



# Sexual dichromatism of the Blue-throated Starfrontlet, *Coeligena helianthea*, hummingbird plumage

Juliana Sosa<sup>1</sup> · Juan L. Parra<sup>2</sup> · Doekele G. Stavenga<sup>3</sup> · Marco A. Giraldo<sup>1,3</sup> 

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## Abstract

Among the many richly coloured birds, hummingbirds with their brilliant colouration are outstanding. We studied the plumage of male and female Blue-throated Starfrontlet, *Coeligena helianthea*, which exhibits a marked sexual dichromatism. The wide diversity of coloured feathers (blue, purple, golden, green, red) makes it an attractive species to investigate the structural basis of the colouration and to study the connection between the displayed colours and the perception by conspecifics. We analysed the optical properties of the feather barbules, applying spectrophotometry, scatterometry, and electron microscopy. Using the anatomical results, the spectral data can be interpreted by optical modelling. The reflectance spectra of the feathers of male *C. helianthea* strikingly overlap with the spectral sensitivities of bird photoreceptors, which suggests that the feather and photoreceptor spectra are tuned.

**Keywords** Iridescence · Spectrophotometry · Melanosomes · Multilayers · Trochilidae vision

## Zusammenfassung

### Sexueller Dichromatismus des Gefieders des Blaukehlmusketiers, *Coeligena helianthea*

Unter den vielen farbenprächtigen Vögeln stechen die Kolibris dank ihrer brillanten Färbung besonders heraus. Wir haben das Gefieder des männlichen und weiblichen Blaukehlmusketiers, *Coeligena helianthea*, untersucht welches einen ausgeprägten sexuellen Dichromatismus aufweist. Die große Vielfalt farbiger Federn (blau, lila, golden, grün, rot) macht diesen Vogel nicht nur zu einer attraktiven Art, um die strukturellen Grundlagen der Färbung zu untersuchen, sondern erlaubt es auch, den Zusammenhang zwischen den Federfarben und deren Wahrnehmung durch Artgenossen zu untersuchen. Wir analysierten die optischen Eigenschaften der gefärbten Bogenstrahlen mit Hilfe von Spektroskopie, Scatterometrie und Elektronenmikroskopie. Anhand der anatomischen Strukturuntersuchungen konnten wir die Reflexionsspektren durch optische Modellierung interpretieren. Die Reflexionsspektren der Federn von männlichen Blaukehlmusketieren zeigen eine spektrale Übereinstimmung mit den spektralen Empfindlichkeiten der Vogelphotorezeptoren, was darauf hindeutet, dass die Federreflexions- und die Photorezeptorspektren aufeinander abgestimmt sind.

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✉ Marco A. Giraldo  
mantonio.giraldo@udea.edu.co

<sup>1</sup> Biophysics Group, Institute of Physics, University of Antioquia, Calle 70 #52-21, AA 1226, Medellín, Colombia

<sup>2</sup> Group of Ecology and Evolution of Vertebrates, Institute of Biology, University of Antioquia, Calle 70 #52-21, AA 1226, Medellín, Colombia

<sup>3</sup> Surfaces and Thin Films, Faculty of Science and Engineering, University of Groningen, Nijenborgh 4, 9747 AG Groningen, The Netherlands

## Introduction

Many animals feature colourful patterns generated by pigimentary and/or structural colouration mechanisms, which is especially the case in birds (Hill and McGraw 2006; Kinoshita 2008; Sun et al. 2013). Several bird species engage in conspicuous displays with bright plumages created by stacks of strongly coloured feathers. The colour-producing elements can reside in different parts of the bird feather, which is a hierarchical structure made up of a main axis (the rachis), secondary axes (barbs), and tertiary axes (barbules) (Hill and McGraw 2006). In most species, the barbs and barbules are coloured by pigments. The barbs are structurally coloured when they contain quasi-ordered keratin, e.g. in Blue Jays, Cotingas, and Kingfishers (Dufresne et al. 2009; Prum et al. 2009; Stavenga et al. 2011a). The barbules are structurally coloured when they harbour regularly ordered melanosomes, organelles containing melanin. For instance, the breast feathers of the Bird of Paradise *Parotia lawesii* as well as the shiny feathers of the common Bronzewing, *Phaps choloptera*, contain layered stacks of solid melanin rodlets (Stavenga et al. 2011b; Xiao et al. 2014). Layers of air-filled cylindrical melanosomes colour the barbules of starling feathers (Durrer and Villiger 1970).

