

# A Scheme to Base a Hash Function on a Block Cipher

Shoichi Hirose<sup>1</sup> and Hidenori Kuwakado<sup>2</sup>

<sup>1</sup> Graduate School of Engineering, University of Fukui  
hrs\_shch@u-fukui.ac.jp

<sup>2</sup> Graduate School of Engineering, Kobe University  
kuwakado@kobe-u.ac.jp

**Abstract.** This article discusses the provable security of an iterated hash function using a block cipher. It assumes the construction using the Matyas-Meyer-Oseas (MMO) scheme for the compression function and the Merkle-Damgård with a permutation (MDP) for the domain extension transform. It is shown that this kind of hash function, MDP-MMO, is indifferentiable from the variable-input-length random oracle in the ideal cipher model. It is also shown that HMAC using MDP-MMO is a pseudorandom function if the underlying block cipher is a pseudorandom permutation under the related-key attack with respect to the permutation used in MDP. Actually, the latter result also assumes that the following function is a pseudorandom bit generator:

$$(E_{IV}(K \oplus \text{opad}) \oplus K \oplus \text{opad}) \parallel (E_{IV}(K \oplus \text{ipad}) \oplus K \oplus \text{ipad}) ,$$

where  $E$  is the underlying block cipher,  $IV$  is the fixed initial value of MDP-MMO, and  $\text{opad}$  and  $\text{ipad}$  are the binary strings used in HMAC. This assumption still seems reasonable for actual block ciphers, though it cannot be implied by the pseudorandomness of  $E$  as a block cipher. The results of this article imply that the security of a hash function may be reduced to the security of the underlying block cipher to more extent with the MMO compression function than with the Davies-Meyer (DM) compression function, though the DM scheme is implicitly used by the widely used hash functions such as SHA-1 and MD5.

## 1 Introduction

*Background.* A hash function is one of the most important primitives in cryptography. It normally consists of a function with fixed input length. This component function is called a compression function. A domain-extension transform is also specified which describes how to apply the compression function to a given input of variable length.

The methods to construct a compression function are classified in two classes: dedicated methods and those using block ciphers. Compression functions of well-known hash functions such as SHA-1/256 are constructed with the dedicated methods. However, they are also regarded as Davies-Meyer functions using dedicated block ciphers known as SHACAL-1/2.

*Contribution.* The topic of this article is to reduce the security of a hash function to the security of the underlying block cipher. It assumes the construction using the Matyas-Meyer-Oseas (MMO) scheme [14] for the compression function and the Merkle-Damgård with a permutation (MDP) [10] for the domain extension transform. This kind of hash function is called MDP-MMO in this article. A message padding scheme with the MD-strengthening is also assumed for MDP-MMO.

This article mainly discusses two security properties of MDP-MMO: indistinguishability from the variable-input-length (VIL) random oracle and pseudorandomness of HMAC [2,12] using MDP-MMO. Collision-resistance is also mentioned briefly. These results imply that the security of an iterated hash function may be reduced to the security of the underlying block cipher to more extent with the MMO compression function than with the Davies-Meyer (DM) compression function.

It is shown that MDP-MMO is indistinguishable from the VIL random oracle in the ideal cipher model. This work is motivated by the recent work of Gong et al. [9]. They claimed that hash functions indistinguishable from the VIL random oracle in the ideal cipher model can be constructed using the MMO compression function and the domain extension transforms in [8]. The contribution of the current article is to reconstruct the proof using the game playing technique [5]. Also, notice that they did not consider MDP for domain extension.

Indistinguishability of an iterated hash function is often discussed on the assumption that the underlying compression function is a random oracle with fixed input length. Taking the structure of compression functions of widely used hash functions into consideration, it is not satisfactory. For example, DM and MMO compression functions are not indistinguishable from the fixed-input-length (FIL) random oracle [8,13].

It is also shown that HMAC using MDP-MMO is a pseudorandom function (PRF) if the underlying block cipher is a pseudorandom permutation (PRP) under the related-key attack with respect to the permutation used in MDP. Actually, this result also requires that the following function is a pseudorandom bit generator (PRBG):

$$(E_{IV}(K \oplus \text{opad}) \oplus K \oplus \text{opad}) \parallel (E_{IV}(K \oplus \text{ipad}) \oplus K \oplus \text{ipad}) ,$$

where  $E$  is the underlying block cipher,  $IV$  is the fixed initial value of MDP-MMO, and  $\text{opad}$  and  $\text{ipad}$  are the binary strings used in HMAC. It does not seem difficult to design a block cipher with which the function shown above is PRBG, though it cannot be implied by the pseudorandomness of  $E$  as a block cipher. It is because any adversary has no control over  $IV$ ,  $\text{ipad}$  and  $\text{opad}$ .

It can be said that the pseudorandomness of HMAC using MDP-MMO is almost reduced to the pseudorandomness of the underlying block cipher. Intuitively, it is because the chaining variables are fed into the block cipher via the key input and they are not disclosed to the adversary. On the other hand, if the Davies-Meyer compression function is used, then it is difficult to obtain a similar result. For this type of compression function, instead of the chaining variables,

the message blocks are fed into the block cipher via the key input. They are selected and controlled fully by the adversary.

*Related Work.* Coron et al. [8] first discussed the indistinguishability of hash functions from the VIL random oracle. They presented four domain extension transforms: the Merkle-Damgård (MD) transform with prefix-free encoding, the MD transform dropping some output bits, and NMAC/HMAC-like transforms. Then, they showed that hash functions using them are indistinguishable from the VIL random oracle if the underlying compression functions are FIL random oracles. Moreover, they showed that hash functions using them and the DM compression function are indistinguishable from the VIL random oracle in the ideal cipher model.

Chang et al. [7] discussed the indistinguishability of hash functions from the VIL random oracle in the ideal cipher model. They assumed the compression functions using a block cipher in the PGV model [17] and the MD transform with prefix-free encoding for domain extension. They showed that the hash functions using 16 compression functions in the PGV model are indistinguishable from the VIL random oracle in the ideal cipher model. They also showed that the hash function using the MMO compression function is distinguishable from the VIL random oracle. On the other hand, as mentioned before, Gong, Lai and Chen claimed that it is possible to construct hash functions indistinguishable from the VIL random oracle in the ideal cipher model even with the MMO compression function [9].

