



# Microstructure and mechanical properties of Ti-Nb alloys: comparing conventional powder metallurgy, mechanical alloying, and high power impulse magnetron sputtering processes for supporting materials screening

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## ABSTRACT

At the interface of thin film development and powder metallurgy technologies, this study aims to characterise the mechanical properties, lattice constants and phase formation of Ti-Nb alloys (8–30 at.%) produced by different manufacturing methods, including conventional powder metallurgy (PM), mechanical alloying (MA) and high power impulse magnetron sputtering (HiPIMS). A central aspect of this research was to investigate the different energy states achievable by each synthesis method. The findings revealed that as the Nb content increased, both the hardness and Young's modulus of the PM samples decreased (from 4 to 1.5 and 125 to 85 GPa, respectively). For the MA alloys, the hardness and Young's modulus varied between 3.2 and 3.9 and 100 to 116 GPa, respectively, with the lowest values recorded for 20% Nb (3.2 and 96 GPa). The Young's modulus of the HiPIMS thin film samples did not follow a specific trend and varied between 110 and 138 GPa. However, an increase in hardness (from 3.6 to 4.8 GPa) coincided with an increase in the  $\beta_2$  phase contribution for films with the same chemical composition (23 at.% of Nb). This study highlights the potential of using HiPIMS gradient films for high throughput analysis for PM and MA techniques. This discovery is important as it provides a way to reduce the development time for complex alloy systems in biomaterials as well as other areas of materials engineering.

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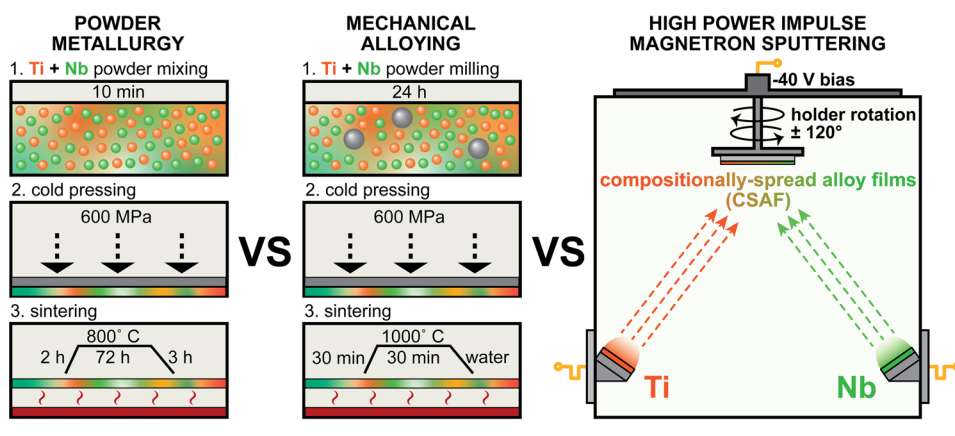
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## GRAPHICAL ABSTRACT

QUASI-EQUILIBRIUM

HIGHLY METASTABLE

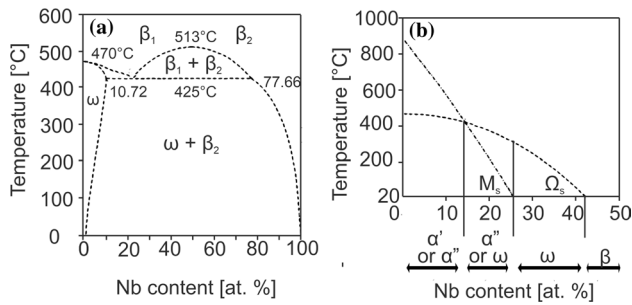


## Introduction

Titanium and its alloys are commonly used for medical applications, mainly as hard tissue implants. Their properties include high biocompatibility, corrosion resistance in body fluids, osseointegration, low Young's modulus compared to other metallic biomaterials, and high relative strength [1–4]. Alloying Ti to increase the yield strength through the formation of dual-phases containing  $\alpha$  and  $\beta$  structures led to the development of the Ti6Al4V (wt%) alloy [5]. However, despite obtaining improved mechanical properties, further research is required to overcome important issues related to Ti6Al4V. One major objective is to replace toxic Al and V by more biocompatible metals [6]. Another concern is the relatively high difference in Young's modulus of the alloy (110 GPa) and bone (10–30 GPa), which is expected to generate stress shielding effects [7, 8]. One possibility of addressing these issues is using other  $\beta$ -stabilising elements, such as Nb, Zr, Mo and Ta, which tend to decrease the  $\beta$  transus temperature [9, 10]. Using Nb as a  $\beta$ -stabiliser is interesting, due to its significant impact on the  $\alpha$ - $\beta$  transformation temperature with only  $\alpha$  and  $\beta$  being present in the stable phase diagram, while additionally allowing to decrease Ti's Young's modulus [11–13]. Furthermore, Ti-Nb alloys are non-cytotoxic and corrosion resistant in intravenous fluids [14, 15]. However, it should be noted that in the metastable phase diagram it is possible to observe other phases, such as Ti-based martensites, i.e.  $\alpha'$ ,  $\alpha''$  or  $\omega$ , which might be

crucial in understanding the possibilities of forming many other phases using non-equilibrium manufacturing methods. Furthermore, the metastable Ti-Nb phase diagram exhibits the monotectoid transformation, which results in the formation of two body-centred cubic Ti-based solutions with different Nb contents, namely  $\beta_1$  and  $\beta_2$ . This phenomenon is known as spinodal decomposition, where two phases with similar crystal structures but different compositions are formed due to different electron to atom ratios. The mechanical properties of alloys can be affected by the presence of this effect. The formation of  $\beta_1$  and  $\beta_2$  phases in alloys is critical to engineering material properties and can be controlled by manufacturing conditions and post-processing by annealing [16]. During the formation of Ti-Nb alloys, Ishiguro et al. [17] observed the presence of bcc-separated phases, specifically  $\beta_1$  and  $\beta_2$ . This type of phase separation is also common in other stable phase diagrams, such as the Ti-Mo phase diagram [18]. Figure 1 presents the Ti-Nb phase diagram as a function of Nb contents and temperature [19, 20].

Other than conventional powder metallurgy (PM), mechanical alloying (MA) can be employed for non-equilibrium processing, which involves repeated cold welding, fracturing, and rewelding of the powder. This leads to the production of ultrafine-grained or nanocrystalline materials and enables producing non-conventional materials in the form of nanocomposites, with uniform ceramic phase dispersions in a metallic matrix. Furthermore, it allows to fabricate many



**Figure 1** **a** Metastable Ti-Nb phase diagram, **b** diagram with the temperature curve for martensite ( $\alpha'$  and  $\alpha''$ )— $M_s$  and  $\omega$  transformation start, as a function of Nb contents and temperature [19, 20].

non-equilibrium phases, e.g. supersaturated solutions, metastable and quasi-crystalline intermetallic phases or amorphous phases [21].

Zhuravleva et al. [22] and Kalita et al. [23] used a high-energy planetary ball mill to perform mechanical alloying for the fabrication of Ti-Nb alloys, specifically Ti26Nb (at.%) and  $Ti_xNb$  ( $14 < x < 26$  at.%, where  $Ti_xNb$  refers to a Ti alloy containing  $x$  % of Nb). Both studies observed the formation of  $\beta$ -type Ti26Nb with crystallite sizes of approx. 12 nm. Bulk samples were obtained through spark plasma sintering with a compressive yield strength ranging from 650 to 950 MPa at 0.5% porosity. In a comparative study on the fabrication of Ti-Nb binary alloys for biomedical applications, Karre et al. [24] compared the consolidation of mixed Ti- $x$ Nb ( $x = 18.75, 25,$  and  $31.25$  at.%) powders using spark plasma sintering and conventional cold pressing and pressureless sintering. The resulting samples contained both  $\beta$ -phase and  $\alpha''$ . The alloys produced in this study have a Young's modulus of around 90 GPa and are non-toxic to osteoblast-like cells.