In hummingbirds, multilayer stacks of air-filled melanosome platelets cause the extremely iridescent colours of the feathers (Greenewalt et al. 1960a, b; Durrer 1977; Bleiweiss 1985, 1999; Osorio and Ham 2002; Giraldo et al. 2018). The periodicity of the melanosome multilayers and the properties of their components, i.e. the filling factors of melanin, keratin, and air, determine the effective refractive indices of the layers and, consequently, the reflectance spectrum of the barbules (Giraldo et al. 2018). As the melanosome stacks in hummingbird feathers closely approximate ideal multilayer reflectors, their reflectance is maximal when the detection angle equals the mirror angle, which can be manipulated during male displays (Simpson and McGraw 2018a, b). For instance, in the male Anna's Hummingbird, the barbule planes are tilted with respect to the feather plane, so that when the male shows his colourful throat feathers while hovering in front of a female, incident sunlight is brightly and colourfully reflected to her (Stiles 1982). Because of the oblique light incidence, the hue of the feathers is shifted towards shorter wavelengths with respect to the hue of light reflected at normal light incidence (Meadows et al. 2011; Giraldo et al. 2018). Male hummingbirds display their bright iridescent feather patches (usually the crown and gorget) during aggressive, territorial, or courtship displays (Pitelka 1951; Hogan and Stoddard 2018; Simpson and McGraw 2018a, b), while duller feathers that cover the belly, upper back, and rump patches presumably are involved in crypsis (Parra 2010).

The colourful displays of animals, offering true, misleading, or false information to both receivers and exploiters, have been widely interpreted within the context of communication and signalling, because behavioural or physiological responses can shape the evolution of the sensory systems and communication strategies (Endler 1993; Endler et al. 2005). An excellent model for studying colour evolution, speciation, and biogeography along humid montane forests is the hummingbird genus *Coeligena*, which comprises ~ 11 species that inhabit the humid Andes from Venezuela to central Bolivia (Parra et al. 2009). Sexual selection presumably plays an important role in shaping the diversity within *Coeligena* species at a population and geographic level. Other mechanisms such as geographic isolation and natural selection might be essential to understand diversification events in the genus (Parra 2010). The genus is remarkable because of its colourful plumage, but little is known about the details of the mechanisms generating its structural colours. Here, we study the iridescent colouration of the Blue-throated Starfrontlet, *Coeligena helianthea*, which occurs in the eastern Andes of Colombia and Venezuela. The males exhibit almost the whole gamut of visible colours, including blue, green, and rosy pink. We discuss the possible relationship of these interesting characteristics with the colour perception of hummingbirds.

## Materials and methods

### Animals and microphotography

Feather samples from five individuals of *Coeligena helianthea* were obtained through loans from various museums. The feathers were photographed with an Olympus stereoscope (Olympus SZX16 stereo zoom microscope) equipped with an SDF PLAPO 1XPF objective and an Olympus SC-30 digital colour camera.

