 2^{n}}+O\left(\frac{m}{\sqrt{2^{n}}}\right)
$$

where $\frac{m}{\sqrt{2^{n}}}$ is the standard deviation, while for $G_{3}^{5}$ we obtain

$$
\mathcal{N}_{G_{3}^{5}} \simeq \frac{m^{2}}{2 \cdot 2^{n}}+\frac{m^{2}}{2 \cdot 2^{2 n}}
$$

We can distinguish the two permutations when the difference between the mean values is larger than the standard deviation i.e. when $\frac{m^{2}}{2^{2 n}} \geq \frac{m}{\sqrt{2^{n}}}$, i.e. for $m \geq 2^{\frac{3}{2} n}$.

### 4.4 Attacks on 6 Rounds: $G_{3}^{6}$

For 6 rounds, the internal variables are $X^{1}, X^{2}$ and $X^{3}=I^{3} \oplus f_{3}\left(\left[X^{1}, X^{2}\right]\right)$. We have the following conditions:

$$
\left\{\begin{array}{l}
I_{i}^{2}=I_{j}^{2} \quad \text { and } I_{i}^{3}=I_{j}^{3} \Rightarrow X_{i}^{1} \oplus X_{j}^{1}=I_{i}^{1} \oplus I_{j}^{1} \\
I_{i}^{3}=I_{j}^{3} \text { and } X_{i}^{1}=X_{j}^{1} \Rightarrow X_{i}^{2} \oplus X_{j}^{2}=I_{i}^{2} \oplus I_{j}^{2} \\
X_{i}^{1}=X_{j}^{1} \text { and } X_{i}^{2}=X_{j}^{2} \Rightarrow X_{i}^{3} \oplus X_{j}^{3}=I_{i}^{3} \oplus I_{j}^{3} \\
X_{i}^{2}=X_{j}^{2} \text { and } X_{i}^{3}=X_{j}^{3} \Rightarrow S_{i}^{1} \oplus S_{j}^{1}=X_{i}^{1} \oplus X_{j}^{1} \\
X_{i}^{3}=X_{j}^{3} \text { and } S_{i}^{1}=S_{j}^{1} \Rightarrow S_{i}^{2} \oplus S_{j}^{2}=X_{i}^{2} \oplus X_{j}^{2} \\
S_{i}^{1}=S_{j}^{1} \text { and } S_{i}^{2}=S_{j}^{2} \Rightarrow S_{i}^{3} \oplus S_{j}^{3}=X_{i}^{3} \oplus X_{j}^{3}
\end{array}\right.
$$

The attack proceeds as follows: we choose $m$ messages such that $\forall i, I_{i}^{3}=0$. Let $\mathcal{N}$ be the number of pairs $(i, j), i<j$, such that $I_{i}^{2}=I_{j}^{2}$ and $I_{i}^{1} \oplus I_{j}^{1}=S_{i}^{1} \oplus S_{j}^{1}$. With a random permutation, we have:

$$
\mathcal{N}_{\text {perm }} \simeq \frac{m^{2}}{2.2^{2 n}}+O\left(\frac{m}{2^{n}}\right)
$$

where $O\left(\frac{m}{2^{n}}\right)$ is the standard deviation. For a $G_{3}^{6}$, since all the $I_{i}^{3}$ values are equal, $I_{i}^{2}=I_{j}^{2}$ and $X_{i}^{2}=X_{j}^{2}$ and $X_{i}^{3}=X_{j}^{3}$ imply $I_{i}^{1} \oplus I_{j}^{1}=S_{i}^{1} \oplus S_{j}^{1}$. We get

$$
\mathcal{N}_{G_{3}^{6}} \simeq \frac{m^{2}}{2.2^{2 n}}+\frac{m^{2}}{2 \cdot 2^{3 n}} .
$$

We can distinguish the two permutations when the difference between the mean values is larger than the standard deviation i.e. when $\frac{m^{2}}{2^{3 n}} \geq \frac{m}{2^{n}}$, i.e. for $m \geq 2^{2 n}$.

We can obviously transform this CPA-1 attack into a KPA attack which will succeed as soon as we have $m \geq 2^{\frac{5 n}{2}}$.