Wang et al. [25] conducted a comprehensive analysis of Ti35Nb (wt%) produced by selective laser melting, investigating their microstructure, mechanical properties and corrosion resistance. The study examined the material's microstructure, mechanical properties, and corrosion resistance. The results confirmed that the material exhibits improved corrosion resistance compared to commercially pure titanium. This was demonstrated through open-circuit potential, potentiodynamic, and EIS Nyquist experiments, indicating its suitability for biomedical applications. Heat treatment can enhance the corrosion resistance of Ti35Nb alloys, leading to better homogenization of alloys made with mechanically mixed titanium

and niobium powders. The authors also observed a positive effect when using gas atomized ingots as the powder feedstock [26]. Samples made with gas atomized pre-alloyed powders showed even greater homogeneity, increased ductility, and a lower modulus of approximately 72 GPa. Furthermore, alloys produced using this method did not contain any unmelted Nb particles. Unmelted Nb particles have been shown to significantly affect the mechanical properties of selectively laser-melted Ti-Nb alloys, particularly their Young's modulus, contributing to an overall increase [27].

The main aim of this research is to gain a comprehensive understanding of the Ti-Nb phase diagram using different fabrication methods that operate under distinct thermodynamic non-equilibrium conditions. The Ti-Nb binary system was analysed using three manufacturing techniques: powder metallurgy, mechanical alloying, and high power impulse magnetron sputtering (HiPIMS). HiPIMS was used to create compositionally spread alloy films (CSAFs), with the purpose of screening materials and observe trends in material properties, such as crystal structure, hardness, and Young's modulus, across different elemental compositions. The CSAFs supported the discovery and analysis of phase transformations in various materials and provided insights for bulk sample fabrication [28–33]. Previous research on Ti-Nb alloys, using direct-current magnetron sputtering (DCMS), showed that alloys containing 15–40% Nb achieved a single-phase  $\beta$ -structure [34]. The films produced exhibited varying grain structures depending on the material's composition, including layer-island, columnar, or V-shaped structures. HiPIMS resulted in higher ion/neutral flux ratios impacting the substrate's surface, leading to denser and more textured films with increased potential and kinetic energies compared to DCMS [35–45]. Investigations were conducted to elucidate the influence of energy considerations and alloying mechanisms on phase formation, lattice constant variations, and mechanical properties in these processes. This comprehensive understanding enables the development of predictive models for the behaviour of Ti-Nb alloys produced by MA, potentially paving the way for their application as a new generation of dental implant materials. Furthermore, this work provides valuable insights that can be applied to broader areas of materials engineering. It is particularly useful for studying complex systems, such as ternary and quaternary systems, which are difficult to explore using

traditional bulk technologies. This research has the potential to advance materials science in various fields, such as aerospace, tooling, and functional materials, where it is crucial to evaluate the effects of different dopants on specific chemical and physical properties.

## Set-up and sample production

Ti-Nb alloys were produced using three different techniques: conventional powder metallurgy and mechanical alloying (PM\_TiXNb and MA\_TiXNb, where X = 10, 20, 30) for fabricating bulk samples, and HiPIMS (TF\_TiXNb) for obtaining gradient films. The Nb contents range of interest is between 10 and 30 at.%, as at these concentrations the transition of the Ti alloy to a pure cubic structure is expected. Only atomic percentage will be used in this work to indicate alloy composition, unless otherwise specified.

## Sample preparation

Bulk Ti-Nb alloys were produced using Ti (Alfa Aesar, 325 mesh, 99.9% purity, CAS:7440-32-6) and Nb (Sigma-Aldrich, 325 mesh, 99.8% purity, CAS:7446-03-1) powders. The morphology of the precursor powders can be found in our previous paper [46]. For PM, the powders were mixed for 10 min to homogenise their distribution and were then cold pressed at 600 MPa. During sintering, the temperature was gradually increased to 800 °C over a period of 2 h, maintained for 72 h and finally reduced to room temperature within 3 h. The process of sintering was performed under a protective Ar atmosphere in quartz tubes.

For MA, the powders were transferred to vials in a protective Ar atmosphere ( $O_2 < 2$  ppm and  $H_2O < 1$  ppm) inside a glove box (LabMaster 130, MBraun) and subjected to milling for 24 h using a SPEX 8000 Mixer Mill (SPEX® Sample Prep), which is particularly suited for alloying screening processes [21]. The milled powders were consolidated by cold-pressing (600 MPa) and sintering (30 min heating until reaching 1000 °C, 30 min holding, and then water cooling). The entire process was kept under a protective Ar environment within sealed quartz tubes. The sintering temperature of the mechanically alloyed samples was chosen based on the group's previous work [47], where it was confirmed on the example of binary Ti-Mo alloys that higher sintering temperatures efficiently promote the formation of  $\beta$ .

The temperature applied during conventional PM sintering was lower than that used for MA-prepared powders to reduce the possibility of oxidation while the powders were held at elevated temperatures over a three-day period.

The composition of the final bulk samples was measured using X-ray fluorescence (XRF) spectrometry and was similar to the composition of the powders (Table 1). The Fischerscope X-Ray XDV-SDD X-Ray fluorescence spectrometer (Fischer Technology Inc.) was used for composition and film thickness measurements. The instrument was set to a voltage of 50 kV, collimator size of 0.3 mm, primary filter of Ni, spot size of 0.33 mm, and a measurement time of 30 s. For film measurements, the content gradient over the measurement spot size can be neglected. For bulk samples, five measurements were conducted for each compositional value. It should be noted that the composition uncertainty of the PM samples is greater than for MA, caused by coarse-grained structure formation during PM, leading to a more varied chemical composition at the microscale than for ultrafine-grained samples obtained via MA.

CSAF samples were deposited via HiPIMS (Hipster 1, Ionautics) in an in-house modified HexL reactor (Korvus), described in previous works [48, 49]. Pure Ti (99.995% purity) and Nb (99.95% purity) disc 2" × 0.1252" targets (Kurt J. Lesker) were installed onto 2 unbalanced magnetrons (Korvus). The films were deposited onto <100>-oriented silicon wafers (MicroChemicals). An in situ pre-treatment step was used to remove the native Si oxide layer by exposing the wafers to a microwave (MW) plasma produced by 3 MW plasma sources (Aura-wave, SAIREM) [48–51]. A pulse width of 25  $\mu$ s was selected for both the Ti and Nb targets, with the sputtering process run in constant peak current mode (see Fig. S1 in Supplementary Materials). The pulse frequency was adapted

**Table 1** XRF compositions of Ti-Nb alloys produced via PM and MA (uncertainties given in brackets)

Sample	Ti (%)	Nb (%)
PM_Ti10Nb	90.4(10)	9.6(10)
PM_Ti20Nb	80.3(12)	19.7(12)
PM_Ti30Nb	71.3(13)	28.7(13)
MA_Ti10Nb	89.3(3)	10.7(3)
MA_Ti20Nb	79.1(3)	20.9(3)
MA_Ti30Nb	71.0(1)	29.0(1)



to modify the deposition rates and film composition. Four samples were selected for these investigations: TF\_Ti < 40Nb (overview sample covering the entire range of interest from 3 to 30% Nb, produced using two magnetrons installed face-to-face), and 3 samples targeting Nb concentrations of 10, 20 and 30% (produced using three magnetrons, two Ti and one Nb). The composition spread over the wafer was adjusted by rotating the substrate holder by  $\pm 120^\circ$  with a period of approximately 15 s. The substrate bias was set to  $-40$  V and Ar pressure to 0.6 Pa. The HiPIMS process was operated in constant peak current mode. The total thickness of the TF\_Ti10Nb, TF\_Ti20Nb, and TF\_Ti30Nb films varied between 0.8 and 1.3  $\mu\text{m}$ . The alloy concentration ranges are given in Table 2.

### Characterisation methods

The film cross section was imaged using a Hitachi S-4800 high-resolution cold field emission (CFE) scanning electron microscope (SEM) (Hitachi High-Tech Corporation). Additionally, the structure of conventionally produced Ti-Nb alloys was observed using optical microscopy (Olympus GX51, JPN) after etching in Kroll reagent. The structure of the bulk samples was evaluated via electron backscatter diffraction (EBSD) using a Tescan Mira microscope (Tescan) and Digiview V camera (EDAX).