Bellare and Ristenpart gave a new notion called multi-property preservation (MPP) for domain extension [4]. A domain extension transform is called MPP if it preserves multiple security properties of a compression function such as collision-resistance, pseudorandomness, indistinguishability from a random oracle, etc. They also presented the EMD domain extension transform with the MPP property.

Hirose, Park and Yun [10] proposed a MPP domain extension transform called MDP. They also showed that a hash function using MDP and the DM compression function is indistinguishable from the VIL random oracle in the ideal cipher model. Ferguson had originally suggested an example of the MDP transform [11].

HMAC was first proposed by Bellare, Canetti and Krawczyk [2]. It was also shown in the same paper that HMAC is a PRF if the underlying compression function is a PRF with two keying strategies and the iterated hash function is weakly collision-resistant. Bellare proved that HMAC is a PRF under the sole assumption that the underlying compression function is a PRF with two keying strategies [1].

*Organization.* This article is organized as follows. Some notations and definitions are given in Section 2. The definition of MDP-MMO is given in Section 3. Section 4 is devoted to the indistinguishability of MDP-MMO from the VIL random oracle in the ideal cipher model. The security of HMAC using MDP-MMO as a PRF is discussed in Section 5.

## 2 Definitions

Let  $\text{Func}(D, R)$  be the set of all functions from  $D$  to  $R$ , and  $\text{Perm}(D)$  be the set of all permutations on  $D$ . Let  $s \stackrel{\$}{\leftarrow} S$  represent that an element  $s$  is selected from the set  $S$  under the uniform distribution.

### 2.1 Pseudorandom Bit Generator

Let  $g$  be a function such that  $g : \{0, 1\}^n \rightarrow \{0, 1\}^l$ , where  $n < l$ . Let  $A$  be a probabilistic algorithm which outputs 0 or 1 for a given input in  $\{0, 1\}^l$ . The prbg-advantage of  $A$  against  $g$  is defined as follows:

$$\text{Adv}_g^{\text{prbg}}(A) = \left| \Pr[A(g(k)) = 1 \mid k \stackrel{\$}{\leftarrow} \{0, 1\}^n] - \Pr[A(s) = 1 \mid s \stackrel{\$}{\leftarrow} \{0, 1\}^l] \right| ,$$

where the probabilities are taken over the coin tosses by  $A$  and the uniform distributions on  $\{0, 1\}^n$  and  $\{0, 1\}^l$ .  $g$  is called a pseudorandom bit generator (PRBG) if  $\text{Adv}_g^{\text{prbg}}(A)$  is negligible for any efficient  $A$ .

### 2.2 Pseudorandom Function

Let  $f : K \times D \rightarrow R$  be a keyed function or a function family.  $f(k, \cdot)$  is often denoted by  $f_k(\cdot)$ . Let  $A$  be a probabilistic algorithm which has oracle access to a function from  $D$  to  $R$ .  $A$  first asks elements in  $D$  and obtains the corresponding elements in  $R$  with respect to the function, and then outputs 0 or 1. The prf-advantage of  $A$  against  $f$  is defined as follows:

$$\text{Adv}_f^{\text{prf}}(A) = \left| \Pr[A^{f_k} = 1 \mid k \stackrel{\$}{\leftarrow} K] - \Pr[A^\rho = 1 \mid \rho \stackrel{\$}{\leftarrow} \text{Func}(D, R)] \right| ,$$

where the probabilities are taken over the coin tosses by  $A$  and the uniform distributions on  $K$  and  $\text{Func}(D, R)$ .  $f$  is called a pseudorandom function (PRF) if  $\text{Adv}_f^{\text{prf}}(A)$  is negligible for any efficient  $A$ .

Let  $p : K \times D \rightarrow D$  be a keyed permutation or a permutation family. The prp-advantage of  $A$  against  $p$  is defined similarly:

$$\text{Adv}_p^{\text{prp}}(A) = \left| \Pr[A^{p_k} = 1 \mid k \stackrel{\$}{\leftarrow} K] - \Pr[A^\rho = 1 \mid \rho \stackrel{\$}{\leftarrow} \text{Perm}(D)] \right| .$$

$p$  is called a pseudorandom permutation (PRP) if  $\text{Adv}_p^{\text{prp}}(A)$  is negligible for any efficient  $A$ .

### 2.3 Pseudorandom Function under Related-Key Attack

Pseudorandom functions under related-key attacks are first formalized by Bellare and Kohno [3]. In this article, we only consider a related-key attack with respect to a permutation  $\pi$  as in [10]. We will refer to this type of related-key attack

as the  $\pi$ -related-key attack. Let  $A$  be a probabilistic algorithm which has oracle access to a pair of functions from  $D$  to  $R$ . The prf-rka-advantage of  $A$  against  $f$  under the  $\pi$ -related-key attack is given by

$$\text{Adv}_{\pi, f}^{\text{prf-rka}}(A) = \left| \Pr[A^{f_k, f_{\pi(k)}} = 1 \mid k \xleftarrow{\$} K] - \Pr[A^{\rho, \rho'} = 1 \mid \rho, \rho' \xleftarrow{\$} \text{Func}(D, R)] \right| ,$$

where the probabilities are taken over the coin tosses by  $A$  and the uniform distributions on  $K$  and  $\text{Func}(D, R)$ .  $f$  is called a  $\pi$ -RKA-secure PRF if  $\text{Adv}_{\pi, f}^{\text{prf-rka}}(A)$  is negligible for any efficient  $A$ .

For a permutation, the prp-rka-advantage and the  $\pi$ -RKA-secure PRP can also be defined similarly.

### 2.4 Computationally almost Universal Function Family

Computationally almost universal function families are formalized by Bellare in [1]. Let  $f : K \times D \rightarrow R$  be a function family. Let  $A$  be a probabilistic algorithm which takes no inputs and produces a pair of elements in  $D$ . The au-advantage of  $A$  against  $f$  is defined as follows:

$$\text{Adv}_f^{\text{au}}(A) = \Pr[f_k(M_1) = f_k(M_2) \wedge M_1 \neq M_2 \mid (M_1, M_2) \leftarrow A \wedge k \xleftarrow{\$} K] ,$$

where the probabilities are taken over the coin tosses by  $A$  and the uniform distribution on  $K$ .  $f$  is called a computationally almost universal function family if  $\text{Adv}_f^{\text{au}}(A)$  is negligible for any efficient  $A$ .

