

THE TIME AND TAPE COMPLEXITY OF DEVELOPMENTAL LANGUAGES (†)

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Abstract

The following results are established:

- (1)  $EDOL \subseteq DSPACE(\log n)$
- (2)  $EOL \subseteq DSPACE((\log n)^2)$
- (3)\*  $EDTOL \subseteq NSPACE(\log n)$
- (4)  $EDTOL \subseteq DSPACE(\log n)$  if and only if  $NSPACE(\log n) \subseteq DSPACE(\log n)$

Statement (4) follows from statement (3) above, the fact that all linear context-free languages are EDTOL languages [21], and the existence of a linear context-free language which is log-tape complete for  $NSPACE(\log n)$  [15]. Furthermore, it is shown that all EOL languages are log-tape reducible to context-free languages. Hence,  $EOL \subseteq DSPACE(\log n)$  if and only if every context-free language is in  $DSPACE(\log n)$ .

Introduction

In [1] the author has shown that the set of languages which are log-tape reducible to context-free languages (deterministic context-free languages) is identical to the set of languages recognized in polynomial time by non-deterministic (deterministic)  $\log(n)$ -tape bounded auxiliary pushdown automata. This characterization enables one to show that many families which properly contain the context-free

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(\*) This result has been independently obtained by Tero Harju [18] and Neil Jones and Sven Skyum [20] using essentially the same algorithm as stated here. In fact, the author has recently become aware of an earlier paper by Harju [19] again with essentially the same algorithm, which demonstrates that  $EDTOL \subseteq \bigcup_{k>0} DTIME(n^k)$ .

languages have the same tape complexity as the family of context-free languages [2]. It is known that context-free languages can be recognized in  $(\log n)^2$  space deterministically [3]. It is not known if this result is optimal. Greibach has described a context-free language whose time or tape complexity is the least upper bound on the complexity of all CFL's [4]. Moreover, it is known that if all CFL's can be recognized in deterministic log space, then nondeterministic  $L(n)$ -tape complexity classes are identical to deterministic  $L(n)$ -tape complexity classes, for  $L(n) \geq \log n$  [5].

In this paper we consider the tape and time complexity of developmental languages [6]. It is shown that every EOL language is log tape reducible to a context-free language. Thus, EOL languages can be recognized in  $(\log n)^2$  space. In fact, the algorithm described in [3] for CFL's is the basic component of our EOL algorithm. It is shown that the EDOL languages are recognizable in  $\log n$  space (and in  $O(n^2)$  time). It is also shown that EDTOL languages can be recognized in  $\log n$  space nondeterministically. As a corollary we obtain Harju's earlier result [19] that every EDTOL language is recognizable deterministically in polynomial time. Furthermore, since every linear CFL is an EDTOL language [21], we obtain that  $\text{EDTOL} \subseteq \text{DSPACE}(\log n)$  if and only if  $\text{NSPACE}(L(n)) = \text{DSPACE}(L(n))$ , for all  $L(n) \geq \log n$  [15]. This work was principally motivated by the results of Van Leeuwen which show that  $\text{EOL} \subseteq \text{DSPACE}((\log n)^3)$  [7] and that the membership problem for ETOL is NP-complete [8].

We shall employ the following terminology in the remainder of this paper. Let  $\Sigma$  be an alphabet of symbols.  $\Sigma^*$  will denote the set of all sentences of strings over the alphabet  $\Sigma$ . The string consisting of zero symbols, called the empty string, is denoted by  $e$ . The number of symbols in a string  $x$ , called the length of  $x$ , is denoted by  $|x|$ . The reversal of a string  $x$  is denoted by  $x^R$ .

We shall assume that the reader is familiar with the basic concepts of formal language theory and computational complexity as contained, for example, in [9]. Let  $\text{DSPACE}(L(n))$  and  $\text{NSPACE}(L(n))$  denote the families of languages recognized by deterministic (nondeterministic)  $L(n)$ -tape bounded off-line Turing machines, respectively. Let  $P$  and  $NP$  denote the families of languages recognized by deterministic

(nondeterministic) multitape Turing machines in polynomial time. We shall employ the concept of a log tape reduction as discussed, for example, in [10, 11].

Definition. Let  $\Sigma$  and  $\Delta$  be alphabets and  $f$  be a function from  $\Sigma^*$  to  $\Delta^*$ .  $f$  is log-tape computable if there is a deterministic Turing machine with a two-way read-only input tape, a one-way output tape, and a two-way read-write work tape, which when started with  $x \in \Sigma^*$  on its input tape will halt after having written  $f(x)$  in  $\Delta^*$  on its output tape and having visited at most  $\log(|x|)$  tape squares on its work tape.