A Bruker D8 Discover X-Ray diffractometer (Bruker) with  $\text{CuK}\alpha$  radiation was used for crystal structure evaluation of the film and bulk samples at room temperature using the following parameters: 40 kV voltage, 40 mA anode current, 30–80° 2 $\theta$  range, with a 0.0196° step size. For the films, a greater time per step was set than for the bulk samples (1 vs 0.5 s/step) as a smaller slit and, in turn, spot size was used.

High Score Plus software (Malvern Panalytical B.V.) was used to perform Rietveld refinement. This

is a profile fitting procedure that utilises diffraction patterns obtained from X-ray diffraction databases, such as the International Centre for Diffraction Data (ICDD) PDF4+, as described in these articles [52, 53]. The Rietveld refinement procedure refines the background, profile coefficients, lattice parameters, linear absorption coefficients, and other variables in a least squares procedure to ensure the calculated pattern best fits the experimental data. Each phase's data is calculated separately, and their relations are used to calculate the phase fractions, which are mainly directly related to the calculated scale factor (proportionality correction) [54, 55]. Phase fraction calculations are only performed for bulk samples that are randomly oriented due to the significant uncertainties introduced by preferred orientation. The provided data for films are limited to lattice constants.

A 1.5° offset from the symmetrical diffraction geometry was introduced to the films to avoid the signal from the Si substrate. For film samples showing a significant contents gradient, the samples were aligned on the sample stage in such a way that the contents gradient over the measurement spot size was as small as possible.

The hardness and Young's modulus of the films were measured using a Hysitron Ubi Nanoindenter (Bruker) equipped with a diamond Berkovich indenter tip. A load force of 2 mN was used to achieve an indentation depth of approximately 10% of the films' thickness. The Oliver-Pharr method was used to analyse the load–displacement data [56]. Young's modulus was calculated from the reduced elastic modulus using a Poisson ratio of 0.32 [57]. The loading and unloading times were 10 s long, and the force was held for 5 s. Before the measurement, the tip area function was calibrated using fused silica as the reference sample. The mechanical properties of the bulk samples were examined by applying a higher force of 300 mN, loading time of 20 s and creep time of 5 s. The effect of porosity on the mechanical properties was neglectable, as the indents of approx. 1.5–2.5  $\mu\text{m}$  were made in areas free of pores. A Fischerscope HM2000 nanoindenter (Fischer Technology Inc., USA) equipped with a Vickers tip was used for testing the bulk samples.

**Table 2** Summary of Nb contents ranges for film samples

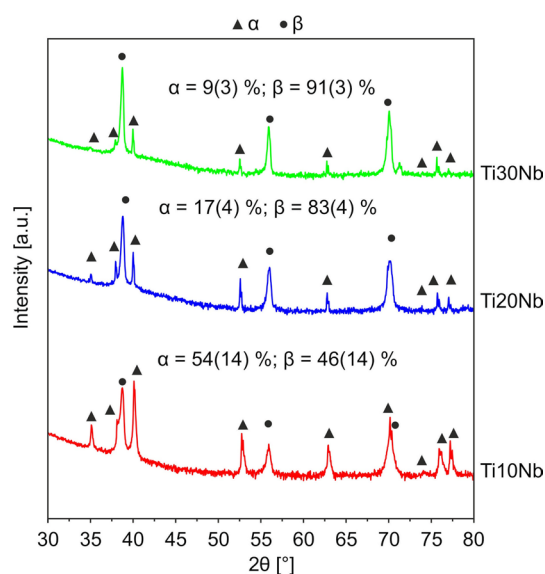
Sample	Nb max (%)	Nb min (%)
TF_Ti < 40Nb	30	3
TF_Ti10Nb	23	7
TF_Ti20Nb	33	11
TF_Ti30Nb	49	20

Ti contents can be calculated by a difference to 100%. The concentration gradient is in the range of 0.1–0.2%  $\text{mm}^{-1}$

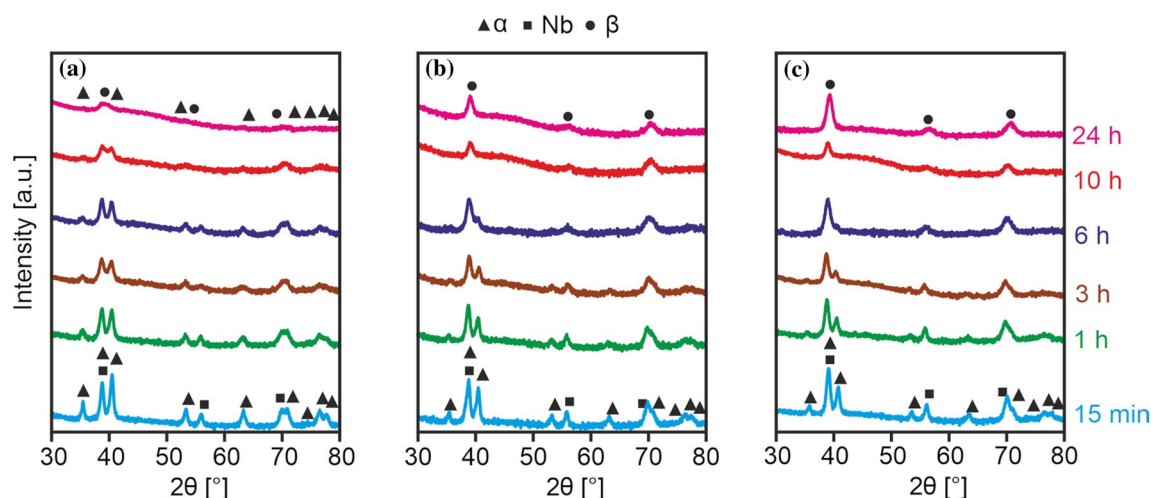
## Results

### Structural analysis

The X-ray diffractograms of the PM\_TiXNb samples (Fig. 2) revealed that the crystal structure of all produced alloys consisted of two phases: hexagonal close-packed (hcp)  $\alpha$  and body-centred cubic (bcc)  $\beta$ . The contents (mass fraction) of  $\beta$  increased from 46% for Ti10Nb to 91% for T30Nb. The unit cell volume



**Figure 2** Diffractograms of the PM samples. Mass fractions of identified phases, determined using Rietveld method, are given above the corresponding diffractograms (uncertainties given in brackets).



**Figure 3** Diffractograms of the **a** MA\_Ti10Nb, **b** MA\_Ti20Nb, **c** MA\_Ti30Nb alloys mechanically alloyed for different durations.

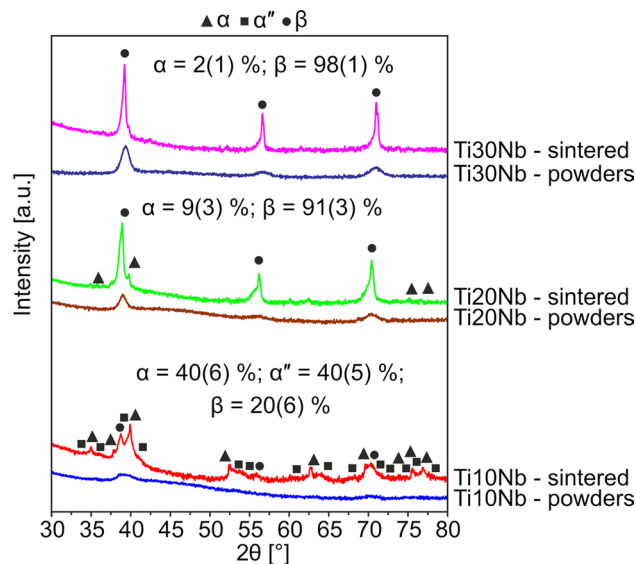
of the bcc  $\beta$  phase was equal to  $35.7(1) \text{ \AA}^3$  for alloys PM\_Ti10Nb and PM\_Ti20Nb and  $35.6(1) \text{ \AA}^3$  for PM\_Ti30Nb. Assuming that the  $\alpha$  phase contains 2% Nb (the maximum solubility limit of Nb in  $\alpha$  at  $500 \text{ }^\circ\text{C}$  based on the stable Ti-Nb diagram [20]), the resulting  $\beta$  phase composition is Ti<sub>21</sub>Nb, Ti<sub>24</sub>Nb, and Ti<sub>34</sub>Nb. This implies that the Nb contents in  $\beta$  varies much less than its overall contents in the produced samples. The porosity of the PM\_TiXNb samples varied between 2.0 and 2.9 vol. %, as calculated based on light microscopy images using the ImageJ software (see Fig. S2 in Supplementary Materials). Optical microscopy assessment of the chemically etched samples confirmed a mean grain size of  $10 \text{ }\mu\text{m}$  for both the  $\alpha$  and  $\beta$  phases across all three compositions (refer to Fig. S3 in Supplementary Materials).