### 4.5 Experimental Results on $G_{3}^{6}$

We have implemented our CPA-1 and KPA attacks against $G_{3}^{6}$ for small values of $n(n=6$ and $n=8)$. Our experimental values confirm the theoretical results. Our experiments were performed as follows:

- choose randomly an instance of $G_{3}^{6}$
- choose randomly a permutation: for this we use classical balanced Feistel scheme with a large number of rounds (more than 20)
- launch the attack in CPA-1 with $m=2^{2 n}$, in KPA with $m=2^{3 n}\left(m=2^{\frac{5 n}{2}}\right.$ also works).
- count the number of plaintext/ciphertext pairs satisfying the relations for the $G_{3}^{6}$ function and for the permutation
- iterate this procedure a large number of times (here 1000 times) to evaluate the mean values and the standard deviations
- compute the mean value and the standard deviation for both the $G_{3}^{6}$ function and the permutation

Table 2. Experimental results for KPA and CPA attacks on $G_{3}^{6}$

| Attack | $n$ | $\mathcal{N}_{G_{3}^{6}}$ | $\mathcal{N}_{\text {perm }}$ | $\mathcal{N}_{G_{3}^{6}}-\mathcal{N}_{\text {perm }}$ | $\frac{m^{2}}{2 \cdot 2^{4 n}}$ | $\sigma_{G_{3}^{6}}$ | $\sigma_{\text {perm }}$ | $\frac{m}{\sqrt{2} \cdot 2^{\frac{3 n}{2}}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| KPA | 6 | 131006 | 129011 | 1995 | 2048 | 159 | 372 | 362.038 |
| KPA | 88388308 | 8355787 | 32521 | 32768 | 2862 | 2833 | 2896.309 |  |
| CPA | 6 | 2058 | 2009 | 49 | 32 | 45 | 44 | 45.254 |
| CPA | 8 | 32781 | 32601 | 180 | 128 | 178 | 185 | 182.019 |

Conclusion. Our experimental values for $\mathcal{N}_{G_{3}^{6}}-\mathcal{N}_{\text {perm }}$ are very close to the theoretical expected values $\left(\frac{m^{2}}{2 \cdot 2^{4 n}}\right.$ in KPA and $\frac{m^{2}}{2 \cdot 2^{3 n}}$ in CPA-1). Similarly, our experimental values for $\epsilon_{\text {perm }}$ are very close to the theoretical expected values $\left(\frac{m}{\sqrt{2} \cdot 2^{\frac{3 n}{2}}}\right.$ in KPA and $\frac{m}{\sqrt{2} \cdot 2^{n}}$ in CPA-1). So these simulations confirm that we can distinguish $G_{3}^{6}$ from a random permutation with the complexity that we have given.

## 5 Generic Attacks When $k \geq 4$ and $k \leq d \leq 2 k-1$

### 5.1 Attacks for $k$ Rounds

We first describe a CPA-1 attack with two messages. All the blocks of these two messages are equal to zero except the first one. We test if $I_{1}^{1} \oplus I_{2}^{1}=S_{1}^{1} \oplus S_{2}^{1}$. Since $S^{1}=X^{1}=I^{1} \oplus f_{1}\left(\left[I^{2}, \ldots I^{k}\right]\right)$, this will occur with probability 1 if $f$ is a $G_{l}^{k}$, and with probability $2^{-n}$ if $f$ is a random permutation. This gives the result.

As usual, we transform this attack into a KPA attack with $m=O\left(2^{\frac{n(k-1)}{2}}\right)$. In that case with a high probability $I_{i}^{2}=I_{j}^{2}, I_{i}^{3}=I_{j}^{3}, \ldots, I_{i}^{k}=I_{j}^{k}$. We test again if $S_{i}^{1} \oplus S_{i}^{1}=I_{i}^{1} \oplus I_{j}^{1}$.

### 5.2 Attacks for $k+t$ Rounds, with $1 \leq t<k-1$

In the CPA-1 attack, we choose $\forall i, I_{i}^{t+2}=\ldots=I_{i}^{k}=0$ and pairwise distinct $\left[I_{i}^{1}, \ldots I_{i}^{t}\right]$. This choice limits the maximal number of plaintext/ciphertext tuples to $m \leq 2^{(t+1) n}$. We then count the number $\mathcal{N}$ of pairs $(i, j), i<j$, such that $I_{i}^{t+1} \oplus I_{j}^{t+1}=S_{i}^{1} \oplus S_{j}^{1}$. For a random permutation, we have:

$$
\mathcal{N}_{\text {perm }} \simeq \frac{m(m-1)}{2 \cdot 2^{n}}+O\left(\frac{m}{2^{\frac{n}{2}}}\right)
$$

Here $0\left(\frac{m}{2^{\frac{n}{2}}}\right)$ denotes the standard deviation. This can be easily proved using the Covariance Formula, see Appendix A or full version of this article 13 .