### Spectrophotometry

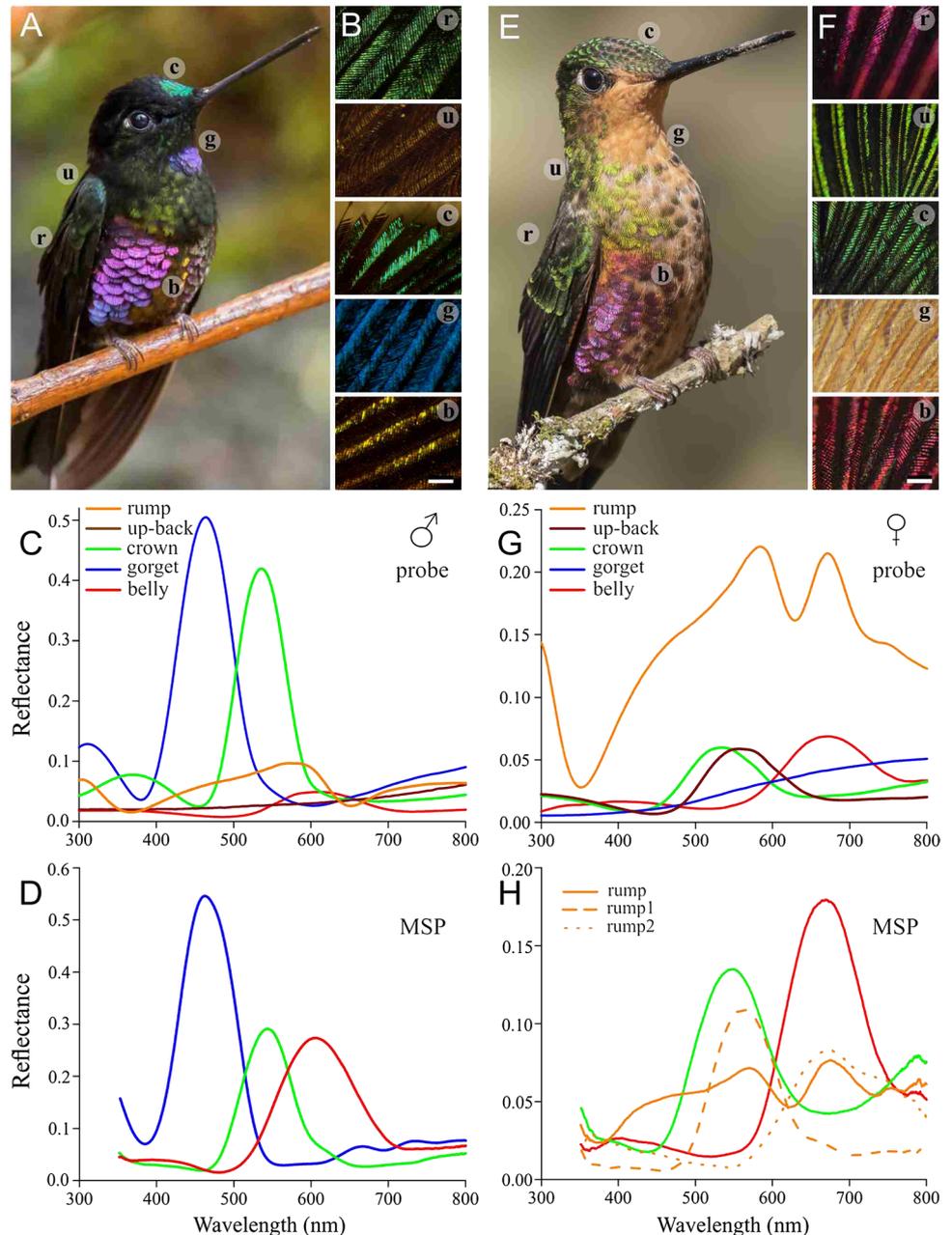
Reflectance spectra of the feathers were recorded with an AvaSpec-2048 spectrometer using a bifurcated reflection probe (Avantes, Apeldoorn, The Netherlands). The light source was a deuterium-halogen lamp [Avantes AvaLight-D (H)-S], which illuminated approximately one square millimetre of the intact feathers. In addition, reflectance spectra of single barbules were measured with a microspectrophotometer (MSP) consisting of an AvaSpec-2048 spectrometer connected by a fibre optic to a Leitz Ortholux epi-illumination microscope, equipped with an Olympus LUCPlanFL N 20x/0.45 objective. The light source was a xenon arc lamp. The reflectance was measured from a square area of a single barbule (side length 5–10 µm). Glass UV absorption

restricted the MSP measurements to wavelengths  $> 350$  nm. All microphotographs (Fig. 1B) and reflectance spectra (Fig. 1C) were taken by tilting and rotating the feathers in a three degrees-of-freedom stage until the reflection was maximal. As a standard white diffuse reflector (WS-2, Avantes) was used as reference, the strong directional reflection of the barbules caused a severe overestimation of the reflectance, and we therefore divided all measured spectra by an estimated factor of four.

## Imaging scatterometry

In order to study the spatial reflection properties of the barbules, we applied imaging scatterometry on isolated barbs that were glued to the tip of a glass micropipette and subsequently positioned in the focal point of the ellipsoidal mirror of the scatterometer (Stavenga et al. 2009). The far-field scatterograms were recorded with an Olympus DP70 digital camera (Olympus, Tokyo, Japan).