### 2.5 Indifferentiability from Random Oracle

The notion of indifferentiability is introduced by Maurer et al. [15] as a generalized notion of indistinguishability. Then, it is tailored to security analysis of hash functions by Coron et al. [8].

Let  $C$  be an algorithm with oracle access to an ideal primitive  $\mathcal{F}$ . In the setting of this article,  $C$  is an algorithm to construct a hash function using  $\mathcal{F}$  with fixed input length. Let  $\mathcal{H}$  be the VIL random oracle and  $S$  be a simulator which has oracle access to  $\mathcal{H}$ .  $S^{\mathcal{H}}$  tries to behave like  $\mathcal{F}$  in order to convince an adversary that  $\mathcal{H}$  is  $C^{\mathcal{F}}$ . Let  $A$  be an adversary with access to two oracles. The indiff-advantage of  $A$  against  $C$  with respect to  $S$  is given by

$$\text{Adv}_{C, S}^{\text{indiff}}(A) = \left| \Pr[A^{C^{\mathcal{F}}, \mathcal{F}} = 1] - \Pr[A^{\mathcal{H}, S^{\mathcal{H}}} = 1] \right| ,$$

where the probabilities are taken over the coin tosses by  $A$ ,  $C$  and  $S$  and the distributions of ideal primitives.  $C^{\mathcal{F}}$  is said to be indifferentiable from the random oracle  $\mathcal{H}$  if there exists a simulator  $S^{\mathcal{H}}$  such that  $\text{Adv}_{C, S}^{\text{indiff}}(A)$  is negligible for any efficient  $A$ .

### 2.6 Ideal Cipher Model

A block cipher with block length  $n$  and key length  $\kappa$  is called an  $(n, \kappa)$  block cipher. Let  $E : \{0, 1\}^\kappa \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  be an  $(n, \kappa)$  block cipher. Then,  $E(k, \cdot) = E_k(\cdot)$  is a permutation for every  $k \in \{0, 1\}^\kappa$ . An  $(n, \kappa)$  block cipher  $E$  is called an ideal cipher if  $E_k$  is a truly random permutation for every  $k$ .

The lazy evaluation of an ideal cipher is described as follows. The encryption oracle receives a pair of a key and a plaintext as a query, and returns a randomly selected ciphertext. On the other hand, the decryption oracle receives a pair of a key and a ciphertext as a query, and returns a randomly selected plaintext. The oracles share a table of triplets of keys, plaintexts and ciphertexts, which are produced by the queries and the corresponding replies. Referring to the table, they select a reply to a new query under the restriction that  $E_k$  is a permutation for every  $k$ .

### 3 MDP with MMO Compression Function

We denote concatenation of sequences by  $\|$ . For sequences  $M_1, M_2, \dots, M_k$ , we often denote  $M_1 \| M_2 \| \dots \| M_k$  simply by  $M_1 M_2 \dots M_k$ . Let  $\mathcal{B} = \{0, 1\}^n$  and  $\mathcal{B}^+ = \cup_{i=1}^\infty \mathcal{B}^i$ .

Let  $E : \mathcal{B} \times \mathcal{B} \rightarrow \mathcal{B}$  be an  $(n, n)$  block cipher. The Matyas-Meyer-Oseas (MMO) compression function [16]  $F : \mathcal{B} \times \mathcal{B} \rightarrow \mathcal{B}$  with  $E$  is defined as follows:  $F(s, x) = E_s(x) \oplus x$ , where  $s$  is a chaining variable and  $x$  is a message block.

The MDP transform [10] of  $F$  with a permutation  $\pi$  is denoted by  $F_\pi^\circ : \mathcal{B} \times \mathcal{B}^+ \rightarrow \mathcal{B}$  and defined as follows: For  $s \in \mathcal{B}$  and  $M_1 M_2 \dots M_k$  ( $M_i \in \mathcal{B}$ ),

1.  $s_0 = s$ ,
2.  $s_i = F(s_{i-1}, M_i)$  for  $1 \leq i \leq k - 1$ ,
3.  $s_k = F(\pi(s_{k-1}), M_k)$ ,
4.  $F_\pi^\circ(s, M_1 M_2 \dots M_k) \stackrel{\text{def}}{=} s_k$ .

The following padding function  $\text{pad} : \{0, 1\}^* \rightarrow \cup_{i=2}^\infty \mathcal{B}^i$  is also prepared:

$$\text{pad}(M) = M \| 10^\ell \| \text{bin}(|M|) ,$$

where  $\ell$  is the minimum non-negative integer such that  $|M| + \ell \equiv 0 \pmod{n}$ , and  $\text{bin}(|M|)$  is the  $(n - 1)$ -bit binary representation of  $|M|$ . Thus, the input length of  $\text{pad}$  is precisely at most  $2^{n-1} - 1$ .

Now, MDP-MMO is a scheme to construct a hash function using a block cipher  $E : \mathcal{B} \times \mathcal{B} \rightarrow \mathcal{B}$ , a permutation  $\pi : \mathcal{B} \rightarrow \mathcal{B}$  and an initial value  $IV \in \mathcal{B}$  defined as follows:

$$\text{MDP-MMO}[E, \pi, IV](M) \stackrel{\text{def}}{=} F_\pi^\circ(IV, \text{pad}(M)) .$$

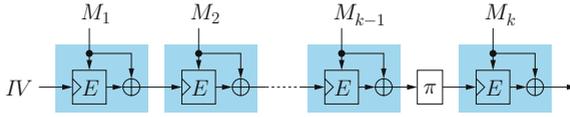


Fig. 1.  $MDP\text{-}MMO[E, \pi, IV](M)$ .  $\text{pad}(M) = M_1M_2 \cdots M_k$

A diagram of MDP-MMO is shown in Figure 1.