For an unbalanced Feistel scheme, the preceding condition appears at random, but we also have the following property:

$$
X_{i}^{1}=X_{j}^{1}, \ldots, X_{i}^{t}=X_{j}^{t} \Rightarrow S_{i}^{1} \oplus S_{j}^{1}=I_{i}^{t+1} \oplus I_{j}^{t+1}
$$

since $S_{i}^{1}=X^{t+1}=I^{t+1} \oplus f_{t+1}\left(\left[I^{t+2}, \ldots I^{k}, X^{1}, \ldots, X^{t}\right]\right)$. This gives

$$
\mathcal{N}_{G_{k}^{k+t}} \simeq \frac{m(m-1)}{2 \cdot 2^{n}}+\frac{m(m-1)}{2 \cdot 2^{t n}}, \text { so }\left|E\left(\mathcal{N}_{G_{k}^{k+t}}\right)-E\left(\mathcal{N}_{\text {perm }}\right)\right| \simeq \frac{m(m-1)}{2 \cdot 2^{t n}} .
$$

Here again for $\mathcal{N}_{G_{k}^{d}}$, the standard deviation can be computed by using the Covariance Formula, as we have shown for $G_{3}^{4}$ (see full version of this article for the details [13]). Thus we distinguish when $\frac{m^{2}}{2^{t n}} \geq \frac{m}{2^{\frac{n}{2}}}$ i.e. when $m \geq 2^{\left(t-\frac{1}{2}\right) n}$, which is compatible with the bound given above.

As usual, we are able transform this attack into a KPA attack which succeeds if $m \geq 2^{\left(\frac{k+t-2}{2}\right) n}$.

### 5.3 Attacks for $2 k-1$ Rounds

In that case we can only mount a KPA attack. We consider the following KPA attack: let $\mathcal{N}$ be the number of pairs $(i, j), i<j$, such that $I_{i}^{k} \oplus I_{j}^{k}=S_{i}^{1} \oplus S_{j}^{1}$. For a random permutation, we have $\mathcal{N}_{\text {perm }} \simeq \frac{m(m-1)}{2 \cdot 2^{n}}+O\left(\frac{m}{\sqrt{2^{n}}}\right)$ and for an
unbalanced Feistel scheme, $\mathcal{N}_{G_{k}^{2 k-1}} \simeq \frac{m(m-1)}{2 \cdot 2^{n}}+\frac{m(m-1)}{2 \cdot 2^{(k-1) n}}$, since $I_{i}^{k} \oplus I_{j}^{k}=S_{i}^{1} \oplus S_{j}^{1}$ is also implied by the following equations: $X_{i}^{1}=X_{j}^{1}, X_{i}^{2}=X_{j}^{2}, \cdots, X_{i}^{k-1}=$ $X_{j}^{k-1}$. This is because $S^{1}=X^{k}=I^{k} \oplus f_{2 k-1}\left(\left[X^{1}, \ldots, X^{k-1}\right]\right)$. Thus we can distinguish when $\frac{m^{2}}{2 \cdot 2^{(k-1) n}} \geq \frac{m}{\sqrt{2^{n}}}$. This gives $m \geq 2^{\left(k-\frac{3}{2}\right) n}$.

We can remark that for more than $2 k$ rounds we will have to proceed with different attacks, since $X_{i}^{1}=X_{j}^{1}, \ldots, X_{i}^{k}=X_{j}^{k}$ implies $i=j$ because we have a permutation.

## 6 Attacks with More Than $2^{k n}$ Computations

Until now we have studied Unbalanced Feistel schemes with random functions. In practice, for example in designing block ciphers we need to consider generators of pseudo-random permutations. In this section, we will describe attacks against a generator of permutations (and not only against a single permutation randomly generated by a generator of permutations), i.e. we will be able to study several permutations generated by the generator. This allows more than $2^{k n}$ computations.

Let $G$ be a " $G_{k}^{d}$ generator", i.e. from a binary string $K, G$ generates a $d$ round unbalanced Feistel permutation $G_{k}^{d}$. Let $G^{\prime}$ be a truly random permutation generator, i.e. from a string $K, G^{\prime}$ generates a truly random permutation $G_{K}^{\prime}$ of $B_{k n}$. Let $G^{\prime \prime}$ be a truly random even permutation generator, i.e. from a string $K, G^{\prime \prime}$ generates a truly random permutation $G_{K}^{\prime \prime}$ of $A_{k n}$, with $A_{k n}$ being the group of all the permutations of $\{0,1\}^{k n} \rightarrow\{0,1\}^{k n}$ with even signature. We are looking for attacks that distinguish $G$ from $G^{\prime}$, and also for attacks that will distinguish $G$ from $G^{\prime \prime}$.

Adversarial model: An attacker can choose some strings $K_{1}, \ldots K_{f}$, can ask for some inputs $\left[I^{1}, \ldots, I^{k}\right]$, and can ask for some $G_{K_{\alpha}}\left[I^{1}, \ldots, I^{k}\right]$ (with $K_{\alpha}$ being one of the $\left.K_{i}\right)$. Here the attack is more general than in the previous sections, since the attacker can have access to many different permutations generated by the same generator.