**Fig. 1** The hummingbird *Coeligena helianthea*. **A–D** Male, **E–H** female. **A** The male with sampled patches: rump (r), upper back (u), crown (c), gorget (g), and belly (b). **B** Microphotographs of barbs with barbules in the sampled patches; bar: 200  $\mu$ m. **C** Reflectance spectra measured with a bifurcated probe. **D** Reflectance spectra measured with a microspectrophotometer. **E** The female with sampled patches. **F** Microphotographs of barbs with barbules in the sampled patches; bar: 200  $\mu$ m. **G** Reflectance spectra measured with a bifurcated probe. **H** Reflectance spectra measured with a microspectrophotometer



## Transmission electron microscopy

To investigate the anatomy of the barbules, we performed transmission electron microscopy. We therefore cut feather barbs with attached barbules and incubated them in 0.25 M sodium hydroxide and 0.1% Tween 20 for 30 min on a bench-top shaker. The barbs were then transferred to a 2:3 (v/v) solution of formic acid and ethanol for 2.5 h, subsequently dehydrated, by incubating in 100% ethanol twice (20 min) and 100% propylene oxide once (20 min), and infiltrated with Epon 812 in successive concentrations of 15, 50, 70 (48 h each), and 100% (24 h). We placed the barb sections into moulds, and the blocks were then cured in an oven at 20 °C for 24 h. Finally, we sliced the moulds using a diamond knife on an RMC MT-X ultramicrotome (Boeckeler Instruments, Tucson, AZ, USA) to obtain transversal sections relative to the barb. Sections were placed on 200-mesh copper grids (EMS, Fort Washington, PA, USA) with formvar support, post-stained in osmium and lead citrate, and viewed on a Phillips EM301 (Veeco FEI Inc, Hillsboro, OR, USA).

## Optical modelling

We calculated the barbule reflectance spectra with a transfer matrix procedure, as before in the study of the feathers of the male Anna's Hummingbird (Giraldo et al. 2018). We first derived from the anatomy the thicknesses of the melanosome membrane ( $a$ ), the air layer ( $b$ ), the cortex ( $c$ ), the spacing between the melanosomes ( $d$ ), the membranes within the melanosomes ( $e$ ), as well as the melanosome width ( $w$ ); for a diagram of the melanosome stack with the parameters, see supplementary Fig. S1. The values were implemented in the transfer matrix calculation program and then slightly varied to obtain reflectance spectra corresponding with the measurements. Gorget spectra were calculated for  $a=25$  nm;  $b=80, 85,$  and  $90$  nm;  $c=200$  nm,  $d=20$  nm,  $e=13$  nm,  $w=1000$  nm, with  $N=10$  layers, and belly spectra were calculated for  $a=40$  nm;  $b=95, 100,$  and  $105$  nm;  $c=50$  nm,  $d=20$  nm,  $e=20$  nm,  $w=1000$  nm, with  $N=6$  layers.

## Photoreceptor excitation

We investigated the possible tuning of the feather reflectance spectra to the sensitivity spectra of the photoreceptors. From the large set of bird photoreceptors reported by Hart and Vorobyev (2005), we took the photoreceptor spectra of the well-studied Blue Tit and applied the spectral filter of the ocular lenses as in Stavenga and Wilts (2014). Furthermore, we shifted the normalized reflectance spectra of the gorget, crown, and belly along the abscissa, keeping the spectral shape constant, assuming a constant illumination spectrum, and calculated the effective absorption of the feather

reflections by the three different photoreceptors as a function of the reflectance peak wavelength. We also performed the calculations for a daylight (D65) instead of a constant, wavelength-independent spectrum, but that yielded virtually identical effective absorption spectra.

## Results

### Colour appearance and feather reflectance

*Coeligena helianthea* males (Fig. 1A) display highly iridescent feather patches that range from violet to golden-red, making it a suitable model to study the structural mechanisms generating colours throughout the visible spectrum occurring among the genus. We isolated feathers of the differently coloured areas [rump (r), upper back (u), crown (c), gorget (g), and belly (b); Fig. 1A] to analyse their optical and morphological properties. Figure 1B presents microphotographs of the five studied feather areas.