Figure 3 displays the evolution of the crystalline structure of the MA\_TiXNb powder with increasing milling time. After 15 min of milling, the XRD revealed the presence of  $\alpha$  and Nb-rich phases. The diffraction peaks of these phases were visible at early milling stages and their ratio depends on the composition of the powders. During milling, the  $\beta$  phase appeared at the expense of both Nb and  $\alpha$  phases. For powders with a relatively high Nb contents (MA\_Ti20Nb, MA\_Ti30Nb) the  $\beta$  phase started to dominate. After 24 h of MA, both samples became single-phase  $\beta$ -structured alloys. The MA\_Ti10Nb powder, however, had a  $\alpha/\beta$  dual-phase structuring even after 24 h of milling. The amount of  $\beta$  phase in this material did not change significantly between 6 and 24 h of milling. However, significant peak broadening of both phases occurred.

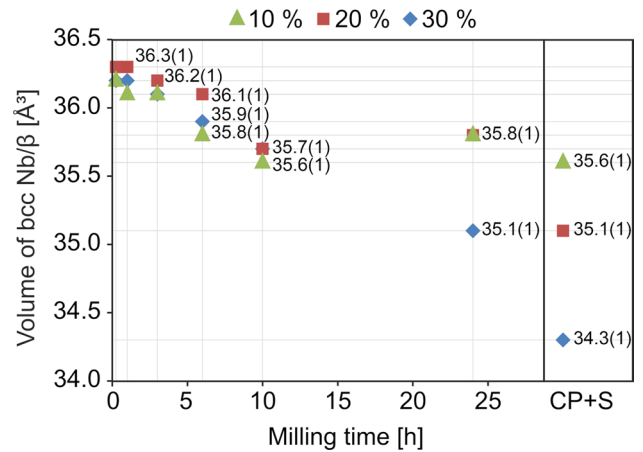
Next, the MA powders were sintered at 1000 °C [47, 58] and analysed via XRD (Fig. 4). The sintered samples contained  $\alpha$  and  $\beta$  phases (MA\_Ti20Nb and MA\_Ti30Nb), as well as an additional orthorhombic Cmc $m$   $\alpha''$  phase in the case of the Ti10Nb alloy. Therefore, sintering led to the formation of  $\alpha$  in alloys MA\_Ti20Nb and MA\_Ti30Nb (not present in the previously analysed MA powders). The formation of the Cmc $m$   $\alpha''$  phase, present in the low Nb contents alloy, might be favoured by the fast cooling of the samples in water. The contents of the  $\beta$  phase were observed to strongly increase with increasing Nb contents, i.e. from 20% in MA\_Ti10Nb to almost 100% in MA\_Ti30Nb.

Figure 5 shows the evolution of the bcc  $\beta$  phase's unit cell volume during the various steps of MA (milling duration, sintering). The unit cell volume was determined using Rietveld refinement using the diffractograms presented in Figs. 3 and 4. The uncertainties are based on the differences in the used numerical models for the same data set and do not include the effects of reproducibility of the milling process and XRD measurements.

The volume of the unit cell decreased with increasing milling time up to 10 h, independent of the Nb contents. After 24 h, the unit cell volume for alloy powders containing 10 and 20% Nb did not show a significant reduction, however, for Ti30Nb it continued to reduce almost linearly in time to 35.1 Å<sup>3</sup>. During the

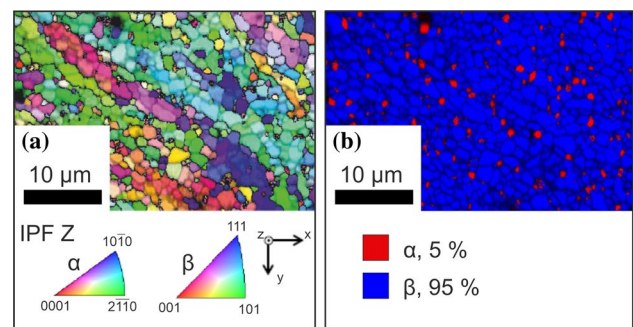


**Figure 4** Diffractogram comparison between the milled (24 h) powders and specimens produced from those powders after sintering at 1000 °C, for all three investigated samples (uncertainties given in brackets).



**Figure 5** Evolution of the unit cell volume of the bcc Nb/ $\beta$  phase in Ti10Nb, Ti20Nb, Ti30Nb with milling time and comparison with the bulk sample cold pressed and sintered at 1000 °C (CP+S), Rietveld refinement (uncertainties given in brackets).

last production steps (cold pressing and sintering) the unit cell volume decreased again for all MA samples. A clear trend can be seen for different Nb concentrations, decreasing from 35.6 Å<sup>3</sup> for the MA\_Ti10Nb sample to 34.1 Å<sup>3</sup> for the MA\_Ti30Nb one. EBSD was used to confirm the phase structure of the MA\_Ti30Nb sample after milling and sintering (Fig. 6). The sample contained 95%  $\beta$  and approx. 5%  $\alpha$ . The grain size distribution for both phases is relatively large, with an average grain size of 500 nm for  $\alpha$  and 1.2  $\mu$ m for  $\beta$ , respectively, with a standard deviation of approximately 50% of the averaged values for both. This

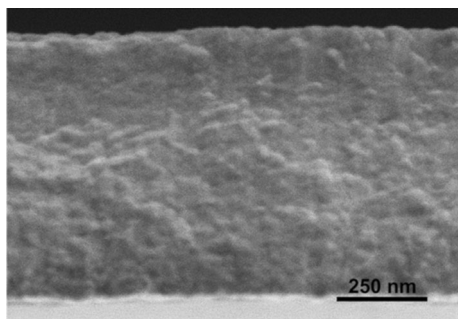


**Figure 6** EBSD analysis of cold pressed and sintered at 1000 °C MA Ti30Nb alloy **a** crystal orientation maps of  $\alpha$  and  $\beta$  phases. The IPF colour is displayed for the z-direction (cold pressing axis); **b**  $\alpha$  and  $\beta$  phase distribution map. The crystal orientation maps were acquired using electron beam conditions of 20 kV and 10 nA, with a 100 nm step size.

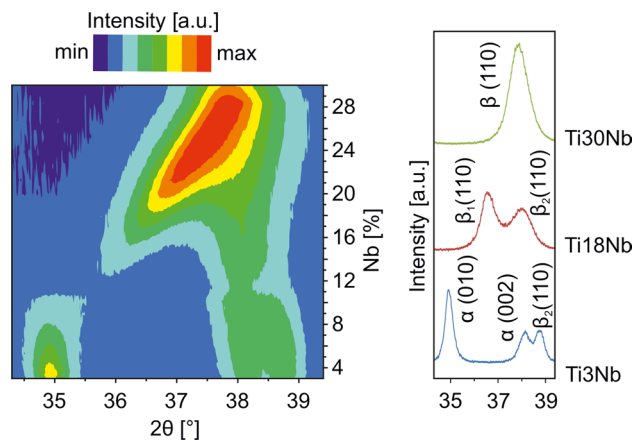
proves that MA\_Ti30Nb is an ultrafine-grained material, which normally would not be achievable through arc-melting or conventional powder metallurgy. The porosity of the MA\_TiXNb samples (ranging from 18.6 to 26.4 vol. %, as shown in Fig. S2 in Supplementary Materials) was significantly higher than that of the PM\_TiXNb samples. This was due to the lower compaction of MA\_Ti20Nb, which resulted from the increased tendency of powder particles to weld during mechanical alloying, forming larger agglomerates. It is unclear to the authors why the cold welding phenomenon was more intense in Ti20Nb alloys compared to Ti10Nb and Ti30Nb. Sample MA\_Ti20Nb had the highest porosity of all the samples, with an overall porosity of 26.4%. The pores are larger and connect to form channels compared to the other samples. Additionally, the pre-sintered agglomerated particles are visible in the sample's microstructure, along with the necks formed in the contact area between them.