## 4 Security of MDP-MMO

### 4.1 Collision Resistance

It is easy to see that  $MDP\text{-}MMO[E, \pi, IV]$  is collision-resistant (CR) if its compression function is CR, that is, it is difficult to compute a pair of distinct  $(S, X)$  and  $(S', X')$  such that  $E_S(X) \oplus X = E_{S'}(X') \oplus X'$ . The pseudorandomness of  $E$  as a block cipher cannot imply the property. It is easy to find a counterexample. However, it seems still reasonable to assume that a well-designed block cipher such as AES has this property.

The CR of MDP-MMO can also be proved in the ideal cipher model using the technique by Black et al. in [6].

### 4.2 Indifferentiability from Random Oracle

In this section, we show that  $MDP\text{-}MMO[E, \pi, IV]$  is indifferentiable from the VIL random oracle in the ideal cipher model. The following theorem states the indifferentiability of MDP-MMO in the ideal cipher model.

**Theorem 1.** *Let  $E$  be an  $(n, n)$  block cipher. Let  $\pi$  be a permutation and  $P_\pi$  be the set of its fixed points. Let  $A$  be an adversary that asks at most  $q_{\mathcal{H}}$  queries to the VIL oracle,  $q_{\mathcal{E}}$  queries to the FIL encryption oracle and  $q_{\mathcal{D}}$  queries to the FIL decryption oracle. Let  $l$  be the maximum number of message blocks in a VIL query. Suppose that  $lq_{\mathcal{H}} + q_{\mathcal{E}} + q_{\mathcal{D}} \leq 2^{n-1}$ . Then, in the ideal cipher model,*

$$\begin{aligned} & \text{Adv}_{MDP\text{-}MMO, S_E, S_D}^{\text{indiff}}(A) \\ & \leq \frac{6(lq_{\mathcal{H}} + q_{\mathcal{E}} + q_{\mathcal{D}})^2 + 14(lq_{\mathcal{H}} + q_{\mathcal{E}})q_{\mathcal{D}} + (lq_{\mathcal{H}} + q_{\mathcal{E}})^2}{2^{n+1}} \\ & \quad + \frac{2lq_{\mathcal{H}}(q_{\mathcal{E}} + q_{\mathcal{D}})}{2^{n-1} - 3(lq_{\mathcal{H}} + q_{\mathcal{E}} + q_{\mathcal{D}}) - |P_\pi|} \\ & \quad + \frac{(4|P_\pi| + 5)(lq_{\mathcal{H}} + q_{\mathcal{E}} + q_{\mathcal{D}}) + 21q_{\mathcal{D}}}{2^{n+1}}, \end{aligned}$$

where the simulators  $S_E$  and  $S_D$  are given in Figure 2.  $S_E$  is a simulator for the encryption oracle, and  $S_D$  for the decryption oracle.  $S_E$  makes at most  $q_{\mathcal{E}}$  queries and runs in time  $O(q_{\mathcal{E}}(q_{\mathcal{E}} + q_{\mathcal{D}}))$ .  $S_D$  makes at most  $q_{\mathcal{D}}$  queries and runs in time  $O(q_{\mathcal{D}}(q_{\mathcal{E}} + q_{\mathcal{D}}))$ .

For Theorem 1, suppose that  $\pi$  has no fixed points. Also suppose that  $lq_{\mathcal{H}} + q_{\mathcal{E}} + q_{\mathcal{D}} \leq 2^{n-3}$ , and  $lq_{\mathcal{H}} \geq 1$ ,  $q_{\mathcal{E}} \geq 1$ ,  $q_{\mathcal{D}} \geq 1$ . Then, a looser but simpler bound is obtained:

$$\text{Adv}_{\text{MDP-MMO}, S_E, S_D}^{\text{indiff}}(A) \leq \frac{5(lq_{\mathcal{H}} + q_{\mathcal{E}} + q_{\mathcal{D}})^2}{2^{n-1}}.$$

Instead of the proof omitted due to the page limit, a brief intuitive idea of the proof is given below.

$S_E$  and  $S_D$  simulate the ideal cipher using lazy evaluation. In Figure 2,  $\mathcal{P}(s)$  and  $\mathcal{C}(s)$  represent the set of plaintexts and that of ciphertexts, respectively, which are available for the reply to the current query with the key  $s$ . Both of them are initially  $\{0, 1\}^n$ , and their elements are deleted one by one as the simulation proceeds.

Let  $(s_i, x_i, y_i)$  be the triplet determined by the  $i$ -th query of the adversary and the corresponding answer, where  $E_{s_i}(x_i) = y_i$ . For the MMO compression function,  $s_i$  is a chaining variable, and  $x_i$  is a message block. The triplets naturally defines a graph which initially consists of a single node labeled by the initial value  $IV$  and grows as the simulation proceeds.  $(s_i, x_i, y_i)$  adds two nodes labeled by  $s_i$  and  $z_i = x_i \oplus y_i$ , and an edge labeled by  $x_i$  from  $s_i$  to  $z_i$ . The additions avoid duplication of nodes with the same labels.

The simulators use two sets  $\mathcal{V}$  and  $\mathcal{T}$ .  $\mathcal{V}$  keeps all the labels of the nodes with outgoing edge(s) in the graph.  $\mathcal{T}$  keeps all the labels of the nodes reachable from the node labeled by  $IV$  following the paths. The procedure `getnode(s)` returns the sequence of labels of the edges on the path from the node labeled by  $IV$  to the node labeled by  $s$ .

The simulators select a reply not simply from  $\mathcal{C}(s)$  or  $\mathcal{P}(s)$  but from  $\mathcal{C}(s) \setminus \mathcal{S}_{\text{bad}}$  or  $\mathcal{P}(s) \setminus \mathcal{S}_{\text{bad}}$ . It prevents most of the events which make the simulators fail. For example, since  $\{y \mid x \oplus y \in \mathcal{T}\} \subseteq \mathcal{S}_{\text{bad}}$ , every node in  $\mathcal{T}$  has a unique path from the node labeled by  $IV$ . Thus,  $\tilde{M}$  is uniquely identified at the lines 204 and 304. The most critical work of the simulators is to reply to a decryption query related to the final invocation of the compression function in  $\text{MDP-MMO}[E, \pi, IV](M)$  for some  $M$ . Let  $(s, x)$  be such a query to  $S_D$ . In order to reply to it properly, the simulator  $S_D$  has to ask  $M$  to the VIL random oracle  $H$  and return  $H(M) \oplus x$ . Owing to the padding scheme `pad`, there exist only two possibilities for  $M$ ,  $M^{(0)}$  and  $M^{(1)}$ , which correspond to the message blocks  $\tilde{M}$  fed to the compression functions before the permutation  $\pi$ . Thus,  $S_D$  can accomplish the work.