To quantify the optical properties of the various feather patches, we measured the reflectance spectra using a bifurcated probe (Fig. 1C); the feathers were tilted and rotated until a reflection maximum was reached. The male gorget feather reached a remarkably high blue reflectance ( $\sim 0.5$ , peak wavelength  $\sim 460$  nm; Fig. 1B<sub>g</sub>, C), and also the green crown had a high reflectance peak ( $\sim 0.4$ , at  $\sim 540$  nm; Fig. 1B<sub>c</sub>, C). The reflectance spectrum of the brown upper back feather, which goes from below 0.02 at short wavelengths to about 0.05 at long wavelengths, is characteristic for a melanin-containing feather (Fig. 1B<sub>u</sub>, C).

As the probe measurements yield the reflectance of a millimetre-sized area, we also performed measurements on single barbules with a microspectrophotometer. The reflectance spectra thus obtained were in close agreement with the probe spectra concerning the shape, but the amplitudes differed between the feather types, indicating that the packing density of the barbules varies (Fig. 1D).

The female also features a wide variety of colours, from green to purplish-red and light brown, but blue is lacking (Fig. 1E, F). The amplitudes of the reflectance spectra measured with the bifurcated probe and microspectrophotometer were rather low compared to the corresponding spectra of the male (Fig. 1G, H). Interestingly, the rump patch of the female measured with the bifurcated probe yielded a double-band reflectance spectrum, with peaks at 560 nm and 670 nm (Fig. 1G). Reflectance spectra measured locally with the microspectrophotometer yielded single-band spectra (Fig. 1H, rump1 and rump2), but double-band spectra could also be measured (Fig. 1H, rump). The latter spectra as well as the double-band probe spectra are due to the summed reflectance from differently structured areas.

To investigate the spatial distribution of the light reflected by single barbules, we performed scatterometry on feathers from the front-side patches (gorget, crown, and belly) of a male (Fig. 2). When illuminated with a close-to-normal incident white light beam (spot diameter  $\sim 13 \mu\text{m}$ ), the gorget (g) and crown (c) barbules display a very spatially restricted scatterogram, characteristic for specular reflection (Fig. 2A, B). The belly (b) barbules produced a slightly diffuse pattern, due to some irregularities in the barbule surface (Fig. 2C). Figure 2D shows the scatterogram when the crown barbule of Fig. 2B was illuminated with a very large (wide-angled) illumination aperture. With an increasing angle of incidence and reflection, the colour of the reflected light changes from green (with normal illumination; centre) to blue (with oblique illumination; periphery), which is characteristic for a multilayer reflector.

### Structure of the feather barbules and modelled reflectance spectra

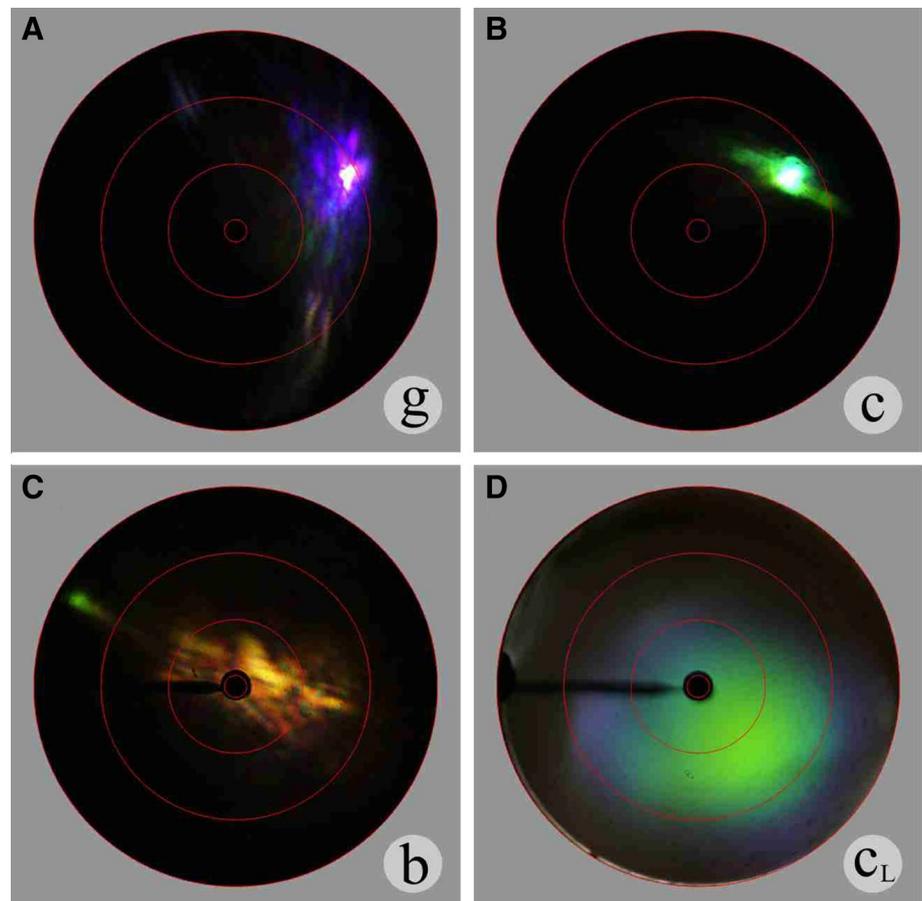
The optical experiments indicated that the melanosome stacks act as multilayer reflectors, probably similar to those investigated previously in the male Anna's