Definition. Let  $A \subseteq \Sigma^*$  and  $B \subseteq \Delta^*$  be arbitrary sets of strings.  $A$  is log-tape reducible to  $B$ , denoted by  $A \leq_{\log} B$ , if there is a log-tape computable function  $f$  such that, for all  $x$  in  $\Sigma^*$ ,  $x$  is in  $A$  if and only if  $f(x)$  is in  $B$ .

The following lemmas are from [10, 11].

Lemma.  $\leq_{\log}$  is transitive

Lemma. Let  $A$  and  $B$  be sets of strings. If  $A \leq_{\log} B$  and  $B$  is in  $\text{DSPACE}((\log n)^k)$ , for  $k \geq 1$ , then  $A$  is in  $\text{DSPACE}((\log n)^k)$ .

Definition. For any family of languages  $\mathcal{L}$  let  $\text{LOG}(\mathcal{L})$  denote the set of all languages which are log-tape reducible to some language in  $\mathcal{L}$ .

In [1] the families  $\text{LOG}(\text{CFL})$  and  $\text{LOG}(\text{DCFL})$ , where  $\text{CFL}$  ( $\text{DCFL}$ ) denotes the family of context-free languages (deterministic context-free languages), have been characterized as the families of languages recognized by nondeterministic and deterministic  $\log(n)$ -tape bounded auxiliary pushdown automata in polynomial time, respectively.  $L(n)$ -tape bounded auxiliary pushdown automata were first considered in [12]. It was shown there that nondeterministic and deterministic  $L(n)$ -tape bounded auxiliary pushdown automata recognize the same family of languages, for  $L(n) \geq \log n$ . However, if we restrict these families by insisting that each string accepted must be accepted in some number of steps bounded by a fixed polynomial in the length of that string, then the equivalence of nondeterministic and deterministic families is an open question. Also, it is well known that the family of languages recognized by  $\log(n)$ -tape bounded auxiliary pushdown automata is identical to the family of languages recognized by two-way multihead pushdown automata. We shall use both type of machine

models interchangeably.

Let  $APDA_P(\log n)$  ( $ADPDA_P(\log n)$ ) denote the family of languages recognized by nondeterministic (deterministic) polynomial time bounded and  $\log(n)$ -tape bounded auxiliary pushdown automata, respectively. Thus,  $LOG(CFL) = APDA_P(\log n)$  and  $LOG(DCFL) = ADPDA_P(\log n)$ . Since  $CFL \subseteq DSPACE((\log n)^2)$  [3], it follows that  $LOG(CFL) \subseteq DSPACE((\log n)^2)$ . In [12] Cook has shown that  $P$  is identical to the family of languages recognized by  $\log(n)$ -tape bounded auxiliary pushdown automata (with no time restriction). Thus,  $LOG(CFL) = APDA_P(\log n) \subseteq P$ . A language  $L_0$  is log-tape complete for a family  $\mathcal{L}$  if (1)  $L_0$  is in  $\mathcal{L}$ , and (2) for all  $L$  in  $\mathcal{L}$ ,  $L \leq_{\log} L_0$ . It follows that if a language  $L_0$  is log-tape complete for  $NSPACE(\log n)$ , then  $L_0$  is in  $DSPACE(\log n)$  if and only if  $NSPACE(L(n)) = DSPACE(L(n))$ , for all  $L(n) \geq \log n$  [13].

#### Context-Independent Developmental Languages

In [7] Van Leeuwen described an algorithm to recognize any EOL language and demonstrated that it could be implemented within  $(\log n)^3$  space on a deterministic Turing machine. It is shown here that the family of EOL languages is contained in  $LOG(CFL)$ . Therefore, the EOL languages are essentially of the same tape complexity as context-free languages and the algorithm given by Lewis, Stearns, and Hartmanis in [3], which requires  $(\log n)^2$  space on a deterministic Turing machine, is also applicable to the family of EOL languages.

The reader is referred to [6] for motivation and background information relevant to the study of developmental systems. The basic idea is to describe a mathematical model of the growth patterns of simple cellular organisms. For brevity, and to avoid repetition, we shall describe here only the formal definitions of the grammars and languages under consideration. We follow basically the approach described in [7].