Adversarial goal: The aim of the attacker is to distinguish $G$ from $G^{\prime}$ (or from $\left.G^{\prime \prime}\right)$ with a high probability and with a complexity as small as possible.

### 6.1 Brute Force Attacks

A possible attack is an exhaustive search for the $d$ round functions $f_{1}, \ldots, f_{d}$ from $\{0,1\}^{(k-1) n}$ to $\{0,1\}^{n}$ that have been used in the unbalanced Feistel construction. This attack always exists, but since we have $2^{d \cdot n \cdot 2^{(k-1) n}}$ possibilities for $f_{1}, \ldots, f_{d}$, this attack requires about $2^{d \cdot n \cdot 2^{(k-1) n}}$ computations and about $\frac{d}{k} \cdot 2^{(k-1) n}$ random queries but only for one permutation of the generator. This attacks means that an adversary with infinite computing power will be able to distinguish $G_{k}^{d}$ from a random permutation (or from a truly random permutation with even signature) when $m \geq \frac{d}{k} \cdot 2^{(k-1) n}$.

### 6.2 Attack by the Signature

Theorem 1. Let $\Psi$ be an unbalanced Feistel permutation on $\{0,1\}^{\alpha+\beta} \rightarrow$ $\{0,1\}^{\alpha+\beta}$ with round functions of $\{0,1\}^{\beta} \rightarrow\{0,1\}^{\alpha}$. Then if $\alpha \geq 2$ and $\beta \geq 1$, $\Psi$ has an even signature.

The proof of this theorem is quite similar to the proof in the case of a symmetric Feistel scheme [113]. However the fact that $\alpha \geq 2$ changes a few things. Consequently a complete proof is included in the full version [13, available from the authors.

Let $f$ be a permutation from $k n$ bits to $k n$ bits. Then using $O\left(2^{k n}\right)$ computations on the $2^{k n}$ input/output values of $f$, we can compute the signature of $f$. To achieve this we just compute all the cycles $c_{i}$ of $f, f=\prod_{i=1}^{\alpha} c_{i}$ and use the formula:

$$
\operatorname{signature}(f)=\prod_{i=1}^{\alpha}(-1)^{\text {length }\left(c_{i}\right)+1}
$$

The consequence is that it is possible to distinguish $G$ a generator of $G_{k}^{d}$ from a generator of truly random permutations from $k n$ bits to $k n$ bits after $O\left(2^{k n}\right)$ computations on $O\left(2^{k n}\right)$ input/output values.

Remark: To compute the signature of a permutation $g$ we need however to know all the input/outputs of $g$ (or all of them minus one, since the last one can be found from the others if $g$ is a permutation).

### 6.3 Attacks of $G_{k}^{d}$ Generators When $d=2 k$

Let $\mu$ be the number of permutations that we will use. After $2 k$ rounds, the output is given by $\left[S^{1}, S^{2}, \ldots, S^{k}\right]=\left[X^{k+1}, X^{k+2}, \ldots, X^{2 k}\right]$ where we have $X^{k+1}=X^{1} \oplus f_{k+1}\left(\left[X^{2}, \ldots, X^{k}\right]\right)$. Remember that $X^{1}=I^{1} \oplus f_{1}\left(\left[I^{2}, \ldots, I^{k}\right]\right)$. Let us describe the KPA attack which concentrates on $S^{1}=X^{k+1}$. Let $\mathcal{N}$ be the number of pairs $(i, j), i<j$, such that

$$
\begin{equation*}
I_{i}^{2}=I_{j}^{2}, \ldots, I_{i}^{k}=I_{j}^{k}, \quad X_{i}^{k+1} \oplus X_{j}^{k+1}=I_{i}^{1} \oplus I_{j}^{1} \tag{1}
\end{equation*}
$$

There we have necessary $I_{i}^{1} \neq I_{j}^{1}$ and $X_{i}^{1} \neq X_{j}^{1}$. When we are testing random permutations, $\mathcal{N}_{\text {perm }} \simeq \mu \cdot \frac{m^{2}}{2 \cdot 2^{k n}}+O\left(\sqrt{\mu} \cdot \frac{m}{2^{\frac{k n}{2}}}\right)$. For $G_{k}^{k}$, since $I_{i}^{2}=I_{j}^{2}, \ldots, I_{i}^{k}=$ $I_{j}^{k}, X_{i}^{2}=X_{j}^{2}, \ldots, X_{i}^{k}=X_{j}^{k}$ imply (11) we have:

$$
\mathcal{N}_{G_{k}^{d}}=\mu \cdot \frac{m^{2}}{2 \cdot 2^{k n}}+\mu \cdot \frac{m^{2}}{2 \cdot 2^{(2 k-2) n}} .
$$

Thus we can distinguish the two generators when: $\mu \cdot \frac{m^{2}}{2^{(2 k-2)} n} \geq \sqrt{\mu} \cdot \frac{m}{2^{\frac{k n}{2}}}$, or when $\mu \cdot m \geq 2^{(3 k-4) n}$. When $m=2^{k n}$, we find $\mu=2^{(k-4) n}$ and $\mu \cdot m=2^{(2 k-4) n}$.