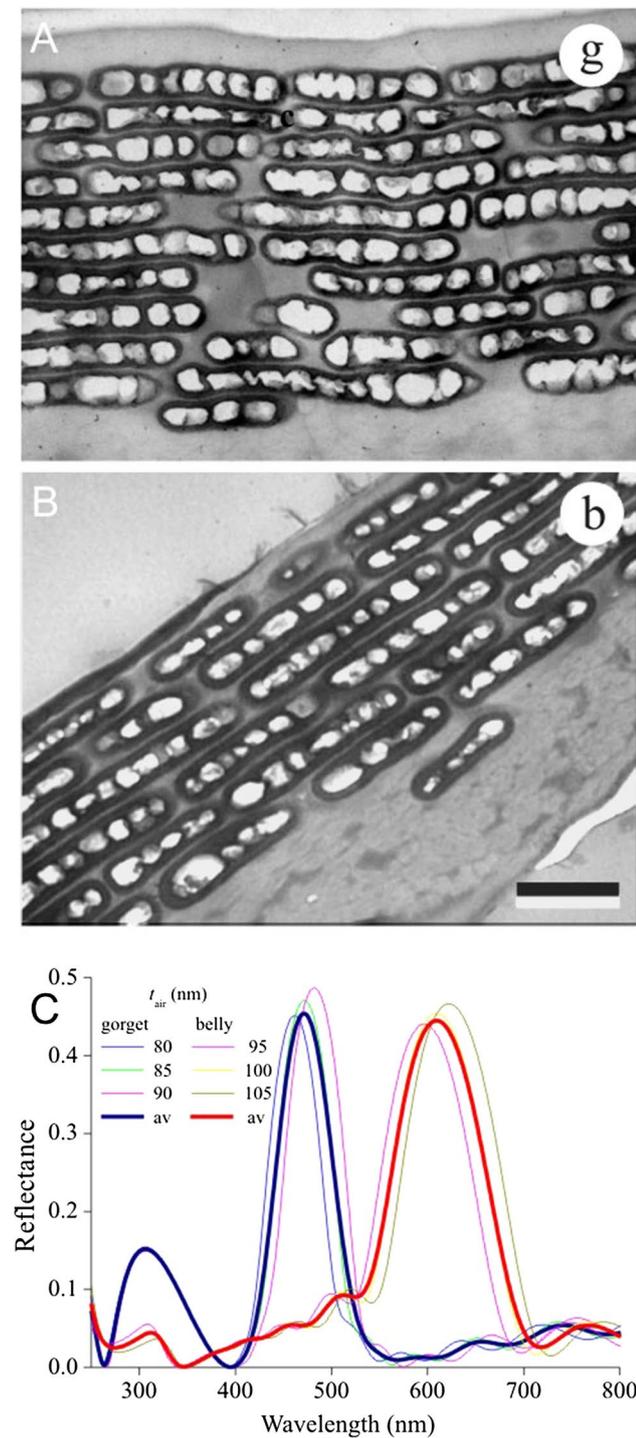
Hummingbird (Giraldo et al. 2018). To interpret the measured spectra, we calculated reflectance spectra with the optical modelling approach of the latter study, using parameters following from the anatomy of the barbules of *C. helianthea*. Transmission electron microscopy revealed that the barbules of the gorget contain a large stack of 10 melanosomes (Fig. 3A), while the belly barbule has 6 melanosome layers (Fig. 3B). The air layer thickness appeared to be the parameter that determines the spectral position, and we therefore calculated the spectra for a few values of that parameter (Fig. 3C; gorget and belly, thin lines) together with the averaged spectrum (Fig. 3C, bold lines).

