

The RUNX1–PU.1 axis in the control of hematopoiesis

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Abstract The differentiation from multipotent hematopoietic stem cells (HSC) to mature and functional blood cells requires the finely tuned regulation of gene expression at each stage of development. Specific transcription factors play a key role in this process as they modulate the expression of their target genes in an exquisitely lineage-specific manner. A large number of important transcriptional regulators have been identified which establish and maintain specific gene expression patterns during hematopoietic development. Hematopoiesis is therefore a paradigm for investigating how transcription factors function in mammalian cells, thanks also to the evolution of genome-wide and the next-generation sequencing technologies. In this review, we focus on the current knowledge of the biological and functional properties of the hematopoietic master regulator RUNX1 (also known as AML1, CBFA2, PEBP2aB) transcription factor and its main downstream target PU.1. We will outline their relationship in determining the fate of the myeloid lineage during normal stem cell development and under conditions when hematopoietic development is subverted by leukemic transformation.

Keywords RUNX1 · PU.1 · Hematopoiesis · Transcriptional regulation · Epigenetics · Acute myeloid leukemia

Introduction

Hematopoiesis is the dynamic process by which all the blood lineages originate from pluripotent hematopoietic stem cells. Lineage-specific transcription factors are at the heart of this process, a fact that is impressively highlighted by the finding that the development of specific lineages cannot take place in the absence of specific factors (Fig. 1). In this review we will highlight the specific role of two of these factors: RUNX1, which is part of the core-binding factor complex (CBF), and PU.1. In the absence of RUNX1, HSCs are absent, whereas in the absence of PU.1 myeloid cells and B-cells are not formed. However, in spite of their different positions in the hematopoietic hierarchy RUNX1 and PU.1 are in the same pathway within the hematopoietic transcriptional network. As mutations in this pathway lay at the heart of many cases of acute myeloid leukemia (AML) we will also discuss the role of the RUNX1/PU.1 axis in AML where myeloid differentiation is blocked [1].

Identification and cloning of *RUNX1* and *SPI1* (*PU.1*)

The core-binding factor (CBF) is also known as SL3-3 enhancer factor 1 [2], polyomavirus enhancer-binding factor 2 (PEBP2) [3] and SL3 and AKV core-binding factor [4] and was first purified in 1992 by Wang and Speck from calf thymus nuclei by combination of selective pH denaturation and chromatographic procedures [5]. The same investigator team described the isolation of cDNA clones encoding different CBF polypeptides from a mouse thymus cDNA library, a DNA-binding form named CBF α and a non-DNA-binding subunit, CBF β . These subunits exist as a heterodimer prior to forming a protein–DNA

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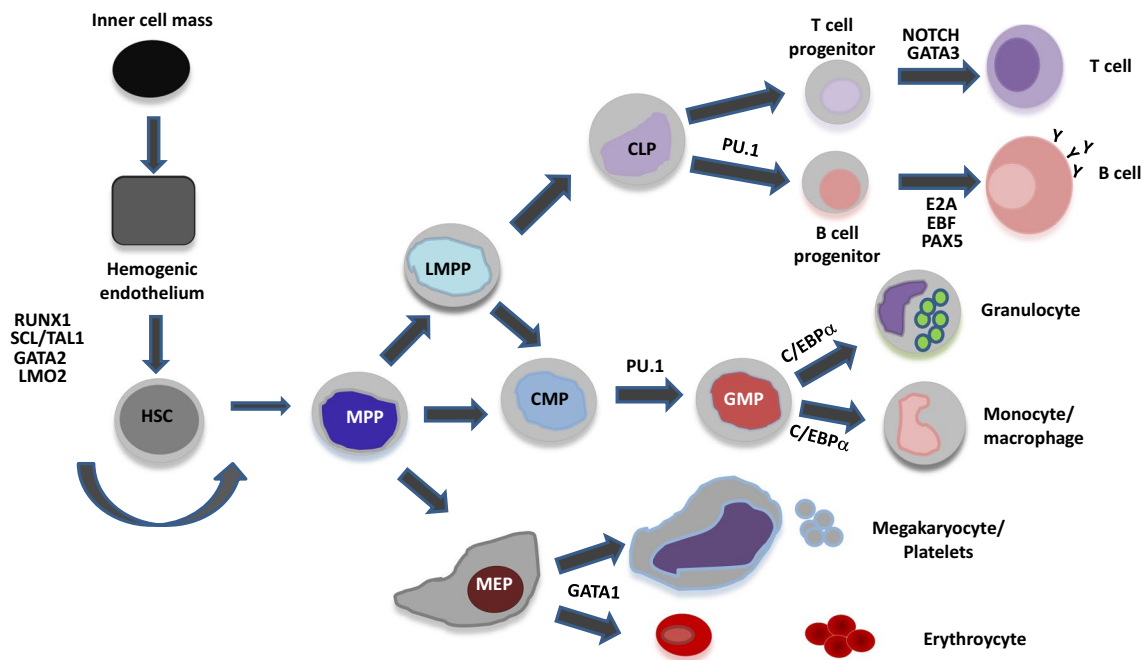