### 6.4 Attacks $G_{k}^{d}$ Generators for $d$ Rounds with $d \geq \mathbf{2 k}$

It is possible to generalize the attack given above for any $d \geq 2 k$. We give here only the main ideas. We concentrate the attack on $X^{d-k+1}$. In the constraints, there are $d$ conditions and $d-k$ internal variables $X^{i}$. We choose conditions number $k, 2 k, \ldots$, until we get $\xi=\left\lfloor\frac{d}{k}\right\rfloor$ conditions. This gives $\xi$ (internal or external) $\cdot(k-1)$-multiple equations. When they are satisfied, we have:

1. One equation between the input and output variables.
2. $\varphi$ equations between the output variables where

$$
\varphi=(k-1)-\left(d-\left\lfloor\frac{d}{k}\right\rfloor k\right)=(k-1)-(d \bmod k)
$$

We have $\mu$ permutations and the attack proceeds as follows: let $\mathcal{N}$ be the number of pairs $(i, j), i<j$, such that these $\varphi+1$ equations are satisfied. When we are testing a permutation generator, we have

$$
\mathcal{N}_{\text {perm }}=\mu \cdot \frac{m(m-1)}{2 \cdot 2^{(\varphi+1) n}}+O\left(\sqrt{\mu} \cdot \frac{m}{2^{\left(\frac{\varphi+1}{2}\right) n}}\right) .
$$

With a $G_{k}^{d}$, the $\xi(k-1)$-multiples equations imply the $\varphi+1$ equations described above. This shows that

$$
\mathcal{N}_{G_{k}^{d}}=\mu \cdot \frac{m(m-1)}{2 \cdot 2^{(\varphi+1) n}}+\mu \cdot \frac{m(m-1)}{2 \cdot 2^{(k-1) n}} .
$$

We get the condition:

$$
\begin{gathered}
\mu \cdot \frac{m^{2}}{2^{(k-1) n}} \geq \sqrt{\mu} \cdot \frac{m}{2^{\left(\frac{\varphi+1}{2}\right) n}} \\
\mu \cdot m^{2} \geq 2^{(2(k-1) \xi-\varphi-1) n}
\end{gathered}
$$

For the maximal value $m=2^{k n}$, we find $\mu=2^{(2(k-1) \xi-\varphi-2 k-1) n}$ and the complexity is $\lambda=\mu \cdot m=2^{(2(k-1) \xi-\varphi k-1) n}$. Thus we can write

$$
\lambda=2^{\left(2(k-1)\left\lfloor\frac{d}{k}\right\rfloor+(d \bmod k)-2 k\right) n}=2^{\left(d+(k-2)\left\lfloor\frac{d}{k}\right\rfloor-2 k\right) n} .
$$

## 7 Conclusion

Until now, attacks and proofs of security on contracting unbalanced Feistel Schemes have not received much attention. There are much more papers on classical Feistel schemes and even attacks on expanding unbalanced Feistel schemes have been more studied than attacks on contracting unbalanced Feistel schemes. This may be not justified since contracting Feistel schemes seem to have very good security properties. For example, to avoid all known generic attacks with the number of messages less than $2^{k n}$ (where $k n$ is the number of bits of the input and the output) with these schemes, we need only $2 k$ rounds (if $k \geq 4$ )

Table 3. Results on $G_{3}^{d}$. For more than 7 rounds more that one permutation is needed or more than $2^{3 n}$ computations are needed in the best known attacks to distinguish from a random permutation with an even signature.

|  | KPA | $\mathrm{CPA}-1^{a}$ |
| :---: | :---: | :---: |
| $G_{3}^{1}$ | 1 | 1 |
| $G_{3}^{2}$ | 1 | 1 |
| $G_{3}^{3}$ | $2^{n}$ | 2 |
| $G_{3}^{4}$ | $2^{n}$ | $2^{n / 2}$ |
| $G_{3}^{5}$ | $2^{3 n / 2}$ | $2^{n}$ |
| $G_{3}^{6}$ | $2^{5 n / 2}$ | $2^{2 n}$ |
| $G_{3}^{7}$ | $2^{3 n}$ | $2^{3 n}$ |
| $G_{3}^{8}$ | $2^{4 n}$ | $2^{4 n}$ |
| $G_{3}^{9}$ | $2^{6 n}$ | $2^{6 n}$ |
| $G_{3}^{10}$ | $2^{7 n}$ | $2^{7 n}$ |
| $G_{3}^{11}$ | $2^{8 n}$ | $2^{8 n}$ |
| $G_{3}^{12}$ | $2^{10 n}$ | $2^{10 n}$ |
| $G_{3}^{d}, d \geq 12$ | $2^{\left(d+\left\lfloor\frac{d}{3}\right\rfloor-6\right)}$ | $2^{\left(d+\left\lfloor\frac{d}{3}\right\rfloor-6\right)}$ |