XRF mapping was performed to determine the thickness and composition gradient across the deposited film. Afterwards, the wafer was cleaved and an example fracture cross section of the HiPIMS-produced film is presented in Fig. 7. It can be seen that the film is highly compact with no visible columns, in contrast to what has been reported for DCMS [59].

The obtained diffractograms revealed that the films are all highly textured. The intensities of the peaks coming from the (110) lattice plane are much more intense compared to others. The XRD analysis of the TF\_Ti < 40Nb sample (large concentration spread) shows clear trends in the formation of different phases with varying Nb contents. Figure 8 summarises the XRD diffraction angle scans performed at different wafer positions, mainly along the border between the extremes of the concentration range. For Nb



**Figure 7** Example fracture cross section of the binary Ti-Nb film, SEM-SE.



**Figure 8** Diffractograms of the TF\_Ti < 40Nb film between 3 and 30% Nb, the uncertainty in the Y-range is 1%.

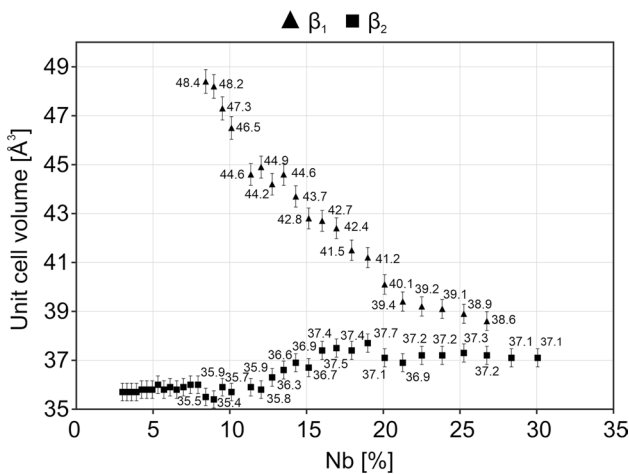
concentrations of 3–30%, it is possible to distinguish four different peaks in the  $2\theta$  range of 34–40°. These peaks are attributed to 3 different phases: (1) Peaks at 34.9 and 38.3° are linked to the  $\alpha$  hcp P63/mmc lattices (010) and (002), respectively, (2) The peak at 38.8° for Nb contents of 3% shifting to lower angles with increasing Nb contents is attributed to the  $\beta_2$  cubic body-centred Im-3 m lattice (110), and (3) the fourth peak, visible for a Nb contents > 12%, which shifts to higher angles with increasing Nb contents, cannot be unambiguously attributed to a known phase of the Ti-Nb system, however, it is labelled as  $\beta_1$  throughout the text. The peaks of different planes belonging to  $\alpha$ ,  $\beta_1$ , or  $\beta_2$  were not observed in the diffractograms of the film alloys due to their highly textured structure. The oxygen contents for the produced materials are predicted to be < 5 at.% as in other studies, where HiPIMS was used for producing Ti-based films [60, 61]. Films with Nb concentrations < 10% contained  $\alpha$  and  $\beta_2$  phases, i.e. a small amount of Nb is solute in  $\alpha$  and the rest forms a separate  $\beta_2$  phase. Following the Nb gradient from 3 to 10%, the peak positions did not shift, however, their relative intensities changed in favour of the  $\beta_2$  peak. At approx. 10% Nb, the intensities of the  $\alpha$  peaks at 34.9° (010) and 38.3° (002) significantly drop, giving rise to a new reflex initially observed at 35.7°, which the authors have attributed to  $\beta_1$  (110). As the deposited films are highly textured, attribution based solely on XRD is questionable. The authors have adopted this interpretation, as with increasing Nb contents, the two peaks continuously move to a single peak, attributed to  $\beta$ . When looking into areas of increasingly high Nb contents, the two reflexes



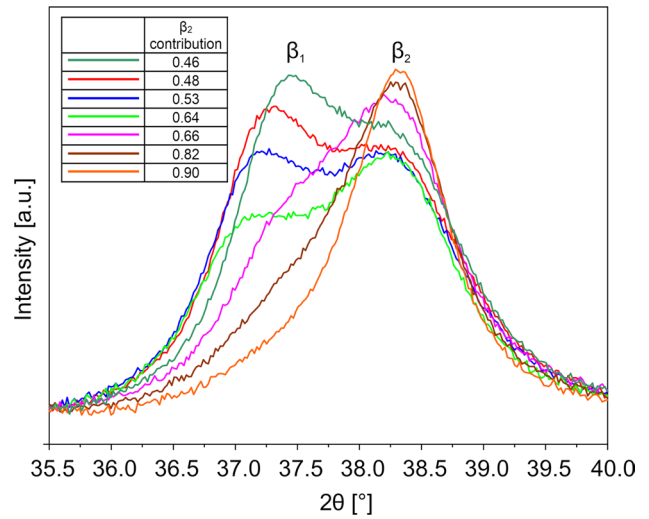
shift and move together, i.e. the  $\beta_1$  (110) phase shifts to larger angles, whereas the  $\beta_2$  peaks move to smaller angles to finally form a single peak for an approx. Nb contents of 30%.

Based on the observed peak positions and under the assumption that both peaks belong to a bcc structure, the evolution of the unit cell volume was determined as a function of increasing Nb contents in the TF\_Ti < 40Nb film (Fig. 9) via Rietveld refinement.

The unit cell volumes of  $\beta_1$  and  $\beta_2$  are influenced by the Nb contents. The unit cell volume of the  $\beta_2$  phase remained stable at  $36.7 \text{ \AA}^3$ , with some fluctuations for Nb concentrations below 10% (still possible to identify  $\alpha$ , however, not yet  $\beta_1$ ). Above this Nb contents ( $\alpha$  replaced by  $\beta_1$ ) the unit cell volume increased to reach a local maximum of  $37.7 \text{ \AA}^3$  for 19% Nb to then slowly drop to a value of  $37.1 \text{ \AA}^3$ . The unit cell of the  $\beta_1$  phase, which replaced  $\alpha$  for Nb concentrations > 8%, first shrunk rapidly from  $48.4$  to  $41.1 \text{ \AA}^3$  at a Nb contents of 19% to slowly drop until  $\beta_2$  and  $\beta_1$  became indistinguishable at a Nb contents of 28%. Figure 10 shows a detailed X-ray diffractogram obtained from different spots on the wafer, all exhibiting the same chemical composition of Ti23Nb. The Nb contents variation was less than 2%, however, the mean energy and angle of incidence of the film-forming particles differed, as the relative position of the spots to the magnetron sources was not the same. The position of the peak attributed to the (110) plane of  $\beta_1$  and  $\beta_2$  is the same for all measured regions, however, their relative contribution changed considerably between 46 and 90%



**Figure 9** Changes in unit cell volume of the bcc  $\beta_2$  and  $\beta_1$  phases as a function of Nb contents in the Ti-Nb<sub>3-30</sub> CSAF, Rietveld refinement.