Fig. 1 Hematopoietic hierarchy, representing the development of all blood lineages arising from totipotent cells from the inner cell mass. *HSC* hematopoietic stem cell, *MPP* multipotent progenitor, *LMPP* lymphoid-primed multipotent progenitor, *CMP* common myeloid

progenitor, *CLP* common lymphoid progenitor, *GMP* granulocyte–macrophage progenitor, *MEP* megakaryocyte–erythrocyte progenitor. Lineage-determining transcription factors are depicted at the position where their action is critical for further blood cell development

complex [6]. Although the CBF α subunit is able to bind to DNA as a monomer in vitro, its affinity increases dramatically when it binds CBF β , and their association results in the decrease of the rate of dissociation of the CBF–DNA complex [6, 7]. HPLC assays of tryptic peptides of the CBF α polypeptide reveal that one of these fragments shares 70 % sequence identity with the protein encoded by the *Drosophila runt* gene. Most importantly, the link between RUNX1 and blood has been established by the finding that CBF α has 100 % homology with a protein encoded by the human proto-oncogene *acute myeloid leukemia 1* (*AML1*), which is localized on chromosome 21 and whose cDNA had been isolated and sequenced by Miyoshi et al. in 1991 as a partner in an AML causing translocation event [6, 8]. The *AML1* protein is a member of a family of transcription factors that directly bind the enhancer core DNA sequence TGT/cGGT which is present in several viral and cellular promoters and enhancers [9–11]. *AML1* binds DNA with a central RUNT homology domain (RHD) which constitutes 128 amino acids and is also relevant for protein–protein interactions [11, 12].

During hematopoiesis, the function of RUNX1 is strictly regulated. Mechanisms for finely controlling RUNX1 activity include alternative splicing, transcriptional control by two different promoters [13], translational control and the presence of posttranslational modifications, such as acetylation, methylation, phosphorylation, which promote

transcriptional activity of RUNX1, and phosphorylation and ubiquitination, which regulate RUNX1 protein stability, reviewed in [14]. RUNX1 targets multiple genes, many of which are also pivotal transcription regulators involved in the formation of all hematopoietic lineages, including the hematopoietic-specific member of E-twenty-six (ETS) family, PU.1 [15, 16]. The gene encoding PU.1, also known as *Sfp1* (*SFFV* proviral integration, the human gene is named *SPI1*), was first identified as a novel oncogene isolated from a murine erythroleukemia induced by the acute leukemogenic retrovirus spleen focus forming virus (SFFV) [17]. *PU.1* cDNA was cloned in 1990 and PU.1 protein has been shown to be a transcriptional activator in macrophages and B-cells [18]. The PU.1 protein has an 85 amino acid-long DNA-binding domain in the C-terminus which is highly conserved among all *Ets* family members and recognizes a purine-rich DNA sequence containing the core sequence 5'-GGAA-3' [19]. Structural analysis of this domain revealed that PU.1 binds to DNA with its winged helix–turn–helix domain [20]. Other relevant sequences within the PU.1 activation domain include three acidic subdomains and one glutamine-rich subdomain towards the N-terminal half of the protein that are required for its transactivation function [21]. Phosphorylation of a central serine residue in position 148 has been found to be responsible for protein–protein interactions, which are also decisive in the control of transcriptional activity [22].