## 5 Security of HMAC Using MDP-MMO

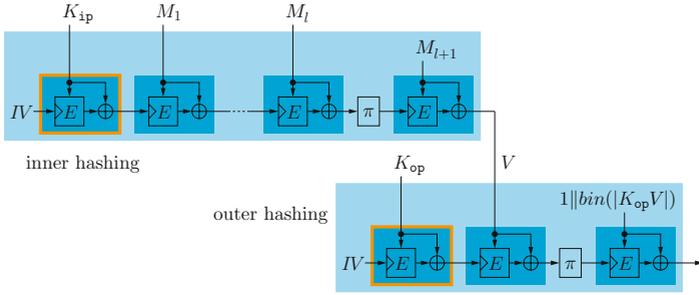
In this section, we discuss the pseudorandomness of HMAC using the MDP-MMO hash function (HMAC-MDP-MMO). This function is defined as follows:

$$\text{HMAC}[E, \pi, IV](K, M) = H((K \oplus \text{opad}) \| H((K \oplus \text{ipad}) \| M)) ,$$

where  $H$  is  $\text{MDP-MMO}[E, \pi, IV]$  and  $K$  is a secret key. A diagram of HMAC-MDP-MMO is given in Figure 3. Let us call  $H((K \oplus \text{ipad}) \| \cdot)$  inner hashing and  $H((K \oplus \text{opad}) \| \cdot)$  outer hashing.

<u>Initialize:</u> 100: $\mathcal{V} \leftarrow \emptyset$ 101: $\mathcal{T} \leftarrow \{IV\}$ 102: $\mathcal{P}(s) \leftarrow \{0, 1\}^n$ 103: $\mathcal{C}(s) \leftarrow \{0, 1\}^n$	<u>Interface <math>\mathcal{E}(s, x)</math>:</u> 200: <b>if</b> $s \in \mathcal{T}$ <b>then</b> 201: $E_s(x) \stackrel{\$}{\leftarrow} \mathcal{C}(s) \setminus \mathcal{S}_{\text{bad}}$ 202: $\mathcal{T} \leftarrow \mathcal{T} \cup \{E_s(x) \oplus x\}$ 203: <b>else if</b> $\pi^{-1}(s) \in \mathcal{T}$ <b>then</b> 204: $\tilde{M} \leftarrow \text{getnode}(\pi^{-1}(s))$ 205: <b>if</b> $x \in \{lb(M^{(0)}), lb(M^{(1)})\}$ <b>then</b> 206: <b>if</b> $x = lb(M^{(0)})$ <b>then</b> 207: $E_s(x) \leftarrow H(M^{(0)}) \oplus lb(M^{(0)})$ 208: <b>else</b> 209: $E_s(x) \leftarrow H(M^{(1)}) \oplus lb(M^{(1)})$ 210: <b>if</b> $E_s(x) \notin \mathcal{C}(s)$ <b>then</b> 211: <b>return fail</b> 212: <b>else</b> 213: $E_s(x) \stackrel{\$}{\leftarrow} \mathcal{C}(s)$ 214: <b>else</b> 215: $E_s(x) \stackrel{\$}{\leftarrow} \mathcal{C}(s)$ 216: $\mathcal{V} \leftarrow \mathcal{V} \cup \{s\}$ 217: $\mathcal{P}(s) \leftarrow \mathcal{P}(s) \setminus \{x\}$ 218: $\mathcal{C}(s) \leftarrow \mathcal{C}(s) \setminus \{E_s(x)\}$ 219: <b>return</b> $E_s(x)$
	<u>Interface <math>\mathcal{D}(s, x)</math>:</u> 300: <b>if</b> $s \in \mathcal{T}$ <b>then</b> 301: $D_s(x) \stackrel{\$}{\leftarrow} \mathcal{P}(s) \setminus \mathcal{S}_{\text{bad}}$ 302: $\mathcal{T} \leftarrow \mathcal{T} \cup \{D_s(x) \oplus x\}$ 303: <b>else if</b> $\pi^{-1}(s) \in \mathcal{T}$ <b>then</b> 304: $\tilde{M} \leftarrow \text{getnode}(\pi^{-1}(s))$ 305: <b>if</b> $x = H(M^{(0)}) \oplus lb(M^{(0)})$ <b>then</b> 306: $D_s(x) \leftarrow lb(M^{(0)})$ 307: <b>else if</b> $x = H(M^{(1)}) \oplus lb(M^{(1)})$ <b>then</b> 308: $D_s(x) \leftarrow lb(M^{(1)})$ 309: <b>else</b> 310: $D_s(x) \stackrel{\$}{\leftarrow} \mathcal{P}(s) \setminus \{lb(M^{(0)}), lb(M^{(1)})\}$ 311: <b>else</b> 312: $D_s(x) \stackrel{\$}{\leftarrow} \mathcal{P}(s)$ 313: $\mathcal{V} \leftarrow \mathcal{V} \cup \{s\}$ 314: $\mathcal{P}(s) \leftarrow \mathcal{P}(s) \setminus \{D_s(x)\}$ 315: $\mathcal{C}(s) \leftarrow \mathcal{C}(s) \setminus \{x\}$ 316: <b>return</b> $D_s(x)$

**Fig. 2.** Pseudocode for the simulators  $S_E$  and  $S_D$ .  $\mathcal{S}_{\text{bad}} = \{y \mid y \in \{0, 1\}^n \wedge x \oplus y \in \mathcal{V} \cup \mathcal{T} \cup \pi^{-1}(\mathcal{V} \cup \mathcal{T}) \cup \pi(\mathcal{T}) \cup P_\pi\}$ .  $\text{pad}(M^{(0)}) = \tilde{M} \| lb(M^{(0)})$ , and  $\text{pad}(M^{(1)}) = \tilde{M} \| lb(M^{(1)})$ .  $\tilde{M} = M^{(0)} \| 10^\ell$  ( $0 \leq \ell \leq n - 2$ ) and  $lb(M^{(0)}) = 0 \| \text{bin}(|M^{(0)}|)$ .  $\tilde{M} = M^{(1)}$  and  $lb(M^{(1)}) = 1 \| \text{bin}(|M^{(1)}|)$ .