Definition An EOL-grammar is a four-tuple  $G = (V, \Sigma, \delta, S)$ , where  $V$  is a finite set (of symbols),  $\Sigma \subseteq V$  (a set of terminal symbols),  $S$  is an element of  $V$  (the initial symbol), and  $\delta$  is a function which maps elements of  $V$  to finite subsets of  $V^*$  (the set of productions).

If  $\delta(A) = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ , then we say that the symbol  $A$  can in one step become

any one of the strings of symbols  $\alpha_i$ , for  $1 \leq i \leq n$ . We may extend  $\delta$  to map  $V^*$  into  $V^*$ , in the customary way, by  $\delta(e) = e, \delta(\beta A) = \delta(\beta)\delta(A)$ , for  $\beta \in V^*$  and  $A \in V$ . For  $n \geq 0$ , let  $\delta^n$  denote the composition of  $\delta$  with itself  $n$  times. (Note that  $\delta^0$  is considered to be the identity function on  $V^*$  and  $\delta^1 = \delta$ .)

Instead of  $\alpha \in \delta(A)$  we shall often write  $A \Rightarrow \alpha$  and call such an item a production. For  $x$  and  $y$  in  $V^*$  we shall often write  $x \Rightarrow y$  for  $y \in \delta(x)$ . Let  $\Rightarrow^*$  be the transitive, reflexive closure of  $\Rightarrow$ . (In this vein the reader will note that an EOL-grammar is a context-free grammar in which the usual notion of " $\beta$  derives  $\gamma$ ", i.e.  $\beta \Rightarrow \gamma$ , has been altered. In a context-free grammar  $\beta$  derives  $\gamma$  means one of the symbols in  $\beta$ , say  $A$ , has been replaced by the right side of a production of the form  $A \Rightarrow \alpha$  to obtain  $\gamma$ . In an EOL-grammar  $\beta$  derives  $\gamma$  means all of the symbols in  $\beta$  have been replaced by the right hand side of a production involving that symbol.)

Definition An EDOL-grammar is an EOL-grammar  $G = (V, \Sigma, \delta, S)$  such that, for all  $a \in V$ ,  $\delta(a)$  contains exactly one element.

Definition Let  $G = (V, \Sigma, \delta, S)$  be an EOL-grammar. The language generated by  $G$ , denoted by  $L(G)$ , is:

$$L(G) = \bigcup_{n \geq 0} \delta^n(S) \cap \Sigma^* = \{w \in \Sigma^* \mid S \Rightarrow^* w\}$$

A language  $L$  is called an EOL-language if there exists an EOL-grammar  $G$  such that  $L = L(G)$ . A language  $L$  is called an EDOL-language if there exists an EDOL-grammar  $G$  such that  $L = L(G)$ . The family of context-free languages is properly contained in the family of EOL-languages [6].

To show that every EOL-language is in LOG(CFL) we describe an algorithm to recognize EOL-languages which may be implemented on a  $\log(n)$ -tape bounded auxiliary pushdown automaton that operates in polynomial time. The algorithm is basically similar to the usual algorithm for recognizing context-free languages using a non-deterministic pushdown store automaton (see, for example, pages 176-77 of [14]). However, the derivation tree corresponding to a derivation by an EOL-grammar must satisfy the property that all paths from the root of the tree to a leaf are of the same length. This additional requirement can be checked by adding to the symbols stored in the pushdown store, during the usual context-free language algorithm, an

integer which denotes the level in the corresponding derivation tree at which the symbol resides. Thus, if the symbol  $A$  on top of the store is at level  $i$  then the pushdown store will contain a representation of the pair  $(A,i)$ . If  $A \rightarrow BC$  is a production in the grammar, then  $(A,i)$  will be removed from the top of the store and  $(B,i+1)$  and  $(C,i+1)$  will be added to the store. For some particular value  $k$ , which denotes the length from the root of the derivation tree to each leaf, each pair of the form  $(A,k)$  as it appears on top of the store will initiate a verification that the next input symbol is  $A$  and will then be deleted from the store. By Lemma 3.1, page 207, of [7], for each word  $x$  generated by an EOL-grammar there is a derivation tree such that the distance from the root to each leaf is some integer  $k$  less than or equal to  $c|x|+1$ .