Targeted mutagenesis of RUNX1 and PU.1 genes

Loss-of-function animal models in conjunction with cutting-edge genome-wide technologies represent a powerful strategy to understand how cell-specific transcription factors regulate biological processes [23]. The importance of RUNX1 in embryonic hematopoiesis was shown in mice where both alleles of *RUNX1* were disrupted. The absence of RUNX1 results in embryonic lethality at day E11.5–E12.5, caused by perivascular edema, hemorrhaging in the central nervous system, and a block of fetal liver hematopoiesis [24]. A similar phenotype is observed in CBF β -knock-out mice, whereby CBF β deficient ESCs are able to contribute to nonhematopoietic organs but not to hematopoietic tissues, i.e., peripheral blood and thymus [25]. However, Runx1 can work independently from its partner CBF β , as suggested in a recent study, where it was shown that RUNX1 is able to drive the formation of nascent HSCs in zebrafish embryo model in the absence of CBF β [26]. Another independent study found that murine *AML1*^{-/-} ESCs are able to give rise primitive erythroid progenitors in vitro but no myeloid or erythroid cells are produced in either fetal livers or yolk sac of *AML1*^{-/-} embryos [27]. To assess the role of RUNX1 in the development of adult blood cells, conditional RUNX1 knockout mice were generated and analyzed by several independent groups. These studies overall show that loss of RUNX1 in adult stages does not totally compromise hematopoiesis in general (i.e., HSCs are still present) [28–30], but causes expansion of a lineage negative Sca1⁺ Kit⁺ (LSK) population and the myeloid progenitor compartment in bone marrow [30, 31]. Moreover, in vivo long-term HSC activity is negatively regulated by RUNX1 [32].

PU.1 mutant embryos display a variable onset of anemia between days E14.5 and E17.5 due to defects in multiple hematopoietic lineages, and no viable embryos are found after day E18 [33, 34]. Further experiments conducted in another strain of PU.1 null mice demonstrate multiple hematopoietic abnormalities, leading to septicemia within 24 h and to death by 48 h after birth [34]. In PU.1 null mice, macrophages are totally absent and neutrophil development is severely impaired. Abnormalities are also detected in B cell compartment, which is blocked at a very early stage of maturation [34]. PU.1 has been shown to play a role also in early committing blood precursor stages. TALE-effector-mediated PU.1 repression in a murine ESC differentiation confirmed the presence of PU.1 at sites of mouse definitive hematopoiesis [35]. However, using the same experimental model, Lancrin et al. [36] investigated the capability of the major downstream targets of RUNX1, i.e., GFI1, GFI1B and PU.1, to rescue the defective phenotype of *Runx1*^{-/-} FLK1⁺ cells in cell differentiation assays and find that the retroviral overexpression of PU.1 in *Runx1*^{-/-} FLK1⁺ cells

is not able to rescue their impairment in forming blast colonies, confirming its role downstream of RUNX1.

Developmental-stage-specific RUNX1 and PU.1 function

During embryogenesis the hematopoietic system originates from the mesoderm and consists of two distinct developmental waves: primitive and definitive hematopoiesis, which take place in the yolk sac and dorsal aorta, respectively [37]. As opposed to cells derived from primitive hematopoiesis, definitive hematopoiesis generates true HSCs which give rise to all blood cell compartments throughout the entire lifespan of an individual. HSCs emerge from a specialized endothelium inside the dorsal aorta, i.e., the hemogenic endothelium (HE), from which they detach and form intra-aortic cell clusters that eventually take part in blood circulation before homing to the fetal liver and the bone marrow [38]. The process that comprises the loss of endothelial specificity and the emergence of round, free-moving cells is referred to as the endothelial-to-hematopoietic transition (EHT) [39–41]. The upregulation of RUNX1 during the EHT is responsible for the upregulation of *Pu.1/Sfp1*, with *Runx1* mRNA being detected as early as E7.5 and *Pu.1/Sfp1* mRNA at E8.5 in the HE in the mouse [40, 42, 43].