**Fig. 3.**  $\text{HMAC}[E, \pi, IV](K, M)$ .  $E$  is an  $(n, n)$  block cipher.  $K_{ip} = K \oplus \text{ipad}$  and  $K_{op} = K \oplus \text{opad}$ .  $\text{pad}(K_{ip} \| M) = K_{ip}M_1 \cdots M_{l+1}$ .

We use the technique given by Bellare [1] in the analysis. We can also obtain a similar result based on the pseudorandomness of Prefix-MDP [10] in a more straightforward way. However, to the best of our analysis, the upper bound on the prf-advantage against HMAC-MDP-MMO obtained with this approach is worse than the one given below.

First, the compression function construction is considered. The following lemma says that the MMO compression function is a  $(\pi$ -RKA-secure) PRF when keyed via the chaining variable if the underlying block cipher is a  $(\pi$ -RKA-secure) PRP under the chosen plaintext attack up to the birthday bound. The proof is easy and omitted.

**Lemma 1.** *Let  $E$  be an  $(n, n)$  block cipher and  $F$  be a function such that  $F_K(x) = E_K(x) \oplus x$ .*

- *Let  $A_F$  be a prf-adversary against  $F$  which runs in time at most  $t$  and asks at most  $q$  queries. Then, there exists a prp-adversary  $A_E$  against  $E$  such that*

$$\text{Adv}_F^{\text{prf}}(A_F) \leq \text{Adv}_E^{\text{prp}}(A_E) + \frac{q(q-1)}{2^{n+1}},$$

*where  $A_E$  runs in time at most  $t + O(q)$  and asks at most  $q$  queries.*

- *Let  $\pi$  be a permutation. Let  $A_{\pi, F}$  be a prf-rka-adversary against  $F$  with respect to  $\pi$  which runs in time at most  $t$  and asks at most  $q$  queries. Then, there exists a prp-rka-adversary  $A_{\pi, E}$  against  $E$  with respect to  $\pi$  such that*

$$\text{Adv}_{\pi, F}^{\text{prf-rka}}(A_{\pi, F}) \leq \text{Adv}_{\pi, E}^{\text{prp-rka}}(A_{\pi, E}) + \frac{q(q-1)}{2^{n+1}},$$

*where  $A_{\pi, E}$  runs in time at most  $t + O(q)$  and asks at most  $q$  queries.*

The following lemma is on the inner hashing. It says that, if the compression function  $F$  is a  $\pi$ -RKA-secure PRF, then the MDP composition of  $F$  and  $\pi$  is computationally almost universal. The proof is omitted due to the page limit.

**Lemma 2.** *Let  $F : \{0, 1\}^\kappa \times \{0, 1\}^n \rightarrow \{0, 1\}^\kappa$  be a function family, and let  $A_{F_\pi^\circ}$  be an au-adversary against  $F_\pi^\circ$ . Suppose that  $A_{F_\pi^\circ}$  outputs two messages with at most  $\ell_1$  and  $\ell_2$  blocks, respectively. Then, there exists a prf-rka-adversary  $A_{\pi,F}$  against  $F$  with respect to  $\pi$  such that*

$$\text{Adv}_{F_\pi^\circ}^{\text{au}}(A_{F_\pi^\circ}) \leq (\ell_1 + \ell_2 - 1) \text{Adv}_{\pi,F}^{\text{prf-rka}}(A_{\pi,F}) + \frac{1}{2^\kappa} ,$$

where  $A_{\pi,F}$  runs in time at most  $O((\ell_1 + \ell_2)T_F)$  and makes at most 2 queries.  $T_F$  represents the time required to compute  $F$ .

Lemma 2 requires a  $\pi$ -RKA-secure compression function. However, the assumption does not seem severe since adversaries are allowed to make only at most 2 queries to the oracles.

The following lemma is on the outer hashing. It says that, if the compression function is a PRF, then the outer-hashing function is also a PRF. The proof is omitted.

**Lemma 3.** *Let  $F : \{0, 1\}^\kappa \times \{0, 1\}^n \rightarrow \{0, 1\}^\kappa$  be a function family. Let  $\hat{F}^2 : \{0, 1\}^\kappa \times \{0, 1\}^n \rightarrow \{0, 1\}^\kappa$  be a function family defined by*

$$\hat{F}^2(K, X) = F(\pi(F(K, X)), 1 \parallel \text{bin}(\kappa + n)) ,$$

where  $K \in \{0, 1\}^\kappa$  and  $X \in \{0, 1\}^n$ . Let  $A_{\hat{F}^2}$  be a prf-adversary against  $\hat{F}^2$  that runs in time at most  $t$  and makes at most  $q$  queries. Then, there exist prf-adversaries  $A_F$  and  $A'_F$  against  $F$  such that

$$\text{Adv}_{\hat{F}^2}^{\text{prf}}(A_{\hat{F}^2}) \leq \text{Adv}_F^{\text{prf}}(A_F) + q \text{Adv}_F^{\text{prf}}(A'_F) ,$$

where  $A_F$  runs in time at most  $t + O(qT_F)$  and makes at most  $q$  queries, and  $A'_F$  runs in time  $t + O(qT_F)$  and makes at most 1 query.  $T_F$  represents the time required to compute  $F$ .

The following lemma is Lemma 3.2 in [1]. It says that  $h(K_\circ, G(K_\imath, \cdot))$  is a PRF if  $h(K_\circ, \cdot)$  is a PRF and  $G(K_\imath, \cdot)$  is computationally almost universal, where  $K_\circ$  and  $K_\imath$  are secret keys chosen uniformly and independently of each other.