### Feather reflectance and photoreceptor sensitivity spectra

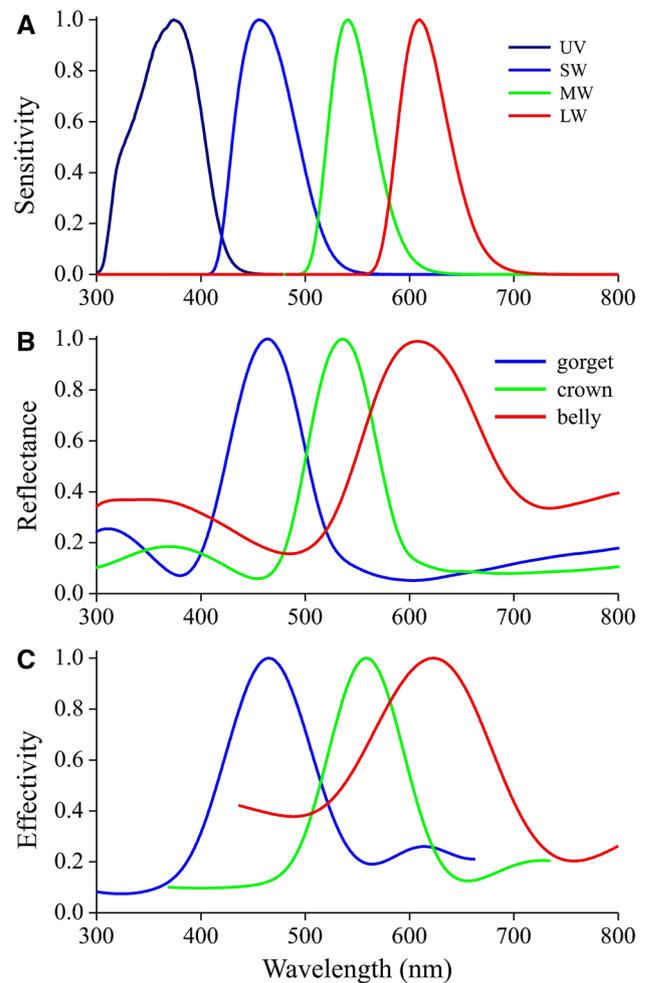
The feather reflectance spectra appear to be well-separated, similar to the spectral sensitivities of bird photoreceptors. We investigated a possible relationship by calculating the photoreceptor sensitivity spectra of the Blue Tit (Fig. 4A) using data of Hart and Vorobyev (2005). Furthermore, we normalized the reflectance spectra of Fig. 1C for the gorget,

**Fig. 2** Scatterograms of feather barbules of *Coeligena helianthea* (male). **A–C** Scatterograms of the gorget (g), crown (c), and belly (b) feathers when illuminated with a narrow-aperture beam. **D** Scatterogram of a crown feather illuminated with a large-aperture beam ( $c_L$ ). The red circles indicate angular directions of 5°, 30°, 60°, and 90°





**Fig. 3** Anatomy and modelling. **A** Transmission electron microscopy sections of a gorget barbule of the male *C. helianthea*. **B** A belly barbule. **C** Reflectance spectra obtained with the optical model for melanosome multilayer reflectors of Giraldo et al. (2018). The thickness of the air layers was varied; for the gorget, 80–90 nm, and for the belly, 95–105 nm (thin lines), with an average of the three spectra (bold lines). Scale bar (**A**, **B**): 500 nm