RUNX1 was shown to be essential for the formation of intra-aortic clusters, HSCs and hematopoietic progenitor formation [31], via facilitated expression of critical regulators of the EHT. These include GFI1 and GFIB, which trigger down-regulation of the endothelial markers Tek/TIE2, VE-Cadherin/CDH5 and KIT, even in the absence of RUNX1 [36]. Genome-wide studies have shown that hematopoietic genes such as *PU.1* are actually primed in the HE by occupancy of TAL1/SCL and FLI1 to their regulatory regions and that a RUNX1-mediated reorganization of these factors is critical during EHT [44]. PU.1, on the other hand, contributes towards HSC maintenance by balancing the expression of cell-cycle regulators [45]. However, above all, PU.1 is a master regulator of later hematopoiesis and is required for commitment to the myeloid lineage since together with C/EBP α it controls the expression of the receptors for GM-CSF [46], and of CSF-1 which are critical cytokines for myelopoiesis [47, 48]. In addition, high levels of CSF-1 result in higher PU.1 expression [49]. C/EBP α which is required for the production of granulocyte–macrophage progenitors (GMPs) and beyond upregulates *Spi1* (*PU.1*) but PU.1 also upregulates the expression of *CEBPA* [50–53]. Besides being part of such a feed-forward loop driving differentiation, high PU.1 levels and thus commitment towards the myeloid lineages are achieved via lengthening of the cell cycle

and ensuing protein accumulation [54]. As the end-product of these developmentally controlled activities, PU.1 and RUNX1 function synergistically by forming a complex that excludes co-repressors [55] in myeloid cells, ultimately resulting in the regulation of lineage-specific genes such as the CSF-1 receptor gene (*Csf1r*) [56] and Ig-like transcripts [57]. Finally, RUNX1 is also capable of recruiting Polycomb repressive complex 1 (PRC1) to regulatory elements of myeloid genes [58]. However, in the case of RUNX1 deficiencies, this property is conferred to PU.1, although the loss of RUNX1 function prevents later switches from co-repressor to co-activator complexes, resulting in blocked differentiation [59].

PU.1 is not only a crucial factor for HSCs and myeloid progenitors but also plays an important role as a regulator of macrophage function. Macrophages are a highly heterogeneous cell type expressing high levels of this factor. In the last years, genome-wide studies have provided an explanation for its crucial role in the terminally differentiated state and made some headway into understanding its function in setting the stage for macrophage heterogeneity. PU.1 binds to more than 40,000 sites in macrophages thus opening up chromatin for rapid binding of a variety of incoming signal-inducible factors in the context of an inflammatory response [60–62] and cooperating with different types of factors in different macrophage types [63–65]. Macrophages are therefore primed to respond to a multitude of signals with the expression of a large number of different genes. In summary, the RUNX1/PU.1 axis is critical for the entire process of myeloid differentiation.

RUNX1 and PU.1 in T and B-cells

Besides its important role in myelopoiesis, RUNX1 is also a master regulator of T-cell differentiation, due to its binding to regulatory elements of T-cell-specific genes [66]. In CD4⁺ single positive (SP) and CD4⁺/CD8⁺ double positive (DP) T-cells, genome-wide studies have conferred a key role of RUNX1 in the modulation of the expression of these genes via distal elements [67, 68]. In CD4⁻/CD8⁻ double negative (DN) and DP thymocytes, RUNX1 enhances the expression of critical genes such as the *TCRα* and *β* loci by binding to their respective enhancers [69, 70]. Furthermore, RUNX1 represses *CD4* at the DN and CD8⁺ SP stages by binding to its silencer element [71, 72]. This repression, however, requires cooperative binding with RUNX3, the latter driving T-cell differentiation towards the CD8⁺ lineages [73–75], reviewed in [76]. At later CD4⁺ SP stages, RUNX1 favors Th1 differentiation by inhibiting the expression of *GATA3* and *IL4* [77, 78], reviewed in [79]. RUNX1 also suppresses the emergence of regulatory T-cells (Treg) by inhibiting the expression of *FOXP3* [80,

81]. In B-cells, the expression of RUNX1 and its binding to enhancer regions of critical pre-B cell transition genes are required for the production and survival of early B-cell progenitors [82]. Early B-cell development is also facilitated by enhanced expression of *Ebfl* via the RUNX1–CBFβ interaction [83].