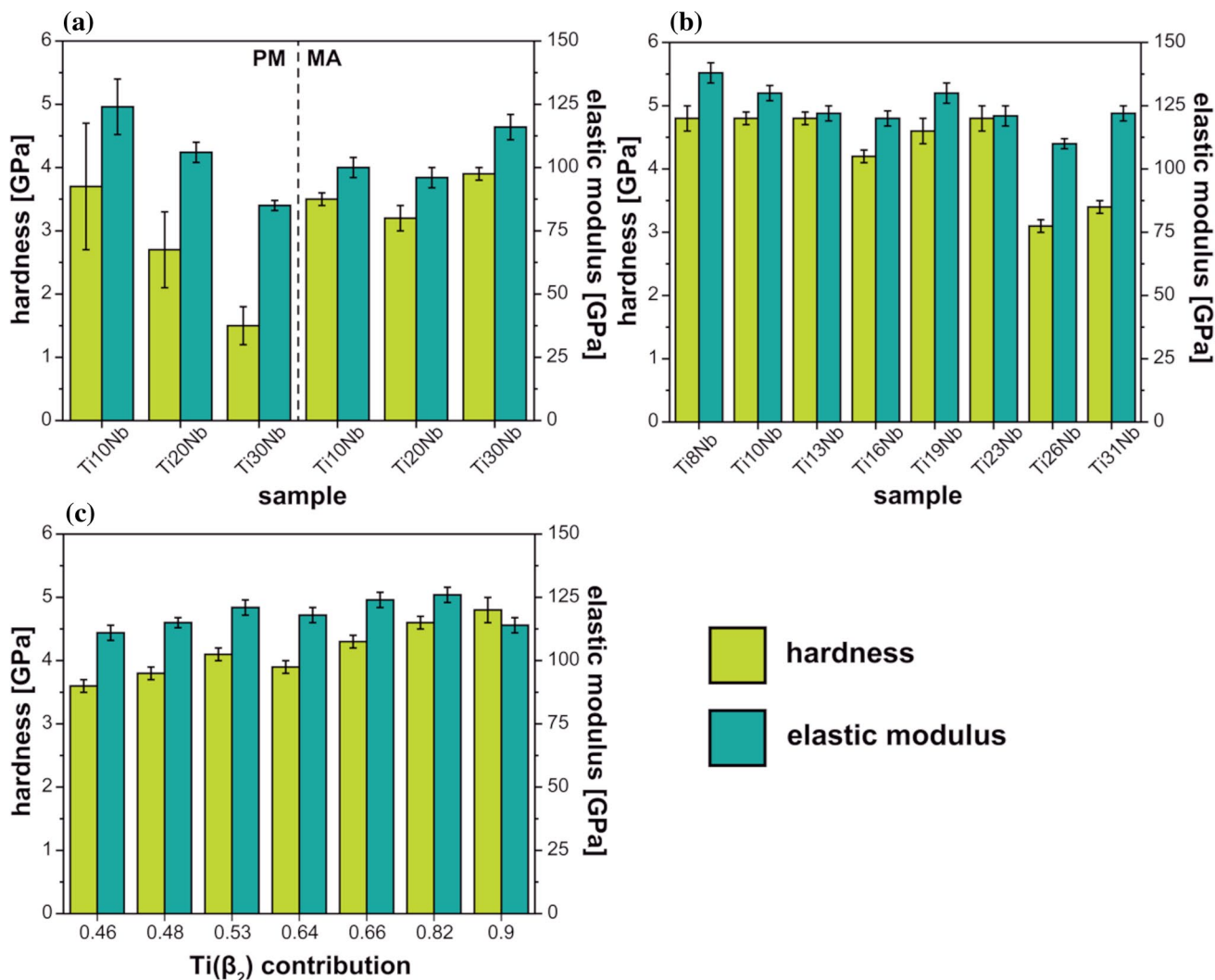
**Figure 10** Detailed X-ray diffractogram overview acquired from different locations on the TF\_Ti30Nb sample corresponding to the same chemical composition of Ti23(2)Nb, the relative contribution of the  $\beta_2$  is given in the legend (top left corner).

$\beta_2$ . It can be summarised that the unit cell volume of the two phases depends only on the relative amount of Nb incorporated into the film, whereas the relative phase distribution depends on the detailed changes of the deposition environment.

### Mechanical properties

The mechanical properties of the PM (PM\_Ti<sub>x</sub>Nb) and MA (MA\_Ti<sub>x</sub>Nb) bulk samples are summarised in Fig. 11a. For the PM samples, both the materials' hardness and Young's modulus decreased with increasing Nb contents, from approx. 4 to 1.5 and 125 to 85 GPa, respectively. The elastic modulus for MA\_Ti10Nb of 99 GPa is significantly lower than the 141 GPa of commercially pure titanium (cp Ti) Grade 2 [46]. The further decrease in both elastic modulus and hardness was observed for MA\_Ti20Nb, making the trend similar to that of the PM bulk samples. However, the MA\_Ti30Nb alloy exhibited a reversed trend to MA\_Ti20Nb, i.e. both the hardness and elastic modulus increased.

Nanoindentation was performed on the film samples (Fig. 11b), where their thickness was  $\geq 1 \mu\text{m}$  to avoid substrate influences. The stated Nb contents may vary by 2% due to sample positioning in the nanoindenter, as the uncertainties in the content differences measured on a single wafer are significantly smaller. For pure Ti deposited in the same manner



**Figure 11** Hardness and elastic modulus of **a** PM and MA bulk samples, **b** film samples with varying Nb contents, **c** Ti<sub>23</sub>Nb regions measured on the TF\_Ti<sub>30</sub>Nb sample as a function of  $\beta_2$  contribution.

as the alloys, the hardness and elastic modulus were measured to be approx. 3.9(8) GPa and 153(16) GPa, respectively. For Nb concentrations up to 20%, the hardness increased to approx. 4.8 GPa, whereas a drop in hardness was observed for samples containing 26% Nb (3.1(1) GPa) and 31% Nb (3.4(1) GPa). The Young's modulus did not vary significantly (between 110 and 138 GPa) and did not follow any specific trends. However, the measured values were lower than for pure Ti. The higher modulus values were, however, generally attained for lower Nb concentrations, when the  $\alpha$  phase was still present.

The mechanical properties of the Ti<sub>23</sub>Nb regions on the TF\_Ti<sub>30</sub>Nb sample, which show a strong variation in the  $\beta_2$  phase distribution (Fig. 10), are shown

in Fig. 11c. The hardness of this material increased with increasing  $\beta_2$  contribution from 3.6 to 4.8 GPa. Simultaneously, the elastic modulus increased from 110 to 125 GPa, however, more result scattering occurred. For the thin film samples, as shown in Figs. 11b and c, the mechanical properties depend on the phase composition, particularly the  $\beta_2$  contribution, rather than the Nb content once  $\alpha$  disappears.

## Discussion

### Energy considerations and alloy formation mechanisms in diverse production methods

Ti-Nb alloys with similar chemical compositions but manufactured using different methods were studied. The PM approach involves low-energy processes. The involved energy during cold pressing at 600 MPa is below the meV range and can therefore be considered negligible. Sintering at 800 °C requires thermal energies of 0.1 eV, which is approximately two thirds of the enthalpy of fusion. Aguilar et al. [62] estimated the Gibbs free energy for fabrication by means of MA to be in the range of 5–10 kJ mol<sup>-1</sup>, corresponding to 0.1 eV at the atomic level. The process of mechanical alloying increases the free Gibbs energy above the mixing energy, which alters the solubility limits through the formation of high-density defects. This study demonstrates that refining crystallites has a greater impact on solubility changes than elastic strain energy caused by a high dislocation density. This highlights the benefits of using mechanical alloying as a preferred technology for powder preparation. However, the technique has a disadvantage in that the produced samples have increased porosities compared to those produced with conventional powder metallurgy. Nevertheless, as previously stated, the MA samples could be further optimised by applying additional consolidation techniques, which would significantly reduce their porosity. Manufacturing Ti-Nb through magnetron sputtering, particularly HiPIMS, involves significantly higher energies. Ions participating in film growth accelerate in the plasma sheath. The energy of the particles can be estimated using the bias voltage, which in this study was -40 V. Due to particle collisions on the way to the substrate (with a mean free path of 13 mm for Ar at 0.5 Pa and room temperature), the average energy will be slightly lower. Additionally, the ions carry potential energy, specifically ionisation energy [63, 64].

Alternatively, we can compare these fabrication methods based on their main alloy formation mechanisms. PM relies mainly on diffusion between Ti and Nb grains during sintering, with negligible contribution from applied pressure. On the other hand, both MA and magnetron sputtering offer additional formation mechanisms. The milling process liberates considerable friction energy, which strongly deforms and reduces the grain size to 20–30 nm in diameter [46]. Defect generation, grain size reduction and forced Nb

integration mostly destroy the  $\alpha$  phase dominant in the pure Ti precursor powder during milling. These conditions favour the formation of the alloy phase. The high surface-to-volume ratio of the powder increases the interaction zone between the grains during cold pressing and sintering, compared to the PM approach using relatively large grains. The process of forming alloys through film fabrication differs significantly from the two bulk techniques. Magnetron sputtering transfers the target material in the form of a cloud consisting of single atoms and ions, without retaining any memory of the target's crystalline structure. Each pulse under the HiPIMS conditions contributed to less than one atomic layer. The species that hit the surface and participate in film growth exhibit a wide energy range, from thermal energy to a few tens of electron volts. The more energetic particles transfer their energy to the growing crystals through multiple collisions, allowing for crystal re-arrangements and surface mobility [65–67].