The pair  $(A,i)$  consisting of the symbol  $A$  and the integer  $i$  will be represented by the string  $[A * N(i)]$ , where  $[,*,$  and  $]$  are new symbols not occurring in the symbol alphabet of the EOL-grammar, and  $N(i)$  is the binary representation of  $i$ . In order to perform the replacement of the pair  $(A,i)$  by the pairs  $(B,i+1)$  and  $(C,i+1)$  indicated in the production  $A \rightarrow BC$  we shall need to use the workspace space of the auxiliary pushdown automaton. The algorithm is incorporated into the proof of the following theorem:

Theorem Every EOL-language is in  $\text{LOG(CFL)}$ .

Proof. Let  $G = (V, \Sigma, \delta, S)$  be an EOL-grammar. Let  $M$  be the nondeterministic  $\log(n)$ -tape bounded auxiliary pushdown automaton which performs the following steps on an input string  $w$  of length  $n$ :

- (1)  $M$  nondeterministically writes an integer  $k$  ( $0 \leq k \leq c|w|+1$ ) on its worktape. ( $k$  denotes the length of each path from the root to a leaf in a derivation tree for  $w$ .)
- (2)  $M$  places the string consisting of the representation of the pair  $(S,0)$  and the special symbol  $\#$  on its pushdown store. (The symbol  $\#$  is on the bottom of the store.)
- (3) For  $0 \leq i < k$ , if the representation of  $(A,i)$  is the top string on the pushdown store, then  $M$  may replace that string with the representations of  $(B_1, i+1), (B_2, i+1), \dots, (B_m, i+1)$ , if  $B_1 B_2 \dots B_m$  is an element of  $\delta(A)$ .

- (4) If the representation of  $(A,k)$  is the top string on the pushdown store, then  $M$  determines whether  $A$  is the current input symbol or not and whether  $A$  is in  $\Sigma$  or not. If  $A$  is not the current symbol or  $A$  is not in  $\Sigma$ , then  $M$  stops without accepting. Otherwise,  $M$  deletes the representation of  $(A,k)$  from the store, moves the input head right, and executes step (5).
- (5) If the top symbol on the pushdown store is not  $\#$ , then  $M$  executes steps (3)-(5) again. If the top symbol on the store is  $\#$  but the input head is not scanning the right endmarker, then  $M$  stops without accepting. Otherwise, if the top symbol is  $\#$  and the input head is scanning the right endmarker, then  $M$  stops and accepts.

$M$  can execute step (3) or (4) by (1) writing the representation  $[A*N(i)]$  for the pair  $(A,i)$  on its worktape, (2) determining whether or not  $N(i)$  is equal to  $N(k)$  (which is stored permanently on the worktape), and if  $i < k$ , then (3) incrementing  $i$  to obtain  $N(i+1)$  and writing sequentially the representations for  $(B_m, i+1), \dots, (B_1, i+1)$ , as specified in step (3), on the pushdown store. Clearly, this process can be performed using only  $d \cdot \log(|w|)$  cells on the worktape, for some constant  $d > 0$ , since  $k < c|w|=1$ . Moreover,  $M$  is polynomial time bounded, since  $M$  executes at most  $c_1 k$  steps between movements of the input head, for some  $c_1 > 0$ . (That is, after each execution of step (3) the level number in the top pair on the pushdown store is increased by one and when the level number in the top pair becomes  $k$  the input head moves right or  $M$  stops.) Therefore,  $M$  operates in time  $O(n^2)$ .

That  $M$  accepts  $w$  if, and only if,  $w$  is in  $L(G)$  can easily be verified.

Therefore,  $L(G)$  is in  $\text{LOG(CFL)}$  by Theorem 2.1.  $\square$

It should be noted that the use of the index  $i$  in the symbols  $(A,i)$  stored in the pushdown store of the preceding algorithm is mainly for convenience. That is, the level of a symbol in a derivation tree could be determined from the symbol's position in the pushdown store. Thus, we need only use the worktape space to record the fixed path length  $k$  and to count the appropriate level number of a symbol in the pushdown store. It follows that the algorithm we have described can be viewed as an implementation of a pre-set pushdown automaton [22] on an auxiliary pushdown automaton. It follows that every pre-set pushdown automaton language is in  $\text{LOG(CFL)}$ . It is

known that the family of pre-set pushdown automata languages properly contains the family of EOL languages [22].