${ }^{a}$ Here we do not show CPA-2, CPCA-1 and CPCA-2 since for $G_{3}^{d}$, no better attacks
are found compared with CPA-1.

Table 4. Results on $G_{k}^{d}$ for any $k \geq 4$. For more than $2 k$ rounds more that one permutation is needed or more than $2^{(2 k-4) n}$ computations are needed in the best known attacks to distinguish from a random permutation with an even signature.

|  | KPA | CPA-1 $^{a}$ |
| :---: | :---: | :---: |
| $G_{k}^{d}, 1 \leq d \leq k-1$ | 1 | 1 |
| $G_{k}^{k}$ | $2^{\frac{n(k-1)}{2}}$ | 2 |
| $G_{k}^{k+1}$ | $2^{\frac{n(k-1)}{2}}$ | $2^{\frac{n}{2}}$ |
| $G_{k}^{k+2}$ | $2^{\frac{k}{2} n}$ | $2^{\frac{3}{2} n}$ |
| $G_{k}^{k+3}$ | $2^{\left(\frac{k+1}{2}\right) n}$ | $2^{\frac{5}{2} n}$ |
| $G_{k}^{k+i}, 1 \leq i<k$ | $2^{\left(\frac{k+-2}{2}\right) n}$ | $2^{\left(\frac{2 i-1}{2}\right) n}$ |
| $G_{k}^{2 k}$ | $2^{(2 k-4) n}$ | $2^{(2 k-4) n}$ |
| $G_{k}^{d}, d \geq 2 k$ | $2^{\left(d+(k-2)\left\lfloor\frac{d}{k}\right\rfloor-2 k\right) n}$ | $2^{\left(d+(k-2)\left\lfloor\frac{d}{k}\right\rfloor-2 k\right) n}$ |

 are found compared with CPA-1.
or 7 rounds (if $k=3$ ). So each bit will be changed only 2 times (if $k \geq 4$ ) unlike with balanced Feistel schemes where 3 changes (i.e. 6 rounds) are necessary and unlike expanding unbalanced Feistel schemes where much more changes are needed 4|11|14.

Storing a random function of $(k-1) n$ bits to $n$ bits requires a large memory and this may be a practical disadvantage of $G_{k}^{d}$ compared with balanced

Feistel schemes or Feistel schemes with expanding functions. However if a function generator is used to generate pseudo-random functions, this may not be a problem.

There are still many open problems on contracting unbalanced Feistel schemes. Naor and Reingold have shown a very nice security result [9: we have security until the birthday bound when we use pairwise independent functions for the first and the last rounds. However, if we do not use such first and last rounds, the exact security is still an open problem and even the birthday security bound is not proved yet.

In conclusion, contracting unbalanced Feistel schemes seem to be one of the best designs for permutation generators. In this paper, we have presented attacks on these schemes with fewer than $2 k$ rounds.

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## A Computation of the Variance for Random Permutations

In this section, we compute the value of the variance when we are testing a random permutation and we want to distinguish it from a $G_{k}^{k+t}, 1 \leq t \leq k-1$. The input is $\left[I^{1}, \ldots, I^{k}\right]$ and the output is $\left[S^{1}, \ldots, I^{K}\right]$. We want to compute $\mathcal{N}_{\text {perm }}$ which is the number of $(i, j), i<j$ satisfying the relation $I_{i}^{t+1} \oplus S_{i}^{1}=$ $I_{j}^{t+1} \oplus S_{j}^{1}$. We have the condition $\forall i, I_{i}^{t+2}=I_{i}^{t+3}=\ldots=I_{i}^{k}=0$. This implies that $m \leq 2^{(t+1) n}$. We introduce the following random variables:

$$
\left\{\begin{array}{l}
\delta_{i, j}=1 \text { if } I_{i}^{t+1} \oplus S_{i}^{1}=I_{j}^{t+1} \oplus S_{j}^{1} \\
\delta_{i, j}=0 \text { otherwise }
\end{array}\right.
$$