PU.1 is expressed in early thymocytes up to the DN2 stage, after which its down-regulation is required for normal T-cell development [84–86]. However, T-cell differentiation is severely impaired in *PU.1*-deficient mice [34], indicating a critical role for this transcription factor at the onset of thymopoiesis. This was later confirmed by genome-wide studies whereby binding of PU.1 revealed factor-specific epigenetic marking of crucial early T-cell differentiation genes [87]. In contrast, low levels of PU.1 expression are specifically required for normal B-cell development, since higher levels drive precursors into myeloid differentiation [88, 89]. Similar to T-cells, PU.1 expression is not required for the expression of very early B-cell genes; however, it is essential for the formation of progenitors, as it also regulates the expression of *Ebfl* [90]. Likewise, PU.1 triggers chromatin remodeling in early B-cells, followed by deposition of activation-specific histone marks in distal regions later bound by B-cell-specific transcription factors [60].

Consequently, due to their critical role in hematopoiesis, both RUNX1 and PU.1 exhibit oncogenic potential in B- and T-cell lineages. In t [12, 21] B-cell acute lymphoblastic leukemia (ALL), the most common mutation in child leukemia, the RUNX1–ETV6 fusion protein causes aberrant upregulation of proliferation genes [82, reviewed in 91]. On the other hand, RUNX1 is downregulated or mutated in TLX1 T-ALL, as its normal expression entails tumor-suppressing effects [92]. Conversely, the role of PU.1 in ALL is less established, although it is expressed in most B-ALLs, and that its deletion together with SpiB systematically results in leukemia [93, 94]. In T-ALL recent studies have reported expression of PU.1; however, the mechanisms in which it could contribute towards leukemogenesis remain unclear [93, 95].

Developmental regulation of RUNX1 and PU.1: one regulates the other and both regulate themselves

Both *RUNX1* and *PU.1* contain distal regulatory elements harboring RUNX and ETS motifs [16, 96], indicating that both genes are subject to autoregulation [44, 45, 89, 97]. Using an inducible system, Lichtinger et al. [44] showed that the induction of *Runx1* leads to binding of RUNX1 to the proximal promoter and to a strong upregulation of its own expression. Only one transcriptional start site (TSS) is known to initiate PU.1 mRNA transcription. However, an antisense RNA was described to regulate PU.1 protein

levels originating from a promoter within the gene which also is regulated by the –14 kb upstream regulatory element (URE) [98]. Early in development prior to hematopoietic specification, low levels of RUNX1 prime *Pu.1/Sfpi1* by binding to its –14 kb URE, an event that is accompanied by remodeling of the chromatin of *cis*-elements in the *Pu.1* locus [99]. In adult and embryonic mouse hematopoietic progenitors RUNX1 expressed at high levels then recruits the methyltransferase mixed lineage leukemia (MLL) to the -14 Kb URE to further upregulate expression [15, 44]. Moreover, RUNX1-binding sites within the URE are crucial for PU.1 expression and the interaction between the URE and its promoter [100]. Autoregulation of the *Pu.1/Sfpi1* locus is achieved by different mechanisms, depending on the cell type. Intermediate levels of PU.1 expression in B-cells are achieved by a cooperation of PU.1 with E2A and FOXO1 which bind to the -14 Kb URE. In myeloid cells, C/EBP factors activate additional myeloid-specific enhancers at -12 and -10 kb which leads to an upregulation of gene expression through the cooperation of PU.1 and C/EBP factors [89].

The deletion of the URE in mice causes the development of AML, indicating that high levels of PU.1 are required to maintain a healthy balance between proliferation and differentiation [101]. Aberrant down-regulation of PU.1 thus occurs in AML and MDS patients with frame-shift and missense RUNX1 mutations which impact its interaction with MLL [102]. These phenotypes are explained by RUNX1 binding being an absolute requirement for *Pu.1/Sfpi1* expression [103], since its loss causes a block in myeloid and B-cell differentiation as well as increased platelet and T-cell development due to the tissue-specific nature of *Pu.1/Sfpi1* expression [15]. In T-cells, *Pu.1/Sfpi1* expression is abrogated by specific TCF/LEF binding in the absence of Wnt signaling [104].