For EDOL languages we can obtain a better result. That is, every EDOL-language can be recognized by a deterministic  $\log(n)$ -tape bounded Turing machine. The basic idea, in this case, is that for each string  $w \in V^*$  from an EDOL-grammar  $G = (V, \Sigma, \delta, S)$  and each integer  $i, \delta^i(w)$  is a single string in  $V^*$  and the length of that string can be easily obtained. In fact, the length of that string can be ascertained in an amount of space bounded by the logarithm of  $\max \{ |\delta^j(w)| \mid 0 \leq j \leq i \}$ . Such an algorithm, denoted by  $\text{LENGTH}(w, i)$ , is described below: (Let  $\#_a(w)$  denote the number of occurrences of the symbol  $a$  in the string  $w$ )

$\text{LENGTH}(w, i)$

- (1) If  $i=0$ , then set  $\text{LENGTH}$  to  $|w|$ . Otherwise, for each  $a \in V$ , set  $\text{NUMBER}(a) = \#_a(w)$ ;
- (2) For each  $a \in V$ , set  $\text{NUMBER}(a) = \sum_{b \in V} [\text{NUMBER}(b) \times \#_a \delta(b)]$
- (3) Set  $i$  to  $i-1$ .
- (4) If  $i = 0$ , then set  $\text{LENGTH} = \sum_{a \in V} \text{NUMBER}(a)$  and stop. Otherwise, re-execute step (2).

For example, if  $\delta(a) = bab$  and  $\delta(b) = abaa$ , then  $\text{LENGTH}(abb, 2) = 37$ . Since the above algorithm need only represent, say in binary notation, a finite set of integers, namely  $\text{NUMBER}(a)$ , for each  $a \in V$ , each of which is never larger than  $m = \max \{ |\delta^j(w)| \mid 0 \leq j \leq i \}$ , the space needed for execution is bounded by  $c \cdot \log_2 m$ , for some  $c > 0$  dependent only upon the grammar  $G$ .

Let  $G = (V, \Sigma, \delta, S)$  be an EDOL grammar. As in [24], we shall say that a symbol  $a \in V$  is mortal if  $\delta^i(a) = e$ , for some  $i > 0$ . Those symbols in  $V$  which are not mortal will be called vital. Let  $||w||$  denote the number of occurrences of vital symbols in the string  $w$ . We observe that there is a constant  $c > 0$ , depending only upon  $G$ , such that for all  $w$  in  $V^*$  and  $i \geq 0$ ,

$$(*) \quad |\delta^i(w)| \leq c \cdot \max \{ ||\delta^i(w)||, |w| \}.$$

In order to see this, let  $p = \max (\{ |\delta(a)| \mid a \in V \} \cup \{ 1 \})$  and let  $q$  be the smallest integer such that, for all  $a \in V$ , if  $a$  is mortal, then  $\delta^q(a) = e$ . Let  $c = p^q$ . We separate two cases:

- a) if  $i < q$ , then  $|\delta^i(w)| \leq p^i \cdot |w| \leq c \cdot |w|$ .
- b) if  $i \geq q$ , then consider  $y = \delta^{i-q}(w)$ . Since, for all  $x$  in  $V^*$ ,  $||x|| \leq ||\delta(x)||$ , it follows that  $||y|| \leq ||\delta^q(y)|| = ||\delta^i(w)||$ . It follows also from the definition of  $p$  that  $|\delta(x)| \leq p \cdot |x|$  and, hence,  $|\delta^q(x)| \leq p^q \cdot |x|$ . Since all of the mortal symbols occurring in  $x$  will map to  $e$  in  $\delta^q(x)$ , we have, in fact, that  $|\delta^q(x)| \leq p^q \cdot ||x||$ . Therefore,
- $$|\delta^i(w)| = |\delta^q(\delta^{i-q}(w))| = |\delta^q(y)| \leq p^q \cdot ||y|| \leq p^q \cdot ||\delta^i(w)||.$$

Therefore, the claim in line (\*) is established. That is, the length of any string of symbols generated from a string  $w$  in a deviation of  $\delta^i(w)$  is bounded by a constant multiple of the length of either  $w$  or  $\delta^i(w)$ .

The next lemma from [23] is needed to bound the size of integers represented in the algorithm.

**Lemma.** Let  $L$  be generated by an EDOL grammar  $G$ . There is a constant  $c > 0$  dependent only upon  $G$  such that each word  $w$  in  $L$  has a derivation of length less than or equal to  $c \cdot \max\{|w|, 1\}$ .