**Lemma 4 (Lemma 3.2 in [1]).** *Let  $h : \{0, 1\}^\mu \times \{0, 1\}^n \rightarrow \{0, 1\}^\mu$  and  $G : \{0, 1\}^\kappa \times \mathcal{D} \rightarrow \{0, 1\}^n$  be function families. Let  $hG : \{0, 1\}^{\mu+\kappa} \times \mathcal{D} \rightarrow \{0, 1\}^\mu$  be defined by  $hG(K_\circ \parallel K_\imath, M) = h(K_\circ, G(K_\imath, M))$  for  $K_\circ \in \{0, 1\}^\mu$ ,  $K_\imath \in \{0, 1\}^\kappa$  and  $M \in \mathcal{D}$ . Let  $A_{hG}$  be a prf-adversary against  $hG$  that runs in time at most  $t$  and makes at most  $q (\geq 2)$  queries each of whose lengths is at most  $d$ . Then, there exist a prf-adversary  $A_h$  against  $h$  and an au-adversary  $A_G$  against  $G$  such that*

$$\text{Adv}_{hG}^{\text{prf}}(A_{hG}) \leq \text{Adv}_h^{\text{prf}}(A_h) + \frac{q(q-1)}{2} \text{Adv}_G^{\text{au}}(A_G) ,$$

where  $A_h$  runs in time at most  $t$  and makes at most  $q$  queries, and  $A_G$  runs in time  $O(T_G(d))$  and the two messages it outputs have length at most  $d$ .  $T_G(d)$  is the time to compute  $G$  on a  $d$ -bit input.

The following theorem is on the pseudorandomness of the NMAC-like function made from  $\text{HMAC}[E, \pi, IV](K, \cdot)$  by replacing the first calls of the compression function in inner and outer hashing with two secret keys chosen uniformly and independently of each other. The theorem states that the security of the function as a PRF is reduced to the security of the underlying block cipher as a PRP under the  $\pi$ -related-key attack. It directly follows from Lemmas 1 through 4.

**Theorem 2.** *Let  $\mathcal{B} = \{0, 1\}^n$  and  $E$  be an  $(n, n)$  block cipher. Let  $F : \mathcal{B} \times \mathcal{B} \rightarrow \mathcal{B}$  be a function such that  $F_K(x) = E_K(x) \oplus x$ . Let  $\hat{F}^2 F_\pi^\circ : \mathcal{B}^2 \times \mathcal{B}^+ \rightarrow \mathcal{B}$  be defined by  $\hat{F}^2 F_\pi^\circ(K_\circ \| K_i, M) = \hat{F}^2(K_\circ, F_\pi^\circ(K_i, M))$  for  $K_\circ, K_i \in \mathcal{B}$  and  $M \in \mathcal{B}^+$ . Let  $A_{\hat{F}^2 F_\pi^\circ}$  be a prf-adversary against  $\hat{F}^2 F_\pi^\circ$  that runs in time at most  $t$  and makes at most  $q (\geq 2)$  queries each of which has at most  $\ell$  blocks. Then, there exist prp-adversaries  $A_E$  and  $A'_E$  against  $E$  and a prp-rka-adversary  $A_{\pi, E}$  against  $E$  with respect to  $\pi$  such that*

$$\text{Adv}_{\hat{F}^2 F_\pi^\circ}^{\text{prf}}(A_{\hat{F}^2 F_\pi^\circ}) \leq \text{Adv}_E^{\text{prp}}(A_E) + q \text{Adv}_E^{\text{prp}}(A'_E) + \ell q^2 \text{Adv}_{\pi, E}^{\text{prp-rka}}(A_{\pi, E}) + \frac{(2\ell + 3)q^2}{2^{n+1}} ,$$

where  $A_E$  runs in time at most  $t + O(qT_E)$  and makes at most  $q$  queries,  $A'_E$  runs in time at most  $t + O(qT_E)$  and makes at most 1 query, and  $A_{\pi, E}$  runs in time  $O(\ell T_E)$  and makes at most 2 queries.  $T_E$  represents the time required to compute  $E$ .

The following lemma says that, even if the secret key of a PRF is replaced by the output of a PRBG, the resulting function remains a PRF. The proof is easy and omitted.

**Lemma 5.** *Let  $g : \{0, 1\}^\kappa \rightarrow \{0, 1\}^{\kappa'}$  be a function and  $G : \{0, 1\}^{\kappa'} \times \mathcal{D} \rightarrow \{0, 1\}^n$  be a function family. Let  $Gg : \{0, 1\}^\kappa \times \mathcal{D} \rightarrow \{0, 1\}^n$  be a function family defined by  $Gg(K, M) = G(g(K), M)$  for  $K \in \{0, 1\}^\kappa$  and  $M \in \mathcal{D}$ . Let  $A_{Gg}$  be a prf-adversary against  $Gg$  that runs in time at most  $t$  and makes at most  $q$  queries of length at most  $d$ . Then, there exist a prbg-adversary  $A_g$  against  $g$  and a prf-adversary  $A_G$  against  $G$  such that*

$$\text{Adv}_{Gg}^{\text{prf}}(A_{Gg}) \leq \text{Adv}_g^{\text{prbg}}(A_g) + \text{Adv}_G^{\text{prf}}(A_G) ,$$

where  $A_g$  runs in time at most  $t + O(qT_G(d))$ , and  $A_G$  runs in time  $t$  and makes at most  $q$  queries of length at most  $d$ .

Now, we can obtain the result on the pseudorandomness of HMAC-MDP-MMO simply by combining Theorem 2 and Lemma 5.

**Corollary 1.** *Let  $E$  be an  $(n, n)$  block cipher. Let  $g_E : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$  be a function such that  $g_E(K) = (E_{IV}(K_{\text{op}}) \oplus K_{\text{op}}) \| (E_{IV}(K_{\text{ip}}) \oplus K_{\text{ip}})$ , where  $K_{\text{op}} = K \oplus \text{opad}$  and  $K_{\text{ip}} = K \oplus \text{ipad}$ . Let  $A$  be a prf-adversary against  $\text{HMAC}[E, \pi, IV]$  that runs in time at most  $t$  and makes at most  $q (\geq 2)$  queries each of which has*

at most  $\ell$  blocks. Then, there exist prp-adversaries  $A_E$  and  $A'_E$  against  $E$ , a prp-rka-adversary  $A_{\pi,E}$  against  $E$  with respect to  $\pi$  and a prbg-adversary  $A_{g_E}$  such that

$$\begin{aligned} \text{Adv}_{\text{HMAC}[E,\pi,IV]}^{\text{prf}}(A) &\leq \text{Adv}_{g_E}^{\text{prbg}}(A_{g_E}) + \text{Adv}_E^{\text{prp}}(A_E) + q \text{Adv}_E^{\text{prp}}(A'_E) \\ &\quad + \ell q^2 \text{Adv}_{\pi,E}^{\text{prp-rka}}(A_{\pi,E}) + \frac{(2\ell + 3)q^2}{2^{n+1}}, \end{aligned}$$

where  $A_{g_E}$  runs in time at most  $t + O(q\ell T_E)$ ,  $A_E$  runs in time at most  $t + O(qT_E)$  and makes at most  $q$  queries,  $A'_E$  runs in time at most  $t + O(qT_E)$  and makes at most 1 query, and  $A_{\pi,E}$  runs in time  $O(\ell T_E)$  and makes at most 2 queries.