The *Runx1* locus contains two temporally modulated promoters: a proximal one (P2) which transcribes a shorter isoform, expressed in early embryonic hematopoiesis, as well as a distal one (P1) which corresponds to isoforms expressed from hematopoietic progenitors onwards [105, 106]. P1 is bound and repressed by RUNX1 itself [44, 105–107], while in T-cells P2 is activated by NFAT binding [108]. To date, an enhancer has been characterized at +23 kb in the *Runx1* locus, conferring hematopoietic specificity to both isoforms [109]. *Runx1* expression is controlled by TAL1/SCL via binding at the +23 kb enhancer [96, 110]. Further, GATA1 as well as ETS factors, including PU.1, bind sites required for enhancer activity at the +23 kb element, suggesting that *Runx1* is a PU.1 target [96]. Finally, it was shown that RUNX1 protein expression is downregulated in AML with MLL fusion proteins, which, along with the loss of correct MLL function [111] and interaction with RUNX1 may contribute to the

leukemic phenotype via incorrect regulation of RUNX1 and consequently PU.1. In summary, these studies demonstrate a profound interdependence of these two factors in driving correct hematopoietic development.

RUNX1 and PU.1 in AML

The genes encoding RUNX1 and CBF β , its heterodimeric partner, are hot spots for chromosomal rearrangements and are the most frequent mutations associated with AML [8]. In a clinical study conducted in patients with normal karyotype, most *RUNX1* mutations were detected in AML with an immature phenotype characterized by French–American–British classification subtype M0, less in M1 and M2 [112]. Genetic aberrations that can affect these genes include reciprocal chromosomal translocations, which give rise to chimeric fusion protein, such as RUNX1–ETO and CBF β –MYH11, partial tandem duplication, intragenic loss-of-function mutations and loss of heterozygosity [112–115] (Fig. 2). Point mutations in *RUNX1* have been found in both de novo and secondary AML, following myelodysplastic syndrome or chemotherapy [114, 116] and are capable of driving these diseases when introduced into the mouse germ line [117]. The majority of acquired RUNX1-associated point mutations occurs in the RHD domain or the TAD domain and confers a very poor prognosis [112, 116, 118].

The best characterized of these fusion proteins is RUNX1–ETO and it has for many years served as a paradigm for studying the molecular basis of AML [119]. In the t[8, 21] chromosomal aberration, part of the N-terminal portion of the RUNX1 protein, including its RHD, is fused to the repressor protein ETO, whose gene is localized on chromosome 8, thus producing a RUNX1–ETO chimeric protein [8, 10, 120]. RUNX1–ETO retains the ability to interact with the enhancer core DNA sequence and has been shown to interfere with RUNX1-dependent transactivation [11, 121–123] and to alter the transcriptional regulation of normal RUNX1 target genes [27, 122]. The fusion protein forms a tetrameric complex that interacts with other important hematopoietic regulators, namely the bridging factors LMO2 and LDB1, the E-Box binding factor HEB and the ETS family members FLI1 and ERG [123–126]. Genome-wide studies demonstrated that the majority of RUNX1–ETO binding sites overlap with binding sites for RUNX1 [122, 125] and it was shown later that RUNX1 and RUNX1–ETO form complexes with the same transcriptional regulators and compete for the same binding sites. However, RUNX1–ETO and RUNX1 have distinct preferences for co-repressors and co-activators, with RUNX1–ETO being mostly associated with repressed genes [123]. These data show that RUNX1–ETO and