The next procedure is used to determine whether or not a symbol  $B$  is the  $j$ -th symbol in the string  $\delta^i(A)$ , for any symbol  $A$  in  $V$  and  $i \geq 0$ . It is denoted by  $\text{VERIFY}(B, j, A, i)$ :

VERIFY(B, j, A, i)

- (1) If  $i > 0$ , then go to (3);
- (2) If  $A = B$ , then stop and answer "true"; if  $A \neq B$ , then stop and answer "false";
- (3) Let  $\delta(A) = A_1 A_2 \dots A_p$  (where  $p \geq 1$  and each  $A_i$  is in  $V$ ) and let  $\ell$  be the least integer ( $1 \leq \ell \leq p$ ) such that  $j \leq \text{LENGTH}(A_1 A_2 \dots A_\ell, i-1)$ . Then call  $\text{VERIFY}(B, j - \text{LENGTH}(A_1 A_2 \dots A_{\ell-1}, i-1), A_\ell, i-1)$ . (If  $\delta(A) = e$ , then stop and answer "false".)

The above algorithm requires space only to record the four arguments and to execute the  $\text{LENGTH}$  routine described earlier. Let  $n$  be the length of  $\delta^i(A)$ . Then, by the previous lemma,  $i \leq cn$ , for some  $c > 0$ . Since we may assume that the argument  $j$  is between 1 and  $n$ , all four arguments may be represented in  $d \cdot \log_2 n$  space, for some constant  $d$ . Furthermore, by our previous analysis, since the  $\text{LENGTH}$  routine is called only to evaluate the length of  $\delta^j(w)$  where  $w$  is a subword of  $\delta^{i-j}(S)$ , for  $0 \leq j \leq i$ , the space needed to execute this routine is bounded by  $d_2 \cdot \log_2 (|\delta^i(S)|) = d_2 \cdot \log_2 n$ , for some constant  $d_2 > 0$ .

The following algorithm, denoted by  $\text{MEMBER}(x)$ , determines whether  $x \neq e$  is generated by a given EDOL grammar  $G$  or not. It is an iterative algorithm which calls the previous  $\text{VERIFY}$  algorithm in succession to determine whether the  $i$ -th symbol of  $x$  is the  $i$ -th symbol of  $\delta^j(S)$ , for  $1 \leq i \leq |x|$ , and for increasing

values of  $j$ . (One can determine whether  $e$  is generated by an EDOL grammar or not by a table look up procedure. That is, the answer may be prerecorded.)

MEMBER(x)

(Let  $x = a_1 a_2 \dots a_n$ , where  $n \geq 1$  and  $a_i$  is in  $\Sigma$ , for  $1 \leq i \leq n$ , and let  $c$  be the constant from the previous lemma.)

- (1) Set  $i = 0$ . If  $\text{LENGTH}(S, i) \neq n$ , then go to (5).
- (2) Set  $j = 1$
- (3) If  $\text{VERIFY}(a_j, j, S, i)$  is true, then go to (4). Otherwise, go to (5)
- (4) If  $j = n$ , then stop and answer "true". Otherwise, set  $j = j+1$  and go to (3).
- (5) If  $i = cn$ , then stop and answer "false". Otherwise, set  $i = i+1$  and go to (6).
- (6) If  $\text{LENGTH}(S, i) = n$ , then go to (2). Otherwise, go to (5).

It should be clear from the previous discussion that  $\text{MEMBER}(x)$  correctly determines whether  $x$  is generated by some specific EDOL grammar or not and that it does not require more than  $\log(|x|)$  space. Therefore, we have established the following theorem:

Theorem The family of EDOL languages is contained in  $\text{DSPACE}(\log n)$ .

EDTOL languages

In [8] Van Leeuwen has shown that the membership problem for ETOL languages is NP-complete. This implies that the ETOL languages are in P if and only if  $P = NP$  and, for some  $k \geq 1$ , are in  $\text{DSPACE}((\log n)^k)$  if and only if  $NP \subseteq \text{DSPACE}((\log n)^k)$  [10,11]. It is shown here that the membership problem for EDTOL languages is solvable nondeterministically in  $\log n$  space. Thus, Harju's result [19] that  $\text{EDTOL} \subseteq P$  follows as a corollary. Furthermore, since all linear CFL's are in EDTOL [21], and there is a linear CFL which is log-tape complete for  $\text{NSPACE}(\log n)$  [15],  $\text{EDTOL} \subseteq \text{DSPACE}(\log n)$  if and only if  $\text{NSPACE}(L(n)) \subseteq \text{DSPACE}(L(n))$ , for all  $L(n) \geq \log n$ .