**Fig. 4** Feather colouration and photoreceptor sensitivity. **A** Spectral sensitivity of the ultraviolet (UV), short-wavelength (SW), middle-wavelength (MW), and long-wavelength (LW) sensitivity photoreceptors of the Blue Tit (Hart and Vorobyev 2005; Stavenga and Wilts 2014). **B** Normalized reflectance spectra of the gorget, crown, and belly feathers of Fig. 1C. **C** Effective light capture by SW, MW, and LW sensitive photoreceptors as a function of the position of the reflectance peak wavelength of the feathers

crown, and belly (Fig. 4B). We then shifted each of the three reflectance spectra along the abscissa, keeping the spectral shape constant, assuming a constant illumination spectrum, and calculated the effective absorption of the feather reflections by the three corresponding photoreceptors as a function of the reflectance peak wavelength (Fig. 4C). The peak wavelengths of the normalized effective absorption spectra virtually coincided with the reflectance peak wavelengths. This indicates that the feather reflectance spectra are well-tuned to the photoreceptor spectra.

## Discussion

Both the male and female of the studied species, *C. helianthea*, have a rich set of coloured feathers (Fig. 1). The feathers' structural colours are created by the stacks of air-filled melanosomes in the barbules (Fig. 2). By treating the melanosome stacks as multilayer reflectors, the measured reflectance spectra can be well-explained. The calculations show that slight changes in the melanosome dimensions cause distinctly different reflectance spectra (Fig. 3). It was hence striking that the different feather reflectance spectra strongly overlap with the set of photoreceptor sensitivity spectra, which suggested that feather and photoreceptor spectra are tuned (Fig. 4).

Our study extends the early modelling work by Greenewalt et al. (1960a) and our more recent analysis of the *Calypte anna* hummingbird feathers (Giraldo et al. 2018). Here, we furthermore investigated the possible tuning of the sensitivity spectra of the photoreceptors and the feather reflectance spectra. Hummingbirds have four photoreceptor classes (Chen and Goldsmith 1986), like other birds (Hart and Vorobyev 2005). Unfortunately, intracellular recordings of hummingbird photoreceptors have not yet been performed. The Green-backed Firecrown hummingbird, *Sephanooides sephanooides*, is the only hummingbird species where spectral sensitivity data were measured by electroretinography (Herrera et al. 2008). By fitting modelled responses of one double- and four single-cone types to the measured electroretinograms, photoreceptor peak wavelength values were derived. The short-, middle-, and long-wavelength receptors were thus concluded to have peak sensitivities at 440, 508, and 560 nm, respectively. No errors in the derived peak wavelengths were provided in the Herrera et al. paper, but considering the given measurement errors, the uncertainty in the derived peak wavelengths is very large. More importantly, the reported peak wavelength values are in severe conflict with the minor spread of the peak wavelengths at  $463 \pm 11$ ,  $540 \pm 4$ , and  $605 \pm 5$  nm of the corresponding bird photoreceptors in the large data set reported by Hart and Vorobyev (2005). The photoreceptor spectra concluded for *S. sephanooides* are strongly hypsochromic shifted and far outside the range of the other related bird photoreceptors. Presumably, the large contribution assumed for the double cone caused the concluded erroneous peak wavelengths.

The peak wavelength of the red receptor concluded for *S. sephanooides* seemed especially unrealistic, and also since the reflectance spectrum of the red belly feathers peaks at 603 nm, we decided to rely our analysis on the general bird database (Hart and Vorobyev 2005), taking as an example the data of the Blue Tit, filtered by the ocular media (see also Stavenga and Wilts 2014).

The photoreceptor and feather reflectance spectra thus appeared to have a striking correspondence (Fig. 4). Tuning of photoreceptor and feather spectra has also been discussed by Wilts et al. (2014). Ornithophilous flowers that are visited by hummingbirds seem not to be tuned specifically to hummingbird colour sensitivity (Altshuler 2006), but tuning of photoreceptor and feather reflectance spectra might be an evolutionary result of courtship and territorial displays, as proposed to hold for other hummingbird species (Simpson and McGraw 2018a, b).

## Conclusion

We studied the optical basis of the sexual dichromatism of *Coeligena helianthea*, a model species for studying phenotypic differentiation in reproductive isolation, and we related the reflectance spectra of the feathers with the spectral sensitivities that had previously been reported for birds. The correspondence of the spectra indicates the crucial role of the feather colouration in sexual communication in this hummingbird species.

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