Then $\mathcal{N}_{\text {perm }}=\sum_{i<j} \delta_{i, j}$ and $E\left(\delta_{i, j}\right)=\operatorname{Pr}_{f \in_{R} B_{k n}}\left[I_{i}^{t+1} \oplus S_{i}^{1}=I_{j}^{t+1} \oplus S_{j}^{1}\right]$.
Notice that if $m \ll 2^{n}$, we may assume that the $I^{t+1}$ values are pairwise distinct (or are all equal) and if $m \geq 2^{n}$, we may assume that each element of $\{0,1\}^{n}$ is reached by about $\frac{m}{2^{n}}$ values of $I_{i}^{t+1}$ (in CPA-1, we can choose $m$ to be a multiple of $2^{n}$ and each element of $\{0,1\}^{n}$ is reached by exactly $\frac{m}{2^{n}}$ values of $I_{i}^{t+1}$. It is also possible to choose that $I_{i}^{t+1}$ are random values). If $I_{i}^{t+1}=I_{j}^{t+1}, E\left(\delta_{i, j}\right)=\operatorname{Pr}_{f \in_{R} B_{k n}}\left[S_{i}^{1}=S_{j}^{1}\right]=\frac{2^{(k-1) n}-1}{2^{k n}-1} \simeq \frac{1}{2^{n}}$. and if $I_{i}^{t+1} \neq I_{j}^{t+1}$, $E\left(\delta_{i, j}\right)=\frac{2^{(k-1) n}}{2^{k n}-1} \simeq \frac{1}{2^{n}}$. This gives us the average value:

$$
E\left(\mathcal{N}_{\text {perm }}\right) \simeq \frac{m(m-1)}{2 \cdot 2^{n}}+o\left(\frac{m}{2^{\left(k+\frac{1}{2}\right) n}}\right)
$$

We now compute the variance $V\left(\delta_{i, j}\right)=E\left(\delta_{i, j}^{2}\right)-E\left(\delta_{i, j}\right)^{2}=E\left(\delta_{i, j}\right)-E\left(\delta_{i, j}\right)^{2}$. If $I_{i}^{t+1}=I_{j}^{t+1}, V\left(\delta_{i, j}\right)=\frac{1}{2^{n}} \cdot \frac{1}{1-\frac{1}{2^{k n}}}-\frac{1}{2^{k n}-1}-\left(\frac{1}{2^{n}} \cdot \frac{1}{1-\frac{1}{2^{k n}}}-\frac{1}{2^{k n}-1}\right)^{2}$. And if $I_{i}^{t+1} \neq I_{j}^{t+1}, V\left(\delta_{i, j}\right)=\frac{1}{2^{n}} \cdot \frac{1}{1-\frac{1}{2^{k n}}}-\left(\frac{1}{2^{n}} \cdot \frac{1}{1-\frac{1}{2^{k n}}}\right)^{2}$. Finally $V\left(\delta_{i, j}\right) \simeq \frac{1}{2^{n}}\left(1-\frac{1}{2^{n}}\right)$ and

$$
\sum_{i<j} V\left(\delta_{i, j}\right) \simeq \frac{m(m-1)}{2} \cdot \frac{1}{2^{n}}\left(1-\frac{1}{2^{n}}\right)
$$

We recall the formula:

$$
V\left(\mathcal{N}_{\text {perm }}\right)=V\left(\sum_{i<j} \delta_{i, j}\right)=\sum_{i<j} V\left(\delta_{i, j}\right)+\sum_{i<j, p<l,(i, j) \neq(p, l)}\left[E\left(\delta_{i, j} \delta_{p, l}\right)-E\left(\delta_{i, j}\right) E\left(\delta_{p, l}\right)\right]
$$

The second term is the covariance term. We will see that

$$
V\left(\mathcal{N}_{\text {perm }}\right)=\frac{m(m-1)}{2 \cdot 2^{n}}+O\left(\frac{m^{2}}{2^{2 n}}\right)+O\left(\frac{m^{4}}{2^{2 n} \cdot 2^{(2 k-1) n}}\right)+O\left(\frac{m^{3}}{2^{2 n} \cdot 2^{(k-1) n}}\right)
$$

where the two first terms correspond to the sum of the variance of $\delta_{i, j}$, the third term corresponds to the covariance of four distinct indexes $(i, j, k, l)$, and the last term corresponds to the covariance of 4 -tuples of indexes with one in common, like for example $(i, j, i, l)$. Therefore, for $m$ larger than $2^{n}$ but smaller than $2^{k n}$, we have as claimed

$$
V\left(\mathcal{N}_{\text {perm }}\right)=\frac{m(m-1)}{2 \cdot 2^{n}}+o\left(\frac{m^{2}}{2^{n}}\right) \simeq \frac{m^{2}}{2 \cdot 2^{n}}
$$

In order to exactly compute the covariance term, we can separate the computation into several cases. Here we only study the main case, i.e. we suppose that $i, j, p, l$ are pairwise distinct and that $I_{i}^{t+1} \neq I_{j}^{t+1}, I_{p}^{t+1} \neq I_{l}^{t+1}$ and $I_{i}^{t+1} \oplus I_{j}^{t+1} \oplus I_{p}^{t+1} \oplus I_{l}^{t+1} \neq 0$. For all other cases, computation is similar and is included in the full version of this paper [13].