An EDTOL-grammar differs from an EDOL-grammar in that there are a finite set of tables of productions. At any time within a derivation an arbitrary table may be selected and only productions within that table may be applied. The tables in an EDTOL-grammar must, moreover, satisfy the property that no two productions within a table have the same left side. (In other words, the tables are deterministic.)

Definition. An ETOL-grammar is a four-tuple  $G = (V, \Sigma, \theta, S)$ , where  $V$  is a

finite set (of symbols),  $\Sigma \subseteq V$  (a set of terminal symbols),  $\varphi$  is a finite set  $\{\delta_1, \delta_2, \dots, \delta_k\}$ , for some  $k \geq 1$ , of functions which map elements of  $V$  to finite subsets of  $V^*$  (each  $\delta_i$  is a set of productions), and  $S$  is an element of  $V$  (the start symbol). An EDTOL-grammar is an ETOL-grammar  $G = (V, \Sigma, \varphi, S)$  such that, for every  $\delta \in \varphi$  and every  $a \in V$ ,  $\delta(a)$  contains exactly one element.

As in the case of an EOL grammar we may extend the functions  $\delta$  in  $\varphi$  to map  $V^*$  into  $V^*$  by specifying that  $\delta(\epsilon) = \epsilon$  and  $\delta(\beta A) = \delta(\beta) \delta(A)$  for  $\beta \in V^*$  and  $A \in V$ . Instead of  $\alpha \in \delta(A)$  we shall often write  $A \rightarrow \alpha$  and call such an item a production. For  $x$  and  $y$  in  $V^*$  and  $\delta_i \in \varphi$  we shall often write  $x \xrightarrow{\delta_i} y$  for  $y \in \delta_i(x)$ . Let  $\xrightarrow{*}$  be transitive reflexive closure of the union of the relations  $\xrightarrow{\delta_i}$ . We may consider  $\varphi$  to be a finite set of tables of productions. At any step in a derivation some table is chosen and only productions in that table are applied.

Definition. Let  $G = (V, \Sigma, \varphi, S)$  be an ETOL grammar. The language generated by  $G$ , denoted by  $L(G)$ , is:

$$L(G) = \{ \delta_1 \delta_2 \dots \delta_p(S) \mid p \geq 1 \text{ \& } \delta_1, \delta_2, \dots, \delta_p \in \varphi \} \cap \Sigma^* = \{ w \in \Sigma^* \mid S \xrightarrow{*} w \}$$

Let  $G = (V, \Sigma, \varphi, b_1)$  be an EDTOL grammar with  $V = \{b_1, b_2, \dots, b_m\}$ . Let  $x = a_1 a_2 \dots a_n$  be a string over  $\Sigma$  of length  $n$ . Our algorithm stores initially a representation of the initial string  $b_1$ . A representation of an intermediate string  $\alpha$  in a derivation of  $x$  will be accomplished by the array  $T(i)$ , with  $1 \leq i \leq m$ , in our algorithm. For each  $1 \leq i \leq m$ ,  $T(i)$  will either contain the empty set  $\emptyset$  or will contain a pair of natural numbers  $(s_i, t_i)$ . If  $T(i) = \emptyset$ , then  $b_i$  does not occur in the intermediate string  $\alpha$ . If  $T(i) = (s_i, t_i)$ , then the algorithm has guessed that each  $b_i$  in the intermediate string  $\alpha$  generates the string of length  $(t_i - s_i)$  starting at cell  $s_i$  of the input tape. (The algorithm is nondeterministic; it will verify that its guesses are correct before accepting the input string.) Since an EDTOL grammar is deterministic, each occurrence of  $b_i$  in  $\alpha$  must generate the same string after any finite number of steps. Thus, it is sufficient to represent  $\alpha$  by recording which variables occur within it and for each of those variables record what portion of the input string it should generate. The representation of a intermediate string  $\beta$  such that  $\alpha \rightarrow \beta$  and  $\beta \xrightarrow{*} x$  is accomplished by (1) guessing which table of productions is used at this step of a derivation of  $x$  and (2) updating the

array information to represent  $\beta$ . For example, if  $b_i \rightarrow b_j b_j$  were a production in the current table and  $T(i) = (s_i, t_i)$ , then our algorithm will "guess" a natural number  $v$  such that  $s_i \leq v \leq t_i$  and determine whether the string of length  $(v-s_i)$  that begins at cell  $s_i$  of the input is identical to the string of length  $(t_i-v)$  that begins at cell  $v$ . If so, then  $T(j)$  will be set to  $(s_i, v)$  and the algorithm continues. If not, then the algorithm stops without accepting the input.