### The impact of niobium on phase formation and lattice constant variation

The amount of Nb required to achieve  $\beta$ -stabilisation varies depending on the process mechanism. It is highest in the case of PM methods and lowest when using HiPIMS. The proportion of cubic  $\beta$  in the phase composition is significantly greater in the MA materials compared to PM. This is due to the additional energy stored in the milled materials, which favours the formation of  $\beta$  over  $\alpha$ . While the authors have not explicitly stated this, there is potential for enhancing material properties by utilising other advanced synthesis techniques, such as spark plasma sintering (SPS). According to existing literature, SPS can enhance mechanical properties by creating materials with a more refined microstructure [68, 69]. However, scalability presents a significant challenge, particularly when scaling up to discs larger than 10 cm in diameter [70, 71]. In the range of 20–30% Nb, the phase structure of the MA samples consists of hcp  $\alpha$  and bcc  $\beta$ . However, the phase composition of the HiPIMS films in the same Nb content range does not contain any hcp  $\alpha$ . The phase structure analysis revealed metastable phases in both the HiPIMS and MA samples. The HiPIMS samples contained separated bcc phases:  $\beta_1$  and  $\beta_2$ , while the MA samples contained orthorhombic Cmcm  $\alpha''$ . These are a consequence of non-equilibrium mechanisms. Other authors have confirmed the

structural evolution in multiple phases using DCMS as a composition spread alloy thin film. This aligns with the results observed in the fabrication of alloys through pressureless sintering of mechanically alloyed samples [72]. The combined effect of efficient precursor material mixing and non-equilibrium conditions favours the rapid transformation of  $\alpha$  into metastable  $\alpha''$  and  $\beta$ . The PM samples only contained stable  $\alpha$  and  $\beta$ .

The analysis indicates that the lattice constant of  $\beta$  remains unaltered by the Nb content in conventional microcrystalline alloys. However, in the case of the MA samples, an increase in the amount of Nb solute in the Ti lattice results in a reduction in the unit cell volume, as illustrated in Fig. 5. The transition process from  $\alpha$  to  $\beta$  stops once all available Nb is consumed during the first 10 h. However, a significant amount of free Nb remained in the case of MA\_Ti30Nb powder. The authors are uncertain as to why the reduction in unit cell volume ceased at the same level for both MA\_Ti10Nb and MA\_Ti20Nb after 10 h, despite the latter having a higher amount of free Nb. Furthermore, peak broadening was observed in both the  $\alpha$  and  $\beta$  phases during mechanical alloying. This corresponds to structure fracturing caused by high energy impact during milling and the associated increase in the number of crystal defects, leading to the powders' hardening during milling [73]. The literature on mechanical alloying technologies, such as [74], has extensively discussed peak broadening and defect formation. The defects found in the analysed powder particles include dislocations, vacancies, stacking faults, and increased grain boundaries. In addition, the formation of crystal defects with a high density can result in the amorphisation of mechanically alloyed powders. Mechanical alloying involves the simultaneous occurrence of cold welding and fracturing phenomena. The process generates high mechanical energy due to multiple collisions between milling balls and powder particles, resulting in particle deformation and fracturing. Cold welding is particularly dominant in the initial stages of the process. The agglomeration of powder particles is promoted by friction, which facilitates mechanically-activated diffusion. Furthermore, the process of cold pressing and sintering resulted in a reduction of the unit cell volume. This phenomenon was attributed to the diffusion of Nb into the  $\beta$  lattice and possibly thermal relaxation.

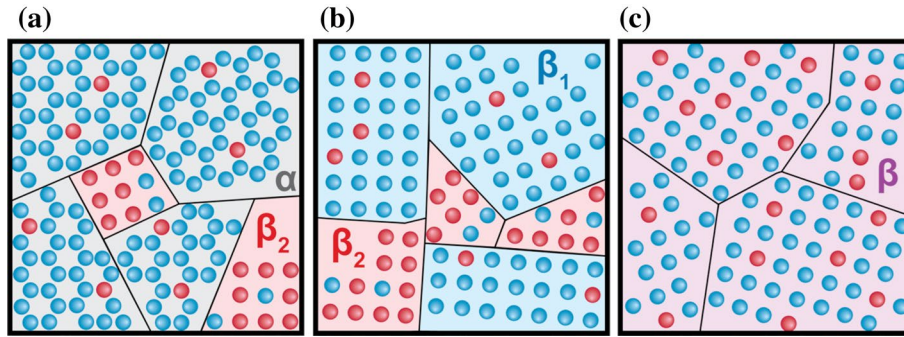
An increase in Nb content in the film samples, particularly in  $\beta_1$ , results in a significant reduction of the

unit cell volume. The unit cell volume decreased from  $48.4 \text{ \AA}^3$  for the alloy containing approximately 8% Nb content to  $37.1 \text{ \AA}^3$  for 30% Nb content and single-phase structuring. Conversely, the unit cell volume of  $\beta_2$  increased from  $35.7 \text{ \AA}^3$  for low Nb to  $37.1 \text{ \AA}^3$  for high Nb. The value is similar to the literature values found for both pure Nb ( $36.1 \text{ \AA}^3$ ) [75] and Ti (ranging from  $35.4 \text{ \AA}^3$  [76] to  $36.2 \text{ \AA}^3$  [77]). The unit cell volume of the alloy  $\beta_2$ , observed along with  $\alpha$ , does not change significantly with increasing Nb contents. The increase in unit cell volume only occurs once  $\alpha$  has disappeared and  $\beta_1$  is clearly visible. The  $\beta_2$  phase may be due to a Nb-rich phase that incorporates the Nb atoms reaching the  $\alpha$ -dominant surface. However, the authors could not provide evidence for this hypothesis. Additionally, the deposited films showed greater texturing than the bulk samples, with randomly oriented grains. The film texturing hindered accurate crystal structure analysis. The texture and microstructure of the film are influenced by the competition between surface and strain energy and can vary with film thickness. For example, TiN texture can change from the (200) plane to the (111) plane as thickness increases, while Ti films change their orientation from (100) to (002). Additionally, (101) plane texture results from thermal stress in DC magnetron sputtered titanium [43, 65–67, 78, 79]. Figure 12 schematically shows the phase distribution and domain formation during film deposition.

### Impact of Nb on the mechanical properties of Ti-Nb alloys

Regardless of the production method, nanoindentation results show that the addition of Nb, which acts as a  $\beta$ -stabiliser, reduces the Young's modulus of the alloys in comparison to pure Ti. The correlation between Nb content, hardness, and Young's modulus observed in this study is consistent with the findings of other researchers [13, 80, 81]. The hardness and elastic modulus of the MA samples decreased with only a 10% Nb concentration due to the formation of a triple-phase structure consisting of  $\alpha''$ ,  $\beta$  and  $\alpha$ . The reduction between MA\_Ti10Nb and MA\_Ti20Nb is due to the transition from a triple-phase structure (with  $\alpha$  and  $\alpha''$  contents of approximately 80%) to a dual-phase structure with a dominant  $\beta$  content of approximately 91%. Tan et al. [80] produced alloys using a conventional casting technique to create  $\text{Ti}_x\text{Nb}_4\text{Zr}$  alloys ( $x = 14, 17, 20$ ). They observed the formation of two different phase structures in the





**Figure 12** Schematic distribution of phases in gradient films produced via HiPIMS for **a** TiNb alloys < 10% Nb, **b** TiNb alloys > 10 and < 30% Nb **c** Ti30Nb alloy.

materials produced:  $\alpha' + \alpha'' + \beta + \omega$  and  $\beta + \alpha''$ . These structures significantly influenced the properties of the alloys. The Young's modulus decreased from approximately 36 GPa to 29 GPa as the content of  $\beta$  phase increased and the content of  $\alpha' + \alpha'' + \omega$  phases decreased with Nb content. The decrease in modulus is explained by two factors: (1) An increase in  $\alpha''$  phase content during the expansion of  $\alpha'$  and  $\alpha''$  phase, and (2) The dominance of  $\beta$  phase in the Ti20Nb4Zr alloy structure. This effect of Nb content on hardness and Young's modulus has also been observed in other alloys, such as Ti<sub>x</sub>Nb<sub>6</sub>Fe ( $x$  from 0 to 6) [13], where the Young's modulus was lower than that of all PM\_Ti<sub>x</sub>Nb, MA\_Ti<sub>x</sub>Nb, and TF\_Ti<sub>x</sub>Nb alloys. Ehtemam-Haghighi et al. [81] found comparable correlations between the structure, composition, and mechanical properties of alloys stabilised by other elements, such as Fe and Ta. The alloys' structures comprise of the  $\alpha''$  and  $\beta$  phases, with the Ti9Fe3Ta alloy having the highest content. This alloy exhibits mechanical properties, such as a hardness of 3.4 GPa and a Young's modulus of 93 GPa, similar to those in the current work.