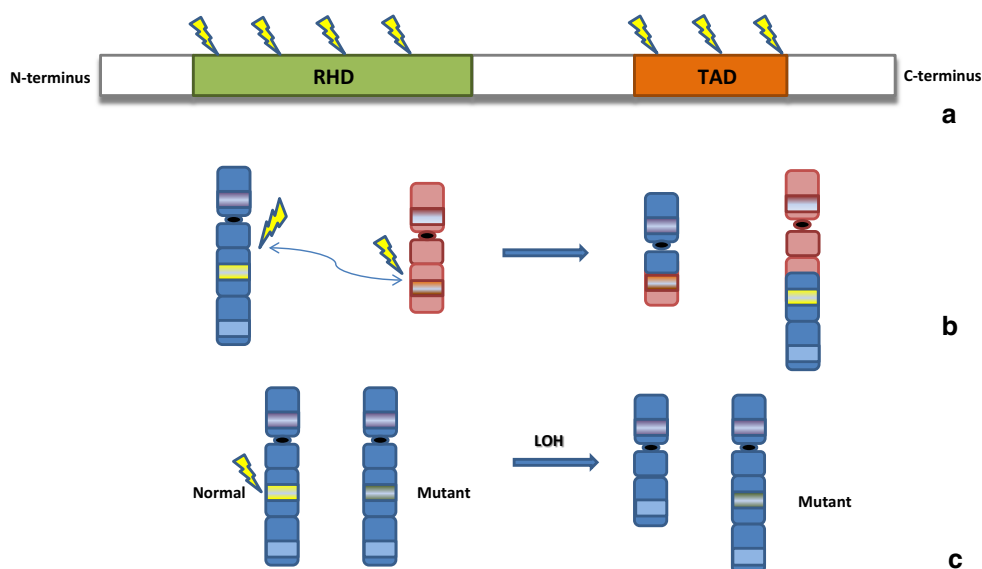


Fig. 2 Different types of genetic aberrations occur in *RUNX1* locus in AML and other myeloproliferative disorders. The most frequent are point mutations, which can affect the main domains of the protein, often resulting in loss of function (a); reciprocal chromosomal

translocations involving human chr21q22 locus (b); loss of heterozygosity, in which the loss of the wild-type allele results in the aberrant expression of the non-functioning allele (c). *RHD* RUNT homology domain, *TAD* transactivation domain, *LOH* loss of heterozygosity

RUNX1 are in balance with each other, and additional studies have shown that each regulate distinct sets of genes which are both required to keep AML cells alive [127]. This dependency on the wild-type copy of *RUNX1* has also been demonstrated for other *RUNX1* and CBF translocations [127, 128]. Genome-wide binding studies have shown that *RUNX1*–ETO binds to thousands of genes in chromatin [122, 125]. The nature of these binding sites is dictated by the nature of the *RUNX1*–ETO complex binding to sequences with RUNX, E-Box and ETS binding motifs whereas *RUNX1* has a much larger choice of interaction partners and also binds to additional sequences [122]. Using a combination of chromatin immunoprecipitation studies and knock-down approaches, Ptasinska et al. [123] identified and characterized the core t[8, 21] specific transcriptional network and demonstrated that the binding of *RUNX1*–ETO occurs at chromatin regions that are destined for differentiation-drive transcription factor exchange during myeloid differentiation. At such sites, *C/EBP α* is the main driver of this exchange, together with *RUNX1*, binding to thousands of new sites once *RUNX1*–ETO is depleted. This exchange does not occur in t[8, 21] AML since *RUNX1*–ETO represses *CEBPA*, differentiation is thus blocked [122, 129]. Besides providing a system-wide molecular explanation of the block in differentiation, this work therefore also provided the molecular explanation for the crucial role of *C/EBP α* for myelopoiesis [52].

Although *PU.1* mutations occurring in the DNA-binding domain and the transactivation domain have been found in AML patients [130], they are not common [131].

However, *PU.1* expression is required for leukemia development in a *RUNX1*/Eto9a-dependent leukemia model as a reduction of *PU.1* levels results in a delayed onset of the AML phenotype [100]. In addition, although *PU.1* expression is not directly controlled by chimeric *MLL* proteins, it is required for initiating and maintaining the leukemic phenotype induced by the *MLL* fusions, via cooperation with *HOXA9* and *MEIS1* proteins, which play a central role in the *MLL*-dependent leukemogenicity. Indeed, reduced expression of *PU.1* in *MLL*-AF9 cells also results in a delay in the onset of leukemia [132]. Similarly, the upregulation of the *CSF1R* by the cooperation between *PU.1* and the fusion protein *MOZ*-*TIF2* is essential in the establishment and maintenance of an AML phenotype [47]. As outlined above, reduction in *PU.1* expression induces a premalignant state that is associated with a high frequency of chromosomal rearrangements, leading to the AML development [101]. In addition, mutations within the URE and loss of heterozygosity in the *PU.1* locus, detected in AML patients, strongly suggest that these rearrangements could be associated with AML [133, 134]. Taken together, these data highlight the importance of the *RUNX1*–*PU.1* axis for both normal and leukemia stem cells.

Although in the last few years many details about the mechanisms by which *RUNX1* and *PU.1* cooperate have been elucidated, many relevant insights remain still elusive. In particular, our knowledge of the molecular details of how these two factors program the epigenome and alter transcriptional networks is still incomplete and this holds

true for both normal and abnormal hematopoiesis. However, such understanding is vital if we want to revert malignant phenotypes and design more efficient therapeutic treatments for leukemias and other blood disorders. There is still a large amount of fundamental science to do.

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