The algorithm terminates when all of the non-empty elements of the array  $T$  have been verified. That is, when it is verified that  $T(i) = (s_i, t_i)$ , where  $t_i = s_i+1$ , and  $b_i$  is the symbol (string of length one) on cell  $s_i$  of the input tape, for all  $1 \leq i \leq m$ . The algorithm also uses an array  $T_0(i)$ ,  $1 \leq i \leq m$ , for temporary storage of new values for the array  $T$  during some steps of the process. A more complete description of the algorithm follows.

Let  $G = (V, \Sigma, \theta, b_1)$  be an EDTOL grammar such that  $V = \{b_1, b_2, \dots, b_m\}$ . Let  $x = a_1 a_2 \dots a_n$  be a string of length  $n$  over  $\Sigma$ . The following steps are executed:

- (1) Set  $T(1)$  to the pair  $(1, n+1)$  and each of  $T(2), \dots, T(m)$  to  $\phi$ .
- (2) Select nondeterministically a function  $\delta$  from the set  $\theta$ . For  $1 \leq i \leq m$ , set  $T_0(i) = \phi$ .
- (3) For each  $1 \leq i \leq m$ , such that  $T(i) \neq \phi$ , do the following:
  - (a) If  $\delta(b_i)$  is the empty string, then determine whether  $s_i = t_i$  or not. If  $s_i \neq t_i$ , then stop without accepting the input. If  $s_i = t_i$ , then continue.
  - (b) If  $\delta(b_i) = b_{i_1} b_{i_2} \dots b_{i_\ell}$ , with  $\ell \geq 1$ , then choose nondeterministically integers  $v_1, v_2, \dots, v_{\ell-1}$  such that  $v_0 = s_i \leq v_1 \leq v_2 \dots \leq v_{\ell-1} \leq t_i = v_\ell$ . (If  $\ell = 1$ , then there is nothing to choose.) Then, for  $1 \leq j \leq \ell$ , do the following:
    - (i) If  $T_0(i_j) = \phi$ , then set  $T_0(i_j)$  to the pair  $(v_{j-1}, v_j)$ ;
    - (ii) If  $T_0(i_j) \neq \phi$ , say  $T(i_j) = (m_j, n_j)$ , determine if the input tape has the same string on cells  $m_j$  through  $n_j - 1$  as on cells  $v_{j-1}$  through  $v_j - 1$ . If not, then stop without accepting the input. If so, continue.
- (4) For  $1 \leq i \leq m$ , set  $T(i)$  to  $T_0(i)$ . If  $t_i = s_i+1$ , for all  $1 \leq i \leq m$  such that  $T(i) \neq \phi$ , then go to step (5); otherwise, go to step (2).
- (5) For  $1 \leq i \leq m$ , if  $T(i) \neq \phi$ , determine whether  $b_i$  is the symbol in cell  $s_i$  of the input tape. If not, then go to (2). If for all  $1 \leq i \leq m$ , such that  $T(i) \neq$

$\phi$ ,  $b_i$  is the symbol in cell  $s_i$  of the input tape, then stop and accept the input.

It should be noted that this algorithm does not require more than  $\log(n)$  space for inputs of length  $n$ . That is, the number of elements in the arrays  $T$  and  $T_0$  is dependent only upon the number of symbols in the EDTOL grammar (not on the length of the input string). Furthermore, each element of these two arrays is either the empty set or a pair of natural numbers between 1 and  $n+1$ . Thus, at most  $\log n$  space is required for, say, a binary representation of each element of the array. Thus, at most  $c \cdot \log n$  cells are sufficient storage for the arrays, for some  $c > 0$ . Since constant factors are irrelevant for general tape bounded Turing machines, we have:

Theorem.  $\text{EDTOL} \subseteq \text{NSPACE}(\log n)$ .

Fact.  $\text{EDTOL} \subseteq \text{DSPACE}(\log n)$  if and only if  $\text{NSPACE}(\log n) = \text{DSPACE}(\log n)$ .

Proof. It is known that every linear CFL is an EDTOL language [21]. In [15] a linear CFL which is log tape complete for  $\text{NSPACE}(\log n)$  was described. It follows that if  $\text{EDTOL} \subseteq \text{NSPACE}(\log n)$ , then  $\text{NSPACE}(\log n) = \text{DSPACE}(\log n)$ . The converse follows directly from the preceding theorem, i.e.  $\text{EDTOL} \subseteq \text{NSPACE}(\log n)$ .

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