Actually, we have not completely reduced the security of HMAC-MDP-MMO as a PRF to the security of the underlying block cipher as a PRP under the  $\pi$ -related-key attack. It is easy to see that the function  $g_E$  in Corollary 1 may not be a PRBG in general even if  $E$  is a PRP. However, it does not seem so difficult to design a block cipher  $E$  such that  $g_E$  is a PRBG. This is because  $IV$  is a fixed initial value chosen by the designer of the hash function and the block cipher. Furthermore, `ipad` and `opad` are fixed sequences given by HMAC. Any adversary has no control over them.

We can say that the security of HMAC as a PRF is reduced to the security of the underlying block cipher as a PRP using the MMO scheme to more extent than using the Davies-Meyer scheme.

## Acknowledgements

The authors would like to thank Dr. Yoshida and Dr. Ideguchi at Hitachi, Ltd. and Prof. Ohta and Dr. Wang at The University of Electro-Communications for their valuable discussions and comments on this research. The authors would also like to thank anonymous reviewers for their valuable comments. This research was supported by the National Institute of Information and Communications Technology, Japan.

## References

1. Bellare, M.: New proofs for NMAC and HMAC: Security without collision-resistance. In: Dwork, C. (ed.) CRYPTO 2006. LNCS, vol. 4117, pp. 602–619. Springer, Heidelberg (2006); The full version is Cryptology ePrint Archive: Report 2006/043, <http://eprint.iacr.org/>
2. Bellare, M., Canetti, R., Krawczyk, H.: Keying hash functions for message authentication. In: Kobitz, N. (ed.) CRYPTO 1996. LNCS, vol. 1109, pp. 1–15. Springer, Heidelberg (1996)
3. Bellare, M., Kohno, T.: A theoretical treatment of related-key attacks: RKA-PRPs, RKA-PRFs. In: Biham, E. (ed.) EUROCRYPT 2003. LNCS, vol. 2656, pp. 491–506. Springer, Heidelberg (2003)

4. Bellare, M., Ristenpart, T.: Multi-property-preserving hash domain extension and the EMD transform. In: Lai, X., Chen, K. (eds.) ASIACRYPT 2006. LNCS, vol. 4284, pp. 299–314. Springer, Heidelberg (2006); The full version is Cryptology ePrint Archive: Report 2006/399, <http://eprint.iacr.org/>
5. Bellare, M., Rogaway, P.: Code-based game-playing proofs and the security of triple encryption. Cryptology ePrint Archive, Report 2004/331 (2006), <http://eprint.iacr.org/>
6. Black, J., Rogaway, P., Shrimpton, T.: Black-box analysis of the block-cipher-based hash-function constructions from PGV. In: Yung, M. (ed.) CRYPTO 2002. LNCS, vol. 2442, pp. 320–335. Springer, Heidelberg (2002)
7. Chang, D., Lee, S.-J., Nandi, M., Yung, M.: Indifferentiable security analysis of popular hash functions with prefix-free padding. In: Lai, X., Chen, K. (eds.) ASIACRYPT 2006. LNCS, vol. 4284, pp. 283–298. Springer, Heidelberg (2006)
8. Coron, J.-S., Dodis, Y., Malinaud, C., Puniya, P.: Merkle-Damgård revisited: How to construct a hash function. In: Shoup, V. (ed.) CRYPTO 2005. LNCS, vol. 3621, pp. 430–448. Springer, Heidelberg (2005)
9. Gong, Z., Lai, X., Chen, K.: A synthetic indistinguishability analysis of some block-cipher-based hash functions. Cryptology ePrint Archive, Report 2007/465 (2007), <http://eprint.iacr.org/>
10. Hirose, S., Park, J.H., Yun, A.: A simple variant of the Merkle-Damgård scheme with a permutation. In: Kurosawa, K. (ed.) ASIACRYPT 2007. LNCS, vol. 4833, pp. 113–129. Springer, Heidelberg (2007)
11. Kelsey, J.: A comment on draft FIPS 180-2. Public Comments on the Draft Federal Information Processing Standard (FIPS) Draft FIPS 180-2, Secure Hash Standard, SHS (2001)
12. Krawczyk, H., Bellare, M., Canetti, R.: HMAC: Keyed-hashing for message authentication. Network Working Group RFC 2104 (1997)
13. Kuwakado, H., Morii, M.: Compression functions suitable for the multi-property-preserving transform. Cryptology ePrint Archive, Report 2007/302 (2007), <http://eprint.iacr.org/>
14. Matyas, S.M., Meyer, C.H., Oseas, J.: Generating strong one-way functions with cryptographic algorithm. IBM Technical Disclosure Bulletin 27, 5658–5659 (1985)
15. Maurer, U.M., Renner, R.S., Holenstein, C.: Indistinguishability, impossibility results on reductions, and applications to the random oracle methodology. In: Naor, M. (ed.) TCC 2004. LNCS, vol. 2951, pp. 21–39. Springer, Heidelberg (2004)
16. Menezes, A.J., van Oorschot, P.C., Vanstone, S.A.: Handbook of Applied Cryptography. CRC Press, Boca Raton (1996)
17. Preneel, B., Govaerts, R., Vandewalle, J.: Hash functions based on block ciphers: A synthetic approach. In: Stinson, D.R. (ed.) CRYPTO 1993. LNCS, vol. 773, pp. 368–378. Springer, Heidelberg (1994)