To compute this probability we need to count the total number $A$ of possibilities for the outputs $\left[S_{i}^{1}, \ldots, S_{i}^{k}\right],\left[S_{j}^{1}, \ldots, S_{j}^{k}\right],\left[S_{p}^{1}, \ldots, S_{p}^{k}\right]$ and $\left[S_{l}^{1}, \ldots, S_{l}^{k}\right]$. Since we are using a permutation, we have $A=2^{k n} \cdot\left(2^{k n}-1\right) \cdot\left(2^{k n}-2\right) \cdot\left(2^{k n}-3\right)$.

We also have to compute $B$ the number of outputs $\left[S_{i}^{1}, \ldots, S_{i}^{k}\right],\left[S_{j}^{1}, \ldots, S_{j}^{k}\right]$, $\left[S_{p}^{1}, \ldots, S_{p}^{k}\right]$ and $\left[S_{l}^{1}, \ldots, S_{l}^{k}\right]$ satisfying the above relations in the case we consider. For $\left[S_{i}^{1}, \ldots, S_{i}^{k}\right]$, there are $2^{k n}$ possibilities. When this output is fixed, $S_{j}^{1}=S_{i}^{1} \oplus I_{i}^{t+1} \oplus I_{j}^{t+1}$. Thus there are $2^{(k-1) n}$ possibilities for $\left[S_{j}^{1}, \ldots, S_{j}^{k}\right]$. Now we have to fix $\left[S_{i}^{1}, \ldots, S_{i}^{k}\right]$ and $\left[S_{j}^{1}, \ldots, S_{j}^{k}\right]$. There are 5 cases that we are going to study now. If $S_{p}^{1}=S_{i}^{1} \oplus I_{p}^{t+1} \oplus I_{l}^{t+1}$, then $S_{p}^{1} \neq S_{i}^{1}, S_{p}^{1} \neq S_{l}^{1}$ and $S_{l}^{1}=S_{i}^{1}$. Thus we have $2^{(k-1) n} \cdot\left(2^{(k-1) n}-1\right)$ possibilities for $\left[S_{p}^{1}, \ldots, S_{p}^{k}\right]$ and $\left[S_{l}^{1}, \ldots, S_{l}^{k}\right]$. Then we consider the case where $S_{p}^{1}=S_{j}^{1} \oplus I_{p}^{t+1} \oplus I_{l}^{t+1}$. This case is different from the previous one since $S_{i}^{1} \neq S_{j}^{1}$. We get again $2^{(k-1) n} \cdot\left(2^{(k-1) n}-1\right)$ possibilities for $\left[S_{p}^{1}, \ldots, S_{p}^{k}\right]$ and $\left[S_{l}^{1}, \ldots, S_{l}^{k}\right]$. If $S_{p}^{1}=S_{i}^{1}$ or if $S_{p}^{1}=S_{j}^{1}$, there are $\left(2^{(k-1) n}-1\right) \cdot 2^{(k-1) n}$ possibilities for $\left[S_{p}^{1}, \ldots, S_{p}^{k}\right]$ and $\left[S_{l}^{1}, \ldots, S_{l}^{k}\right]$. The last case is when we have eliminated the previous cases. This gives $\left(2^{n}-4\right) \cdot 2^{(k-1) n} \cdot 2^{(k-1) n}$ possibilities for $\left[S_{p}^{1}, \ldots, S_{p}^{k}\right]$ and $\left[S_{l}^{1}, \ldots, S_{l}^{k}\right]$. Finally $B=2^{(4 k-2) n} \cdot\left(1-\frac{4}{2^{k n}}\right)$. Consequently, since $E\left(\delta_{i, j} \delta_{p, l}\right)=\frac{B}{A}$ we get:

$$
E\left(\delta_{i, j} \delta_{p, l}\right)-E\left(\delta_{i, j}\right) E\left(\delta_{p, l}\right)=\frac{1}{2^{2 n}}\left(-\frac{2}{2^{2 k n}}+O\left(\frac{1}{2^{3 k n}}\right)\right) .
$$

Finally these terms of covariance are equal to $\frac{-2 m^{4}}{4 \cdot 2^{2 n} \cdot 2^{2 k n}} \leq O\left(\frac{m^{4}}{2^{2 n} \cdot 2^{(2 k-1) n}}\right)$ as claimed.