It is important to note that PM\_30Nb and MA\_Ti20Nb have a similar crystal structure ( $\beta$  content of approximately 91%) and a similar Young modulus of approximately 85 and 96 GPa for the PM and MA samples, respectively. However, their hardnesses differ significantly, with 1.5 GPa for PM\_30Nb and 3.2 GPa for MA\_Ti20Nb. This difference is due to grain refinement induced via MA, which does not occur during conventional processes [82]. The lower elastic modulus of sample PM\_30Nb may be correlated with its lower hardness compared to the other produced samples. This material is primarily hardened through solid solution hardening without grain boundaries

and precipitation strengthening. These three hardening effects affect the mechanical properties of Ti-based alloys [83, 84]. Sample MA\_Ti30Nb showed an increase in hardness and modulus (to 3.9 and 116 GPa, respectively) with increasing Nb content. The main reason for the increase in Young's modulus is not yet clear, but it may be related to the microstructure of that particular sample. Additionally, the EBSD distribution maps show a small amount of fine-grained  $\alpha$  present in the matrix composed of  $\beta$ , which is atypical (see Fig. 6). This could explain the increase in hardness from 3.1 to 3.9 GPa without significant changes in the crystal structure. It is worth noting that the authors did not observe Nb particles with XRD analysis, which have been shown to increase the Young modulus in other research [27]. Mechanical alloying before sintering offers a significant advantage in producing powders with a single phase Ti-based  $\beta$ -structure. The presence of unmelted Nb particles suggests the need for increased mechanical alloying time, but this issue can be resolved with the application of this technology, as noted in some articles [85]. Previous research has reported a non-continuous reduction in elastic modulus, similar to what was observed in this study between MA\_Ti20Nb and MA\_Ti30Nb [86]. Specifically, the elastic modulus decreased for the Ti5Nb alloy compared to pure titanium (69 GPa), increased for Ti8Nb (88 GPa), and then decreased again for Ti12Nb (77 GPa). However, the elastic modulus of all alloys in these studies, including Ti8Nb, was lower than that of commercially pure titanium (107 GPa).

Due to differences in the method of determining elastic modulus, it is not easy to compare the obtained results with those obtained in the referenced works. This issue was previously highlighted

by authors such as Iijima et al. [87], who attributed the differences to the influence of varying volumes across different testing techniques. The larger measured volume increases the likelihood of the influence of microscopic and macroscopic structural defects on the measured modulus, including defects such as porosity. It is important to note the so-called indentation size effect (ISE), which was studied by Garbiec et al. [88] and Rodríguez et al. [89]. These authors have analysed the phenomenon taking into consideration various differences in material properties at the macro-, micro-, and nanoscale. Our research has led to the successful production of alloys with a lower Young's modulus. For instance, the Ti<sub>30</sub>Nb<sub>30</sub>Zr alloy [58] had a Young's modulus of 68 GPa, while the Ti<sub>23</sub>Zr<sub>25</sub>Nb-45S5 Bioglass composite [90] and the Ti<sub>23</sub>Zr<sub>25</sub>Nb with about 70% porosity functionalised by the addition of 40% ammonium bicarbonate foaming agent [90] had Young's moduli of 43 and 56 GPa, respectively. The reduction of the Young modulus was achieved in these studies through the modification of the materials with Zr content, the addition of 45S5 Bioglass bioceramics, and the production of foams with significant porosities.

The hardness and elastic modulus of thin films are affected not only by their chemical composition but also by the deposition parameters and the relative position of the deposition spot to the sputtering targets (see Fig. 11b and c). The authors have not yet determined the cause of the significant variation in the mechanical properties of the films. No relations between Co-Cr-Ni-Fe film structure and mechanical properties were observed in studies using the Nelson–Riley method and convolutional multiple whole profile (CMWP) analysis [91, 92]. Further work is required, including detailed microstructural studies, such as transmission electron microscopy investigations. These investigations will improve our understanding of the spinodal decomposition of the  $\beta$  phase during film deposition, the texture of the films and its effect on the hardness of the materials. In addition, it is necessary to investigate the formation mechanisms of binary systems in other production routes and the differences in nanostructure between the MA\_Ti<sub>20</sub>Nb and MA\_Ti<sub>30</sub>Nb alloys. This would facilitate a more detailed characterisation and comparison in  $\alpha$  phase participation between MA\_Ti<sub>20</sub>Nb and MA\_Ti<sub>30</sub>Nb alloys and their effect on the mechanical properties of the alloys produced will also be investigated in detail. It is important to note that the mechanical properties

of a material depend on various factors, including grain size and texture, when comparing samples produced by different methods [93–95]. The produced samples should also be monitored for mechanical behaviour, biological properties, corrosion resistance and microstructure.

## Conclusion

This study analysed Ti-Nb alloys produced by three different methods: conventional powder metallurgy (PM), mechanical alloying (MA), and high-power impulse magnetron sputtering (HiPIMS). The analysis and the experiments have led to the following conclusions:

- (1) The techniques used for fabrication are crucial in determining the phase compositions and structural evolution of the material. PM relies on grain diffusion, MA increases free energy over mixing energy, while HiPIMS transfers materials as single atoms and ions from a sputtering target.
- (2) The manufacturing process is of critical importance in the formation of the  $\beta$  phase in the alloys produced. The samples produced by MA and HiPIMS contain a greater number of metastable phases ( $\beta_1$ ,  $\beta_2$  and  $\alpha''$ ) compared to PM samples. HiPIMS samples contain body-centred cubic  $\beta_1$  and  $\beta_2$  phases with high texture and different lattice constants. Conversely, the MA\_Ti<sub>10</sub>Nb sample contains an orthorhombic  $\alpha''$  phase. Furthermore, the higher energy stored in the high-density defects of the MA samples resulted in a higher  $\beta$  content compared to the PM samples
- (3) The Young's modulus of the MA samples decreased at Nb concentrations of 10% (100 GPa) and 20% (96 GPa), followed by an increase at a Nb content of 30% (116 GPa). The increase in hardness for MA samples can be attributed to grain refinement. The mechanical properties of the film were found to be correlated with the deposition conditions.

## Supplementary information

Supplementary Table S1 and Figs. S1–S3 include discharge current and voltage traces, HiPIMS deposition parameters, OM micrographs with calculated porosities (P) and OM micrographs of alloys produced via conventional powder metallurgy and etched with Kroll reagent.

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## Author contributions

M. Marczewski: Conceptualization, Data Curation, Formal analysis, Investigation, Methodology, Writing-Original Draft, Writing-Review and Editing. K. Wiczerzak: Investigation, Writing-Review and Editing. X. Maeder: Investigation, Writing-Review and Editing. L. Lapeyre: Investigation, Writing-Review and Editing. C. Hain: Investigation, Writing-Review and Editing. M. Jurczyk: Investigation, Writing-Review and Editing. T. Nelis: Supervision, Investigation, Methodology, Funding Acquisition, Writing-Original Draft, Writing-Review and Editing.

## Declarations

**Conflicts of interest** The authors declare that they have no conflicts of interest.

**Ethical approval** Not applicable.

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